Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

2015

OMB Nos. 1210-0110

1210-0089

This Form is Open to Public Inspection

Pa	rt I Annual Report	t Identification Information							
For o	calendar plan year 2015 or f	iscal plan year beginning 01/01/2	2015 and ending 12	2/31/201	15				
A T	a single-employer plan a multiple-employer plan (not multiemployer plan this return/report is for:				· · ·				
_		a one-participant plan	a foreign plan						
ВТ	B This return/report is								
C	Check box if filing under:	X Form 5558 Special extension (enter descr	automatic extension DFVC program						
Do	rt II Danie Dien Infe	<u> </u>	<u> </u>						
		ormation—enter all requested inf	formation	1h =	The second of the second				
	Name of plan OTECH GROUP, INC. 401(I	K) PLAN		ŗ	Three-digit olan number (PN)	001			
				1c E	Effective date o	f plan 1/2008			
	Mailing address (include roo	oyer, if for a single-employer plan) om, apt., suite no. and street, or P.C			Employer Identification Number (EIN) 13-4097171				
	City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) RGOTECH GROUP, INC.				2c Sponsor's telephone number 914-347-3800				
WEGTGLIEGTED DI AZA					2d Business code (see instructions)				
	WESTCHESTER PLAZA LMSFORD, NY 10523					332510			
3a	Plan administrator's name a	and address XSame as Plan Spons	sor.	3b A	Administrator's	EIN			
				3c A	Administrator's t	telephone number			
4	If the name and/or EIN of the	ne plan sponsor has changed since	the last return/report filed for this plan, enter the	4b E	FIN				
	name, EIN, and the plan nu	umber from the last return/report.							
	Sponsor's name Total number of participants	s at the heginning of the plan year		4c ⊪ 5a		12			
_		s at the end of the plan year		5b		8			
			the plan year (defined benefit plans do not	5c		8			
d(1) Total number of active pa	articipants at the beginning of the pl	an year	5d(1	1)	9			
d (2) Total number of active pa	articipants at the end of the plan yea	ar	5d(2	2)	0			
е			plan year with accrued benefits that were less	5e		0			
	tion: A penalty for the late	or incomplete filing of this return	n/report will be assessed unless reasonable cau						
			ctions, I declare that I have examined this return/re as well as the electronic version of this return/report						

belief, it is true, correct, and complete

Filed with authorized/valid electronic signature. SIGN 10/05/2016 CHRISTOPHER MALISSE **HERE** Signature of plan administrator Date Enter name of individual signing as plan administrator **SIGN HERE** Dat<u>e</u> Signature of employer/plan sponsor Enter name of individual signing as employer or plan sponsor Preparer's name (including firm name, if applicable) and address (include room or suite number) Preparer's telephone number

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 Were all of the plan's assets during the plan year invested in eligib Are you claiming a waiver of the annual examination and report of under 29 CFR 2520.104-46? (See instructions on waiver eligibility of the your answered "No" to either line 6a or line 6b, the plan cannot be a second to the plan cannot be a secon	an indepen and condition ot use For	dent qualified public a ons.)	ccount	ant (IQ	PA) Form	5500.	X Yes No
C If the plan is a defined benefit plan, is it covered under the PBGC in	nsurance pr	ogram (see ERISA se	ection 4	021)? .		Yes	No Not determined
Part III Financial Information	1				-		
7 Plan Assets and Liabilities		(a) Beginning	•				(b) End of Year
a Total plan assets	7a		5/4	425 0			631537
b Total plan liabilities	7b 7c		574	425			631537
Net plan assets (subtract line 7b from line 7a) Income, Expenses, and Transfers for this Plan Year	70	(a) Amou		720			(b) Total
a Contributions received or receivable from:		(a) Alliot	4111				(b) Total
(1) Employers	8a(1)		20	591			
(2) Participants	8a(2)		76	180			
(3) Others (including rollovers)	8a(3)			0			
b Other income (loss)	8b		-26	070			
C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						70701
d Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		9	505			
e Certain deemed and/or corrective distributions (see instructions)	8e			0			
f Administrative service providers (salaries, fees, commissions)	8f		4	084			
g Other expenses	8g			0			
h Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						13589
i Net income (loss) (subtract line 8h from line 8c)	8i						57112
j Transfers to (from) the plan (see instructions)	8j			0			
Part IV Plan Characteristics							
9a If the plan provides pension benefits, enter the applicable pension 2A 2E 2F 2G 2J 2K 2T 3D	reature cod	des from the list of Pi	an Cna	racteris	Stic Co	aes in tr	ie instructions:
B If the plan provides welfare benefits, enter the applicable welfare for	eature code	es from the List of Pla	n Chara	acterist	ic Coc	les in the	instructions:
D W D II D II							
Part V Compliance Questions				Vac	Na	NI/A	
During the plan year:Was there a failure to transmit to the plan any participant contribution	tions within	the time period		Yes	No	N/A	Amount
described in 29 CFR 2510.3-102? (See instructions and DOL's V	oluntary Fi	duciary Correction	10a		X		C
b Were there any nonexempt transactions with any party-in-interest reported on line 10a.)			10b		X		C
C Was the plan covered by a fidelity bond?			10c	X			20000
d Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty?			10d		X		C
Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides some the plant (See instructions).	ne or all of t	he benefits under	100	X			3552
the plan? (See instructions.) f Has the plan failed to provide any benefit when due under the pla			10e 10f				
					X		<u> </u>
		,	10g		X		С
h If this is an individual account plan, was there a blackout period? 2520.101-3.)	•		10h		Χ		
i If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i				
j Did the plan trust incur unrelated business taxable income?			10i				
Part VI Pension Funding Compliance			,				
11 Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below)							
11a Enter the unpaid minimum required contribution for all years from						11a	
12 Is this a defined contribution plan subject to the minimum funding						-	RISA? Yes X No

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	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)							
а		aiver of the minimum funding standard for a prior year is being amortized in this plan year, see in ng the waiver		enter the Day	e date of	the letter ru Year	ling	
If		mpleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line		Day_		Toal		
b	Enter th	ne minimum required contribution for this plan year		12b				
С	Enter th	ne amount contributed by the employer to the plan for this plan year		12c				
	Subtra	act the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the	left of a	12d				
		ve amount)			Yes	No	N/A	
Part		e minimum funding amount reported on line 12d be met by the funding deadline? Plan Terminations and Transfers of Assets			163	NO	IN/A	
		resolution to terminate the plan been adopted in any plan year?			X Ye	s \square No		
		," enter the amount of any plan assets that reverted to the employer this year		13a		<u> П</u>	(
b	Were	all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brou	ght under the co			Yes X	No	
С	If durin	PBGC? ng this plan year, any assets or liabilities were transferred from this plan to another plan(s), identi				<u> </u>		
		assets or liabilities were transferred. (See instructions.) lame of plan(s):	13c(2)	EIN(s) 13c(3) PN(s)				
	100(1)	uno oi piuntoj.	130(2)	LII4(3)		130(3)	· v (3)	
Dant		Turnet hafe amount on						
Part	Name o	Trust Information		14b Trust's EIN				
ı T a	Name 0	ii iiust		14D HUSES EIN				
14c	Name	of trustee or custodian			14d Trustee's or custodian's telephone number			
				telephone number				
Par	t IX	IRS Compliance Questions						
15a	Is the	plan a 401(k) plan?		Ye	s	No		
					Design-			
15b		"how does the 401(k) plan satisfy the nondiscrimination requirements for employee deferrals an ng contributions (as applicable) under sections 401(k)(3) and 401(m)(2)?		based safe ADP/ACP harbor test				
450				method				
150	15c If the ADP/ACP test is used, did the 401(k) plan perform ADP/ACP testing for the plan year using the "current year testing method" for nonhighly compensated employees (Treas. Reg sections 1.401(k)-2(a)(2)(ii) and 1.401(m)-				☐ Yes ☐ No			
	2(a)(2)	(ii))?		□ Ra	atio			
16a Check the box to indicate the method used by the plan to satisfy the coverage requirements under section 410(b):					ercentage		erage efit test	
16b		he plan satisfy the coverage and nondiscrimination tests of sections 410(b) and 401(a)(4) by comin with any other plans under the permissive aggregation rules?		Ye	s	No		
17a		e plan been timely amended for all required tax law changes?		Ye	s	No	N/A	
17b		ne last plan amendment/restatement for the required tax law changes was adopted//law changes and codes).	Enter the ap	plicable	code	(See ins	tructions	
17c	If the p	lan sponsor is an adopter of a pre-approved master and prototype (M&P) or volume submitter play letter, enter the date of that favorable letter/ and the letter's serial r		t to a fa	vorable I	RS opinion	or	
17d	If the p	lan is an individually-designed plan and received a favorable determination letter from the IRS, e ination letter/		the plai	n's last fa	vorable		
18	Is the I	Plan maintained in a U.S. territory (i.e., Puerto Rico (if no election under ERISA section 1022(i)(2, American Samoa, Guam, the Commonwealth of the Northern Mariana Islands or the U.S. Virgin		Yes	\$	No		
19	9 Were in-service distributions made during the plan year?				s	No		
	If "Yes," enter amount							
20	Were required minimum distributions made to 5% owners who have attained age 70 ½ (regardless of whether or not retired), as required under section 401(a)(9)?				es	No	N/A	

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2015

OMB Nos. 1210-0110 1210-0089

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	Report Identification Informatio 2015 or fiscal plan year beginning								
Tor caleridar plan year		1/1/2015	and ending	12/31/20					
A This return/report is	✓ a single-employer plan	ist of participating	this box must attach a						
and resummer operation	a one-participant plan	list of participating employer information in accordance with the form ins a foreign plan							
B This return/report is	the first return/report	rt							
	an amended return/report	a short plan year re	turn/report (less than 12	months)					
C Check box if filing ur	nder: Form 5558	automatic extensio	n	□ DEVC	program				
	special extension (enter des		••		program				
Part II Basic PI	an Information—enter all requested i								
1a Name of plan	oner an requested r	mornatori		1b Three-digi	+ 1				
Ergotech Group	o, Inc. 401(k) Plan			plan numb					
				(PN) >					
				1c Effective d					
2a Plan sponsor's nam	e (employer, if for a single-employer plan)				2/1/2008				
Mailing address (inc	lude room, apt., suite no. and street, or P.	O. Box)		(EIN)	dentification Number 13-4097171				
Ergotech Group, I	r province, country, and ZIP or foreign pos	stal code (if foreign, see in	structions)	2c Sponsor's telephone number					
					914-347-3800				
8 Westchester Pla	za			2d Business c	ode (see instructions)				
Elmsford	NY								
10523					332510				
3a Plan administrator's	name and address Same as Plan Spon	isor.		3b Administrat	tor's EIN				
				CONTROL ON THE SERVICE AND THE SERVICE OF THE SERVI					
				3c Administrat	tor's telephone number				
				П					
If the name and/or E	IN of the plan sponsor has changed since	the last return/report filed	for this plan, enter the	4b EIN					
a Sponsor's name	plan number from the last return/report.								
	icinante at the haginning of the plan was		- Una - U	4c PN 5a	10				
participation at the beginning of the plan year					12				
Total number of participants at the end of the plan year Number of participants with account balances as of the end of the plan year (defined benefit plans do not					0				
complete this item)	deceding balances as of the end of	uie plati year (defined be	ment plans do not	5c	8				
d(1) Total number of active participants at the beginning of the plan year					9				
d(2) Total number of a	ctive participants at the end of the plan year	ar		5d(1) 5d(2)	0				
Number of participants that terminated employment during the plan year with accrued benefits that were less.									
man 100% vested	***************************************			5e	0				
ander penalties of benun	he late or incomplete filing of this return y and other penalties set forth in the instruc-	ctions I doctors that I have	a assessment and their met						
SB or Schedule MB composition is selief, it is true, correct, a	noted and signed by all elliblied actually a	as well as the electronic ve	ersion of this return/repor	t, and to the best o	f my knowledge and				
SIGN	COMMETE.	12/-/1		1 1	-				
IERE -	While	10/5/16	Christop	her Ma	lisse				
- Land - 1 - 2 - 2 - 2 - 2 - 2 - 2 - 2 - 2 - 2	f plan administrator	Date	Enter name of individ	ual signing as plan	administrator				
IERE	1 can	10/5/16	Christople	er Malisse					
Signature of employer/plan sponsor Preparer's name (including firm name, if applicable) and address (include room or suite number) Preparer's telephone									
reparer s name (includin	g iirm name, it applicable) and address (in	clude room or suite numb	er)	Preparer's telepho	one number				