Form 5500

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), 6057(b), and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500.**

OMB Nos. 1210-0110
1210-0089

2015

This Form is Open to Public Inspection

Part I Annual Report Identification Information

For calendar plan year 2015 or fiscal plan year beginning 01/01/2015 and ending 12/31/2015

A This return/report is for:
- [ ] a multiemployer plan;
- [ ] a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions); or
- [X] a single-employer plan;
- [ ] a DFE (specify) ____

B This return/report is:
- [ ] the first return/report;
- [ ] the final return/report;
- [ ] an amended return/report;
- [ ] a short plan year return/report (less than 12 months).

C If the plan is a collectively-bargained plan, check here. . . . . ▶ [ ]

D Check box if filing under:
- [X] Form 5558;
- [ ] automatic extension;
- [ ] the DFVC program;
- [ ] special extension (enter description)

Part II Basic Plan Information—enter all requested information

1a Name of plan
COMFORT WINDOW CO., INC. 401(K) SALARY DEFERRAL PLAN

1b Three-digit plan number (PN) ▶ 001

1c Effective date of plan 01/01/1995

2a Plan sponsor's name (employer, if for a single-employer plan)
Mailing address (include room, apt., suite no. and street, or P.O. Box)
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions)
COMFORT WINDOW CO., INC.

3624 JOHN GLENN BOULEVARD
SYRACUSE, NY 13209

2b Employer Identification Number (EIN)
16-1263149

2c Plan Sponsor's telephone number
315-457-0022

2d Business code (see instructions)
339900

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/04/2016	LAURIE GENTILE
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE	Filed with authorized/valid electronic signature.	10/04/2016	LAURIE GENTILE
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

Preparer's name (including firm name, if applicable) and address (include room or suite number)

Preparer's telephone number

3a	Plan administrator's name and address [X] Same as Plan Sponsor	**3b**	Administrator's EIN
		3c	Administrator's telephone number
4	If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report:	**4b**	EIN
a	Sponsor's name	**4c**	PN

5	Total number of participants at the beginning of the plan year	**5**	209
6	Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines **6a(1), 6a(2), 6b, 6c,** and **6d**).		
a(1)	Total number of active participants at the beginning of the plan year	**6a(1)**	191
a(2)	Total number of active participants at the end of the plan year	**6a(2)**	182
b	Retired or separated participants receiving benefits	**6b**	0
c	Other retired or separated participants entitled to future benefits	**6c**	22
d	Subtotal. Add lines **6a(2)**, **6b**, and **6c**.	**6d**	204
e	Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.	**6e**	0
f	Total. Add lines **6d** and **6e**.	**6f**	204
g	Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	**6g**	179
h	Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested	**6h**	12
7	Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	**7**	

8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:
2D 2E 2J 2K 3D

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

9a Plan funding arrangement (check all that apply)

- **(1)** [X] Insurance
- **(2)** [ ] Code section 412(e)(3) insurance contracts
- **(3)** [X] Trust
- **(4)** [ ] General assets of the sponsor

9b Plan benefit arrangement (check all that apply)

- **(1)** [X] Insurance
- **(2)** [ ] Code section 412(e)(3) insurance contracts
- **(3)** [X] Trust
- **(4)** [ ] General assets of the sponsor

10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

- **(1)** [X] **R** (Retirement Plan Information)
- **(2)** [ ] **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
- **(3)** [ ] **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

b General Schedules

- **(1)** [X] **H** (Financial Information)
- **(2)** [ ] **I** (Financial Information – Small Plan)
- **(3)** [ ] **A** (Insurance Information)
- **(4)** [X] **C** (Service Provider Information)
- **(5)** [X] **D** (DFE/Participating Plan Information)
- **(6)** [ ] **G** (Financial Transaction Schedules)

Part III **Form M-1 Compliance Information (to be completed by welfare benefit plans)**

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ……..... ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2015 Form M-1 annual report. If the plan was not required to file the 2015 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code_____

SCHEDULE C (Form 5500)	Service Provider Information	OMB No. 1210-0110
Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ **File as an attachment to Form 5500.**	**2015** **This Form is Open to Public Inspection.**

For calendar plan year 2015 or fiscal plan year beginning 01/01/2015 and ending 12/31/2015

A Name of plan
COMFORT WINDOW CO., INC. 401(K) SALARY DEFERRAL PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
COMFORT WINDOW CO., INC.

D Employer Identification Number (EIN)
16-1263149

Part I Service Provider Information (see instructions)

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions).. . . . . ☐ Yes ☒ No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

JOHN HANCOCK LIFE INS. OF NEW YORK

13-3646501

(b) Enter name and EIN or address of person who provided you disclosure on eligible indirect compensation

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(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

JOHN HANCOCK NEW YORK

13-3646501

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
15 28 59 60 62 63 68	RECORDKEEPER	0	Yes [X] No [ ]	Yes [ ] No [X]	0	Yes [ ] No [X]

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes [ ] No [ ]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes [ ] No [ ]	Yes [ ] No [ ]		Yes [ ] No [ ]

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)

(complete as many entries as needed)

a	Name:	**b** EIN:
c	Position:	
d	Address:	**e** Telephone:

Explanation:

a	Name:	**b** EIN:
c	Position:	
d	Address:	**e** Telephone:

Explanation:

a	Name:	**b** EIN:
c	Position:	
d	Address:	**e** Telephone:

Explanation:

a	Name:	**b** EIN:
c	Position:	
d	Address:	**e** Telephone:

Explanation:

a	Name:	**b** EIN:
c	Position:	
d	Address:	**e** Telephone:

Explanation:

SCHEDULE D (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

DFE/Participating Plan Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2015

This Form is Open to Public Inspection.

For calendar plan year 2015 or fiscal plan year beginning 01/01/2015 and ending 12/31/2015

A Name of plan
COMFORT WINDOW CO., INC. 401(K) SALARY DEFERRAL PLAN

B Three-digit plan number (PN) ▶ 001

C Plan or DFE sponsor's name as shown on line 2a of Form 5500
COMFORT WINDOW CO., INC.

D Employer Identification Number (EIN)
16-1263149

Part I Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs)

(Complete as many entries as needed to report all interests in DFEs)

a Name of MTIA, CCT, PSA, or 103-12 IE: JOHN HANCOCK OF NEW YORK

b Name of sponsor of entity listed in (a): JOHN HANCOCK OF NEW YORK

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
13-3646501-000	M	6734011

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

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b Name of plan sponsor	**c** EIN-PN

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b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

SCHEDULE H (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Financial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2015

This Form is Open to Public Inspection

For calendar plan year 2015 or fiscal plan year beginning 01/01/2015 and ending 12/31/2015

A Name of plan
COMFORT WINDOW CO., INC. 401(K) SALARY DEFERRAL PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
COMFORT WINDOW CO., INC.

D Employer Identification Number (EIN)
16-1263149

Part I Asset and Liability Statement

1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a	8196	
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)		
(2) Participant contributions	1b(2)		
(3) Other	1b(3)		
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)		
(2) U.S. Government securities	1c(2)		
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		
(B) All other	1c(3)(B)		
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)		
(B) Common	1c(4)(B)		
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans	1c(8)		
(9) Value of interest in common/collective trusts	1c(9)		
(10) Value of interest in pooled separate accounts	1c(10)	0	0
(11) Value of interest in master trust investment accounts	1c(11)	6544206	6734011
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)		
(14) Value of funds held in insurance company general account (unallocated contracts)	1c(14)		
(15) Other	1c(15)	89587	180302

			(a) Beginning of Year	(b) End of Year
1d	Employer-related investments:			
	(1) Employer securities	1d(1)		
	(2) Employer real property	1d(2)		
e	Buildings and other property used in plan operation	1e		
f	Total assets (add all amounts in lines 1a through 1e)	1f	6641989	6914313
	Liabilities			
g	Benefit claims payable	1g		
h	Operating payables	1h		
i	Acquisition indebtedness	1i		
j	Other liabilities	1j		
k	Total liabilities (add all amounts in lines 1g through1j)	1k		
	Net Assets			
l	Net assets (subtract line 1k from line 1f)	1l	6641989	6914313

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

	Income		(a) Amount	(b) Total
a	**Contributions:**			
	(1) Received or receivable in cash from: **(A)** Employers	2a(1)(A)	225204	
	(B) Participants	2a(1)(B)	390652	
	(C) Others (including rollovers)	2a(1)(C)	5723	
	(2) Noncash contributions	2a(2)		
	(3) Total contributions. Add lines **2a(1)(A), (B), (C),** and line **2a(2)**	2a(3)		621579
b	**Earnings on investments:**			
	(1) Interest:			
	(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)		
	(B) U.S. Government securities	2b(1)(B)		
	(C) Corporate debt instruments	2b(1)(C)		
	(D) Loans (other than to participants)	2b(1)(D)		
	(E) Participant loans	2b(1)(E)		
	(F) Other	2b(1)(F)		
	(G) Total interest. Add lines **2b(1)(A)** through **(F)**	2b(1)(G)		
	(2) Dividends: **(A)** Preferred stock	2b(2)(A)		
	(B) Common stock	2b(2)(B)		
	(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)		
	(D) Total dividends. Add lines **2b(2)(A)**, **(B)**, and **(C)**	2b(2)(D)		
	(3) Rents	2b(3)		
	(4) Net gain (loss) on sale of assets: **(A)** Aggregate proceeds	2b(4)(A)		
	(B) Aggregate carrying amount (see instructions)	2b(4)(B)		
	(C) Subtract line **2b(4)(B)** from line **2b(4)(A)** and enter result	2b(4)(C)		
	(5) Unrealized appreciation (depreciation) of assets: **(A)** Real estate	2b(5)(A)		
	(B) Other	2b(5)(B)		
	(C) Total unrealized appreciation of assets. Add lines **2b(5)(A)** and **(B)**	2b(5)(C)		

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		-125424
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		
c Other income	2c		
d Total income. Add all **income** amounts in column (b) and enter total	2d		496155
Expenses			
e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	223831	
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other	2e(3)		
(4) Total benefit payments. Add lines **2e(1)** through **(3)**	2e(4)		223831
f Corrective distributions (see instructions)	2f		
g Certain deemed distributions of participant loans (see instructions)	2g		
h Interest expense	2h		
i Administrative expenses: (1) Professional fees	2i(1)		
(2) Contract administrator fees	2i(2)		
(3) Investment advisory and management fees	2i(3)		
(4) Other	2i(4)		
(5) Total administrative expenses. Add lines **2i(1)** through **(4)**	2i(5)		
j Total expenses. Add all **expense** amounts in column (b) and enter total	2j		223831
Net Income and Reconciliation			
k Net income (loss). Subtract line **2j** from line **2d**	2k		272324
l Transfers of assets:			
(1) To this plan	2l(1)		
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) [ ] Unqualified **(2)** [ ] Qualified **(3)** [X] Disclaimer **(4)** [ ] Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)? [X] Yes [ ] No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: BONADIO & CO., LLP **(2)** EIN: 16-1131146

d The opinion of an independent qualified public accountant is **not attached** because:

(1) [ ] This form is filed for a CCT, PSA, or MTIA. **(2)** [ ] It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

		Yes	No	N/A	Amount
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	4a		X		
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)	4b		X		

		Yes	No	N/A	Amount
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)	4c		X		
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)	4d		X		
e Was this plan covered by a fidelity bond?	4e	X			500000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		X		
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	4g		X		
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	4h		X		
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	4i	X			
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)	4j		X		
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4k		X		
l Has the plan failed to provide any benefit when due under the plan?	4l		X		
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m		X		
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.	4n				
o Did the plan trust incur unrelated business taxable income?	4o		X		
p Were in-service distributions made during the plan year?	4p	X			22834

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? If "Yes," enter the amount of any plan assets that reverted to the employer this year ☐ **Yes** ☒ **No** **Amount:**

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	**5b(2)** EIN(s)	**5b(3)** PN(s)

5c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? ..... ☐ Yes ☐ No ☐ Not determined

Part V Trust Information

6a Name of trust	**6b** Trust's EIN

6c Name of trustee or custodian	**6d** Trustee's or custodian's telephone number

SCHEDULE R (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Retirement Plan Information

This schedule is required to be filed under section 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2015

This Form is Open to Public Inspection.

For calendar plan year 2015 or fiscal plan year beginning 01/01/2015 and ending 12/31/2015

A Name of plan
COMFORT WINDOW CO., INC. 401(K) SALARY DEFERRAL PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
COMFORT WINDOW CO., INC.

D Employer Identification Number (EIN)
16-1263149

Part I Distributions

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions **1** 0

2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits):

EIN(s): 13-3645601

Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.

3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year **3**

Part II Funding Information (If the plan is not subject to the minimum funding requirements of section of 412 of the Internal Revenue Code or ERISA section 302, skip this Part)

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

If the plan is a defined benefit plan, go to line 8.

5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. **Date:** Month _____ Day _____ Year _____

If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.

6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived) **6a**

b Enter the amount contributed by the employer to the plan for this plan year **6b**

c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount) **6c**

If you completed line 6c, skip lines 8 and 9.

7 Will the minimum funding amount reported on line 6c be met by the funding deadline? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

Part III Amendments

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box. ..... ☐ **Increase** ☐ **Decrease** ☐ **Both** ☐ **No**

Part IV ESOPs (see instructions). If this is not a plan described under Section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ..... ☐ **Yes** ☐ **No**

11 a Does the ESOP hold any preferred stock? ..... ☐ **Yes** ☐ **No**

b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ..... ☐ **Yes** ☐ **No**

12 Does the ESOP hold any stock that is not readily tradable on an established securities market? ..... ☐ **Yes** ☐ **No**

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

14 Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

a The current year	**14a**	
b The plan year immediately preceding the current plan year	**14b**	
c The second preceding plan year	**14c**	

15 Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year	**15a**	
b The corresponding number for the second preceding plan year	**15b**	

16 Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year	**16a**	
b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	**16b**	

17 If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

18 If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

19 If the total number of participants is 1,000 or more, complete lines (a) through (c)

a Enter the percentage of plan assets held as:
Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

b Provide the average duration of the combined investment-grade and high-yield debt:
☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate line 19(b)?
☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify):

Part VII IRS Compliance Questions

20a Is the plan a 401(k) plan?	☐ Yes	☐ No
20b If "Yes," how does the 401(k) plan satisfy the nondiscrimination requirements for employee deferrals and employer matching contributions (as applicable) under sections 401(k)(3) and 401(m)(2)?	☐ Design-based safe harbor method	☐ ADP/ACP test
20c If the ADP/ACP test is used, did the 401(k) plan perform ADP/ACP testing for the plan year using the "current year testing method" for nonhighly compensated employees (Treas. Reg sections 1.401(k)-2(a)(2)(ii) and 1.401(m)-2(a)(2)(ii))?	☐ Yes	☐ No
21a Check the box to indicate the method used by the plan to satisfy the coverage requirements under section 410(b):	☐ Ratio percentage test	☐ Average benefit test
21b Does the plan satisfy the coverage and nondiscrimination tests of sections 410(b) and 401(a)(4) by combining this plan with any other plans under the permissive aggregation rules?	☐ Yes	☐ No
22a Has the plan been timely amended for all required tax law changes?	☐ Yes	☐ No ☐ N/A

22b Date the last plan amendment/restatement for the required tax law changes was adopted ____/____/____. Enter the applicable code _____ (See instructions for tax law changes and codes).

22c If the plan sponsor is an adopter of a pre-approved master and prototype (M&P) or volume submitter plan that is subject to a favorable IRS opinion or advisory letter, enter the date of that favorable letter _____/_____/_____ and the letter's serial number _____.

22d If the plan is an individually-designed plan and received a favorable determination letter from the IRS, enter the date of the plan's last favorable determination letter _____/_____/_____.

23 Is the Plan maintained in a U.S. territory (i.e., Puerto Rico (if no election under ERISA section 1022(i)(2) has been made), American Samoa, Guam, the Commonwealth of the Northern Mariana Islands or the U.S. Virgin Islands)?	☐ Yes	☐ No

COMFORT WINDOW COMPANY, INC.
401(K) SALARY DEFERRAL PLAN

Financial Statements as of
December 31, 2015 and 2014
Together with
Independent Auditor's Report

Bonadio & Co., LLP
Certified Public Accountants

Bonadio & Co., LLP
Certified Public Accountants

INDEPENDENT AUDITOR'S REPORT

September 14, 2016

To the Trustees of
Comfort Window Company, Inc. 401(k) Salary Deferral Plan:

Report on the Financial Statements
We were engaged to audit the accompanying financial statements of Comfort Window Company, Inc. 401(k) Salary Deferral Plan, which comprise the statements of net assets available for benefits as of December 31, 2015 and 2014, and the related statements of changes in net assets available for benefits for the years then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements
Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility
Our responsibility is to express an opinion on these financial statements based on conducting the audit in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion
As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 4, which was certified by John Hancock Life Insurance Company of New York, the custodian of the Plan, except for comparing the information with the related information included in the financial statements. We have been informed by the plan administrator that the custodian holds the Plan's investment assets and executes investment transactions. The plan administrator has obtained a certification from the custodian as of and for the years ended December 31, 2015 and 2014, that the information provided to the plan administrator by the custodian is complete and accurate.

Disclaimer of Opinion
Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient, appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on the financial statements.

432 North Franklin Street, Suite 60
Syracuse, New York 13204
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www.bonadio.com

(Continued)

INDEPENDENT AUDITOR'S REPORT
(Continued)

Other Matter
The supplemental schedule of Schedule H, Line 4i - Schedule of Assets (Held at End of Year) as of December 31, 2015, is required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and is presented for the purpose of additional analysis and is not a required part of the financial statements. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we do not express an opinion on the supplemental schedule referred to above.

Report on Form and Content in Compliance With DOL Rules and Regulations
The form and content of the information included in the financial statements and supplemental schedule, other than that derived from the information certified by the custodian, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

Bonadio & Co., LLP

COMFORT WINDOW COMPANY, INC. 401(K) SALARY DEFERRAL PLAN

STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS
DECEMBER 31, 2015 AND 2014

	2015	2014
CASH - noninterest bearing	$ -	$ 8,196
INVESTMENTS, AT FAIR VALUE:		
Pooled separate accounts	6,734,011	6,544,206
Common collective trust fund	180,302	89,587
Total investments, at fair value	6,914,313	6,633,793
NET ASSETS AVAILABLE FOR BENEFITS	$ 6,914,313	$ 6,641,989

The accompanying notes are an integral part of these statements.

COMFORT WINDOW COMPANY, INC. 401(K) SALARY DEFERRAL PLAN

STATEMENTS OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS
FOR THE YEARS ENDED DECEMBER 31, 2015 AND 2014

	2015	2014
ADDITIONS:		
Investment income (loss) -		
Net appreciation (depreciation) in fair value of investments	$ (130,949)	$ 264,079
Other income	5,525	-
Total investment income (loss)	(125,424)	264,079
Contributions -		
Employer	225,204	219,244
Participant	390,652	366,001
Rollover	5,723	-
Total contributions	621,579	585,245
Total additions	496,155	849,324
DEDUCTIONS:		
Benefits paid to participants	223,831	155,321
Administrative and investment expenses	-	2,369
Total deductions	223,831	157,690
CHANGES TO NET ASSETS AVAILABLE FOR BENEFITS	272,324	691,634
NET ASSETS AVAILABLE FOR BENEFITS - beginning of year	6,641,989	5,950,355
NET ASSETS AVAILABLE FOR BENEFITS - end of year	$ 6,914,313	$ 6,641,989

The accompanying notes are an integral part of these statements.

1. DESCRIPTION OF PLAN

The following description of the Comfort Window Company, Inc. 401(k) Salary Deferral Plan (the "Plan") provides only general information. Participants should refer to the Plan agreement for a more complete description of the Plan's provisions.

General
The Plan is a defined contribution plan covering substantially all employees of Comfort Windows Company, Inc. and affiliates; Galaxy Manufacturing Co. of CNY, Inc. and Comfort Management of CNY, Inc., (collectively known as the "Company"), who meet certain eligibility requirements. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 ("ERISA").

Eligibility
Employees are eligible to begin salary deferrals after the attainment of age 21 and having completed one year of service.

Contributions
Each year, participants may contribute a percentage of their pre-tax annual compensation, as defined by the Plan, subject to certain limitations established by the Internal Revenue Code. In addition, participants who have attained age 50 before the end of the Plan year are eligible to make catch-up contributions. Participants may also contribute amounts representing distributions from other qualified defined benefit or contribution plans.

The Company establishes the matching contribution annually. In 2015 and 2014, the Company elected to match each participant's elective deferral by contributing 100% of the first 4% of compensation deferred.

Discretionary contributions may be made at the option of the Company's management. These contributions are allocated based on each participant's compensation. In order to receive an allocation of a discretionary contribution, participants must be an eligible participant in the Plan and be employed on the last day of the Plan year. Contributions are subject to certain limitations. No discretionary contribution was made in 2015 or 2014.

Participant Accounts
Each participant's account is credited with the participant's contribution, Company matching contribution, allocation of any discretionary Company contribution and Plan earnings/losses and charged with an allocation of administrative and investment expenses. Allocations are based on participant earnings/losses or account balances, as defined. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

1. DESCRIPTION OF PLAN (Continued)

Vesting

Participants are immediately vested in their contributions and Company matching contributions plus actual earnings/losses thereon. Vesting in the Company's discretionary contributions portion of their accounts plus actual earnings/losses thereon is based on years of continuous service as follows:

Years of Service	Percent Vested
Less than 2	0%
2 but less than 3	20%
3 but less than 4	40%
4 but less than 5	60%
5 but less than 6	80%
6 or more	100%

Payment of Benefits

On termination of service due to death, disability or retirement, a participant may elect to receive a lump-sum amount equal to the value of the vested interest in his or her account.

Benefit payments from the Plan will be made in a lump sum distribution if the balance is less than $5,000 and the participant does not direct the Plan otherwise.

Investment Options

Plan investments are held within various investment funds offered and maintained by John Hancock Life Insurance Company of New York. Contributions to the Plan are invested, at the discretion of the participant, in one or a combination of available investment fund options.

Forfeited Accounts

Forfeited non-vested accounts totaled $0 and $8,196 at December 31, 2015 and 2014, respectively. These amounts are used to pay certain administrative expenses of the Plan. Forfeited non-vested accounts in excess of administrative expenses are used to reduce Company contributions. The Company used $7,386 of forfeited funds to reduce Company contributions in 2015. The Company did not use forfeited funds in 2014.

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Accounting

The accompanying financial statements of the Plan have been prepared using the accrual basis of accounting in accordance with accounting principles generally accepted in the United States of America.

Investment Valuation and Income Recognition

Investments are reported at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date.

Purchases and sales of securities are recorded on a trade-date basis. Net appreciation (depreciation) includes the Plan's gains and losses on investments bought and sold as well as held during the year.

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

Risk and Uncertainties
Investment securities are exposed to various risks, such as interest rate, market and credit risk. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in values of investment securities will occur in the near-term and that such change could materially affect participant's account balances and the amounts reported in the statements of net assets available for benefits.

Payment of Benefits
Benefits are recorded when paid.

Administrative Expenses
At the Company's discretion, administrative expenses of the Plan may be paid out of the Plan's assets or may be paid by the Company. Administrative expenses paid by the Company during 2015 and 2014 included expenses relating to the function of the Plan, including investment advisory. Investment management fees are charged directly against the related investments of the Plan. Record keeping fees are paid out of Plan assets. Administrative expenses related to record keeping fees paid out of the Plan assets during 2015 and 2014 amounted to $0 and $2,369, respectively.

Change in Accounting Principal
In May 2015, the Financial Accounting Standards Board (FASB) issued Accounting Standards Update (ASU) No. 2015-07, *Fair Value Measurement (Topic 820) Disclosure for Investments in Certain Entities that Calculate Net Asset Value per Share (or its Equivalent)*. ASU 2015-07 removes the requirement to categorize within the fair value hierarchy all investments for which fair value is measured using the net asset value (NAV) per share practical expedient. ASU 2015-07 is effective for fiscal years beginning after December 15, 2016, with early adoption permitted. Management has elected to adopt ASU 2015-07 early, with retrospective application to all periods presented.

In July 2015, the FASB issued ASU 2015-12, *Plan Accounting: Defined Benefit Pension Plans (Topic 960), Defined Contribution Pension Plans (Topic 962), and Health and Welfare Benefit Plans (Topic 965): (Part I) Fully Benefit-Responsive Investment Contracts, (Part II) Plan Investment Disclosures, and (Part III) Measurement Date Practical Expedient.* Part I eliminates the requirements to measure the fair value of the fully benefit-responsive investment contracts and certain disclosures. Contract value is the only required measure for fully benefit-responsive investment contracts. Part II eliminates the requirements to disclose the individual investments that represent 5 percent or more of net assets available for benefits and the net appreciation or depreciation in fair value of investments by general type. Part II also requires all investments be disaggregated only based on general type, eliminating disaggregation by nature, characteristics and risk. Part III is not applicable to the Plan. ASU 2015-12 is effective for fiscal years beginning after December 15, 2015, with early adoption permitted. Management has elected to adopt Part I and Part II early, with retrospective application to all periods presented.

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

Use of Estimates

The preparation of the financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets, liabilities, and changes therein, and disclosure of contingent assets and liabilities. Actual results may differ from these estimates.

3. FAIR VALUE MEASUREMENTS

The following is a description of the valuation methodologies used for assets and liabilities for which fair values are estimated using the net asset value (NAV) practical expedient:

- *Pooled separate accounts:* valued at net asset value of units held by the Plan, based on the accumulated values of the underlying investments. Unit values are determined daily based on the net asset value of the quoted market prices of the underlying investment, the fund's dividends and the contracts separate account changes. These investments are in a fund that files a Form 5500 as a direct filing entity.

- *Common collective trust fund:* valued at the NAV provided by the manager of the fund. The NAV is calculated as the underlying net assets owned by the fund, divided by the number of units outstanding. The NAV is based in the fair value of the underlying securities within the fund.

The preceding methods described may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

Investments using NAV per share practical expedient amounted to $6,914,313 and $6,633,793 as of December 31, 2015 and 2014, respectively.

3. FAIR VALUE MEASUREMENTS (Continued)

Fair Value of Investments in Entities that Use Net Asset Value Per Share Practical Expedient

The following table summarizes investments measured at fair value based on net asset value (NAVs) per share as of December 31, 2015 and 2014.

	2015	2014	Unfunded Commitments	Redemption Frequency	Redemption Notice Period
Pooled Separate Accounts					
JHF Lifestyle Growth	$ 1,943,235	$ 1,806,146	N/A	Daily	N/A
JHF Lifestyle Balanced	$ 1,687,350	$ 1,727,883	N/A	Daily	N/A
JHF Lifestyle Conservative	$ 525,199	$ 568,703	N/A	Daily	N/A
JHF Lifestyle Aggressive	$ 404,760	$ 474,584	N/A	Daily	N/A
The Growth Fund of America	$ 297,025	$ 227,507	N/A	Daily	N/A
John Hancock Disciplined Value Fund	$ 293,154	$ 111,089	N/A	Daily	N/A
American Funds Am Balanced Fund	$ 125,401	$ 118,898	N/A	Daily	N/A
JHF Lifestyle Moderate	$ 110,526	$ 317,134	N/A	Daily	N/A
Retirement Living at 2025	$ 107,542	$ 6,916	N/A	Daily	N/A
T. Rowe Price Health Science	$ 104,082	$ 8,559	N/A	Daily	N/A
DFA International Value	$ 98,727	$ 59,945	N/A	Daily	N/A
American Funds EuroPacific Growth	$ 76,821	$ 99,091	N/A	Daily	N/A
Energy Fund	$ 71,295	$ 98,062	N/A	Daily	N/A
JHT Mid-Cap Index Fund	$ 70,437	$ 69,024	N/A	Daily	N/A
Invesco Small Cap Growth	$ 67,797	$ 37,622	N/A	Daily	N/A
Oppenheimer Global	$ 62,053	$ 4,524	N/A	Daily	N/A
JHT Mid Value Fund	$ 55,955	$ 54,070	N/A	Daily	N/A
Retirement Living at 2050	$ 54,379	$ 36,271	N/A	Daily	N/A
JHT Strategic Income Opp Fund	$ 46,504	$ 40,680	N/A	Daily	N/A

3. FAIR VALUE MEASUREMENTS (Continued)

Fair Value of Investments in Entities that Use Net Asset Value Per Share Practical Expedient (Continued)

	2015	2014	Unfunded Commitments	Redemption Frequency	Redemption Notice Period
Pooled Separate Accounts (Continued)					
Mid-Cap Stock Fund	$ 43,609	$ 7,820	N/A	Daily	N/A
DFA Emerging Markets Value	$ 42,692	$ 82,305	N/A	Daily	N/A
JHF Real Est. Securities Fund	$ 40,860	$ 105,762	N/A	Daily	N/A
JPMorgan US Equity Fund	$ 40,217	$ -	N/A	Daily	N/A
Royce Opportunity	$ 33,744	$ 35,975	N/A	Daily	N/A
JHT Science & Technology Fund	$ 32,648	$ 93,543	N/A	Daily	N/A
T. Rowe Price Equity Inc.	$ 30,468	$ 28,419	N/A	Daily	N/A
JHT Financial Industries Fund	$ 30,260	$ 8,572	N/A	Daily	N/A
Retirement Living at 2035	$ 30,009	$ 23,544	N/A	Daily	N/A
Retirement Living at 2045	$ 28,150	$ 18,834	N/A	Daily	N/A
DFA US Small-Cap Fund	$ 25,156	$ 3,499	N/A	Daily	N/A
JHT Total Stock Market Index Fund	$ 23,817	$ 20,261	N/A	Daily	N/A
Utilities Fund	$ 23,713	$ 34,018	N/A	Daily	N/A
JHF Value Fund	$ 19,722	$ 80,190	N/A	Daily	N/A
Retirement Living at 2040	$ 18,109	$ 11,962	N/A	Daily	N/A
JHF Equity Income Fund	$ 13,018	$ 14,004	N/A	Daily	N/A
US Equity Fund	$ 8,426	$ 9,243	N/A	Daily	N/A
Retirement Living at 2030	$ 6,690	$ 4,646	N/A	Daily	N/A
JHT Intl Equity Index Fund	$ 6,673	$ 6,143	N/A	Daily	N/A
JHF Small Cap Opportunities Fund	$ 5,579	$ 5,732	N/A	Daily	N/A

3. FAIR VALUE MEASUREMENTS (Continued)

Fair Value of Investments in Entities that Use Net Asset Value Per Share Practical Expedient (Continued)

Pooled Separate Accounts (Continued)	2015	2014	Unfunded Commitments	Redemption Frequency	Redemption Notice Period
Mutual Global Discovery	$ 5,510	$ 5,032	N/A	Daily	N/A
JHT 500 Index Fund	$ 4,531	$ 3,583	N/A	Daily	N/A
PIMCO Global Bond	$ 4,051	$ 3,119	N/A	Daily	N/A
Fidelity Advisor Total Bond	$ 3,622	$ -	N/A	Daily	N/A
JHF High Yield Fund	$ 3,217	$ 2,861	N/A	Daily	N/A
Retirement Living at 2055	$ 2,640	$ -	N/A	Daily	N/A
Mutual Beacon	$ 2,604	$ 2,420	N/A	Daily	N/A
Invesco International Growth	$ 2,034	$ 1,179	N/A	Daily	N/A
Retirement Living at 2020	$ -	$ 27,216	N/A	Daily	N/A
PIMCO Total Return	$ -	$ 2,424	N/A	Daily	N/A
Davis New York Venture	$ -	$ 35,192	N/A	Daily	N/A
Common Collective Trust Fund					
John Hancock Stable Value	$ 180,302	$ 89,587	N/A	Daily	N/A

Common Collective Trust Fund

The Plan has an investment in John Hancock Stable Value Fund (common collective trust fund) (the Fund). The Fund's objective is to seek to preserve capital and provide stability of principal while earning current income that exceeds money market rates over the long term. The Fund invests primarily in benefit responsive contracts including group annuity contracts issued by insurance companies and stabilizing agreements issued by insurance companies and banks. Withdrawals made in order to accommodate a participant-directed exchange to another investment option or benefit withdrawals may be made on any business day.

4. INVESTMENTS

The Plan administrator has received a certification from John Hancock Life Insurance Company of New York, the custodian of the Plan, that states that the following information is complete and accurate as of and for the years ended December 31, 2015 and 2014.

	2015	2014
Statements of net assets available for benefits:		
Cash	$ -	$ 8,196
Pooled separate accounts	$ 6,734,011	$ 6,544,206
Common collective trust fund	$ 180,302	$ 89,587
Statements of changes in net assets available for benefits:		
Net appreciation (depreciation) in fair value of investments	$ (130,949)	$ 264,079
Schedule of Assets (Held at End of Year)	Schedule I	

5. PARTY-IN-INTEREST TRANSACTIONS

Plan investments include funds managed by John Hancock Life Insurance Company of New York, who acts as custodian as defined by the Plan. These transactions qualify as party-in-interest transactions. Administrative and investment management fees paid by the Plan to John Hancock Life Insurance Company amounted to $2,369 for the year ended December 31, 2014. There were no administrative and investment management fees paid for the year ended December 31, 2015.

6. INCOME TAX STATUS

The Internal Revenue Service has determined and informed the Plan by a letter dated December 4, 2015 that the "Prototype Non-Standardized Profit Sharing Plan with CODA" is designed in accordance with applicable sections of the Internal Revenue Code ("IRC").

7. PLAN TERMINATION

Although it has not expressed any intent to do so, the Company has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions of ERISA. In the event of Plan termination, participants will become 100% vested in their accounts.

8. SUBSEQUENT EVENTS

Subsequent events have been evaluated through September 14, 2016, the date the financial statements were available to be issued.

Schedule I

COMFORT WINDOW COMPANY, INC. 401(K) SALARY DEFERRAL PLAN

EIN # 16-1263149
PLAN: 001, FORM 5500
SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
DECEMBER 31, 2015

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	(d) Cost	(e) Current Value
*	JH Lifestyle Growth	PSA	**	$ 1,943,235
*	JH Lifestyle Balanced	PSA	**	1,687,350
*	JH Lifestyle Conservative	PSA	**	525,199
*	JH Lifestyle Aggressive	PSA	**	404,760
	The Growth Fund of America	PSA	**	297,025
*	John Hancock Disciplined Value Fund	PSA	**	293,154
	American Balanced Fund	PSA	**	125,401
*	JH Lifestyle Moderate	PSA	**	110,526
*	JH Retirement Through 2025	PSA	**	107,542
	T. Rowe Price Health Sciences Fund	PSA	**	104,082
	DFA International Value Fund	PSA	**	98,727
	EuroPacific Growth Fund	PSA	**	76,821
	Vanguard Energy Fund	PSA	**	71,295
*	Mid Cap Index Fund	PSA	**	70,437
	Invesco Small Cap Growth Fund	PSA	**	67,797
	Oppenheimer Global Fund	PSA	**	62,053
*	Mid Value Fund	PSA	**	55,955
*	JH Retirement Through 2050	PSA	**	54,379
*	Strategic Income Opportunities Fund	PSA	**	46,504
*	Mid Cap Stock Fund	PSA	**	43,609
	DFA Emerging Markets Value Fund	PSA	**	42,692
*	Real Estate Securities Fund	PSA	**	40,860
	JPMorgan US Equity Fund	PSA	**	40,217
	Royce Opportunity Fund	PSA	**	33,744
*	Science & Technology Fund	PSA	**	32,648
	T. Rowe Price Equity Income Fund	PSA	**	30,468
*	Financial Industries Fund	PSA	**	30,260
*	JH Retirement Through 2035	PSA	**	30,009
*	JH Retirement Through 2045	PSA	**	28,150
	DFA US Small Cap Fund	PSA	**	25,156
*	Total Stock Market Index Fund	PSA	**	23,817
*	Utilities Fund	PSA	**	23,713
	Value Fund	PSA	**	19,722
*	JH Retirement Through 2040	PSA	**	18,109
*	Equity Income Fund	PSA	**	13,018
*	U.S. Equity Fund	PSA	**	8,426
*	JH Retirement Through 2030	PSA	**	6,690
*	International Equity Index Fund	PSA	**	6,673
*	Small Cap Opportunities Fund	PSA	**	5,579
	Franklin Mutual Global Discovery Fund	PSA	**	5,510
*	500 Index Fund	PSA	**	4,531
	PIMCO Global Bond Fund	PSA	**	4,051
	Fidelity Advisor Total Bond	PSA	**	3,622
*	High Yield Fund	PSA	**	3,217
*	JH Retirement Through 2055	PSA	**	2,640
	Franklin Mutual Beacon Fund	PSA	**	2,604
	Invesco International Growth Fund	PSA	**	2,034
*	John Hancock Stable Value Fund	CCT	**	180,302
				$ 6,914,313

* Indicates a party-in-interest to the Plan
** Costs omitted for investments that are participant-directed

The accompanying notes are an integral part of this schedule.

COMFORT WINDOW COMPANY, INC.
401(K) SALARY DEFERRAL PLAN

Financial Statements as of
December 31, 2015 and 2014
Together with
Independent Auditor's Report

Bonadio & Co., LLP
Certified Public Accountants

Bonadio & Co., LLP
Certified Public Accountants

INDEPENDENT AUDITOR'S REPORT

September 14, 2016

To the Trustees of
Comfort Window Company, Inc. 401(k) Salary Deferral Plan:

Report on the Financial Statements
We were engaged to audit the accompanying financial statements of Comfort Window Company, Inc. 401(k) Salary Deferral Plan, which comprise the statements of net assets available for benefits as of December 31, 2015 and 2014, and the related statements of changes in net assets available for benefits for the years then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements
Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility
Our responsibility is to express an opinion on these financial statements based on conducting the audit in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion
As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 4, which was certified by John Hancock Life Insurance Company of New York, the custodian of the Plan, except for comparing the information with the related information included in the financial statements. We have been informed by the plan administrator that the custodian holds the Plan's investment assets and executes investment transactions. The plan administrator has obtained a certification from the custodian as of and for the years ended December 31, 2015 and 2014, that the information provided to the plan administrator by the custodian is complete and accurate.

Disclaimer of Opinion
Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient, appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on the financial statements.

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(Continued)

INDEPENDENT AUDITOR'S REPORT
(Continued)

Other Matter
The supplemental schedule of Schedule H, Line 4i - Schedule of Assets (Held at End of Year) as of December 31, 2015, is required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and is presented for the purpose of additional analysis and is not a required part of the financial statements. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we do not express an opinion on the supplemental schedule referred to above.

Report on Form and Content in Compliance With DOL Rules and Regulations
The form and content of the information included in the financial statements and supplemental schedule, other than that derived from the information certified by the custodian, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

Bonadio & Co., LLP

COMFORT WINDOW COMPANY, INC. 401(K) SALARY DEFERRAL PLAN

STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS
DECEMBER 31, 2015 AND 2014

	2015	2014
CASH - noninterest bearing	$ -	$ 8,196
INVESTMENTS, AT FAIR VALUE:		
Pooled separate accounts	6,734,011	6,544,206
Common collective trust fund	180,302	89,587
Total investments, at fair value	6,914,313	6,633,793
NET ASSETS AVAILABLE FOR BENEFITS	$ 6,914,313	$ 6,641,989

The accompanying notes are an integral part of these statements.

COMFORT WINDOW COMPANY, INC. 401(K) SALARY DEFERRAL PLAN

STATEMENTS OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS
FOR THE YEARS ENDED DECEMBER 31, 2015 AND 2014

	2015	2014
ADDITIONS:		
Investment income (loss) -		
Net appreciation (depreciation) in fair value of investments	$ (130,949)	$ 264,079
Other income	5,525	-
Total investment income (loss)	(125,424)	264,079
Contributions -		
Employer	225,204	219,244
Participant	390,652	366,001
Rollover	5,723	-
Total contributions	621,579	585,245
Total additions	496,155	849,324
DEDUCTIONS:		
Benefits paid to participants	223,831	155,321
Administrative and investment expenses	-	2,369
Total deductions	223,831	157,690
CHANGES TO NET ASSETS AVAILABLE FOR BENEFITS	272,324	691,634
NET ASSETS AVAILABLE FOR BENEFITS - beginning of year	6,641,989	5,950,355
NET ASSETS AVAILABLE FOR BENEFITS - end of year	$ 6,914,313	$ 6,641,989

The accompanying notes are an integral part of these statements.

1. DESCRIPTION OF PLAN

The following description of the Comfort Window Company, Inc. 401(k) Salary Deferral Plan (the "Plan") provides only general information. Participants should refer to the Plan agreement for a more complete description of the Plan's provisions.

General
The Plan is a defined contribution plan covering substantially all employees of Comfort Windows Company, Inc. and affiliates; Galaxy Manufacturing Co. of CNY, Inc. and Comfort Management of CNY, Inc., (collectively known as the "Company"), who meet certain eligibility requirements. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 ("ERISA").

Eligibility
Employees are eligible to begin salary deferrals after the attainment of age 21 and having completed one year of service.

Contributions
Each year, participants may contribute a percentage of their pre-tax annual compensation, as defined by the Plan, subject to certain limitations established by the Internal Revenue Code. In addition, participants who have attained age 50 before the end of the Plan year are eligible to make catch-up contributions. Participants may also contribute amounts representing distributions from other qualified defined benefit or contribution plans.

The Company establishes the matching contribution annually. In 2015 and 2014, the Company elected to match each participant's elective deferral by contributing 100% of the first 4% of compensation deferred.

Discretionary contributions may be made at the option of the Company's management. These contributions are allocated based on each participant's compensation. In order to receive an allocation of a discretionary contribution, participants must be an eligible participant in the Plan and be employed on the last day of the Plan year. Contributions are subject to certain limitations. No discretionary contribution was made in 2015 or 2014.

Participant Accounts
Each participant's account is credited with the participant's contribution, Company matching contribution, allocation of any discretionary Company contribution and Plan earnings/losses and charged with an allocation of administrative and investment expenses. Allocations are based on participant earnings/losses or account balances, as defined. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

1. DESCRIPTION OF PLAN (Continued)

Vesting

Participants are immediately vested in their contributions and Company matching contributions plus actual earnings/losses thereon. Vesting in the Company's discretionary contributions portion of their accounts plus actual earnings/losses thereon is based on years of continuous service as follows:

Years of Service	Percent Vested
Less than 2	0%
2 but less than 3	20%
3 but less than 4	40%
4 but less than 5	60%
5 but less than 6	80%
6 or more	100%

Payment of Benefits

On termination of service due to death, disability or retirement, a participant may elect to receive a lump-sum amount equal to the value of the vested interest in his or her account.

Benefit payments from the Plan will be made in a lump sum distribution if the balance is less than $5,000 and the participant does not direct the Plan otherwise.

Investment Options

Plan investments are held within various investment funds offered and maintained by John Hancock Life Insurance Company of New York. Contributions to the Plan are invested, at the discretion of the participant, in one or a combination of available investment fund options.

Forfeited Accounts

Forfeited non-vested accounts totaled $0 and $8,196 at December 31, 2015 and 2014, respectively. These amounts are used to pay certain administrative expenses of the Plan. Forfeited non-vested accounts in excess of administrative expenses are used to reduce Company contributions. The Company used $7,386 of forfeited funds to reduce Company contributions in 2015. The Company did not use forfeited funds in 2014.

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Accounting

The accompanying financial statements of the Plan have been prepared using the accrual basis of accounting in accordance with accounting principles generally accepted in the United States of America.

Investment Valuation and Income Recognition

Investments are reported at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date.

Purchases and sales of securities are recorded on a trade-date basis. Net appreciation (depreciation) includes the Plan's gains and losses on investments bought and sold as well as held during the year.

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

Risk and Uncertainties
Investment securities are exposed to various risks, such as interest rate, market and credit risk. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in values of investment securities will occur in the near-term and that such change could materially affect participant's account balances and the amounts reported in the statements of net assets available for benefits.

Payment of Benefits
Benefits are recorded when paid.

Administrative Expenses
At the Company's discretion, administrative expenses of the Plan may be paid out of the Plan's assets or may be paid by the Company. Administrative expenses paid by the Company during 2015 and 2014 included expenses relating to the function of the Plan, including investment advisory. Investment management fees are charged directly against the related investments of the Plan. Record keeping fees are paid out of Plan assets. Administrative expenses related to record keeping fees paid out of the Plan assets during 2015 and 2014 amounted to $0 and $2,369, respectively.

Change in Accounting Principal
In May 2015, the Financial Accounting Standards Board (FASB) issued Accounting Standards Update (ASU) No. 2015-07, *Fair Value Measurement (Topic 820) Disclosure for Investments in Certain Entities that Calculate Net Asset Value per Share (or its Equivalent)*. ASU 2015-07 removes the requirement to categorize within the fair value hierarchy all investments for which fair value is measured using the net asset value (NAV) per share practical expedient. ASU 2015-07 is effective for fiscal years beginning after December 15, 2016, with early adoption permitted. Management has elected to adopt ASU 2015-07 early, with retrospective application to all periods presented.

In July 2015, the FASB issued ASU 2015-12, *Plan Accounting: Defined Benefit Pension Plans (Topic 960), Defined Contribution Pension Plans (Topic 962), and Health and Welfare Benefit Plans (Topic 965): (Part I) Fully Benefit-Responsive Investment Contracts, (Part II) Plan Investment Disclosures, and (Part III) Measurement Date Practical Expedient.* Part I eliminates the requirements to measure the fair value of the fully benefit-responsive investment contracts and certain disclosures. Contract value is the only required measure for fully benefit-responsive investment contracts. Part II eliminates the requirements to disclose the individual investments that represent 5 percent or more of net assets available for benefits and the net appreciation or depreciation in fair value of investments by general type. Part II also requires all investments be disaggregated only based on general type, eliminating disaggregation by nature, characteristics and risk. Part III is not applicable to the Plan. ASU 2015-12 is effective for fiscal years beginning after December 15, 2015, with early adoption permitted. Management has elected to adopt Part I and Part II early, with retrospective application to all periods presented.

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

Use of Estimates

The preparation of the financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets, liabilities, and changes therein, and disclosure of contingent assets and liabilities. Actual results may differ from these estimates.

3. FAIR VALUE MEASUREMENTS

The following is a description of the valuation methodologies used for assets and liabilities for which fair values are estimated using the net asset value (NAV) practical expedient:

- *Pooled separate accounts:* valued at net asset value of units held by the Plan, based on the accumulated values of the underlying investments. Unit values are determined daily based on the net asset value of the quoted market prices of the underlying investment, the fund's dividends and the contracts separate account changes. These investments are in a fund that files a Form 5500 as a direct filing entity.

- *Common collective trust fund:* valued at the NAV provided by the manager of the fund. The NAV is calculated as the underlying net assets owned by the fund, divided by the number of units outstanding. The NAV is based in the fair value of the underlying securities within the fund.

The preceding methods described may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

Investments using NAV per share practical expedient amounted to $6,914,313 and $6,633,793 as of December 31, 2015 and 2014, respectively.

3. FAIR VALUE MEASUREMENTS (Continued)

Fair Value of Investments in Entities that Use Net Asset Value Per Share Practical Expedient

The following table summarizes investments measured at fair value based on net asset value (NAVs) per share as of December 31, 2015 and 2014.

	2015	2014	Unfunded Commitments	Redemption Frequency	Redemption Notice Period
Pooled Separate Accounts					
JHF Lifestyle Growth	$ 1,943,235	$ 1,806,146	N/A	Daily	N/A
JHF Lifestyle Balanced	$ 1,687,350	$ 1,727,883	N/A	Daily	N/A
JHF Lifestyle Conservative	$ 525,199	$ 568,703	N/A	Daily	N/A
JHF Lifestyle Aggressive	$ 404,760	$ 474,584	N/A	Daily	N/A
The Growth Fund of America	$ 297,025	$ 227,507	N/A	Daily	N/A
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American Funds Am Balanced Fund	$ 125,401	$ 118,898	N/A	Daily	N/A
JHF Lifestyle Moderate	$ 110,526	$ 317,134	N/A	Daily	N/A
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JHT Mid-Cap Index Fund	$ 70,437	$ 69,024	N/A	Daily	N/A
Invesco Small Cap Growth	$ 67,797	$ 37,622	N/A	Daily	N/A
Oppenheimer Global	$ 62,053	$ 4,524	N/A	Daily	N/A
JHT Mid Value Fund	$ 55,955	$ 54,070	N/A	Daily	N/A
Retirement Living at 2050	$ 54,379	$ 36,271	N/A	Daily	N/A
JHT Strategic Income Opp Fund	$ 46,504	$ 40,680	N/A	Daily	N/A

3. FAIR VALUE MEASUREMENTS (Continued)

Fair Value of Investments in Entities that Use Net Asset Value Per Share Practical Expedient (Continued)

	2015	2014	Unfunded Commitments	Redemption Frequency	Redemption Notice Period
Pooled Separate Accounts (Continued)					
Mid-Cap Stock Fund	$ 43,609	$ 7,820	N/A	Daily	N/A
DFA Emerging Markets Value	$ 42,692	$ 82,305	N/A	Daily	N/A
JHF Real Est. Securities Fund	$ 40,860	$ 105,762	N/A	Daily	N/A
JPMorgan US Equity Fund	$ 40,217	$ -	N/A	Daily	N/A
Royce Opportunity	$ 33,744	$ 35,975	N/A	Daily	N/A
JHT Science & Technology Fund	$ 32,648	$ 93,543	N/A	Daily	N/A
T. Rowe Price Equity Inc.	$ 30,468	$ 28,419	N/A	Daily	N/A
JHT Financial Industries Fund	$ 30,260	$ 8,572	N/A	Daily	N/A
Retirement Living at 2035	$ 30,009	$ 23,544	N/A	Daily	N/A
Retirement Living at 2045	$ 28,150	$ 18,834	N/A	Daily	N/A
DFA US Small-Cap Fund	$ 25,156	$ 3,499	N/A	Daily	N/A
JHT Total Stock Market Index Fund	$ 23,817	$ 20,261	N/A	Daily	N/A
Utilities Fund	$ 23,713	$ 34,018	N/A	Daily	N/A
JHF Value Fund	$ 19,722	$ 80,190	N/A	Daily	N/A
Retirement Living at 2040	$ 18,109	$ 11,962	N/A	Daily	N/A
JHF Equity Income Fund	$ 13,018	$ 14,004	N/A	Daily	N/A
US Equity Fund	$ 8,426	$ 9,243	N/A	Daily	N/A
Retirement Living at 2030	$ 6,690	$ 4,646	N/A	Daily	N/A
JHT Intl Equity Index Fund	$ 6,673	$ 6,143	N/A	Daily	N/A
JHF Small Cap Opportunities Fund	$ 5,579	$ 5,732	N/A	Daily	N/A

3. FAIR VALUE MEASUREMENTS (Continued)

Fair Value of Investments in Entities that Use Net Asset Value Per Share Practical Expedient (Continued)

Pooled Separate Accounts (Continued)	2015	2014	Unfunded Commitments	Redemption Frequency	Redemption Notice Period
Mutual Global Discovery	$ 5,510	$ 5,032	N/A	Daily	N/A
JHT 500 Index Fund	$ 4,531	$ 3,583	N/A	Daily	N/A
PIMCO Global Bond	$ 4,051	$ 3,119	N/A	Daily	N/A
Fidelity Advisor Total Bond	$ 3,622	$ -	N/A	Daily	N/A
JHF High Yield Fund	$ 3,217	$ 2,861	N/A	Daily	N/A
Retirement Living at 2055	$ 2,640	$ -	N/A	Daily	N/A
Mutual Beacon	$ 2,604	$ 2,420	N/A	Daily	N/A
Invesco International Growth	$ 2,034	$ 1,179	N/A	Daily	N/A
Retirement Living at 2020	$ -	$ 27,216	N/A	Daily	N/A
PIMCO Total Return	$ -	$ 2,424	N/A	Daily	N/A
Davis New York Venture	$ -	$ 35,192	N/A	Daily	N/A
Common Collective Trust Fund					
John Hancock Stable Value	$ 180,302	$ 89,587	N/A	Daily	N/A

Common Collective Trust Fund

The Plan has an investment in John Hancock Stable Value Fund (common collective trust fund) (the Fund). The Fund's objective is to seek to preserve capital and provide stability of principal while earning current income that exceeds money market rates over the long term. The Fund invests primarily in benefit responsive contracts including group annuity contracts issued by insurance companies and stabilizing agreements issued by insurance companies and banks. Withdrawals made in order to accommodate a participant-directed exchange to another investment option or benefit withdrawals may be made on any business day.

4. INVESTMENTS

The Plan administrator has received a certification from John Hancock Life Insurance Company of New York, the custodian of the Plan, that states that the following information is complete and accurate as of and for the years ended December 31, 2015 and 2014.

	2015	2014
Statements of net assets available for benefits:		
Cash	$ -	$ 8,196
Pooled separate accounts	$ 6,734,011	$ 6,544,206
Common collective trust fund	$ 180,302	$ 89,587
Statements of changes in net assets available for benefits:		
Net appreciation (depreciation) in fair value of investments	$ (130,949)	$ 264,079
Schedule of Assets (Held at End of Year)	Schedule I	

5. PARTY-IN-INTEREST TRANSACTIONS

Plan investments include funds managed by John Hancock Life Insurance Company of New York, who acts as custodian as defined by the Plan. These transactions qualify as party-in-interest transactions. Administrative and investment management fees paid by the Plan to John Hancock Life Insurance Company amounted to $2,369 for the year ended December 31, 2014. There were no administrative and investment management fees paid for the year ended December 31, 2015.

6. INCOME TAX STATUS

The Internal Revenue Service has determined and informed the Plan by a letter dated December 4, 2015 that the "Prototype Non-Standardized Profit Sharing Plan with CODA" is designed in accordance with applicable sections of the Internal Revenue Code ("IRC").

7. PLAN TERMINATION

Although it has not expressed any intent to do so, the Company has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions of ERISA. In the event of Plan termination, participants will become 100% vested in their accounts.

8. SUBSEQUENT EVENTS

Subsequent events have been evaluated through September 14, 2016, the date the financial statements were available to be issued.

Schedule I

COMFORT WINDOW COMPANY, INC. 401(K) SALARY DEFERRAL PLAN

EIN # 16-1263149
PLAN: 001, FORM 5500
SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
DECEMBER 31, 2015

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	(d) Cost	(e) Current Value
*	JH Lifestyle Growth	PSA	**	$ 1,943,235
*	JH Lifestyle Balanced	PSA	**	1,687,350
*	JH Lifestyle Conservative	PSA	**	525,199
*	JH Lifestyle Aggressive	PSA	**	404,760
	The Growth Fund of America	PSA	**	297,025
*	John Hancock Disciplined Value Fund	PSA	**	293,154
	American Balanced Fund	PSA	**	125,401
*	JH Lifestyle Moderate	PSA	**	110,526
*	JH Retirement Through 2025	PSA	**	107,542
	T. Rowe Price Health Sciences Fund	PSA	**	104,082
	DFA International Value Fund	PSA	**	98,727
	EuroPacific Growth Fund	PSA	**	76,821
	Vanguard Energy Fund	PSA	**	71,295
*	Mid Cap Index Fund	PSA	**	70,437
	Invesco Small Cap Growth Fund	PSA	**	67,797
	Oppenheimer Global Fund	PSA	**	62,053
*	Mid Value Fund	PSA	**	55,955
*	JH Retirement Through 2050	PSA	**	54,379
*	Strategic Income Opportunities Fund	PSA	**	46,504
*	Mid Cap Stock Fund	PSA	**	43,609
	DFA Emerging Markets Value Fund	PSA	**	42,692
*	Real Estate Securities Fund	PSA	**	40,860
	JPMorgan US Equity Fund	PSA	**	40,217
	Royce Opportunity Fund	PSA	**	33,744
*	Science & Technology Fund	PSA	**	32,648
	T. Rowe Price Equity Income Fund	PSA	**	30,468
*	Financial Industries Fund	PSA	**	30,260
*	JH Retirement Through 2035	PSA	**	30,009
*	JH Retirement Through 2045	PSA	**	28,150
	DFA US Small Cap Fund	PSA	**	25,156
*	Total Stock Market Index Fund	PSA	**	23,817
*	Utilities Fund	PSA	**	23,713
	Value Fund	PSA	**	19,722
*	JH Retirement Through 2040	PSA	**	18,109
*	Equity Income Fund	PSA	**	13,018
*	U.S. Equity Fund	PSA	**	8,426
*	JH Retirement Through 2030	PSA	**	6,690
*	International Equity Index Fund	PSA	**	6,673
*	Small Cap Opportunities Fund	PSA	**	5,579
	Franklin Mutual Global Discovery Fund	PSA	**	5,510
*	500 Index Fund	PSA	**	4,531
	PIMCO Global Bond Fund	PSA	**	4,051
	Fidelity Advisor Total Bond	PSA	**	3,622
*	High Yield Fund	PSA	**	3,217
*	JH Retirement Through 2055	PSA	**	2,640
	Franklin Mutual Beacon Fund	PSA	**	2,604
	Invesco International Growth Fund	PSA	**	2,034
*	John Hancock Stable Value Fund	CCT	**	180,302
				$ 6,914,313

* Indicates a party-in-interest to the Plan
** Costs omitted for investments that are participant-directed

The accompanying notes are an integral part of this schedule.

COMFORT WINDOW COMPANY, INC.
401(K) SALARY DEFERRAL PLAN

Financial Statements as of
December 31, 2015 and 2014
Together with
Independent Auditor's Report

Bonadio & Co., LLP
Certified Public Accountants

Bonadio & Co., LLP
Certified Public Accountants

INDEPENDENT AUDITOR'S REPORT

September 14, 2016

To the Trustees of
Comfort Window Company, Inc. 401(k) Salary Deferral Plan:

Report on the Financial Statements
We were engaged to audit the accompanying financial statements of Comfort Window Company, Inc. 401(k) Salary Deferral Plan, which comprise the statements of net assets available for benefits as of December 31, 2015 and 2014, and the related statements of changes in net assets available for benefits for the years then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements
Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility
Our responsibility is to express an opinion on these financial statements based on conducting the audit in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion
As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 4, which was certified by John Hancock Life Insurance Company of New York, the custodian of the Plan, except for comparing the information with the related information included in the financial statements. We have been informed by the plan administrator that the custodian holds the Plan's investment assets and executes investment transactions. The plan administrator has obtained a certification from the custodian as of and for the years ended December 31, 2015 and 2014, that the information provided to the plan administrator by the custodian is complete and accurate.

Disclaimer of Opinion
Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient, appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on the financial statements.

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(Continued)

INDEPENDENT AUDITOR'S REPORT
(Continued)

Other Matter
The supplemental schedule of Schedule H, Line 4i - Schedule of Assets (Held at End of Year) as of December 31, 2015, is required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and is presented for the purpose of additional analysis and is not a required part of the financial statements. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we do not express an opinion on the supplemental schedule referred to above.

Report on Form and Content in Compliance With DOL Rules and Regulations
The form and content of the information included in the financial statements and supplemental schedule, other than that derived from the information certified by the custodian, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

Bonadio & Co., LLP

COMFORT WINDOW COMPANY, INC. 401(K) SALARY DEFERRAL PLAN

STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS
DECEMBER 31, 2015 AND 2014

	2015	2014
CASH - noninterest bearing	$ -	$ 8,196
INVESTMENTS, AT FAIR VALUE:		
Pooled separate accounts	6,734,011	6,544,206
Common collective trust fund	180,302	89,587
Total investments, at fair value	6,914,313	6,633,793
NET ASSETS AVAILABLE FOR BENEFITS	$ 6,914,313	$ 6,641,989

The accompanying notes are an integral part of these statements.

COMFORT WINDOW COMPANY, INC. 401(K) SALARY DEFERRAL PLAN

STATEMENTS OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS
FOR THE YEARS ENDED DECEMBER 31, 2015 AND 2014

	2015	2014
ADDITIONS:		
Investment income (loss) -		
Net appreciation (depreciation) in fair value of investments	$ (130,949)	$ 264,079
Other income	5,525	-
Total investment income (loss)	(125,424)	264,079
Contributions -		
Employer	225,204	219,244
Participant	390,652	366,001
Rollover	5,723	-
Total contributions	621,579	585,245
Total additions	496,155	849,324
DEDUCTIONS:		
Benefits paid to participants	223,831	155,321
Administrative and investment expenses	-	2,369
Total deductions	223,831	157,690
CHANGES TO NET ASSETS AVAILABLE FOR BENEFITS	272,324	691,634
NET ASSETS AVAILABLE FOR BENEFITS - beginning of year	6,641,989	5,950,355
NET ASSETS AVAILABLE FOR BENEFITS - end of year	$ 6,914,313	$ 6,641,989

The accompanying notes are an integral part of these statements.

1. DESCRIPTION OF PLAN

The following description of the Comfort Window Company, Inc. 401(k) Salary Deferral Plan (the "Plan") provides only general information. Participants should refer to the Plan agreement for a more complete description of the Plan's provisions.

General
The Plan is a defined contribution plan covering substantially all employees of Comfort Windows Company, Inc. and affiliates; Galaxy Manufacturing Co. of CNY, Inc. and Comfort Management of CNY, Inc., (collectively known as the "Company"), who meet certain eligibility requirements. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 ("ERISA").

Eligibility
Employees are eligible to begin salary deferrals after the attainment of age 21 and having completed one year of service.

Contributions
Each year, participants may contribute a percentage of their pre-tax annual compensation, as defined by the Plan, subject to certain limitations established by the Internal Revenue Code. In addition, participants who have attained age 50 before the end of the Plan year are eligible to make catch-up contributions. Participants may also contribute amounts representing distributions from other qualified defined benefit or contribution plans.

The Company establishes the matching contribution annually. In 2015 and 2014, the Company elected to match each participant's elective deferral by contributing 100% of the first 4% of compensation deferred.

Discretionary contributions may be made at the option of the Company's management. These contributions are allocated based on each participant's compensation. In order to receive an allocation of a discretionary contribution, participants must be an eligible participant in the Plan and be employed on the last day of the Plan year. Contributions are subject to certain limitations. No discretionary contribution was made in 2015 or 2014.

Participant Accounts
Each participant's account is credited with the participant's contribution, Company matching contribution, allocation of any discretionary Company contribution and Plan earnings/losses and charged with an allocation of administrative and investment expenses. Allocations are based on participant earnings/losses or account balances, as defined. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

1. DESCRIPTION OF PLAN (Continued)

Vesting

Participants are immediately vested in their contributions and Company matching contributions plus actual earnings/losses thereon. Vesting in the Company's discretionary contributions portion of their accounts plus actual earnings/losses thereon is based on years of continuous service as follows:

Years of Service	Percent Vested
Less than 2	0%
2 but less than 3	20%
3 but less than 4	40%
4 but less than 5	60%
5 but less than 6	80%
6 or more	100%

Payment of Benefits

On termination of service due to death, disability or retirement, a participant may elect to receive a lump-sum amount equal to the value of the vested interest in his or her account.

Benefit payments from the Plan will be made in a lump sum distribution if the balance is less than $5,000 and the participant does not direct the Plan otherwise.

Investment Options

Plan investments are held within various investment funds offered and maintained by John Hancock Life Insurance Company of New York. Contributions to the Plan are invested, at the discretion of the participant, in one or a combination of available investment fund options.

Forfeited Accounts

Forfeited non-vested accounts totaled $0 and $8,196 at December 31, 2015 and 2014, respectively. These amounts are used to pay certain administrative expenses of the Plan. Forfeited non-vested accounts in excess of administrative expenses are used to reduce Company contributions. The Company used $7,386 of forfeited funds to reduce Company contributions in 2015. The Company did not use forfeited funds in 2014.

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Accounting

The accompanying financial statements of the Plan have been prepared using the accrual basis of accounting in accordance with accounting principles generally accepted in the United States of America.

Investment Valuation and Income Recognition

Investments are reported at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date.

Purchases and sales of securities are recorded on a trade-date basis. Net appreciation (depreciation) includes the Plan's gains and losses on investments bought and sold as well as held during the year.

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

Risk and Uncertainties
Investment securities are exposed to various risks, such as interest rate, market and credit risk. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in values of investment securities will occur in the near-term and that such change could materially affect participant's account balances and the amounts reported in the statements of net assets available for benefits.

Payment of Benefits
Benefits are recorded when paid.

Administrative Expenses
At the Company's discretion, administrative expenses of the Plan may be paid out of the Plan's assets or may be paid by the Company. Administrative expenses paid by the Company during 2015 and 2014 included expenses relating to the function of the Plan, including investment advisory. Investment management fees are charged directly against the related investments of the Plan. Record keeping fees are paid out of Plan assets. Administrative expenses related to record keeping fees paid out of the Plan assets during 2015 and 2014 amounted to $0 and $2,369, respectively.

Change in Accounting Principal
In May 2015, the Financial Accounting Standards Board (FASB) issued Accounting Standards Update (ASU) No. 2015-07, *Fair Value Measurement (Topic 820) Disclosure for Investments in Certain Entities that Calculate Net Asset Value per Share (or its Equivalent)*. ASU 2015-07 removes the requirement to categorize within the fair value hierarchy all investments for which fair value is measured using the net asset value (NAV) per share practical expedient. ASU 2015-07 is effective for fiscal years beginning after December 15, 2016, with early adoption permitted. Management has elected to adopt ASU 2015-07 early, with retrospective application to all periods presented.

In July 2015, the FASB issued ASU 2015-12, *Plan Accounting: Defined Benefit Pension Plans (Topic 960), Defined Contribution Pension Plans (Topic 962), and Health and Welfare Benefit Plans (Topic 965): (Part I) Fully Benefit-Responsive Investment Contracts, (Part II) Plan Investment Disclosures, and (Part III) Measurement Date Practical Expedient.* Part I eliminates the requirements to measure the fair value of the fully benefit-responsive investment contracts and certain disclosures. Contract value is the only required measure for fully benefit-responsive investment contracts. Part II eliminates the requirements to disclose the individual investments that represent 5 percent or more of net assets available for benefits and the net appreciation or depreciation in fair value of investments by general type. Part II also requires all investments be disaggregated only based on general type, eliminating disaggregation by nature, characteristics and risk. Part III is not applicable to the Plan. ASU 2015-12 is effective for fiscal years beginning after December 15, 2015, with early adoption permitted. Management has elected to adopt Part I and Part II early, with retrospective application to all periods presented.

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

Use of Estimates

The preparation of the financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets, liabilities, and changes therein, and disclosure of contingent assets and liabilities. Actual results may differ from these estimates.

3. FAIR VALUE MEASUREMENTS

The following is a description of the valuation methodologies used for assets and liabilities for which fair values are estimated using the net asset value (NAV) practical expedient:

- *Pooled separate accounts:* valued at net asset value of units held by the Plan, based on the accumulated values of the underlying investments. Unit values are determined daily based on the net asset value of the quoted market prices of the underlying investment, the fund's dividends and the contracts separate account changes. These investments are in a fund that files a Form 5500 as a direct filing entity.

- *Common collective trust fund:* valued at the NAV provided by the manager of the fund. The NAV is calculated as the underlying net assets owned by the fund, divided by the number of units outstanding. The NAV is based in the fair value of the underlying securities within the fund.

The preceding methods described may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

Investments using NAV per share practical expedient amounted to $6,914,313 and $6,633,793 as of December 31, 2015 and 2014, respectively.

3. FAIR VALUE MEASUREMENTS (Continued)

Fair Value of Investments in Entities that Use Net Asset Value Per Share Practical Expedient

The following table summarizes investments measured at fair value based on net asset value (NAVs) per share as of December 31, 2015 and 2014.

	2015	2014	Unfunded Commitments	Redemption Frequency	Redemption Notice Period
Pooled Separate Accounts					
JHF Lifestyle Growth	$ 1,943,235	$ 1,806,146	N/A	Daily	N/A
JHF Lifestyle Balanced	$ 1,687,350	$ 1,727,883	N/A	Daily	N/A
JHF Lifestyle Conservative	$ 525,199	$ 568,703	N/A	Daily	N/A
JHF Lifestyle Aggressive	$ 404,760	$ 474,584	N/A	Daily	N/A
The Growth Fund of America	$ 297,025	$ 227,507	N/A	Daily	N/A
John Hancock Disciplined Value Fund	$ 293,154	$ 111,089	N/A	Daily	N/A
American Funds Am Balanced Fund	$ 125,401	$ 118,898	N/A	Daily	N/A
JHF Lifestyle Moderate	$ 110,526	$ 317,134	N/A	Daily	N/A
Retirement Living at 2025	$ 107,542	$ 6,916	N/A	Daily	N/A
T. Rowe Price Health Science	$ 104,082	$ 8,559	N/A	Daily	N/A
DFA International Value	$ 98,727	$ 59,945	N/A	Daily	N/A
American Funds EuroPacific Growth	$ 76,821	$ 99,091	N/A	Daily	N/A
Energy Fund	$ 71,295	$ 98,062	N/A	Daily	N/A
JHT Mid-Cap Index Fund	$ 70,437	$ 69,024	N/A	Daily	N/A
Invesco Small Cap Growth	$ 67,797	$ 37,622	N/A	Daily	N/A
Oppenheimer Global	$ 62,053	$ 4,524	N/A	Daily	N/A
JHT Mid Value Fund	$ 55,955	$ 54,070	N/A	Daily	N/A
Retirement Living at 2050	$ 54,379	$ 36,271	N/A	Daily	N/A
JHT Strategic Income Opp Fund	$ 46,504	$ 40,680	N/A	Daily	N/A

3. FAIR VALUE MEASUREMENTS (Continued)

Fair Value of Investments in Entities that Use Net Asset Value Per Share Practical Expedient (Continued)

	2015	2014	Unfunded Commitments	Redemption Frequency	Redemption Notice Period
Pooled Separate Accounts (Continued)					
Mid-Cap Stock Fund	$ 43,609	$ 7,820	N/A	Daily	N/A
DFA Emerging Markets Value	$ 42,692	$ 82,305	N/A	Daily	N/A
JHF Real Est. Securities Fund	$ 40,860	$ 105,762	N/A	Daily	N/A
JPMorgan US Equity Fund	$ 40,217	$ -	N/A	Daily	N/A
Royce Opportunity	$ 33,744	$ 35,975	N/A	Daily	N/A
JHT Science & Technology Fund	$ 32,648	$ 93,543	N/A	Daily	N/A
T. Rowe Price Equity Inc.	$ 30,468	$ 28,419	N/A	Daily	N/A
JHT Financial Industries Fund	$ 30,260	$ 8,572	N/A	Daily	N/A
Retirement Living at 2035	$ 30,009	$ 23,544	N/A	Daily	N/A
Retirement Living at 2045	$ 28,150	$ 18,834	N/A	Daily	N/A
DFA US Small-Cap Fund	$ 25,156	$ 3,499	N/A	Daily	N/A
JHT Total Stock Market Index Fund	$ 23,817	$ 20,261	N/A	Daily	N/A
Utilities Fund	$ 23,713	$ 34,018	N/A	Daily	N/A
JHF Value Fund	$ 19,722	$ 80,190	N/A	Daily	N/A
Retirement Living at 2040	$ 18,109	$ 11,962	N/A	Daily	N/A
JHF Equity Income Fund	$ 13,018	$ 14,004	N/A	Daily	N/A
US Equity Fund	$ 8,426	$ 9,243	N/A	Daily	N/A
Retirement Living at 2030	$ 6,690	$ 4,646	N/A	Daily	N/A
JHT Intl Equity Index Fund	$ 6,673	$ 6,143	N/A	Daily	N/A
JHF Small Cap Opportunities Fund	$ 5,579	$ 5,732	N/A	Daily	N/A

3. FAIR VALUE MEASUREMENTS (Continued)

Fair Value of Investments in Entities that Use Net Asset Value Per Share Practical Expedient (Continued)

Pooled Separate Accounts (Continued)	2015	2014	Unfunded Commitments	Redemption Frequency	Redemption Notice Period
Mutual Global Discovery	$ 5,510	$ 5,032	N/A	Daily	N/A
JHT 500 Index Fund	$ 4,531	$ 3,583	N/A	Daily	N/A
PIMCO Global Bond	$ 4,051	$ 3,119	N/A	Daily	N/A
Fidelity Advisor Total Bond	$ 3,622	$ -	N/A	Daily	N/A
JHF High Yield Fund	$ 3,217	$ 2,861	N/A	Daily	N/A
Retirement Living at 2055	$ 2,640	$ -	N/A	Daily	N/A
Mutual Beacon	$ 2,604	$ 2,420	N/A	Daily	N/A
Invesco International Growth	$ 2,034	$ 1,179	N/A	Daily	N/A
Retirement Living at 2020	$ -	$ 27,216	N/A	Daily	N/A
PIMCO Total Return	$ -	$ 2,424	N/A	Daily	N/A
Davis New York Venture	$ -	$ 35,192	N/A	Daily	N/A
Common Collective Trust Fund					
John Hancock Stable Value	$ 180,302	$ 89,587	N/A	Daily	N/A

Common Collective Trust Fund

The Plan has an investment in John Hancock Stable Value Fund (common collective trust fund) (the Fund). The Fund's objective is to seek to preserve capital and provide stability of principal while earning current income that exceeds money market rates over the long term. The Fund invests primarily in benefit responsive contracts including group annuity contracts issued by insurance companies and stabilizing agreements issued by insurance companies and banks. Withdrawals made in order to accommodate a participant-directed exchange to another investment option or benefit withdrawals may be made on any business day.

4. INVESTMENTS

The Plan administrator has received a certification from John Hancock Life Insurance Company of New York, the custodian of the Plan, that states that the following information is complete and accurate as of and for the years ended December 31, 2015 and 2014.

	2015	2014
Statements of net assets available for benefits:		
Cash	$ -	$ 8,196
Pooled separate accounts	$ 6,734,011	$ 6,544,206
Common collective trust fund	$ 180,302	$ 89,587
Statements of changes in net assets available for benefits:		
Net appreciation (depreciation) in fair value of investments	$ (130,949)	$ 264,079
Schedule of Assets (Held at End of Year)	Schedule I	

5. PARTY-IN-INTEREST TRANSACTIONS

Plan investments include funds managed by John Hancock Life Insurance Company of New York, who acts as custodian as defined by the Plan. These transactions qualify as party-in-interest transactions. Administrative and investment management fees paid by the Plan to John Hancock Life Insurance Company amounted to $2,369 for the year ended December 31, 2014. There were no administrative and investment management fees paid for the year ended December 31, 2015.

6. INCOME TAX STATUS

The Internal Revenue Service has determined and informed the Plan by a letter dated December 4, 2015 that the "Prototype Non-Standardized Profit Sharing Plan with CODA" is designed in accordance with applicable sections of the Internal Revenue Code ("IRC").

7. PLAN TERMINATION

Although it has not expressed any intent to do so, the Company has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions of ERISA. In the event of Plan termination, participants will become 100% vested in their accounts.

8. SUBSEQUENT EVENTS

Subsequent events have been evaluated through September 14, 2016, the date the financial statements were available to be issued.

Schedule I

COMFORT WINDOW COMPANY, INC. 401(K) SALARY DEFERRAL PLAN

EIN # 16-1263149
PLAN: 001, FORM 5500
SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
DECEMBER 31, 2015

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	(d) Cost	(e) Current Value
*	JH Lifestyle Growth	PSA	**	$ 1,943,235
*	JH Lifestyle Balanced	PSA	**	1,687,350
*	JH Lifestyle Conservative	PSA	**	525,199
*	JH Lifestyle Aggressive	PSA	**	404,760
	The Growth Fund of America	PSA	**	297,025
*	John Hancock Disciplined Value Fund	PSA	**	293,154
	American Balanced Fund	PSA	**	125,401
*	JH Lifestyle Moderate	PSA	**	110,526
*	JH Retirement Through 2025	PSA	**	107,542
	T. Rowe Price Health Sciences Fund	PSA	**	104,082
	DFA International Value Fund	PSA	**	98,727
	EuroPacific Growth Fund	PSA	**	76,821
	Vanguard Energy Fund	PSA	**	71,295
*	Mid Cap Index Fund	PSA	**	70,437
	Invesco Small Cap Growth Fund	PSA	**	67,797
	Oppenheimer Global Fund	PSA	**	62,053
*	Mid Value Fund	PSA	**	55,955
*	JH Retirement Through 2050	PSA	**	54,379
*	Strategic Income Opportunities Fund	PSA	**	46,504
*	Mid Cap Stock Fund	PSA	**	43,609
	DFA Emerging Markets Value Fund	PSA	**	42,692
*	Real Estate Securities Fund	PSA	**	40,860
	JPMorgan US Equity Fund	PSA	**	40,217
	Royce Opportunity Fund	PSA	**	33,744
*	Science & Technology Fund	PSA	**	32,648
	T. Rowe Price Equity Income Fund	PSA	**	30,468
*	Financial Industries Fund	PSA	**	30,260
*	JH Retirement Through 2035	PSA	**	30,009
*	JH Retirement Through 2045	PSA	**	28,150
	DFA US Small Cap Fund	PSA	**	25,156
*	Total Stock Market Index Fund	PSA	**	23,817
*	Utilities Fund	PSA	**	23,713
	Value Fund	PSA	**	19,722
*	JH Retirement Through 2040	PSA	**	18,109
*	Equity Income Fund	PSA	**	13,018
*	U.S. Equity Fund	PSA	**	8,426
*	JH Retirement Through 2030	PSA	**	6,690
*	International Equity Index Fund	PSA	**	6,673
*	Small Cap Opportunities Fund	PSA	**	5,579
	Franklin Mutual Global Discovery Fund	PSA	**	5,510
*	500 Index Fund	PSA	**	4,531
	PIMCO Global Bond Fund	PSA	**	4,051
	Fidelity Advisor Total Bond	PSA	**	3,622
*	High Yield Fund	PSA	**	3,217
*	JH Retirement Through 2055	PSA	**	2,640
	Franklin Mutual Beacon Fund	PSA	**	2,604
	Invesco International Growth Fund	PSA	**	2,034
*	John Hancock Stable Value Fund	CCT	**	180,302
				$ 6,914,313

* Indicates a party-in-interest to the Plan
** Costs omitted for investments that are participant-directed

The accompanying notes are an integral part of this schedule.