

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), 6057(b), and 6058(a) of the Internal Revenue Code (the Code). <p style="text-align: center;">▶ Complete all entries in accordance with the instructions to the Form 5500.</p>	OMB Nos. 1210-0110 1210-0089 <div style="text-align: center; font-size: 1.5em; font-weight: bold;">2014</div> This Form is Open to Public Inspection
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Part I	Annual Report Identification Information
For calendar plan year 2014 or fiscal plan year beginning <u>10/01/2014</u> and ending <u>09/30/2015</u>	
A This return/report is for:	☐ a multiemployer plan; ☐ a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions); or ☒ a single-employer plan; ☐ a DFE (specify) _____
B This return/report is:	☐ the first return/report; ☐ the final return/report; ☒ an amended return/report; ☐ a short plan year return/report (less than 12 months).
C If the plan is a collectively-bargained plan, check here.	☐
D Check box if filing under:	☒ Form 5558; ☐ automatic extension; ☐ the DFVC program; ☐ special extension (enter description)

Part II	Basic Plan Information—enter all requested information												
1a Name of plan <u>ST. LUKE'S HEALTH SYSTEM RETIREMENT PLAN</u> 2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) <u>CHI ST. LUKE'S HEALTH</u> <u>PO BOX 20269, MC 4-159</u> <u>HOUSTON, TX 77225-0269</u>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%;">1b Three-digit plan number (PN) ▶</td> <td style="width: 20%; text-align: center;"><u>001</u></td> </tr> <tr> <td colspan="2">1c Effective date of plan <u>05/01/1962</u></td> </tr> <tr> <td colspan="2">2b Employer Identification Number (EIN) <u>76-0536232</u></td> </tr> <tr> <td colspan="2">2c Plan Sponsor's telephone number <u>832-355-4717</u></td> </tr> <tr> <td colspan="2">2d Business code (see instructions) <u>622000</u></td> </tr> <tr> <td colspan="2" style="height: 40px;"></td> </tr> </table>	1b Three-digit plan number (PN) ▶	<u>001</u>	1c Effective date of plan <u>05/01/1962</u>		2b Employer Identification Number (EIN) <u>76-0536232</u>		2c Plan Sponsor's telephone number <u>832-355-4717</u>		2d Business code (see instructions) <u>622000</u>			
1b Three-digit plan number (PN) ▶	<u>001</u>												
1c Effective date of plan <u>05/01/1962</u>													
2b Employer Identification Number (EIN) <u>76-0536232</u>													
2c Plan Sponsor's telephone number <u>832-355-4717</u>													
2d Business code (see instructions) <u>622000</u>													

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/14/2016	SUSAN BAILEY-NEWELL
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE
Preparer's name (including firm name, if applicable) and address (include room or suite number) (optional)			Preparer's telephone number (optional)

3a Plan administrator's name and address ☐ Same as Plan Sponsor PENSION COMMITTEE CHI ST. LUKE'S HEALTH PO BOX 20269, MC 4-159 HOUSTON, TX 77225-0269	3b Administrator's EIN 76-0536232
	3c Administrator's telephone number 832-355-4717
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report: a Sponsor's name	4b EIN 4c PN
5 Total number of participants at the beginning of the plan year	5 6194
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1) , 6a(2) , 6b , 6c , and 6d).	
a(1) Total number of active participants at the beginning of the plan year	6a(1) 4778
a(2) Total number of active participants at the end of the plan year	6a(2) 4656
b Retired or separated participants receiving benefits	6b 106
c Other retired or separated participants entitled to future benefits.....	6c 1630
d Subtotal. Add lines 6a(2) , 6b , and 6c	6d 6392
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.	6e 40
f Total. Add lines 6d and 6e	6f 6432
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	6g
h Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested.....	6h 193
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item).....	7
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: 1A 1C	
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:	
9a Plan funding arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	9b Plan benefit arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor
10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)	
a Pension Schedules (1) ☒ R (Retirement Plan Information) (2) ☐ MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary (3) ☒ SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary	b General Schedules (1) ☒ H (Financial Information) (2) ☐ I (Financial Information – Small Plan) (3) ☐ <u>0</u> A (Insurance Information) (4) ☒ C (Service Provider Information) (5) ☒ D (DFE/Participating Plan Information) (6) ☒ G (Financial Transaction Schedules)

Part III**Form M-1 Compliance Information (to be completed by welfare benefit plans)**

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2014 Form M-1 annual report. If the plan was not required to file the 2014 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code _____

<div>SCHEDULE SB (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation</div>	<div>Single-Employer Defined Benefit Plan Actuarial Information</div> <div>This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code).</div> <div>▶ File as an attachment to Form 5500 or 5500-SF.</div>	<div>OMB No. 1210-0110</div> <div>2014</div> <div>This Form is Open to Public Inspection</div>
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For calendar plan year 2014 or fiscal plan year beginning 10/01/2014 and ending 09/30/2015	
▶ Round off amounts to nearest dollar.	
▶ Caution: A penalty of \$1,000 will be assessed for late filing of this report unless reasonable cause is established.	
A Name of plan ST. LUKE'S HEALTH SYSTEM RETIREMENT PLAN	B Three-digit plan number (PN) ▶ 001
C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF CHI ST. LUKE'S HEALTH	D Employer Identification Number (EIN) 76-0536232
E Type of plan: ☒ Single ☐ Multiple-A ☐ Multiple-B	F Prior year plan size: ☐ 100 or fewer ☐ 101-500 ☒ More than 500

Part I	Basic Information			
1	Enter the valuation date: Month 10 Day 01 Year 2014			
2	Assets:			
a	Market value	2a	289070620	
b	Actuarial value	2b	289070620	
3	Funding target/participant count breakdown	(1) Number of participants	(2) Vested Funding Target	(3) Total Funding Target
a	For retired participants and beneficiaries receiving payment	138	13311870	13311870
b	For terminated vested participants	1278	22585411	22585411
c	For active participants	5177	177785132	182039085
d	Total	6593	213682413	217936366
4	If the plan is in at-risk status, check the box and complete lines (a) and (b) ☐			
a	Funding target disregarding prescribed at-risk assumptions	4a		
b	Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been in at-risk status for fewer than five consecutive years and disregarding loading factor	4b		
5	Effective interest rate	5	6.48%	
6	Target normal cost	6	11060436	

Statement by Enrolled Actuary

To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

SIGN HERE		07/15/2016
	Signature of actuary	Date
KEVIN BILLS	Type or print name of actuary	14-07029
		Most recent enrollment number
MERCER	Firm name	713-276-2113
		Telephone number (including area code)
500 DALLAS STREET SUITE 1500 HOUSTON, TX 77002	Address of the firm	

Part II Beginning of Year Carryover and Prefunding Balances		
	(a) Carryover balance	(b) Prefunding balance
7 Balance at beginning of prior year after applicable adjustments (line 13 from prior year)	19146913	0
8 Portion elected for use to offset prior year's funding requirement (line 35 from prior year)	0	0
9 Amount remaining (line 7 minus line 8)	19146913	0
10 Interest on line 9 using prior year's actual return of <u>12.58</u> %	2408682	0
11 Prior year's excess contributions to be added to prefunding balance:		
a Present value of excess contributions (line 38a from prior year)		12697409
b(1) Interest on the excess, if any, of line 38a over line 38b from prior year Schedule SB, using prior year's effective interest rate of <u>6.32</u> %		802476
b(2) Interest on line 38b from prior year Schedule SB, using prior year's actual return		0
c Total available at beginning of current plan year to add to prefunding balance		13499885
d Portion of (c) to be added to prefunding balance		
12 Other reductions in balances due to elections or deemed elections		
13 Balance at beginning of current year (line 9 + line 10 + line 11d – line 12)	21555595	0

Part III Funding Percentages		
14 Funding target attainment percentage	14	122.74 %
15 Adjusted funding target attainment percentage	15	132.63 %
16 Prior year's funding percentage for purposes of determining whether carryover/prefunding balances may be used to reduce current year's funding requirement	16	123.24 %
17 If the current value of the assets of the plan is less than 70 percent of the funding target, enter such percentage	17	%

Part IV Contributions and Liquidity Shortfalls					
18 Contributions made to the plan for the plan year by employer(s) and employees:					
(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees	(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees
01/30/2015	700000	0	07/31/2015	833333	0
02/27/2015	700000	0	08/31/2015	833333	0
03/31/2015	700000	0	09/30/2015	700000	0
04/30/2015	700000	0	10/30/2015	966666	0
05/29/2015	700000	0	11/30/2015	833333	0
06/30/2015	700000	0	12/31/2015	833333	0
Totals ▶			18(b)	13366663	18(c) 0

19 Discounted employer contributions – see instructions for small plan with a valuation date after the beginning of the year:		
a Contributions allocated toward unpaid minimum required contributions from prior years	19a	0
b Contributions made to avoid restrictions adjusted to valuation date	19b	0
c Contributions allocated toward minimum required contribution for current year adjusted to valuation date	19c	12537823
20 Quarterly contributions and liquidity shortfalls:		
a Did the plan have a "funding shortfall" for the prior year? ☐ Yes ☒ No		
b If line 20a is "Yes," were required quarterly installments for the current year made in a timely manner? ☐ Yes ☐ No		
c If line 20a is "Yes," see instructions and complete the following table as applicable:		
Liquidity shortfall as of end of quarter of this plan year		
(1) 1st	(2) 2nd	(3) 3rd
(4) 4th		

Part II Beginning of Year Carryover and Prefunding Balances		(a) Carryover balance	(b) Prefunding balance
7	Balance at beginning of prior year after applicable adjustments (line 13 from prior year)		
8	Portion elected for use to offset prior year's funding requirement (line 35 from prior year)		
9	Amount remaining (line 7 minus line 8)		
10	Interest on line 9 using prior year's actual return of _____%		
11	Prior year's excess contributions to be added to prefunding balance:		
	a Present value of excess contributions (line 38a from prior year)		
	b(1) Interest on the excess, if any, of line 38a over line 38b from prior year Schedule SB, using prior year's effective interest rate of _____%		
	b(2) Interest on line 38b from prior year Schedule SB, using prior year's actual return		
	c Total available at beginning of current plan year to add to prefunding balance		
	d Portion of (c) to be added to prefunding balance		
12	Other reductions in balances due to elections or deemed elections		
13	Balance at beginning of current year (line 9 + line 10 + line 11d – line 12)		

Part III Funding Percentages			
14	Funding target attainment percentage	14	%
15	Adjusted funding target attainment percentage	15	%
16	Prior year's funding percentage for purposes of determining whether carryover/prefunding balances may be used to reduce current year's funding requirement	16	%
17	If the current value of the assets of the plan is less than 70 percent of the funding target, enter such percentage	17	%

Part IV Contributions and Liquidity Shortfalls					
18 Contributions made to the plan for the plan year by employer(s) and employees:					
(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees	(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees
02/01/2016	833333	0			
02/29/2016	833333	0			
03/30/2016	833333	0			
04/29/2016	833333	0			
06/01/2016	833333	0			
Totals ▶			18(b)		18(c)

19 Discounted employer contributions – see instructions for small plan with a valuation date after the beginning of the year:	
a Contributions allocated toward unpaid minimum required contributions from prior years	19a
b Contributions made to avoid restrictions adjusted to valuation date	19b
c Contributions allocated toward minimum required contribution for current year adjusted to valuation date	19c
20 Quarterly contributions and liquidity shortfalls:	
a Did the plan have a "funding shortfall" for the prior year? ☐ Yes ☐ No	
b If line 20a is "Yes," were required quarterly installments for the current year made in a timely manner? ☐ Yes ☐ No	
c If line 20a is "Yes," see instructions and complete the following table as applicable:	
Liquidity shortfall as of end of quarter of this plan year	
(1) 1st	(2) 2nd
(3) 3rd	(4) 4th

Part V Assumptions Used to Determine Funding Target and Target Normal Cost

21 Discount rate:				
a Segment rates:	1st segment: 4.99 %	2nd segment: 6.32 %	3rd segment: 6.99 %	☐ N/A, full yield curve used
b Applicable month (enter code).....				21b 4
22 Weighted average retirement age				22 63
23 Mortality table(s) (see instructions) ☐ Prescribed - combined ☒ Prescribed - separate ☐ Substitute				

Part VI Miscellaneous Items

24 Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment.	☒ Yes ☐ No
25 Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment.	☐ Yes ☒ No
26 Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment.	☒ Yes ☐ No
27 If the plan is subject to alternative funding rules, enter applicable code and see instructions regarding attachment.	27

Part VII Reconciliation of Unpaid Minimum Required Contributions For Prior Years

28 Unpaid minimum required contributions for all prior years	28	0
29 Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (line 19a).....	29	0
30 Remaining amount of unpaid minimum required contributions (line 28 minus line 29)	30	0

Part VIII Minimum Required Contribution For Current Year

31 Target normal cost and excess assets (see instructions):												
a Target normal cost (line 6).....	31a	11060436										
b Excess assets, if applicable, but not greater than line 31a	31b	11060436										
32 Amortization installments:	<table border="1"> <thead> <tr> <th></th> <th>Outstanding Balance</th> <th>Installment</th> </tr> </thead> <tbody> <tr> <td>a Net shortfall amortization installment.....</td> <td>0</td> <td>0</td> </tr> <tr> <td>b Waiver amortization installment</td> <td>0</td> <td>0</td> </tr> </tbody> </table>				Outstanding Balance	Installment	a Net shortfall amortization installment.....	0	0	b Waiver amortization installment	0	0
	Outstanding Balance	Installment										
a Net shortfall amortization installment.....	0	0										
b Waiver amortization installment	0	0										
33 If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month _____ Day _____ Year _____) and the waived amount	33	0										
34 Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b - 33)...	34	0										
		Carryover balance	Prefunding balance									
35 Balances elected for use to offset funding requirement.....	0	0	0									
36 Additional cash requirement (line 34 minus line 35).....	36	0										
37 Contributions allocated toward minimum required contribution for current year adjusted to valuation date (line 19c).....	37	12537823										
38 Present value of excess contributions for current year (see instructions)												
a Total (excess, if any, of line 37 over line 36)	38a	12537823										
b Portion included in line 38a attributable to use of prefunding and funding standard carryover balances	38b											
39 Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37)	39	0										
40 Unpaid minimum required contributions for all years	40	0										

Part IX Pension Funding Relief Under Pension Relief Act of 2010 (See Instructions)

41 If an election was made to use PRA 2010 funding relief for this plan:			
a Schedule elected	☐ 2 plus 7 years ☐ 15 years		
b Eligible plan year(s) for which the election in line 41a was made	☐ 2008 ☐ 2009 ☐ 2010 ☐ 2011		
42 Amount of acceleration adjustment	42		
43 Excess installment acceleration amount to be carried over to future plan years	43		

SCHEDULE C (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110
		2014
		This Form is Open to Public Inspection.

For calendar plan year 2014 or fiscal plan year beginning 10/01/2014 and ending 09/30/2015

A Name of plan <u>ST. LUKE'S HEALTH SYSTEM RETIREMENT PLAN</u>	B Three-digit plan number (PN) ▶ <u>001</u>
C Plan sponsor's name as shown on line 2a of Form 5500 <u>CHI ST. LUKE'S HEALTH</u>	D Employer Identification Number (EIN) <u>76-0536232</u>

Part I	Service Provider Information (see instructions)
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You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☐ Yes ☒ No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

MERCER

13-2834414

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
11 50	NONE	694819	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

PACIFIC INVESTMENT MANAGEMENT CO IN

95-2632339

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
21 51	NONE	279502	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

TOWERS WATSON DELAWARE, INC.

53-0181291

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
11 50	NONE	25008	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

BNY MELLON

13-5160382

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
21 51	NONE	6541	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)
(complete as many entries as needed)

a Name: CLAY HALL	b EIN: 13-2834414
c Position: ACTUARY	
d Address: 500 DALLAS STREET, SUITE 1500 HOUSTON, TX 77002	e Telephone: 713-276-2220

Explanation: INTERNAL REASSIGNMENT AT MERCER

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)

Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN

<div>SCHEDULE G (Form 5500) Department of Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration</div>	<div>Financial Transaction Schedules</div> <div>This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).</div> <div>► File as an attachment to Form 5500.</div>	<div>OMB No. 1210-0110</div> <div>2014</div> <div>This Form is Open to Public Inspection.</div>
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For calendar plan year 2014 or fiscal plan year beginning 10/01/2014 and ending 09/30/2015	
A Name of plan ST. LUKE'S HEALTH SYSTEM RETIREMENT PLAN	B Three-digit plan number (PN) ► 001
C Plan sponsor's name as shown on line 2a of Form 5500 CHI ST. LUKE'S HEALTH	D Employer Identification Number (EIN) 76-0536232

Part I	Schedule of Loans or Fixed Income Obligations in Default or Classified as Uncollectible Complete as many entries as needed to report all loans or fixed income obligations in default or classified as uncollectible. Check box (a) if obligor is known to be a party in interest. Attach Overdue Loan Explanation for each loan listed. See Instructions.
--------	--

(a)	(b) Identity and address of obligor	(c) Detailed description of loan including dates of making and maturity, interest rate, the type and value of collateral, any renegotiation of the loan and the terms of the renegotiation, and other material items
☐		

	Amount received during reporting year			Amount overdue	
(d) Original amount of loan	(e) Principal	(f) Interest	(g) Unpaid balance at end of year	(h) Principal	(i) Interest

(a)	(b) Identity and address of obligor	(c) Detailed description of loan including dates of making and maturity, interest rate, the type and value of collateral, any renegotiation of the loan and the terms of the renegotiation, and other material items
☐		

	Amount received during reporting year			Amount overdue	
(d) Original amount of loan	(e) Principal	(f) Interest	(g) Unpaid balance at end of year	(h) Principal	(i) Interest

(a)	(b) Identity and address of obligor	(c) Detailed description of loan including dates of making and maturity, interest rate, the type and value of collateral, any renegotiation of the loan and the terms of the renegotiation, and other material items
☐		

	Amount received during reporting year			Amount overdue	
(d) Original amount of loan	(e) Principal	(f) Interest	(g) Unpaid balance at end of year	(h) Principal	(i) Interest

(a)	(b) Identity and address of obligor		(c) Detailed description of loan including dates of making and maturity, interest rate, the type and value of collateral, any renegotiation of the loan and the terms of the renegotiation, and other material items		
☐					
		Amount received during reporting year		Amount overdue	
(d) Original amount of loan	(e) Principal	(f) Interest	(g) Unpaid balance at end of year	(h) Principal	(i) Interest

(a)	(b) Identity and address of obligor		(c) Detailed description of loan including dates of making and maturity, interest rate, the type and value of collateral, any renegotiation of the loan and the terms of the renegotiation, and other material items		
☐					
		Amount received during reporting year		Amount overdue	
(d) Original amount of loan	(e) Principal	(f) Interest	(g) Unpaid balance at end of year	(h) Principal	(i) Interest

(a)	(b) Identity and address of obligor		(c) Detailed description of loan including dates of making and maturity, interest rate, the type and value of collateral, any renegotiation of the loan and the terms of the renegotiation, and other material items		
☐					
		Amount received during reporting year		Amount overdue	
(d) Original amount of loan	(e) Principal	(f) Interest	(g) Unpaid balance at end of year	(h) Principal	(i) Interest

(a)	(b) Identity and address of obligor		(c) Detailed description of loan including dates of making and maturity, interest rate, the type and value of collateral, any renegotiation of the loan and the terms of the renegotiation, and other material items		
☐					
		Amount received during reporting year		Amount overdue	
(d) Original amount of loan	(e) Principal	(f) Interest	(g) Unpaid balance at end of year	(h) Principal	(i) Interest

(a)	(b) Identity and address of obligor		(c) Detailed description of loan including dates of making and maturity, interest rate, the type and value of collateral, any renegotiation of the loan and the terms of the renegotiation, and other material items		
☐					
		Amount received during reporting year		Amount overdue	
(d) Original amount of loan	(e) Principal	(f) Interest	(g) Unpaid balance at end of year	(h) Principal	(i) Interest

Part II Schedule of Leases in Default or Classified as Uncollectible

Complete as many entries as needed to report all leases in default or classified as uncollectible. Check box (a) if lessor or lessee is known to be a party in interest. Attach Overdue Lease Explanation for each lease listed. (See instructions)

(a)	(b) Identity of lessor/lessee	(c) Relationship to plan, employer, employee organization, or other party-in-interest	(d) Terms and description (type of property, location and date it was purchased, terms regarding rent, taxes, insurance, repairs, expenses, renewal options, date property was leased)			
☐						
(e) Original cost	(f) Current value at time of lease	(g) Gross rental receipts during the plan year	(h) Expenses paid during the plan year	(i) Net receipts	(j) Amount in arrears	

(a)	(b) Identity of lessor/lessee	(c) Relationship to plan, employer, employee organization, or other party-in-interest	(d) Terms and description (type of property, location and date it was purchased, terms regarding rent, taxes, insurance, repairs, expenses, renewal options, date property was leased)			
☐						
(e) Original cost	(f) Current value at time of lease	(g) Gross rental receipts during the plan year	(h) Expenses paid during the plan year	(i) Net receipts	(j) Amount in arrears	

(a)	(b) Identity of lessor/lessee	(c) Relationship to plan, employer, employee organization, or other party-in-interest	(d) Terms and description (type of property, location and date it was purchased, terms regarding rent, taxes, insurance, repairs, expenses, renewal options, date property was leased)			
☐						
(e) Original cost	(f) Current value at time of lease	(g) Gross rental receipts during the plan year	(h) Expenses paid during the plan year	(i) Net receipts	(j) Amount in arrears	

(a)	(b) Identity of lessor/lessee	(c) Relationship to plan, employer, employee organization, or other party-in-interest	(d) Terms and description (type of property, location and date it was purchased, terms regarding rent, taxes, insurance, repairs, expenses, renewal options, date property was leased)			
☐						
(e) Original cost	(f) Current value at time of lease	(g) Gross rental receipts during the plan year	(h) Expenses paid during the plan year	(i) Net receipts	(j) Amount in arrears	

(a)	(b) Identity of lessor/lessee	(c) Relationship to plan, employer, employee organization, or other party-in-interest	(d) Terms and description (type of property, location and date it was purchased, terms regarding rent, taxes, insurance, repairs, expenses, renewal options, date property was leased)			
☐						
(e) Original cost	(f) Current value at time of lease	(g) Gross rental receipts during the plan year	(h) Expenses paid during the plan year	(i) Net receipts	(j) Amount in arrears	

(a)	(b) Identity of lessor/lessee	(c) Relationship to plan, employer, employee organization, or other party-in-interest	(d) Terms and description (type of property, location and date it was purchased, terms regarding rent, taxes, insurance, repairs, expenses, renewal options, date property was leased)			
☐						
(e) Original cost	(f) Current value at time of lease	(g) Gross rental receipts during the plan year	(h) Expenses paid during the plan year	(i) Net receipts	(j) Amount in arrears	

Part III Nonexempt Transactions

Complete as many entries as needed to report all nonexempt transactions. **Caution:** If a nonexempt prohibited transaction occurred with respect to a disqualified person, file Form 5330 with the IRS to pay the excise tax on the transaction.

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value			(d) Purchase price
ST.LUKE'S HEALTH SYSTEM CORPORATION	EMPLOYER/PLAN SPONSOR	INELIGIBLE EXPENSE			
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction
				103704	

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value			(d) Purchase price
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value			(d) Purchase price
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value			(d) Purchase price
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value			(d) Purchase price
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value			(d) Purchase price
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value			(d) Purchase price
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction

SCHEDULE H (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small> <small>Pension Benefit Guaranty Corporation</small>	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500.	<small>OMB No. 1210-0110</small> 2014 This Form is Open to Public Inspection
For calendar plan year 2014 or fiscal plan year beginning <u>10/01/2014</u> and ending <u>09/30/2015</u>		
A Name of plan <u>ST. LUKE'S HEALTH SYSTEM RETIREMENT PLAN</u>		B Three-digit plan number (PN) ▶ <u>001</u>
C Plan sponsor's name as shown on line 2a of Form 5500 <u>CHI ST. LUKE'S HEALTH</u>		D Employer Identification Number (EIN) <u>76-0536232</u>

Part I	Asset and Liability Statement	
1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.		
	Assets	<div style="display: flex; justify-content: space-between;"> (a) Beginning of Year (b) End of Year </div>
a Total noninterest-bearing cash	1a	9087 1414301
b Receivables (less allowance for doubtful accounts):		
(1) Employer contributions.....	1b(1)	2800000 6799997
(2) Participant contributions.....	1b(2)	
(3) Other.....	1b(3)	1726398 1905733
c General investments:		
(1) Interest-bearing cash (include money market accounts & certificates of deposit).....	1c(1)	15579542 4154185
(2) U.S. Government securities	1c(2)	38461898 62403463
(3) Corporate debt instruments (other than employer securities):		
(A) Preferred	1c(3)(A)	
(B) All other	1c(3)(B)	114190958 120844211
(4) Corporate stocks (other than employer securities):		
(A) Preferred	1c(4)(A)	5181281 8399113
(B) Common.....	1c(4)(B)	
(5) Partnership/joint venture interests	1c(5)	3793802 0
(6) Real estate (other than employer real property).....	1c(6)	
(7) Loans (other than to participants)	1c(7)	
(8) Participant loans.....	1c(8)	
(9) Value of interest in common/collective trusts	1c(9)	133834 0
(10) Value of interest in pooled separate accounts	1c(10)	
(11) Value of interest in master trust investment accounts	1c(11)	94071982 96296094
(12) Value of interest in 103-12 investment entities.....	1c(12)	
(13) Value of interest in registered investment companies (e.g., mutual funds).....	1c(13)	28329306 16210012
(14) Value of funds held in insurance company general account (unallocated contracts).....	1c(14)	
(15) Other.....	1c(15)	2365205 3259565

1d Employer-related investments:

		(a) Beginning of Year	(b) End of Year
(1) Employer securities	1d(1)		
(2) Employer real property	1d(2)		
e Buildings and other property used in plan operation	1e		
f Total assets (add all amounts in lines 1a through 1e)	1f	306643293	321686674

Liabilities

g Benefit claims payable	1g		
h Operating payables	1h	348948	219450
i Acquisition indebtedness	1i		
j Other liabilities	1j	16880301	42005023
k Total liabilities (add all amounts in lines 1g through 1j)	1k	17229249	42224473

Net Assets

l Net assets (subtract line 1k from line 1f)	1l	289414044	279462201
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Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income

		(a) Amount	(b) Total
a Contributions:			
(1) Received or receivable in cash from: (A) Employers	2a(1)(A)	13366663	
(B) Participants	2a(1)(B)		
(C) Others (including rollovers)	2a(1)(C)		
(2) Noncash contributions	2a(2)		
(3) Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)		13366663
b Earnings on investments:			
(1) Interest:			
(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)		
(B) U.S. Government securities	2b(1)(B)		
(C) Corporate debt instruments	2b(1)(C)		
(D) Loans (other than to participants)	2b(1)(D)		
(E) Participant loans	2b(1)(E)		
(F) Other	2b(1)(F)	7966605	
(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)		7966605
(2) Dividends: (A) Preferred stock	2b(2)(A)		
(B) Common stock	2b(2)(B)	1037980	
(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)		
(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)		1037980
(3) Rents	2b(3)		
(4) Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)	6337432	
(B) Aggregate carrying amount (see instructions)	2b(4)(B)		
(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)		6337432
(5) Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)		
(B) Other	2b(5)(B)	-19212450	
(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)		-19212450

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		5652143
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		
c Other income	2c		220814
d Total income. Add all income amounts in column (b) and enter total	2d		15369187

Expenses

e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	24238759	
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3)	2e(4)		24238759
f Corrective distributions (see instructions)	2f		
g Certain deemed distributions of participant loans (see instructions)	2g		
h Interest expense	2h		
i Administrative expenses: (1) Professional fees	2i(1)	534742	
(2) Contract administrator fees	2i(2)		
(3) Investment advisory and management fees	2i(3)	285279	
(4) Other	2i(4)	262250	
(5) Total administrative expenses. Add lines 2i(1) through (4)	2i(5)		1082271
j Total expenses. Add all expense amounts in column (b) and enter total	2j		25321030

Net Income and Reconciliation

k Net income (loss). Subtract line 2j from line 2d	2k		-9951843
l Transfers of assets:			
(1) To this plan	2l(1)		
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☐ Unqualified (2) ☐ Qualified (3) ☐ Disclaimer (4) ☐ Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)? ☐ Yes ☐ No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name:

(2) EIN:

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☒ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)

b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)

	Yes	No	Amount
4a		X	
4b		X	

	Yes	No	Amount
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)		X	
4c			
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)	X		103704
4d			
e Was this plan covered by a fidelity bond?	X		10000000
4e			
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		X	
4f			
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?		X	
4g			
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?		X	
4h			
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	X		
4i			
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)	X		
4j			
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?		X	
4k			
l Has the plan failed to provide any benefit when due under the plan?		X	
4l			
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			
4m			
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.			
4n			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?
 If "Yes," enter the amount of any plan assets that reverted to the employer this year. ☐ Yes ☒ No Amount:

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)

5c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? ☒ Yes ☐ No ☐ Not determined

Part V Trust Information (optional)

6a Name of trust	6b Trust's EIN

SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Retirement Plan Information This schedule is required to be filed under section 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110 2014 This Form is Open to Public Inspection.
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For calendar plan year 2014 or fiscal plan year beginning 10/01/2014 and ending 09/30/2015

A Name of plan <u>ST. LUKE'S HEALTH SYSTEM RETIREMENT PLAN</u>	B Three-digit plan number (PN) ▶ <u>001</u>
C Plan sponsor's name as shown on line 2a of Form 5500 <u>CHI ST. LUKE'S HEALTH</u>	D Employer Identification Number (EIN) <u>76-0536232</u>

Part I	Distributions
---------------	----------------------

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions.....	1	<u>0</u>
2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): <u>13-5160382</u>		
Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.		
3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year.....	3	<u>251</u>

Part II	Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part)
----------------	--

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)?.....	☐ Yes	☒ No	☐ N/A
If the plan is a defined benefit plan, go to line 8.			
5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____ If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.			
6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived).....	6a		
b Enter the amount contributed by the employer to the plan for this plan year.....	6b		
c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount)	6c		
If you completed line 6c, skip lines 8 and 9.			
7 Will the minimum funding amount reported on line 6c be met by the funding deadline?.....	☐ Yes	☐ No	☐ N/A
8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change?.....	☐ Yes	☐ No	☒ N/A

Part III	Amendments
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9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box.....	☐ Increase	☐ Decrease	☐ Both	☒ No
--	-----------------------------------	-----------------------------------	-------------------------------	--

Part IV	ESOPs (see instructions). If this is not a plan described under Section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.
----------------	---

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan?	☐ Yes	☐ No
11 a Does the ESOP hold any preferred stock?	☐ Yes	☐ No
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.).....	☐ Yes	☐ No
12 Does the ESOP hold any stock that is not readily tradable on an established securities market?	☐ Yes	☐ No

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

- 14** Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

a The current year	14a	
b The plan year immediately preceding the current plan year	14b	
c The second preceding plan year	14c	

- 15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year	15a	
b The corresponding number for the second preceding plan year	15b	

- 16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year	16a	
b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	16b	

- 17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

- 18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

- 19** If the total number of participants is 1,000 or more, complete lines (a) through (c)

- a** Enter the percentage of plan assets held as:

Stock: 16.0% Investment-Grade Debt: 74.0% High-Yield Debt: 0.0% Real Estate: 2.0% Other: 8.0%

- b** Provide the average duration of the combined investment-grade and high-yield debt:

☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☒ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

- c** What duration measure was used to calculate line 19(b)?

☒ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify):

Schedule SB, line 26 — Schedule of Active Participant Data

Attained age	Years of credited service ¹										Total
	Under 1	1–4	5–9	10–14	15–19	20–24	25–29	30–34	35–39	40 & up	
Under 25		115 35,053 2,288	13								128
25–29		350 49,431 3,701	93 40,625 9,529	2							445
30–34		366 50,999 4,579	215 57,590 14,634	36 56,960 23,469	1						618
35–39		284 55,199 4,870	217 64,695 17,076	109 73,419 34,450	32 63,275 38,233						642
40–44		291 59,792 5,308	269 71,931 19,918	157 79,433 40,362	79 70,989 51,183	19	5				820
45–49		172 61,372 5,162	205 68,527 18,268	136 75,451 37,116	84 78,118 57,368	57 70,664 63,751	37 79,788 88,611	2			693
50–54		158 68,258 5,881	144 70,300 18,016	85 77,210 39,801	87 79,580 57,298	72 78,840 72,879	68 86,240 96,557	29 75,195 102,564	8		651
55–59		116 64,557 6,118	112 72,807 18,397	88 72,333 36,326	64 74,839 56,157	51 88,302 79,279	62 90,903 109,816	69 86,822 126,100	62 77,634 131,075	3	627
60–64		66 70,129 6,213	71 66,010 19,346	57 73,558 36,695	36 92,934 67,522	43 70,203 76,111	34 83,768 111,264	33 81,815 133,093	44 85,521 168,874	37 72,174 167,055	421 75,662 74,502
65–69		14	14	16	15	11	10	6	11	10	107
70 & up		2	7	3	4	2	4	1	2		25
Total		1,934	1,360	689	402	255	220	140	127	50	5,177

In each cell, the top number is the count of active participants for each age/service combination, the middle number is average pay for 2013 limited to \$255,000 and the bottom number is the average account balance as of October 1, 2014. Average pay and average account balance are not shown for cells with fewer than 20 participants.

¹ Vesting service is used due to the plan's current cash balance formula.

Schedule SB, Part V — Statement of Actuarial Assumptions/Methods**Actuarial Assumptions**

Discount rate sponsor elections		
• Segment rates or full yield curve	Segment	
• Look-back months	4	
	<u>Stabilized</u>	<u>Nonstabilized</u>
• First 5 years	4.99%	1.16%
• Next 15 years	6.32%	4.04%
• Over 20 years	6.99%	5.11%
• Effective Interest Rate (EIR)	6.48%	4.37%
Mortality sponsor elections		
• Healthy and disabled participants	Section 430(h)(3) prescribed separate static annuitant and nonannuitant mortality tables. These tables are based on the RP-2000 mortality tables projected with mortality improvement to the valuation year plus 7 and 15 years based on Scale AA.	
417(e) lump sums	Liabilities are determined based on the underlying annuity used by the plan to determine the lump sum amount, rather than valuing the lump sum payment. This annuity is valued based on funding interest rates rather than 417(e) rates and current year 417(e) unisex mortality.	
Cash balance plans		
• Interest accumulation rate	3.33% for initial year 3.29% long-term rate for future years	
• Whipsaw calculations	No	
• Annuity conversion		
— Mortality table	IRC Section 417(e) unisex mortality	
— Interest rate basis	Funding segment rates	
Other economic assumptions		
• Salary increases	See table of sample rates	
• Flat-dollar benefit increases	Not applicable	
• Social Security taxable wage base increases	Not applicable	
• Inflation	2.50% per year	
• Expected investment return	Not applicable	
• Expenses	\$756,000 added to current year normal cost	

Schedule SB, Part V — Statement of Actuarial Assumptions/Methods**Rationale for Economic Assumptions**

- **Cash balance interest accumulation rate** – The rate in the initial year is equal to the actual interest accumulation rate for the current plan year as defined by the plan. The long-term interest crediting rate is equal to the 24-month average of the monthly average 30-year US treasury rate as of the June 1 preceding the beginning of the plan year.
- **Salary increases** – The salary increases are based on an experience study undertaken in 2011 using data from 2005 – 2010, adjusted to reflect current expectations. This assumption reflects management's expectation of short and long term salary increases.
- **Inflation** – This assumption is based on reviewing the inflation assumption periodically published by Mercer Investment Consulting in their Capital Markets Outlook as of the beginning of the plan year.
- **Expenses** – Expenses for the upcoming year are assumed to equal actual expenses for the prior plan year, adjusted to reflect expected PBGC premiums for the upcoming year, rounded up to the nearest \$1,000.

Demographic assumptions

• Withdrawal	See table of sample rates.	
• Disability incidence	None assumed	
• Retirement age	Attained age	Percentage
	Under 55	0%
	55	6%
	56	5%
	57 – 58	4%
	59	7%
	60 – 61	10%
	62 – 64	15%
	65 – 69	20%
	70 and above	100%
• Benefit commencement age for		
— Future vested deferred	Immediate	
— Current vested deferred	Immediate in first year of termination and age 65 thereafter	
• Spouse assumptions	<u>Male participants</u>	<u>Female participants</u>
— Percentage married	100%	100%
— Spouse age difference	3 years younger	3 years older
Form of payment	<u>Lump sum</u>	<u>Single Life</u>
• Active retirements	100%	Not applicable
• Future vested deferred	100%	Not applicable
• Future disabilities	Not applicable	Not applicable
• Future deaths	100%	Not applicable
• Current vested deferred		
— Non-grandfathered	100%	Not applicable

Schedule SB, Part V — Statement of Actuarial Assumptions/Methods

— Grandfathered with cash balance greater than annuity converted to lump sum	100%	Not applicable
— All other grandfathered	Not applicable	100%
Unpredictable contingent event assumptions	Not applicable	

Rationale for Demographic Assumptions

- Withdrawal – Termination rates were developed based on an experience study undertaken in 2011 using data from 2005 - 2010. The plan sponsor believes that this period will be representative of anticipated future experience.
- Retirement age – The retirement rates are based on an experience study undertaken in 2011 using data from 2005 - 2010 and the expectation that the future retirement patterns and circumstances of the employer will not differ significantly from the period studied.
- Benefit commencement age – Due to the expected lump sum payouts and the cash balance feature, it is assumed that participants will take a lump sum immediately upon termination. If the benefit is not commenced immediately, it is assumed it will commence at the normal retirement date.
- Form of payment – 100% of participants are assumed to elect a lump sum of their cash balance account (and grandfathered benefits, if applicable), based on experience that shows very few participants elect the actuarially equivalent annuity options.

Schedule SB, Part V — Statement of Actuarial Assumptions/Methods***Table of Sample Rates***

Attained age	Withdrawal		Salary increases
	Service		
	0 – 2	3 +	
20	27.5%	15.0%	5.90%
25	25.0%	12.5%	3.65%
30	22.5%	10.0%	3.65%
35	20.0%	9.0%	3.15%
40	17.5%	8.0%	3.15%
45	15.0%	7.0%	3.15%
50	15.0%	7.0%	2.65%
55	15.0%	5.0%	2.65%
60	N/A	N/A	1.65%
65	N/A	N/A	1.65%

Schedule SB, Part V — Statement of Actuarial Assumptions/Methods**Actuarial Methods*****Asset Methods***

The asset valuation method is the fair market value.

Participant Methods

Participants or former participants are included or excluded from the valuation as described below:

- **Participants included:** The plan administrator provides us with data on all employees as of the valuation date, but only those employees who have completed the plan's eligibility requirements are included in the valuation of liabilities.
- **Participants excluded:** No actuarial liability is included for nonvested participants who terminated prior to the valuation date. For this purpose, participants with a break in service on the valuation date are treated as terminated participants.
- **Insurance contracts:** The plan does not have any insurance contracts.

Minimum Funding Methods

The funding target for minimum funding calculations is computed using the traditional unit credit method of funding. The objective under this method is to fund each participant's benefits under the plan as they accrue. Thus, the total pension to which each participant is expected to become entitled at retirement is broken down into units, each associated with a year of past or future credited service.

A detailed description of the calculation follows:

- The plan's valuation date is the beginning of the plan year.
- An individual's **funding target** is the present value of future benefits based on credited service and average pay as of the beginning of the plan year, and an individual's **target normal cost** is the present value of the benefit expected to accrue in the plan year. If multiple decrements are used, the funding target and the target normal cost for an individual are the sum of the component funding targets and target normal costs associated with the various anticipated separation dates.
- The plan's **target normal cost** is the sum of the individual target normal costs, and the plan's **funding target** is the sum of the individual funding targets for all participants under the plan.

St. Luke's Health System Retirement Plan

EIN #76-0536232 Plan #001

Schedule H, Line 4j – Schedule of Reportable Transactions

Year Ended September 30, 2015

(b) Description of Assets	(c) Purchase Price	(d) Selling Price	(g) Cost of Asset	(h) Current Value of Asset on Transaction Date	(i) Net Gain (Loss)
Dreyfus Inst Cash Advan Pri 99	\$ 40,094,904	\$ –	\$ 40,094,904	\$ 40,094,904	\$ –
Dreyfus Inst Cash Advan Pri 99	–	53,215,606	53,215,606	53,215,606	–

SCHEDULE SB (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small> <small>Pension Benefit Guaranty Corporation</small>	Single-Employer Defined Benefit Plan Actuarial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500 or 5500-SF.	OMB No. 1210-0110 2014 This Form is Open to Public Inspection
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For calendar plan year 2014 or fiscal plan year beginning 10/01/2014 and ending 09/30/2015

► **Round off amounts to nearest dollar.**

► **Caution:** A penalty of \$1,000 will be assessed for late filing of this report unless reasonable cause is established.

A Name of plan ST. LUKE'S HEALTH SYSTEM RETIREMENT PLAN	B Three-digit plan number (PN) ►	001
C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF CHI ST. LUKE'S HEALTH	D Employer Identification Number (EIN) 76-0536232	
E Type of plan: ☒ Single ☐ Multiple-A ☐ Multiple-B		
F Prior year plan size: ☐ 100 or fewer ☐ 101-500 ☒ More than 500		

Part I Basic Information			
1 Enter the valuation date: Month <u>10</u> Day <u>01</u> Year <u>2014</u>			
2 Assets:			
a Market value	2a	289,070,620	
b Actuarial value	2b	289,070,620	
3 Funding target/participant count breakdown	(1) Number of participants	(2) Vested Funding Target	(3) Total Funding Target
a For retired participants and beneficiaries receiving payment	138	13,311,870	13,311,870
b For terminated vested participants	1,278	22,585,411	22,585,411
c For active participants	5,177	177,785,132	182,039,085
d Total	6,593	213,682,413	217,936,366
4 If the plan is in at-risk status, check the box and complete lines (a) and (b) ☐			
a Funding target disregarding prescribed at-risk assumptions	4a		
b Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been in at-risk status for fewer than five consecutive years and disregarding loading factor	4b		
5 Effective interest rate	5	6.48%	
6 Target normal cost	6	11,060,436	

Statement by Enrolled Actuary
 To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

SIGN HERE	<div style="font-size: 1.5em; font-family: cursive;">KE</div> Signature of actuary	<div style="font-size: 1.2em; font-family: cursive;">6/16/2016</div> Date
	KEVIN BILLS Type or print name of actuary	1407029 Most recent enrollment number
	MERCER Firm name	713-276-2113 Telephone number (including area code)
	500 DALLAS STREET SUITE 1500 HOUSTON TX 77002 Address of the firm	

If the actuary has not fully reflected any regulation or ruling promulgated under the statute in completing this schedule, check the box and see instructions ☐

Part II Beginning of Year Carryover and Prefunding Balances

	(a) Carryover balance	(b) Prefunding balance
7 Balance at beginning of prior year after applicable adjustments (line 13 from prior year)	19,146,913	0
8 Portion elected for use to offset prior year's funding requirement (line 35 from prior year)	0	0
9 Amount remaining (line 7 minus line 8)	19,146,913	0
10 Interest on line 9 using prior year's actual return of <u>12.58</u> %	2,408,682	0
11 Prior year's excess contributions to be added to prefunding balance:		
a Present value of excess contributions (line 38a from prior year)		12,697,409
b(1) Interest on the excess, if any, of line 38a over line 38b from prior year Schedule SB, using prior year's effective interest rate of <u>6.32</u> %		802,476
b(2) Interest on line 38b from prior year Schedule SB, using prior year's actual return		0
c Total available at beginning of current plan year to add to prefunding balance		13,499,885
d Portion of (c) to be added to prefunding balance		
12 Other reductions in balances due to elections or deemed elections		
13 Balance at beginning of current year (line 9 + line 10 + line 11d – line 12)	21,555,595	0

Part III Funding Percentages

14 Funding target attainment percentage	14	122.74 %
15 Adjusted funding target attainment percentage	15	132.63 %
16 Prior year's funding percentage for purposes of determining whether carryover/prefunding balances may be used to reduce current year's funding requirement	16	123.24 %
17 If the current value of the assets of the plan is less than 70 percent of the funding target, enter such percentage.	17	%

Part IV Contributions and Liquidity Shortfalls

18 Contributions made to the plan for the plan year by employer(s) and employees:					
(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees	(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees
01/30/2015	700,000	0	02/01/2016	833,333	0
02/27/2015	700,000	0	02/29/2016	833,333	0
03/31/2015	700,000	0	03/30/2016	833,333	0
04/30/2015	700,000	0	04/29/2016	833,333	0
05/29/2015	700,000	0	06/01/2016	833,333	0
06/30/2015	700,000	0			
07/31/2015	833,333	0			
08/31/2015	833,333	0			
09/30/2015	700,000	0			
10/30/2015	966,666	0			
11/30/2015	833,333	0			
12/31/2015	833,333	0			
Totals ▶			18(b)	13,366,663	18(c) 0

19 Discounted employer contributions – see instructions for small plan with a valuation date after the beginning of the year:	
a Contributions allocated toward unpaid minimum required contributions from prior years	19a 0
b Contributions made to avoid restrictions adjusted to valuation date	19b 0
c Contributions allocated toward minimum required contribution for current year adjusted to valuation date	19c 12,537,823
20 Quarterly contributions and liquidity shortfalls:	
a Did the plan have a "funding shortfall" for the prior year?	☐ Yes ☒ No
b If line 20a is "Yes," were required quarterly installments for the current year made in a timely manner?	☐ Yes ☐ No
c If line 20a is "Yes," see instructions and complete the following table as applicable:	

Liquidity shortfall as of end of quarter of this plan year

(1) 1st	(2) 2nd	(3) 3rd	(4) 4th

Part V Assumptions Used to Determine Funding Target and Target Normal Cost**21** Discount rate:**a** Segment rates:1st segment:
4.99%2nd segment:
6.32%3rd segment:
6.99%☐ N/A, full yield curve used**b** Applicable month (enter code)**21b**

4

22 Weighted average retirement age**22**

63

23 Mortality table(s) (see instructions) ☐ Prescribed - combined☒ Prescribed - separate☐ Substitute**Part VI Miscellaneous Items****24** Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment. ☒ Yes ☐ No**25** Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment. ☐ Yes ☒ No**26** Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment. ☒ Yes ☐ No**27** If the plan is subject to alternative funding rules, enter applicable code and see instructions regarding attachment**27****Part VII Reconciliation of Unpaid Minimum Required Contributions For Prior Years****28** Unpaid minimum required contributions for all prior years**28**

0

29 Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (line 19a)**29**

0

30 Remaining amount of unpaid minimum required contributions (line 28 minus line 29)**30**

0

Part VIII Minimum Required Contribution For Current Year**31** Target normal cost and excess assets (see instructions):**a** Target normal cost (line 6)**31a**

11,060,436

b Excess assets, if applicable, but not greater than line 31a**31b**

11,060,436

32 Amortization installments:

Outstanding Balance

Installment

a Net shortfall amortization installment

0

0

b Waiver amortization installment

0

0

33 If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month _____ Day _____ Year _____) and the waived amount**33**

0

34 Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b - 33) ...**34**

0

Carryover balance

Prefunding balance

Total balance

35 Balances elected for use to offset funding requirement

0

0

0

36 Additional cash requirement (line 34 minus line 35)**36**

0

37 Contributions allocated toward minimum required contribution for current year adjusted to valuation date (line 19c)**37**

12,537,823

38 Present value of excess contributions for current year (see instructions)**a** Total (excess, if any, of line 37 over line 36)**38a**

12,537,823

b Portion included in line 38a attributable to use of prefunding and funding standard carryover balances**38b****39** Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37)**39**

0

40 Unpaid minimum required contributions for all years**40**

0

Part IX Pension Funding Relief Under Pension Relief Act of 2010 (See Instructions)**41** If an election was made to use PRA 2010 funding relief for this plan:**a** Schedule elected☐ 2 plus 7 years☐ 15 years**b** Eligible plan year(s) for which the election in line 41a was made☐ 2008☐ 2009☐ 2010☐ 2011**42** Amount of acceleration adjustment**42****43** Excess installment acceleration amount to be carried over to future plan years**43**

Schedule SB, line 22 — Description of Weighted Average Retirement Age

Each employee is assumed to retire in accordance with the table of retirement rates. The proportion of employees expected to retire at each potential retirement age is shown below. The average retirement age is 63.

(A) Retirement age	(B) Retirement Percent	(C) Lx	(D) Number of employees expected to retire (B) x (C)	(E) (A) x (D)
55	6.0%	10,000	600.00	33,000
56	5.0%	9,400	470.00	26,320
57	4.0%	8,930	357.20	20,360
58	4.0%	8,573	342.91	19,889
59	7.0%	8,230	576.09	33,989
60	10.0%	7,654	765.38	45,923
61	10.0%	6,888	688.84	42,019
62	15.0%	6,200	929.94	57,656
63	15.0%	5,720	790.45	49,798
64	15.0%	4,479	671.88	43,000
65	20.0%	3,807	761.46	49,495
66	20.0%	3,046	609.17	40,205
67	20.0%	2,437	487.34	32,652
68	20.0%	1,949	389.87	26,511
69	20.0%	1,559	311.90	21,521
70	100.0%	1,248	1,247.58	87,331
Total			10,000.00	629,670
Average				62.97

Schedule SB, Part V — Summary of Plan Provisions**Summary of Major Plan Provisions**

Effective date and plan year	Original plan: May 1, 1962 Restated plan: October 1, 2009 and through the 5 th Amendment Plan year: The twelve month period ending September 30
Status of the plan	The plan has ongoing benefit accruals and new employees are eligible to participate in the plan once they satisfy the participation requirements.
Significant events that occurred during the year	None
Definitions	
• Covered employees	All employees other than residents, fellows, ICU physicians, supplemental employees, and employees of SL Ventures
• Participation	Covered employees are eligible to participate under the plan on the first October 1 or April 1 coincident with or next following the later of attaining age 21 and first anniversary of hire. If an employee does not complete 1,000 hours of service during first 12 months following employment but meets age requirement, participation will begin on the October 1 or April 1 coincident with or next following the Plan Year during which 1,000 hours are completed. Participation of Sugarland Hospital, Lakeside Hospital and Vintage Hospital employees did not commence until October 1, 2011.
• Employee contributions	Contributions by Employees, including rollover contributions from plans or individual retirement accounts, shall not be permitted.
Cash balance benefit	
• Applicable interest rate	The effective annual interest rate on 30-year Treasury securities for the third month prior to the first day of the applicable Plan Year. In no event shall the interest rate used be less than 1.5% for any Plan Year.
• Vesting service	One year of service is granted for each plan year beginning on or after October 1, 1989 in which the participant has 1,000 or more hours of service. Prior to October 1, 1989, service was granted under the terms of the plan then in effect.
• Service for salary credit determination	One year of service is granted for each plan year in which the participant worked at least 1,000 hours. Hours worked while employed as a Supplemental Employee after September 30, 2011 are excluded.
• Cash balance account	A bookkeeping account corresponding to each member, reflecting the amount of the employer's obligation to the member at any given time.
• Initial account balance	The actuarial equivalent of the accrued benefit as of October 1, 1998. Only participants as of October 1, 1998 have an initial account balance.

Schedule SB, Part V — Summary of Plan Provisions

- **Contribution credits** A participant's cash balance account will be credited each plan year with an annual contribution credit equal to a percentage of the participant's pensionable earnings. Such percentage to be determined from the table below:

Years of salary credit service	Percentage
0 to 4	3.5%
5 to 9	4.0%
10 to 14	4.5%
15 to 19	5.0%
20 to 24	5.5%
25 or more	6.0%

Supplemental employees receive no contribution credits.

- **Interest credits** A participant's cash balance account will be credited on the last day of each plan year with an interest credit equal to the Applicable interest rate.
- **Pensionable earnings** Prior to October 1, 2011 – Total pay, including overtime premium, weekend and shift differential, call pay, etc.
After September 30, 2011 – Base pay, excluding overtime premium, weekend and shift differential, call pay, etc.
- **Accrued benefit** The life annuity benefit payable to the member on his Normal Retirement Date, which can be provided by the actuarial equivalent of the Cash balance account. The accrued benefit of a member who elects to defer, includes future interest credits through Normal Retirement Date.
Grandfathered participants receive at a minimum the benefit described in the "Grandfathered benefit" section.

Grandfathered benefit

- **Eligibility** A participant who was actively employed and had at least five years Vesting Credit on October 1, 1998, and who has not severed employment since that date.
- **Benefit** The minimum benefit for grandfathered participants is equal to the sum of Part A and Part B:
 Part A The greater of (i) and (ii):
 (i.) The grandfathered minimum accrued benefit described below as of September 30, 2011
 (ii.) The benefit payable under the cash balance provisions earned through September 30, 2011, including future interest credits
 Part B Benefits under the cash balance formula accrued after September 30, 2011.

Schedule SB, Part V — Summary of Plan Provisions

<ul style="list-style-type: none"> Credited grandfathered service 	<p>Prior to May 1, 1982, a member received a service credit for each plan year</p> <p>Prior to May 1, 1982, one year of service is granted for Full Time participants working at least 2,000 hours in each plan year. Part Time participants were granted $\frac{3}{4}$ of a year of service. After April 30, 1982, one year of service is granted for each plan year in which the participant has 2,000 or more hours of service; $\frac{3}{4}$ of a year of service if the participant had less than 2,000 but more than 1,500 hours of service; and $\frac{1}{2}$ of a year of service if the participant had less than 1,500 but more than 1,000 hours of service.</p> <p>After October 1, 1989, but before October 1, 2011, one year of service is granted for each plan year in which the participant has 1,000 or more hours of service.</p> <p>A member shall receive service credits through September 30, 1989 based on the greater of (a) or (b):</p> <p>(a) (i.) Vesting service as of May 1, 1988, plus (ii.) $\frac{1}{12}$ of one year of service for each month from May 1, 1988 to September 30, 1988, during which the member had completed one (1) hour of service, plus (iii.) Vesting service for the year October 1, 1988 to September 30, 1989 (where 1 year of Vesting service was earned if the member had 1,000 hours of service.)</p> <p>(b) Credited service as of September 30, 1989 based on the Plan in effect on September 30, 1989.</p> <p>For the initial or terminal year of employment, a member shall receive a fractional service credit based on the above schedule and the actual number of months worked.</p> <p>Credited grandfathered service is frozen as of September 30, 2011.</p>
<ul style="list-style-type: none"> Vesting grandfathered service 	<p>One year of service is granted for each plan year in which the participant has 1,000 or more hours of service. In the case of the shortened plan year (May 1, 1988 – September 30, 1988) on year's Vesting service is granted if the participant worked at least one hour during this period.</p>
<ul style="list-style-type: none"> Plan compensation 	<p>Total pay, including overtime premium, shift differential, call pay, etc.</p> <p>No compensation earned after September 30, 2011, will be reflected in the grandfathered benefit.</p>
<ul style="list-style-type: none"> Monthly plan compensation 	<p>The monthly average of the highest five consecutive years of plan compensation.</p> <p>This is frozen as of September 30, 2011.</p>
<ul style="list-style-type: none"> Final average earnings 	<p>The monthly average of the last three consecutive years of plan compensation, where each year's compensation is not to exceed the Social Security Taxable Wage Base for that year.</p> <p>This is frozen as of September 30, 2011.</p>

Schedule SB, Part V — Summary of Plan Provisions

• Covered Compensation	The average (without indexing) of the Social Security Wage Base in effect for each calendar year during the thirty-five (35) year period ending with the calendar year in which the member attains Social Security Retirement Age.
• Grandfathered minimum accrued benefit	<p>These provisions are based on the plan in effect on September 30, 1998.</p> <p>The benefit is the greater of (a) and (b):</p> <p>(a) 1.6% of Monthly plan compensation times Credited grandfathered service up to 30 years, less 0.5% of Final average compensation not in excess of Covered compensation times Credited grandfathered service up to 30 years</p> <p>(b) 2.0% of Monthly plan compensation at October 1, 1989 times Credited grandfathered service prior to October 1, 1989 up to 25 years, less 0.5% of Final average compensation not in excess of Covered compensation times Credited grandfathered service prior to October 1, 1989 up to 25 years. plus the formula in (a) above, using only Credited grandfathered service after September 30, 1989, with total service not to exceed 30 years.</p> <p>This is frozen as of September 30, 2011.</p>
Normal retirement	
• Eligibility	Later of age 65 or completion of 5 years of plan participation
• Benefit	The accrued benefit
Early retirement – Grandfathered benefit	
• Eligibility	Attainment of age 55 and 10 years of vesting service
• Benefit	<p>The greater of (a) and (b):</p> <p>(a) The actuarial equivalent of the Part A(ii) cash balance account</p> <p>(b) The greater of the Part A(i) benefit reduced by 4% for each of the first 10 years by which early retirement precedes normal retirement, and reduced actuarially thereafter; and the actuarial equivalent of the Part A(ii) benefit plus, the actuarial equivalent of the Grandfathered Part B benefit.</p>

Schedule SB, Part V — Summary of Plan Provisions

Late retirement	
• Eligibility	Separation from service after normal retirement
• Benefit	<p>The greater of (a) and (b):</p> <p>(a) the grandfathered benefit Part A benefit calculated using Credited grandfathered service, Monthly plan compensation, Final average compensation, and Covered compensation as of the late retirement date (frozen as of September 30, 2011), plus the grandfathered Part B benefit as of the late retirement date.</p> <p>(b) the income provided by the single-sum value of the grandfathered Part A(i) benefit payable as of the normal retirement date if employment had terminated, accumulated with interest to actual retirement, plus the grandfathered Part B benefit as of the late retirement date.</p>
Deferred vested – Grandfathered benefit	
• Eligibility	Completion of three years of vesting service.
• Benefit	The accrued benefit, actuarially reduced from Normal Retirement Date.
Disability	
• Eligibility	Total and permanent disability
• Benefit	<p>The accrued benefit as of the date of disability, commencing on the normal retirement date. A participant may elect early payment of the benefit, in which case the benefit is reduced actuarially.</p> <p>For Grandfathered participants who elect to receive early payment of benefits, and if the Part A(i) benefit applies, the frozen Part A(i) benefit will be reduced by 4% for the first 10 years that commencement precedes normal retirement, and actuarially thereafter.</p>
Pre-retirement death	
• Eligibility	Completion of three years of vesting service.
• Benefit before normal retirement	<p>The participant's beneficiary will receive an income payable for life that can be provided by the actuarially equivalent value of the Accrued Benefit.</p> <p>For Grandfathered participants, the participant's beneficiary will receive no less than an income payable for life that can be provided by the present value of the Grandfathered benefit.</p>
• Benefit after normal retirement	If death occurs after normal retirement but prior to actual retirement, the participant's beneficiary will receive a benefit equal in value to the Grandfathered Late Retirement Benefit described above that the participant would have received had he retired as of his date of death.

Schedule SB, Part V — Summary of Plan Provisions

Form of benefits	
• Automatic form for unmarried participants	Single life annuity
• Automatic form for married participants	50% joint and survivor annuity
• Optional forms	<ul style="list-style-type: none"> • Lump sum • Life with 120 monthly payments guaranteed • Joint and contingent annuity, with continuation to a spouse between 50% and 100% of the participant's benefit. • Joint and survivor annuity, with continuation to a non-spouse beneficiary which is no more than 49% of the total actuarial value of the accrued benefit. • Joint and last survivor annuity, with 66% continuation to a spouse • Social Security Level Income <p>The only distribution options available to a participant for payments commencing prior to the early retirement date are a joint and 50% contingent annuity, a lump sum payment, or a single life annuity (if not married.)</p>
• Optional form conversion factors	<p>For optional forms of payment, excluding lump sum payments of the Grandfathered Part A(i) benefit:</p> <ul style="list-style-type: none"> • Interest: 30-year treasury rate for the third month prior to the first day of the plan year in which commencement occurs • Mortality: the table specified by the Pension Protection Act for 417(e)(3) forms of payment. <p>Conversion factors for lump sum payments of the Grandfathered Part A(i) benefit:</p> <ul style="list-style-type: none"> • Interest: Pension Protection Act specified yield curve segment rates for the first month of the last quarter prior to the current quarter • Mortality: the table specified by the Pension Protection Act for 417(e)(3) forms of payment.
Miscellaneous	
• Maximum compensation	Compensation for any 12-month period used to determine accrued benefits may not exceed the limits in IRC Section 401(a)(17) for the calendar year in which the 12-month period begins. This limit is indexed annually. For 2014, the limit is \$260,000.
• Maximum benefits	Annual benefits may not exceed the limits in IRC Section 415. This limit is indexed annually. For 2014, the limit is \$210,000.

Schedule SB, Part V — Summary of Plan Provisions***Benefits Included or Excluded***

Unless noted below, all benefits provided by the plan, as restated and amended through Amendment #5, are included in this valuation:

- **Most recent plan amendments included:** Amendment #5 is included because it was adopted by the valuation date and is effective by the end of the plan year.
- **Plan amendments excluded:** None
- **Late retirement increases:**
 - *Active participants:* The plan applies late retirement actuarial increases for all participants who defer retirement beyond their normal retirement date and this valuation includes those increases.
 - *Deferred vested participants:* Current deferred vested participants over normal retirement age are valued including the late retirement actuarial increase.
- **Internal Revenue Code limitations:** The limitations of Internal Revenue Code Section 415(b) and 401(a)(17) have been incorporated into our calculations.
- **IRC Section 416 rules for top-heavy plans:** We did not test whether this plan is top-heavy (when the present value of benefits for key employees equals or exceeds 60% of the present value for all participants). However, we expect that the plan is not top-heavy due to the large number of rank-and-file participants; therefore, the funding target and target normal cost do not reflect any liability for top-heavy benefit accruals.
- **IRC Section 436 benefit restrictions:**
 - *Unpredictable contingent event benefits:* This valuation excludes restricted contingent event benefits that occurred before the valuation date but includes contingent event benefits which are expected to occur on or after the valuation date regardless of anticipated funding-based limitations.
 - *Plan amendments:* See above.
 - *Prohibited payments:* Limitations on prohibited benefits (if any) are reflected for annuity starting dates before the valuation date but are ignored for annuity starting dates on or after the valuation date.
 - *Benefit accruals:* The plan's funding target does not reflect any limitation on benefit accruals. The target normal cost does not reflect any limitation on benefit accruals.
- **Unpredictable contingent event benefits:** The plan does not have any unpredictable contingent event benefits.

Plan Provision Changes Since Prior Valuation

Maximum compensation amounts and maximum benefit amounts under IRS rules were updated from 2013 to 2014.

St. Luke's Health System Retirement Plan

EIN #76-0536232 Plan #001

Schedule H, Line 4i – Schedule of Assets (Held at End of Year)

September 30, 2015

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	Share/Par Value	Cost	Current Value
Registered investment companies				
Fixed income securities	PIMCO FDS PAC INVT MGMT SER EMERGING MKTS PORT FOLIO INSTL	207,285	\$ 1,939,186	\$ 1,923,605
Fixed income securities	PIMCO FDS PAC INVT MGMT SER HIGH YIELD PORTFOLIO INSTL CL	173,037	1,356,896	1,233,750
Fixed income securities	PIMCO FDS LONG DURATION CORP BD PORTFOLIO	1,120,146	13,511,839	12,937,681
Cash & cash equivalents	PIMCO FDS SHORT TERM FLTG NAV PORT II	11,492	115,010	114,976
Registered investment companies total				16,210,012
Cash and equivalents				
Cash and cash equivalents	DREYFUS INST CASH ADVAN PRI 99 FD	1,206,086	1,206,086	1,206,086
Cash and cash equivalents	DOM MFA CASH COLL BCY VAR RT 01/01/2049 DD 07/01/08	(260,000)	(260,000)	(260,000)
Cash and cash equivalents	DEUTSCHE BANK VAR RT 01/01/2049 DD 07/01/08	41,000	41,000	41,000
Cash and cash equivalents	DB CASH COLLATERAL VAR RT 01/01/2049 DD 07/01/08	(270,000)	(270,000)	(270,000)
Cash and cash equivalents	CSFB CCP COLLATERAL 0.010% 01/01/2049 DD 07/01/08	406,000	406,000	406,000
Cash and cash equivalents	DOM MFA CASH COLL FOB VAR RT 01/01/2049 DD 07/01/08	—	—	—
Cash and cash equivalents	FOB ICE CCP COLLATERAL VAR RT 01/01/2049 DD 07/01/08	502,000	502,000	502,000
Cash and cash equivalents	MS ICE CCP COLLAT VAR RT 01/01/2049 DD 02/19/13	724,000	724,000	724,000
Cash and cash equivalents	CREDIT SUISSE CASH COLLATERAL VAR RT 01/01/2049 DD 07/01/08	376,000	376,000	376,000
Cash and cash equivalents	JPM CASH COLLATERAL VAR RT 01/01/2049 DD 07/01/08	—	—	—
Cash and cash equivalents	MORG CCP COLLATERAL VAR RT 01/01/2049 DD 07/01/08	404,000	404,000	404,000
Cash and cash equivalents	DOM MFA CASH COLL MSC VAR RT 01/01/2049 DD 07/01/08	—	—	—
Cash and cash equivalents	DOM MFA CASH COLL MSC VAR RT 01/01/2049 DD 07/01/08	(590,000)	(590,000)	(590,000)
Cash and cash equivalents	CASH COLLATERAL HELD AT MORGAN STANLEY	236,000	236,000	236,000
Cash and cash equivalents	NON-BASE CURRENCY	—	172,278	153,591
Cash and cash equivalents	NON-BASE CURRENCY	—	607	564
Cash and cash equivalents	NON-BASE CURRENCY – BROKER	—	782	781
Cash and cash equivalents	NON-BASE CURRENCY	—	97,694	97,082
Cash and cash equivalents	NON-BASE CURRENCY	—	16,523	16,531
Cash and cash equivalents	NON-BASE CURRENCY	—	9,653	9,678
Cash and cash equivalents	NON-BASE CURRENCY	—	81,111	81,111
Cash and cash equivalents	NON-BASE CURRENCY	—	77,918	77,607
Cash and cash equivalents	NON-BASE CURRENCY	—	90	65
Cash and cash equivalents	CNH/USD SPOT OPTION 2016 CALL SEP 16 007.000 ED 9/29/16	(400,000)	(6,230)	(6,230)
Cash and cash equivalents	CNH/USD SPOT OPTION 2016 CALL SEP 16 006.700 ED 9/29/16	400,000	9,010	9,010
Cash and cash equivalents	CNH/USD SPOT OPTION 2016 PUT SEP 16 006.280 ED 09/29/16	(400,000)	(2,394)	(2,394)
Cash and cash equivalents	PAYABLE FOR FORWARD FOREIGN EXCHANGE CONTRACTS	—	(1,671,656)	(1,642,571)
Cash and cash equivalents	FFX CONTRACTS RECEIVABLE	—	974,427	977,769
Cash and cash equivalents	PAYABLE FOR FORWARD FOREIGN EXCHANGE CONTRACTS	—	(400,000)	(399,548)
Cash and cash equivalents	PAYABLE FOR FORWARD FOREIGN EXCHANGE CONTRACTS	—	(8,938,926)	(8,829,434)
Cash and cash equivalents	FFX CONTRACTS RECEIVABLE	—	4,423,340	4,413,653
Cash and cash equivalents	FFX CONTRACTS RECEIVABLE	—	391,728	391,210
Cash and cash equivalents	PAYABLE FOR FORWARD FOREIGN EXCHANGE CONTRACTS	—	(384,000)	(391,597)
Cash and cash equivalents	FFX CONTRACTS RECEIVABLE	—	1,810,991	1,816,056
Cash and cash equivalents	PAYABLE FOR FORWARD FOREIGN EXCHANGE CONTRACTS	—	(3,632,398)	(3,632,820)

St. Luke's Health System Retirement Plan

EIN #76-0536232 Plan #001

Schedule H, Line 4i – Schedule of Assets (Held at End of Year) (continued)

September 30, 2015

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	Share/Par Value	Cost	Current Value
Cash and equivalents (continued)				
Cash and cash equivalents	PAYABLE FOR FORWARD FOREIGN EXCHANGE CONTRACTS	–	\$ (1,015,000)	\$ (968,712)
Cash and cash equivalents	PAYABLE FOR FORWARD FOREIGN EXCHANGE CONTRACTS	–	(86,842)	(86,676)
Cash and cash equivalents	PAYABLE FOR FORWARD FOREIGN EXCHANGE CONTRACTS	–	(999,000)	(974,116)
Cash and cash equivalents	PAYABLE FOR FORWARD FOREIGN EXCHANGE CONTRACTS	–	(1,344,514)	(1,326,658)
Cash and cash equivalents	PAYABLE FOR FORWARD FOREIGN EXCHANGE CONTRACTS	–	(991,000)	(977,230)
Cash and cash equivalents	PAYABLE FOR FORWARD FOREIGN EXCHANGE CONTRACTS	–	(963,000)	(955,301)
Cash and cash equivalents	FFX CONTRACTS RECEIVABLE	–	20,426,335	20,426,335
Cash and cash equivalents	PAYABLE FOR FORWARD FOREIGN EXCHANGE CONTRACTS	–	(7,600,486)	(7,600,486)
Cash and cash equivalents	INTEREST RECEIVABLE RECLAIM	–	–	–
Cash and cash equivalents	INTEREST PAYABLE-SHORT SELL	–	–	–
Cash and cash equivalents	INTEREST PAYABLE-SHORT SELL	–	–	–
Cash and cash equivalents	INTEREST PAYABLE-SHORT SELL	–	–	–
Cash and cash equivalents	INTEREST PAYABLE-SHORT SELL	–	–	–
Cash and cash equivalents	DREYFUS INST CASH ADVAN PRI 99 FD	5	5	5
Cash and cash equivalents	DREYFUS INST CASH ADVAN PRI 99 FD	701,824	701,824	701,824
Cash and equivalents total				4,154,185
Corp debt-preferred				
Fixed income securities	HARRIS CORP 4.854% 04/27/2035 DD 04/27/15	100,000	100,000	96,519
Fixed income securities	HARRIS CORP 5.054% 04/27/2045 DD 04/27/15	100,000	100,000	96,519
Fixed income securities	LOCKHEED MARTIN CORP 3.800% 03/01/2045 DD 02/20/15	200,000	197,840	182,392
Fixed income securities	SCHAEFFLER FINANCE BV REGS 3.250% 05/15/2025	100,000	107,729	104,369
Fixed income securities	GENERAL MOTORS CO 5.000% 04/01/2035 DD 11/12/14	100,000	98,759	92,633
Fixed income securities	GENERAL MOTORS CO 5.200% 04/01/2045 DD 11/12/14	100,000	99,266	93,844
Fixed income securities	COOPERATIEVE CENTRALE RAI REGS 6.875% 03/19/2020	100,000	140,949	131,086
Fixed income securities	NOVO BANCO SA REGS 5.875% 11/09/2015	100,000	134,530	111,027
Fixed income securities	NOVO BANCO SA 5.000% 05/21/2019	100,000	129,350	105,212
Fixed income securities	NOVO BANCO SA 5.000% 05/14/2019	200,000	253,188	207,745
Fixed income securities	SANTANDER ISSUANCES SAU VAR RT 05/29/2019	200,000	214,040	217,872
Fixed income securities	COOPERATIEVE CENTRALE RAIFFEIS 5.250% 08/04/2045 DD 08/04/15	250,000	248,878	252,998
Fixed income securities	COOPERATIEVE CENTRALE RAIFFEIS 5.250% 05/24/2041 DD 05/24/11	100,000	98,426	111,819
Fixed income securities	UBS AG/STAMFORD CT 7.625% 08/17/2022 DD 08/17/12	400,000	443,000	460,196
Fixed income securities	UBS AG REGS 5.125% 05/15/2024	800,000	799,125	785,760
Fixed income securities	UBS AG REGS VAR RT 05/22/2023	500,000	514,100	502,081
Fixed income securities	BARCLAYS BANK PLC 7.625% 11/21/2022 DD 11/21/12	400,000	462,000	448,252
Fixed income securities	HSBC HOLDINGS PLC 6.100% 01/14/2042 DD 11/17/11	100,000	124,980	124,466
Fixed income securities	AMERICAN EXPRESS CO 4.050% 12/03/2042 DD 12/03/12	1,215,000	761,782	1,159,705
Fixed income securities	BANK OF AMERICA CORP 7.625% 06/01/2019 DD 06/02/09	1,000,000	1,001,931	1,176,930
Fixed income securities	CME GROUP INC/IL 5.300% 09/15/2043 DD 09/09/13	400,000	449,320	452,728

St. Luke's Health System Retirement Plan

EIN #76-0536232 Plan #001

Schedule H, Line 4i – Schedule of Assets (Held at End of Year) (continued)

September 30, 2015

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	Share/Par Value	Cost	Current Value
Corp debt-preferred (continued)				
Fixed income securities	GENERAL ELECTRIC CAPITAL CORP 6.875% 01/10/2039 DD 01/09/09	1,350,000	\$ 1,210,477	\$ 1,890,162
Fixed income securities	GOLDMAN SACHS GROUP INC/THE 4.800% 07/08/2044 DD 07/08/14	300,000	332,076	304,113
Fixed income securities	GOLDMAN SACHS GROUP INC/THE 6.125% 02/15/2033 DD 02/13/03	2,200,000	2,017,662	2,631,134
Fixed income securities	HSBC BANK USA NA 7.000% 01/15/2039 DD 06/18/08	1,600,000	1,595,740	2,055,312
Fixed income securities	JPMORGAN CHASE & CO 6.400% 05/15/2038 DD 05/22/08	900,000	891,949	1,128,933
Fixed income securities	LAZARD GROUP LLC 3.750% 02/13/2025 DD 02/13/15	500,000	498,590	477,090
Fixed income securities	MORGAN STANLEY 7.300% 05/13/2019 DD 05/13/09	600,000	615,624	700,578
Fixed income securities	MORGAN STANLEY 6.375% 07/24/2042 DD 07/24/12	200,000	197,734	245,738
Fixed income securities	NAVIENT CORP 5.625% 08/01/2033 DD 07/21/03	200,000	159,167	129,500
Fixed income securities	NAVIENT CORP 8.450% 06/15/2018 DD 06/18/08	100,000	118,750	102,812
Fixed income securities	NAVIENT CORP 4.875% 06/17/2019 DD 12/16/13	100,000	99,405	91,000
Fixed income securities	WELLS FARGO BANK NA 6.600% 01/15/2038 DD 12/07/07	2,650,000	2,687,900	3,455,918
Fixed income securities	LYONDELLBASELL INDUSTRIES NV 4.625% 02/26/2055 DD 03/05/15	200,000	196,706	169,618
Fixed income securities	ROHM & HAAS CO 7.850% 07/15/2029 DD 07/06/99	1,000,000	1,122,471	1,315,600
Fixed income securities	ADT CORP/THE 4.875% 07/15/2042 DD 01/15/13	500,000	367,038	360,000
Fixed income securities	COMMIT TO PUR FNMA SF MTG 3.500% 11/01/2045 DD 11/01/15	1,000,000	1,034,063	1,040,930
Fixed income securities	AMAZON.COM INC 4.800% 12/05/2034 DD 12/05/14	400,000	396,700	411,024
Fixed income securities	CBS CORP 4.900% 08/15/2044 DD 08/19/14	250,000	246,598	230,850
Fixed income securities	CBS CORP 4.600% 01/15/2045 DD 01/12/15	200,000	177,642	176,050
Fixed income securities	COMCAST CORP 6.400% 05/15/2038 DD 05/07/08	1,050,000	974,827	1,315,262
Fixed income securities	DIRECTV HOLDINGS LLC / DIRECTV 6.000% 08/15/2040 DD 08/17/10	400,000	394,636	411,388
Fixed income securities	NBCUNIVERSAL MEDIA LLC 4.450% 01/15/2043 DD 10/05/12	400,000	393,632	398,344
Fixed income securities	TIME WARNER INC 6.250% 03/29/2041 DD 04/01/11	700,000	690,949	806,211
Fixed income securities	TIME WARNER INC 4.850% 07/15/2045 DD 06/04/15	500,000	499,645	490,710
Fixed income securities	TIME WARNER CABLE INC 7.300% 07/01/2038 DD 06/19/08	700,000	702,826	733,166
Fixed income securities	TIME WARNER CABLE INC 4.500% 09/15/2042 DD 08/10/12	75,000	74,579	59,297
Fixed income securities	21ST CENTURY FOX AMERICA INC 6.150% 02/15/2041 DD 08/15/11	500,000	497,425	570,240
Fixed income securities	VIACOM INC 4.375% 03/15/2043 DD 03/15/13	300,000	285,585	221,301
Fixed income securities	VIACOM INC 5.250% 04/01/2044 DD 03/11/14	150,000	149,747	130,949
Corp debt-preferred total				28,967,383
US government securities				
Fixed income securities	US TREAS-CPI INFLAT 0.250% 01/15/2025 DD 01/15/15	805,376	778,173	771,937
Fixed income securities	US TREAS-CPI INFLAT 0.375% 07/15/2025 DD 07/15/15	2,615,834	2,550,789	2,548,084
Fixed income securities	U S TREASURY BD PRIN STRIP 0.000% 11/15/2039 DD 11/16/09	46,600,000	22,492,095	22,922,074
Fixed income securities	U S TREASURY BD PRIN STRIP 0.000% 08/15/2040 DD 08/16/10	2,180,000	920,372	1,037,549
Fixed income securities	U S TREASURY BD PRIN STRIP 0.000% 08/15/2043 DD 08/15/13	4,300,000	1,431,449	1,859,449
Fixed income securities	U S TREASURY BD PRIN STRIP 0.000% 11/15/2043 DD 11/15/13	15,000,000	5,920,320	6,449,400
Fixed income securities	U S TREASURY BD PRIN STRIP 0.000% 02/15/2044 DD 02/18/14	11,600,000	4,295,156	4,932,320
Fixed income securities	U S TREASURY BD PRIN STRIP 0.000% 05/15/2044 DD 05/15/14	1,100,000	428,879	462,715
Fixed income securities	U S TREASURY BD PRIN STRIP 0.000% 05/15/2045 DD 05/15/15	5,700,000	2,200,086	2,342,244
Fixed income securities	U S TREASURY BOND 3.000% 05/15/2045 DD 05/15/15	1,880,000	1,888,038	1,923,917

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Schedule H, Line 4i – Schedule of Assets (Held at End of Year) (continued)

September 30, 2015

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	Share/Par Value	Cost	Current Value
US government securities				
(continued)				
Fixed income securities	U S TREASURY NOTE 2.000% 08/15/2025 DD 08/15/15	17,245,000	\$ 16,979,705	\$ 17,153,774
US government securities total				62,403,463
Preferred stock				
Preferred securities	BNP PARIBAS SA REGS VAR RT 06/29/2049	200,000	296,729	253,389
Preferred securities	COOPERATIEVE CENTRALE RAI REGS VAR RT 07/29/2049	300,000	318,750	309,332
Preferred securities	COOPERATIEVE CENTRALE RAI REGS VAR RT 11/29/2049	300,000	323,625	319,343
Preferred securities	BANCO BILBAO VIZCAYA ARGE REGS VAR RT 05/29/2049	400,000	445,750	422,500
Preferred securities	LLOYDS BANKING GROUP PLC VAR RT 04/30/2049			
	DD 04/07/14	100,000	106,850	102,090
Preferred securities	BANK OF AMERICA CORP VAR RT 09/29/2049 DD 09/05/14	500,000	500,000	488,750
Preferred securities	BANK OF AMERICA CORP VAR RT 12/29/2049 DD 03/17/15	500,000	500,000	487,500
Preferred securities	CITIGROUP INC VAR RT 12/29/2049 DD 04/30/14	350,000	350,000	336,753
Preferred securities	CITIGROUP INC VAR RT 11/29/2049 DD 10/29/14	100,000	100,000	98,425
Preferred securities	CITIGROUP INC VAR RT 12/29/2049 DD 04/24/15	350,000	346,875	329,875
Preferred securities	JPMORGAN CHASE & CO VAR RT 04/29/2049 DD 04/23/08	400,000	391,216	415,500
Preferred securities	JPMORGAN CHASE & CO VAR RT 12/29/2049 DD 03/10/14	900,000	901,250	898,875
Preferred securities	JPMORGAN CHASE & CO VAR RT 12/29/2049 DD 07/29/13	800,000	813,500	787,504
Preferred securities	JPMORGAN CHASE & CO VAR RT 10/29/2049 DD 09/23/14	900,000	906,000	894,150
Preferred securities	METLIFE INC VAR RT 12/29/2049 DD 06/01/15	500,000	500,000	495,000
Preferred securities	MORGAN STANLEY VAR RT 07/29/2049 DD 04/29/14	100,000	100,000	98,451
Preferred securities	WELLS FARGO & CO VAR RT 12/29/2049 DD 04/22/14	300,000	300,000	300,000
Preferred securities	WELLS FARGO & CO VAR RT 12/29/2049 DD 01/23/15	450,000	450,000	460,688
Preferred securities	BANCO DO BRASIL SA/CAYMAN 144A VAR RT 10/29/2049			
	DD 01/20/12	200,000	178,500	120,000
Preferred securities	ELECTRICITE DE FRANCE SA 144A VAR RT 12/29/2049			
	DD 01/22/14	100,000	99,375	98,800
Preferred securities	COOPERATIEVE CENTRALE RAI 144A VAR RT 12/29/2049			
	DD 06/04/09	100,000	110,247	122,950
Preferred securities	COBANK ACB PFD 6.200% NON-CUMULATIVE	2,000	200,000	202,000
Preferred securities	VEREIT INC PFD 6.700% CUMULATIVE	4,645	100,731	112,409
Preferred securities	REINSURANCE GROUP OF AMERICA I PFD 6.200%			
	CUMULATIVE	7,000	175,000	193,829
Preferred securities	GMAC CAPITAL TRUST I PFD 8.125% CUMULATIVE	2,000	54,050	51,000
Preferred stock total				8,399,113
Foreign government securities				
Fixed income securities	MEXICO GOVERNMENT INTERNATIONA 5.550% 01/21/2045			
	DD 01/21/14	1,280,000	1,273,242	1,310,400
Fixed income securities	MEXICO GOVERNMENT INTERNATIONA 4.600% 01/23/2046			
	DD 01/23/15	712,000	711,886	633,680
Fixed income securities	LLOYDS BANK PLC REGS 7.625% 04/22/2025	100,000	137,628	191,252
Fixed income securities	TESCO PROPERTY FINANCE 2 REGS 6.052% 10/13/2039	184,250	308,262	272,269
Fixed income securities	LBG CAPITAL NO.2 PLC REGS 15.000% 12/21/2019	100,000	245,172	212,216
Fixed income securities	BARCLAYS BANK PLC VAR RT 11/29/2049	300,000	611,564	583,936
Foreign government securities total				3,203,753

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Schedule H, Line 4i – Schedule of Assets (Held at End of Year) (continued)

September 30, 2015

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	Share/Par Value	Cost	Current Value
Corporate debt-other				
Fixed income securities	ACTAVIS FUNDING SCS 4.850% 06/15/2044 DD 06/19/14	100,000	\$ 94,522	\$ 91,143
Fixed income securities	ACTAVIS FUNDING SCS 4.550% 03/15/2035 DD 03/12/15	700,000	675,401	644,042
Fixed income securities	ACTAVIS FUNDING SCS 4.750% 03/15/2045 DD 03/12/15	100,000	99,477	90,795
Fixed income securities	ABBVIE INC 4.500% 05/14/2035 DD 05/14/15	500,000	496,545	482,655
Fixed income securities	ABBVIE INC 4.700% 05/14/2045 DD 05/14/15	200,000	197,092	194,048
Fixed income securities	AMGEN INC 5.150% 11/15/2041 DD 11/10/11	1,100,000	1,142,068	1,130,525
Fixed income securities	AMGEN INC 5.375% 05/15/2043 DD 05/15/12	500,000	545,575	533,090
Fixed income securities	BECTON DICKINSON AND CO 4.685% 12/15/2044 DD 12/15/14	200,000	197,570	200,166
Fixed income securities	BIOGEN INC 5.200% 09/15/2045 DD 09/15/15	300,000	300,316	302,715
Fixed income securities	BOSTON SCIENTIFIC CORP 7.375% 01/15/2040 DD 12/14/09	400,000	385,368	505,988
Fixed income securities	GILEAD SCIENCES INC 4.750% 03/01/2046 DD 09/14/15	200,000	199,174	200,922
Fixed income securities	HCA INC 6.500% 02/15/2020 DD 08/01/11	100,000	100,125	109,000
Fixed income securities	MEDTRONIC INC 4.375% 03/15/2035 DD 03/15/15	50,000	49,559	50,545
Fixed income securities	MEDTRONIC INC 4.625% 03/15/2045 DD 03/15/15	350,000	349,062	360,885
Fixed income securities	PFIZER INC 5.600% 09/15/2040 DD 09/15/15	600,000	710,202	710,202
Fixed income securities	UNITEDHEALTH GROUP INC 4.750% 07/15/2045 DD 07/23/15	700,000	705,421	738,927
Fixed income securities	ZIMMER BIOMET HOLDINGS INC 4.450% 08/15/2045 DD 03/19/15	500,000	478,706	462,210
Fixed income securities	DR HORTON INC 4.750% 02/15/2023 DD 02/05/13	150,000	140,250	150,750
Fixed income securities	WHIRLPOOL CORP 5.150% 03/01/2043 DD 02/27/13	200,000	199,272	203,622
Fixed income securities	REYNOLDS GROUP ISSUER INC / RE STEP 02/15/2021 DD 02/15/12	300,000	294,000	310,530
Fixed income securities	AON PLC 4.750% 05/15/2045 DD 05/20/15	500,000	498,415	489,650
Fixed income securities	AMERICAN INTERNATIONAL GROUP I 4.375% 01/15/2055 DD 01/15/15	100,000	92,423	91,019
Fixed income securities	AMERICAN INTERNATIONAL GROUP I 3.875% 01/15/2035 DD 01/15/15	400,000	398,676	367,952
Fixed income securities	AMERICAN INTERNATIONAL GROUP I 4.800% 07/10/2045 DD 07/10/15	700,000	694,827	714,602
Fixed income securities	CNO FINANCIAL GROUP INC 5.250% 05/30/2025 DD 05/19/15	200,000	200,000	203,000
Fixed income securities	PRUDENTIAL FINANCIAL INC 6.200% 11/15/2040 DD 11/18/10	200,000	198,816	239,840
Fixed income securities	TIME WARNER CABLE INC 5.250% 07/15/2042	50,000	83,667	65,357
Fixed income securities	FORTUNE BRANDS HOME & SECURITY 4.000% 06/15/2025 DD 06/15/15	200,000	198,714	201,526
Fixed income securities	MASCO CORP 7.750% 08/01/2029 DD 08/03/99	200,000	221,000	223,500
Fixed income securities	GOLDCORP INC 5.450% 06/09/2044 DD 06/09/14	300,000	298,551	277,686
Fixed income securities	TECK RESOURCES LTD 6.000% 08/15/2040 DD 08/17/10	350,000	354,877	192,500
Fixed income securities	FREEPORT-MCMORAN INC 5.450% 03/15/2043 DD 09/15/13	300,000	295,734	208,500
Fixed income securities	SINOPEC GROUP OVERSEAS DE REGS 4.375% 04/10/2024 DD 04/10/14	300,000	312,399	312,117
Fixed income securities	CNOOC NEXEN FINANCE 2014 ULC 4.250% 04/30/2024 DD 04/30/14	300,000	298,695	302,280
Fixed income securities	ENCANA CORP 6.500% 02/01/2038 DD 12/04/07	250,000	229,229	220,575
Fixed income securities	ODEBRECHT DRILLING NORBE REGS 6.350% 06/30/2022 DD 11/18/10	164,000	130,790	57,810
Fixed income securities	PETROLEOS MEXICANOS 5.500% 06/27/2044 DD 06/26/12	700,000	643,750	561,750
Fixed income securities	PETROLEOS MEXICANOS 6.375% 01/23/2045 DD 07/23/14	200,000	198,504	179,680
Fixed income securities	PETROLEOS MEXICANOS REGS 5.625% 01/23/2046 DD 01/23/15	700,000	643,113	569,695
Fixed income securities	PETROBRAS GLOBAL FINANCE BV 5.625% 05/20/2043 DD 05/20/13	400,000	318,849	245,000

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Schedule H, Line 4i – Schedule of Assets (Held at End of Year) (continued)

September 30, 2015

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	Share/Par Value	Cost	Current Value
Corporate debt-other (continued)				
Fixed income securities	PETROBRAS GLOBAL FINANCE BV 4.375% 05/20/2023 DD 05/20/13	800,000	\$ 660,743	\$ 522,000
Fixed income securities	PETROBRAS GLOBAL FINANCE BV 7.875% 03/15/2019	300,000	333,840	247,500
Fixed income securities	PETROBRAS GLOBAL FINANCE BV VAR RT 01/15/2019 DD 05/20/13	200,000	176,750	138,000
Fixed income securities	ANADARKO PETROLEUM CORP 7.000% 11/15/2027 DD 11/24/97	400,000	360,463	435,516
Fixed income securities	ANADARKO PETROLEUM CORP 6.450% 09/15/2036 DD 09/19/06	300,000	299,820	330,042
Fixed income securities	ANADARKO PETROLEUM CORP 7.950% 06/15/2039 DD 06/12/09	450,000	448,484	573,674
Fixed income securities	BUCKEYE PARTNERS LP 5.600% 10/15/2044 DD 09/12/14	100,000	97,669	89,083
Fixed income securities	CALIFORNIA RESOURCES CORP 6.000% 11/15/2024 DD 10/01/14	250,000	250,000	148,908
Fixed income securities	DEVON ENERGY CORP 7.950% 04/15/2032 DD 03/25/02	150,000	180,677	184,373
Fixed income securities	KINDER MORGAN ENERGY PARTNERS 4.700% 11/01/2042 DD 11/08/12	200,000	201,342	150,668
Fixed income securities	ENERGY TRANSFER PARTNERS LP 7.500% 07/01/2038 DD 03/28/08	900,000	946,710	944,145
Fixed income securities	ENTERPRISE PRODUCTS OPERATING 5.700% 02/15/2042 DD 08/24/11	400,000	452,040	400,396
Fixed income securities	ENTERPRISE PRODUCTS OPERATING 4.850% 03/15/2044 DD 03/18/13	800,000	796,952	713,176
Fixed income securities	ENTERPRISE PRODUCTS OPERATING 5.100% 02/15/2045 DD 02/12/14	200,000	199,690	182,556
Fixed income securities	KINDER MORGAN ENERGY PARTNERS 6.950% 01/15/2038 DD 06/21/07	1,300,000	1,153,867	1,288,196
Fixed income securities	KINDER MORGAN ENERGY PARTNERS 5.000% 03/01/2043 DD 02/28/13	200,000	199,044	156,304
Fixed income securities	KINDER MORGAN INC/DE 5.550% 06/01/2045 DD 11/26/14	50,000	49,832	41,532
Fixed income securities	MAGELLAN MIDSTREAM PARTNERS LP 4.200% 03/15/2045 DD 03/04/15	100,000	99,965	83,167
Fixed income securities	MARATHON OIL CORP 5.200% 06/01/2045 DD 06/10/15	100,000	99,941	84,345
Fixed income securities	MARKWEST ENERGY PARTNERS LP / 4.500% 07/15/2023 DD 01/10/13	700,000	700,000	642,390
Fixed income securities	NEWFIELD EXPLORATION CO 5.750% 01/30/2022 DD 09/30/11	200,000	197,000	194,000
Fixed income securities	ONEOK PARTNERS LP 6.650% 10/01/2036 DD 09/25/06	600,000	721,650	598,464
Fixed income securities	ONEOK PARTNERS LP 6.125% 02/01/2041 DD 01/26/11	100,000	119,861	89,982
Fixed income securities	PIONEER NATURAL RESOURCES CO 7.500% 01/15/2020 DD 11/13/09	100,000	124,000	114,985
Fixed income securities	PLAINS ALL AMERICAN PIPELINE L 5.150% 06/01/2042 DD 03/22/12	200,000	224,904	185,236
Fixed income securities	PLAINS ALL AMERICAN PIPELINE L 4.300% 01/31/2043 DD 12/10/12	800,000	799,400	668,736
Fixed income securities	PRIDE INTERNATIONAL INC 7.875% 08/15/2040 DD 08/06/10	260,000	269,809	218,587
Fixed income securities	REGENCY ENERGY PARTNERS LP / R 4.500% 11/01/2023 DD 11/01/13	100,000	88,750	92,445
Fixed income securities	SABINE PASS LIQUEFACTION LLC 5.750% 05/15/2024 DD 11/15/14	500,000	489,375	445,000

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Schedule H, Line 4i – Schedule of Assets (Held at End of Year) (continued)

September 30, 2015

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	Share/Par Value	Cost	Current Value
Corporate debt-other (continued)				
Fixed income securities	SOUTHWESTERN ENERGY CO 4.950% 01/23/2025 DD 01/23/15	250,000	\$ 249,455	\$ 223,435
Fixed income securities	SPECTRA ENERGY PARTNERS LP 4.500% 03/15/2045 DD 03/12/15	300,000	297,702	254,478
Fixed income securities	TARGA RESOURCES PARTNERS LP / 4.250% 11/15/2023 DD 05/14/13	600,000	597,375	499,500
Fixed income securities	WILLIAMS PARTNERS LP 4.500% 11/15/2023 DD 11/15/13	100,000	100,427	93,727
Fixed income securities	WILLIAMS PARTNERS LP 4.900% 01/15/2045 DD 06/27/14	400,000	396,916	297,852
Fixed income securities	EL PASO NATURAL GAS CO LLC VAR RT 06/15/2032 DD 06/15/03	1,200,000	1,204,500	1,355,172
Fixed income securities	DOMTAR CORP 6.750% 02/15/2044 DD 11/26/13	100,000	107,605	100,165
Fixed income securities	INTERNATIONAL PAPER CO 5.000% 09/15/2035 DD 05/26/15	300,000	297,867	294,147
Fixed income securities	INTERNATIONAL PAPER CO 5.150% 05/15/2046 DD 05/26/15	200,000	198,260	195,380
Fixed income securities	CREDIT AGRICOLE SA REGS VAR RT 04/29/2049	300,000	437,188	332,782
Fixed income securities	BANCO BILBAO VIZCAYA ARGE REGS VAR RT 12/29/2049	400,000	585,399	434,221
Fixed income securities	BANCO SANTANDER SA REGS VAR RT 09/11/2049	400,000	480,655	415,245
Fixed income securities	CREDIT AGRICOLE SA REGS VAR RT 01/29/2049 DD 01/23/14	300,000	322,125	298,500
Fixed income securities	CREDIT SUISSE GROUP AG REGS VAR RT 12/29/2049	200,000	223,500	208,250
Fixed income securities	HSBC HOLDINGS PLC VAR RT 12/29/2049 DD 09/17/14	600,000	600,000	573,750
Fixed income securities	ROYAL BANK OF SCOTLAND GROUP P VAR RT 12/29/2049 DD 08/10/15	200,000	200,000	201,500
Fixed income securities	BANCO DO BRASIL SA/CAYMAN 144A VAR RT 10/29/2049 DD 01/31/13	200,000	140,500	94,000
Fixed income securities	BANCO DO BRASIL SA/CAYMAN 144A VAR RT 06/29/2049 DD 06/18/14	400,000	315,250	232,828
Fixed income securities	SOCIETE GENERALE SA 144A VAR RT 09/29/2049 DD 09/29/15	300,000	300,000	295,500
Fixed income securities	INTESA SANPAOLO SPA 144A VAR RT 12/29/2049 DD 09/17/15	200,000	200,000	195,000
Fixed income securities	CREDIT SUISSE GROUP AG 144A VAR RT 12/29/2049 DD 12/11/13	400,000	400,000	416,500
Fixed income securities	GOODMAN FUNDING PTY LTD 144A 6.375% 11/12/2020 DD 11/12/10	300,000	299,607	347,616
Fixed income securities	NEWCREST FINANCE PTY LTD 144A 5.750% 11/15/2041 DD 11/15/11	200,000	201,048	151,342
Fixed income securities	STATE GRID OVERSEAS INVES 144A 4.375% 05/22/2043 DD 05/22/13	300,000	294,390	297,273
Fixed income securities	CANADIAN OIL SANDS LTD 144A 6.000% 04/01/2042 DD 03/29/12	400,000	454,152	289,432
Fixed income securities	WEST FRASER TIMBER CO LTD 144A 4.350% 10/15/2024 DD 10/15/14	100,000	100,000	96,827
Fixed income securities	SAUDI ELECTRICITY GLOBAL 144A 5.060% 04/08/2043 DD 04/08/13	200,000	200,000	187,000
Fixed income securities	WYNN MACAU LTD 144A 5.250% 10/15/2021 DD 10/16/13	300,000	304,339	260,439
Fixed income securities	CORP NACIONAL DEL COBRE D 144A 5.625% 10/18/2043 DD 10/18/13	200,000	195,746	187,778
Fixed income securities	ELECTRICITE DE FRANCE SA 144A 6.950% 01/26/2039 DD 01/26/09	100,000	105,969	129,417
Fixed income securities	ELECTRICITE DE FRANCE SA 144A 4.875% 01/22/2044 DD 01/22/14	100,000	97,702	106,316
Fixed income securities	CREDIT SUISSE GROUP FUNDI 144A 4.875% 05/15/2045 DD 05/21/15	500,000	498,365	489,975

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Schedule H, Line 4i – Schedule of Assets (Held at End of Year) (continued)

September 30, 2015

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	Share/Par Value	Cost	Current Value
Corporate debt-other (continued)				
Fixed income securities	AWAS AVIATION CAPITAL LTD 144A 7.000% 10/17/2016 DD 10/18/10	198,800	\$ 198,800	\$ 198,800
Fixed income securities	NIPPON LIFE INSURANCE CO 144A VAR RT 10/18/2042 DD 10/18/12	200,000	200,000	208,660
Fixed income securities	NIPPON LIFE INSURANCE CO 144A VAR RT 10/16/2044 DD 10/16/14	300,000	300,000	309,000
Fixed income securities	CEMEX SAB DE CV 144A 5.875% 03/25/2019 DD 03/25/13	200,000	200,000	195,000
Fixed income securities	PETROLEOS MEXICANOS 144A 5.500% 06/27/2044 DD 06/27/14	500,000	462,535	401,250
Fixed income securities	HBOS PLC 144A 6.750% 05/21/2018 DD 05/21/08	900,000	654,377	991,971
Fixed income securities	ALLEGHENY ENERGY SUPPLY C 144A 6.750% 10/15/2039 DD 10/01/09	400,000	447,708	398,008
Fixed income securities	AMERICAN TRANSMISSION SYS 144A 5.000% 09/01/2044 DD 09/25/14	100,000	99,007	102,477
Fixed income securities	AVIATION CAPITAL GROUP CO 144A 3.875% 09/27/2016 DD 09/27/13	400,000	400,000	404,000
Fixed income securities	BAXALTA INC 144A 5.250% 06/23/2045 DD 06/23/15	100,000	99,342	100,935
Fixed income securities	BUILDING MATERIALS CORP O 144A 6.750% 05/01/2021 DD 04/29/11	400,000	444,500	426,000
Fixed income securities	BUILDING MATERIALS CORP O 144A 5.375% 11/15/2024 DD 11/10/14	350,000	350,000	345,625
Fixed income securities	CVS PASS-THROUGH TRUST 144A 7.507% 01/10/2032 DD 12/22/09	174,540	209,378	216,013
Fixed income securities	CVS PASS-THROUGH TRUST 144A 5.773% 01/10/2033 DD 12/17/10	527,456	527,456	580,750
Fixed income securities	CANTOR FITZGERALD LP 144A 6.500% 06/17/2022 DD 06/17/15	200,000	200,000	210,418
Fixed income securities	CCO SAFARI II LLC 144A 6.484% 10/23/2045 DD 07/23/15	800,000	800,000	807,080
Fixed income securities	COX COMMUNICATIONS INC 144A 4.800% 02/01/2035 DD 12/08/14	500,000	499,820	443,155
Fixed income securities	DIGITAL DELTA HOLDINGS LL 144A 4.750% 10/01/2025 DD 10/01/15	300,000	300,000	304,287
Fixed income securities	DUQUESNE LIGHT HOLDINGS I 144A 6.400% 09/15/2020 DD 09/13/10	300,000	297,816	344,646
Fixed income securities	FIRSTENERGY TRANSMISSION 144A 5.450% 07/15/2044 DD 05/19/14	100,000	99,560	103,039
Fixed income securities	HOWARD HUGHES CORP/THE 144A 6.875% 10/01/2021 DD 10/02/13	300,000	318,750	304,770
Fixed income securities	INTERNATIONAL LEASE FINAN 144A 7.125% 09/01/2018 DD 08/20/10	200,000	199,992	219,980
Fixed income securities	JEFFERIES FINANCE LLC / J 144A 6.875% 04/15/2022 DD 03/31/14	600,000	574,500	546,000
Fixed income securities	MECCANICA HOLDINGS USA IN 144A 6.250% 01/15/2040 DD 10/27/09	200,000	175,250	192,000
Fixed income securities	NGPL PIPECO LLC 144A 7.768% 12/15/2037 DD 12/21/07	250,000	252,603	210,000
Fixed income securities	PACIFIC LIFE INSURANCE CO 144A 9.250% 06/15/2039 DD 06/19/09	500,000	650,004	745,335
Fixed income securities	POST HOLDINGS INC 144A 8.000% 07/15/2025 DD 08/18/15	100,000	100,000	103,000
Fixed income securities	JM SMUCKER CO/THE 144A 4.250% 03/15/2035 DD 03/20/15	100,000	99,627	96,375
Fixed income securities	JM SMUCKER CO/THE 144A 4.375% 03/15/2045 DD 03/20/15	100,000	98,310	96,085

St. Luke's Health System Retirement Plan

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Schedule H, Line 4i – Schedule of Assets (Held at End of Year) (continued)

September 30, 2015

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	Share/Par Value	Cost	Current Value
Corporate debt-other (continued)				
Fixed income securities	UNIVISION COMMUNICATIONS 144A 6.750% 09/15/2022 DD 08/29/12	318,000	\$ 327,143	\$ 329,130
Fixed income securities	WEA FINANCE LLC / WESTFIE 144A 4.750% 09/17/2044 DD 09/17/14	200,000	199,366	192,918
Fixed income securities	WYNN LAS VEGAS LLC / WYNN 144A 4.250% 05/30/2023 DD 05/22/13	800,000	767,000	661,000
Fixed income securities	WYNN LAS VEGAS LLC / WYNN 144A 5.500% 03/01/2025 DD 02/18/15	800,000	801,083	686,000
Fixed income securities	CORPORATE OFFICE PROPERTIES LP 5.000% 07/01/2025 DD 06/29/15	300,000	298,530	300,777
Fixed income securities	CROWN CASTLE INTERNATIONAL COR 5.250% 01/15/2023 DD 10/15/12	500,000	487,875	528,850
Fixed income securities	ERP OPERATING LP 4.500% 06/01/2045 DD 05/14/15	100,000	99,622	100,905
Fixed income securities	HCP INC 6.750% 02/01/2041 DD 01/24/11	100,000	98,945	123,491
Fixed income securities	SL GREEN REALTY CORP 4.500% 12/01/2022 DD 11/15/12	500,000	500,000	516,370
Fixed income securities	WEYERHAEUSER CO 7.950% 03/15/2025 DD 03/15/95	200,000	224,042	252,442
Fixed income securities	WEYERHAEUSER CO 6.950% 10/01/2027 DD 10/08/97	100,000	124,182	119,739
Fixed income securities	WEYERHAEUSER CO 7.375% 03/15/2032 DD 09/15/02	1,200,000	1,316,206	1,508,304
Fixed income securities	WEYERHAEUSER CO 6.875% 12/15/2033 DD 12/17/02	100,000	125,121	119,120
Fixed income securities	LOWE'S COS INC 4.375% 09/15/2045 DD 09/16/15	300,000	292,896	303,465
Fixed income securities	QVC INC 4.850% 04/01/2024 DD 03/18/14	400,000	399,708	387,568
Fixed income securities	WALGREENS BOOTS ALLIANCE INC 4.500% 11/18/2034 DD 11/18/14	100,000	99,335	94,797
Fixed income securities	ALAMEDA CNTY CA JT PWRS AUTH L 7.046% 12/01/2044 DD 11/04/10	1,300,000	1,300,000	1,680,016
Fixed income securities	AMERICAN MUNI PWR-OHIO INC OH 7.834% 02/15/2041 DD 12/21/10	400,000	561,584	548,276
Fixed income securities	BAY AREA CA TOLL AUTH TOLL BRI 6.907% 10/01/2050 DD 11/04/10	1,200,000	1,200,000	1,634,280
Fixed income securities	CHICAGO IL 6.050% 01/01/2029 DD 01/28/09	100,000	95,750	96,430
Fixed income securities	CHICAGO IL 7.750% 01/01/2042 DD 07/21/15	300,000	292,998	300,855
Fixed income securities	LOS ANGELES CA CMNTY CLG DIST 6.750% 08/01/2049 DD 07/22/10	400,000	441,588	552,808
Fixed income securities	LOS ANGELES CNTY CA PUBLIC WKS 7.618% 08/01/2040 DD 11/23/10	800,000	800,000	1,100,144
Fixed income securities	NEW JERSEY ST TRANSPRTN TRUST 6.875% 12/15/2039 DD 06/03/09	600,000	590,510	629,316
Fixed income securities	NEW YORK ST DORM AUTH ST PERSO 5.600% 03/15/2040 DD 06/03/10	500,000	512,165	610,770
Fixed income securities	NEWPORT BEACH CA COPS 7.168% 07/01/2040 DD 11/30/10	500,000	500,000	649,680
Fixed income securities	PORT AUTH OF NEW YORK & NEW JE 4.458% 10/01/2062 DD 10/10/12	800,000	787,880	773,088
Fixed income securities	SAN DIEGO CNTY CA WTR AUTH FIN 6.138% 05/01/2049 DD 02/04/10	100,000	100,000	125,837
Fixed income securities	SAN FRANCISCO CITY & CNTY CA P 6.000% 11/01/2040 DD 08/04/10	400,000	411,252	485,912
Fixed income securities	TRIBOROUGH NY BRIDGE & TUNNEL 5.550% 11/15/2040 DD 10/28/10	900,000	867,204	1,041,597

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Schedule H, Line 4i – Schedule of Assets (Held at End of Year) (continued)

September 30, 2015

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	Share/Par Value	Cost	Current Value
Corporate debt-other (continued)				
Fixed income securities	UNIV OF CALIFORNIA CA RGTS MED 6.583% 05/15/2049 DD 12/17/09	500,000	\$ 500,000	\$ 641,810
Fixed income securities	UNIV OF CALIFORNIA CA RGTS MED 6.548% 05/15/2048 DD 11/18/10	500,000	500,000	638,715
Fixed income securities	WASHINGTON ST CONVENTION CENTE 6.790% 07/01/2040 DD 11/30/10	1,200,000	1,200,000	1,487,100
Fixed income securities	KLA-TENCOR CORP 5.650% 11/01/2034 DD 11/06/14	100,000	99,764	97,243
Fixed income securities	MICROSOFT CORP 3.750% 02/12/2045 DD 02/12/15	600,000	596,682	554,388
Fixed income securities	ORACLE CORP 4.125% 05/15/2045 DD 05/05/15	500,000	497,945	471,795
Fixed income securities	QUALCOMM INC 4.650% 05/20/2035 DD 05/20/15	200,000	199,124	182,554
Fixed income securities	QUALCOMM INC 4.800% 05/20/2045 DD 05/20/15	300,000	298,392	262,347
Fixed income securities	AVAGO 4/14 COV-LITE TLB	774,818	775,571	773,687
Fixed income securities	ACTIVISION 10/13 COV-LITE TLB	74,750	74,376	74,719
Fixed income securities	CABLEVISION SYSTEMS 4/13 TLB	692,000	687,678	686,699
Fixed income securities	CROWN CASTLE 12/13 EXTENDED INCREMENTAL TLB2	1,388,894	1,363,435	1,383,061
Fixed income securities	DELL 9/13 (USD) COV-LITE TLC	171,268	170,703	170,840
Fixed income securities	EFIH 6/14 DIP TL	1,013,151	1,010,020	1,009,352
Fixed income securities	HEINZ 3/13 (USD) COV-LITE TLB2 0.000% 06/05/2020 DD 03/13/13	—	—	—
Fixed income securities	HILTON HOTELS 9/13 COV-LITE TL	605,500	606,257	603,478
Fixed income securities	LAS VEGAS SANDS 12/13 TLB	692,947	690,967	685,588
Fixed income securities	NRG ENERGY 5/13 TLB	893,496	891,263	872,723
Fixed income securities	DELL 6/15 COV-LITE TLB2	1,486,502	1,480,592	1,478,148
Fixed income securities	CHARTER COMM OPERATING 7/15 TLI	400,000	399,000	397,584
Fixed income securities	ILFC (DELOS FINANCE) 2/14 TLB 0.000% 03/06/2021 DD 02/27/14	614,143	608,037	611,840
Fixed income securities	AMERICAN AIRLINES 2011-1 CLASS 5.250% 07/31/2022 DD 01/25/11	67,985	67,985	72,574
Fixed income securities	BURLINGTON NORTHERN SANTA FE L 5.750% 05/01/2040 DD 05/17/10	200,000	199,326	228,632
Fixed income securities	BURLINGTON NORTHERN SANTA FE L 4.375% 09/01/2042 DD 08/23/12	700,000	700,407	670,453
Fixed income securities	FEDEX CORP 3.900% 02/01/2035 DD 01/09/15	300,000	298,626	275,805
Fixed income securities	NORFOLK SOUTHERN CORP 4.450% 06/15/2045 DD 06/02/15	300,000	299,304	289,875
Fixed income securities	US AIRWAYS 2012-1 CLASS A PASS 5.900% 04/01/2026 DD 05/14/12	172,422	184,707	190,526
Fixed income securities	APPALACHIAN POWER CO 7.000% 04/01/2038 DD 03/25/08	500,000	687,955	645,885
Fixed income securities	BERKSHIRE HATHAWAY ENERGY CO 4.500% 02/01/2045 DD 12/04/14	225,000	223,067	223,034
Fixed income securities	CMS ENERGY CORP 4.700% 03/31/2043 DD 03/22/13	100,000	99,441	101,115
Fixed income securities	DYNEGY INC 7.375% 11/01/2022 DD 05/01/15	100,000	100,000	100,875
Fixed income securities	DYNEGY INC 7.625% 11/01/2024 DD 05/01/15	50,000	50,000	50,500
Fixed income securities	EXELON GENERATION CO LLC 5.600% 06/15/2042 DD 12/15/12	242,000	212,888	241,973
Fixed income securities	FIRSTENERGY CORP 7.375% 11/15/2031 DD 11/15/01	100,000	106,190	120,688
Fixed income securities	JERSEY CENTRAL POWER & LIGHT C 6.150% 06/01/2037 DD 12/01/07	400,000	365,664	441,816
Fixed income securities	PACIFIC GAS & ELECTRIC CO 6.250% 03/01/2039 DD 03/06/09	1,000,000	1,031,803	1,229,790
Fixed income securities	NATIONAL FUEL GAS CO 5.200% 07/15/2025 DD 06/25/15	300,000	299,058	308,508
Fixed income securities	ORANGE SA VAR RT 03/01/2031 DD 09/01/01	450,000	582,515	637,875

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Schedule H, Line 4i – Schedule of Assets (Held at End of Year) (continued)

September 30, 2015

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	Share/Par Value	Cost	Current Value
Corporate debt-other (continued)				
Fixed income securities	TELECOM ITALIA CAPITAL SA 7.721% 06/04/2038 DD 06/04/08	200,000	\$ 194,979	\$ 217,000
Fixed income securities	DEUTSCHE TELEKOM INTERNATIONAL VAR RT 06/15/2030 DD 07/06/00	1,300,000	1,516,047	1,858,584
Fixed income securities	TELEFONICA EMISIONES SAU 7.045% 06/20/2036 DD 06/20/06	300,000	313,291	351,816
Fixed income securities	AT&T INC 4.350% 06/15/2045 DD 06/15/13	3,263,000	2,255,670	2,800,731
Fixed income securities	JUNIPER NETWORKS INC 5.950% 03/15/2041 DD 03/03/11	200,000	199,910	199,718
Fixed income securities	MOTOROLA SOLUTIONS INC 5.500% 09/01/2044 DD 08/19/14	100,000	99,881	83,784
Fixed income securities	SPRINT CAPITAL CORP 6.875% 11/15/2028 DD 11/16/98	600,000	512,500	430,500
Fixed income securities	SPRINT COMMUNICATIONS INC 6.000% 11/15/2022 DD 11/14/12	400,000	400,000	301,000
Fixed income securities	VERIZON COMMUNICATIONS INC 6.000% 04/01/2041 DD 03/28/11	600,000	589,998	654,612
Fixed income securities	VERIZON COMMUNICATIONS INC 6.400% 09/15/2033 DD 09/18/13	25,000	24,975	28,640
Fixed income securities	VERIZON COMMUNICATIONS INC 6.550% 09/15/2043 DD 09/18/13	103,000	107,889	121,688
Fixed income securities	VERIZON COMMUNICATIONS INC 4.862% 08/21/2046 DD 02/21/15	2,248,000	1,686,077	2,107,972
Fixed income securities	VERIZON COMMUNICATIONS INC 5.012% 08/21/2054 DD 02/21/15	839,000	691,739	763,607
Fixed income securities	VERIZON COMMUNICATIONS INC 4.522% 09/15/2048 DD 03/13/15	342,000	263,633	300,546
Fixed income securities	VERIZON COMMUNICATIONS INC 4.672% 03/15/2055 DD 03/13/15	1,041,000	819,658	896,405
Fixed income securities	CANADIAN PACIFIC RAILWAY CO 6.125% 09/15/2115 DD 09/11/15	400,000	400,000	420,132
Fixed income securities	TRANSCANADA PIPELINES LTD 7.625% 01/15/2039 DD 01/09/09	900,000	964,566	1,150,263
Fixed income securities	XLIT LTD 5.500% 03/31/2045 DD 03/30/15	200,000	198,230	188,239
Corporate debt-other total				90,562,928
Other				
Other	INF FLR CPURNSA 218.011 PUT OCT 20 000.980 ED 10/13/20	(1,300,000)	(12,740)	(107,393)
Other	EURO-BUND FUTURE DEC 15 CALL NOV 15 157.500 ED 10/23/15	(5)	–	(2,065)
Other	EURO-BUND FUT DEC 15 PUT NOV 15 153.500 ED 10/23/15	(5)	–	(1,005)
Other	90DAY EURODOLLAR FUTURE (CME) EXP MAR 17	(61)	–	(72,663)
Other	90DAY EURODOLLAR FUTURE (CME) EXP JUN 16	(101)	–	(123,665)
Other	90DAY EURODOLLAR FUTURE (CME) EXP JUN 17	(31)	–	(22,475)
Other	90DAY EURODOLLAR FUTURE (CME) EXP JUN 18	(2)	–	(3,525)
Other	90DAY EURODOLLAR FUTURE (CME) EXP SEP 16	(132)	–	(175,922)
Other	90DAY EURODOLLAR FUTURE (CME) EXP DEC 16	(71)	–	(52,729)
Other	CDS SP UL VIRGIN MEDIA FINANC R 500BPS 2021 JUN 20	300,000	44,664	25,115
Other	CDS_SP UL VIRGIN MEDIA FINANC R 500BPS 2021 JUN 20	(300,000)	–	–
Other	CDS SP UL BANCO SANTANDER S. R 300BPS 2019 JUN 20	200,000	30,372	13,362
Other	CDS_SP UL BANCO SANTANDER S. R 300BPS 2019 JUN 20	(200,000)	–	–
Other	CDS SP UL HELLENIC REPUBLIC R 100BPS 2015 DEC 20	300,000	–	(41,692)
Other	CDS_SP UL HELLENIC REPUBLIC R 100BPS 2015 DEC 20	(300,000)	(14,982)	–
Other	CCP_CDX. SP UL ITRAXX EUROPE S REC 100BPS 2020 DEC 20	2,300,000	30,313	12,498

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Schedule H, Line 4i – Schedule of Assets (Held at End of Year) (continued)

September 30, 2015

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	Share/Par Value	Cost	Current Value
Other (continued)				
Other	CCP_CDX._SP UL ITRAXX EUROPE S REC 100BPS 2020 DEC 20	(2,300,000)	\$ –	\$ –
Other	CCP_CDX. SP UL ITRAXX EUROPE C REC 500BPS 2020 DEC 20	200,000	17,030	12,868
Other	CCP_CDX._SP UL ITRAXX EUROPE C REC 500BPS 2020 DEC 20	(200,000)	–	–
Other	CDS SP UL VOLKSWAGEN AKTIENGE R 100BPS 2020 DEC 20	300,000	–	(26,337)
Other	CDS_SP UL VOLKSWAGEN AKTIENGE R 100BPS 2020 DEC 20	(300,000)	(16,940)	–
Other	CDS SP UL VOLKSWAGEN AKTIENGE R 100BPS 2020 DEC 20	50,000	–	(4,389)
Other	CDS_SP UL VOLKSWAGEN AKTIENGE R 100BPS 2020 DEC 20	(50,000)	(3,156)	–
Other	CCP_ITRAXX SF21 5Y BP ICE REC_100BP 2017 JUN 20	1,200,000	25,392	14,179
Other	CCP ITRAXX SF21 5Y BP ICE REC_100BP 2017 JUN 20	(1,200,000)	–	–
Other	CCP ICE CDX ITRAXX XOVER20 5Y REC_500BPS 2018 DEC 20	–	–	–
Other	CCP_CDX IG17 5Y CME REC 100BPS 2016 DEC 20	1,200,000	17,151	10,084
Other	CCP CDX IG17 5Y CME REC 100BPS 2016 DEC 20	(1,200,000)	–	–
Other	CDS SP UL FEDERATIVE REPUBLIC R 100BPS 2018 DEC 20	200,000	–	(21,113)
Other	CDS_SP UL FEDERATIVE REPUBLIC R 100BPS 2018 DEC 20	(200,000)	(9,844)	–
Other	CDS SP UL UNITED MEXICAN STAT R 100BPS 2018 DEC 20	800,000	–	(8,812)
Other	CDS_SP UL UNITED MEXICAN STAT R 100BPS 2018 DEC 20	(800,000)	(1,860)	–
Other	CDS SP UL CITIGROUP INC. R 100BPS 2018 DEC 20	1,100,000	8,828	12,142
Other	CDS_SP UL CITIGROUP INC. R 100BPS 2018 DEC 20	(1,100,000)	–	–
Other	CDS SP UL BERKSHIRE HATHAWAY R 100BPS 2018 DEC 20	1,000,000	17,465	19,551
Other	CDS_SP UL BERKSHIRE HATHAWAY R 100BPS 2018 DEC 20	(1,000,000)	–	–
Other	CDS SP UL GENERAL MOTORS COMPA R 500BP 2019 MAR 20	300,000	51,106	36,453
Other	CDS-SP UL GENERAL MOTORS COMPA R 500BP 2019 MAR 20	(300,000)	–	–
Other	CDS SP UL MORGAN STANLEY R 100BPS 2019 MAR 20	100,000	133	930
Other	CDS_SP UL MORGAN STANLEY R 100BPS 2019 MAR 20	(100,000)	–	–
Other	CDS SP UL FEDERATIVE REPUBLIC R 100BPS 2024 MAR 20	200,000	–	(51,293)
Other	CDS_SP UL FEDERATIVE REPUBLIC R 100BPS 2024 MAR 20	(200,000)	(20,345)	–
Other	CDS SP UL FORD MOTOR COMPANY R 500BPS 2019 JUN 20	600,000	117,431	86,793
Other	CDS_SP UL FORD MOTOR COMPANY R 500BPS 2019 JUN 20	(600,000)	–	–
Other	CDS SP UL REPUBLIC OF ITALY R 100BPS 2019 JUN 20	1,300,000	–	4,727
Other	CDS_SP UL REPUBLIC OF ITALY R 100BPS 2019 JUN 20	(1,300,000)	(17,156)	–
Other	CDS SP UL REPUBLIC OF ITALY R 100BPS 2019 JUN 20	2,200,000	–	8,000
Other	CDS_SP UL REPUBLIC OF ITALY R 100BPS 2019 JUN 20	(2,200,000)	(10,794)	–
Other	CDS SP UL BP P.L.C. R 100BPS 2016 JUN 20	100,000	988	572
Other	CDS_SP UL BP P.L.C. R 100BPS 2016 JUN 20	(100,000)	–	–
Other	CDS SP UL REPUBLIC OF ITALY R 100BPS 2019 JUN 20	1,400,000	12,640	5,091
Other	CDS_SP UL REPUBLIC OF ITALY R 100BPS 2019 JUN 20	(1,400,000)	–	–
Other	CDS SP UL REPUBLIC OF ITALY R 100BPS 2019 JUN 20	500,000	4,754	1,818
Other	CDS_SP UL REPUBLIC OF ITALY R 100BPS 2019 JUN 20	(500,000)	–	–
Other	CDS SP UL REPUBLIC OF ITALY R 100BPS 2019 JUN 20	500,000	5,008	1,818
Other	CDS_SP UL REPUBLIC OF ITALY R 100BPS 2019 JUN 20	(500,000)	–	–
Other	CDS SP UL REPUBLIC OF ITALY R 100BPS 2019 JUN 20	300,000	2,861	1,091
Other	CDS_SP UL REPUBLIC OF ITALY R 100BPS 2019 JUN 20	(300,000)	–	–
Other	CDS SP UL RUSSIAN FEDERATION R 100BPS 2020 MAR 20	500,000	–	(48,041)
Other	CDS_SP UL RUSSIAN FEDERATION R 100BPS 2020 MAR 20	(500,000)	(81,869)	–
Other	CDS SP UL CHESAPEAKE ENERGY C R 500BPS 2020 JUN 20	300,000	12,600	(69,667)
Other	CDS_SP UL CHESAPEAKE ENERGY C R 500BPS 2020 JUN 20	(300,000)	–	–
Other	CDS SP UL REPUBLIC OF ITALY R 100BPS 2020 JUN 20	100,000	–	(182)

St. Luke's Health System Retirement Plan

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Schedule H, Line 4i – Schedule of Assets (Held at End of Year) (continued)

September 30, 2015

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	Share/Par Value	Cost	Current Value
Other (continued)				
Other	CDS_SP UL REPUBLIC OF ITALY R 100BPS 2020 JUN 20	(100,000)	\$ (128)	\$ –
Other	CDX_SP UL MCDX.NA.24-V1 R 100BPS 2020 JUN 20	200,000	763	(188)
Other	CDX_SP UL MCDX.NA.24-V1 R 100BPS 2020 JUN 20	(200,000)	–	–
Other	CDX_SP UL MCDX.NA.24-V1 R 100BPS 2020 JUN 20	300,000	1,145	(282)
Other	CDX_SP UL MCDX.NA.24-V1 R 100BPS 2020 JUN 20	(300,000)	–	–
Other	CDX_SP UL MCDX.NA.24-V1 R 100BPS 2020 JUN 20	200,000	825	(188)
Other	CDX_SP UL MCDX.NA.24-V1 R 100BPS 2020 JUN 20	(200,000)	–	–
Other	CDS_SP UL BRAZIL R 100BPS 2020 SEP 20	400,000	–	(63,503)
Other	CDS_SP UL BRAZIL R 100BPS 2020 SEP 20	(400,000)	(30,193)	–
Other	CDS_SP UL UNITED MEXICAN STAT R 100BPS 2020 SEP 20	850,000	–	(29,066)
Other	CDS_SP UL UNITED MEXICAN STAT R 100BPS 2020 SEP 20	(850,000)	(17,007)	–
Other	CDS_SP UL UNITED MEXICAN STAT R 100BPS 2020 SEP 20	150,000	–	(5,129)
Other	CDS_SP UL UNITED MEXICAN STAT R 100BPS 2020 SEP 20	(150,000)	(2,945)	–
Other	CDS_SP UL UNITED MEXICAN STAT R 100BPS 2020 SEP 20	100,000	–	(3,419)
Other	CDS_SP UL UNITED MEXICAN STAT R 100BPS 2020 SEP 20	(100,000)	(1,727)	–
Other	CDS_SP UL CHESAPEAKE ENERGY C R 500BPS 2020 SEP 20	500,000	–	(119,738)
Other	CDS_SP UL CHESAPEAKE ENERGY C R 500BPS 2020 SEP 20	(500,000)	(48,082)	–
Other	CDS_SP UL PEOPLE'S REPUBLIC O R 100BPS 2020 SEP 20	200,000	200	(2,012)
Other	CDS_SP UL PEOPLE'S REPUBLIC O R 100BPS 2020 SEP 20	(200,000)	–	–
Other	CDS_SP UL PEOPLE'S REPUBLIC O R 100BPS 2020 SEP 20	100,000	95	(1,006)
Other	CDS_SP UL PEOPLE'S REPUBLIC O R 100BPS 2020 SEP 20	(100,000)	–	–
Other	CDS_SP UL PEOPLE'S REPUBLIC O R 100BPS 2020 SEP 20	100,000	71	(1,006)
Other	CDS_SP UL PEOPLE'S REPUBLIC O R 100BPS 2020 SEP 20	(100,000)	–	–
Other	CDS_SP UL SPRINT COMMUNICATIO R 500BPS 2020 SEP 20	300,000	1,264	(41,890)
Other	CDS_SP UL SPRINT COMMUNICATIO R 500BPS 2020 SEP 20	(300,000)	–	–
Other	CDS_SP UL RUSSIAN FEDERATION R 100BPS 2020 SEP 20	200,000	–	(21,515)
Other	CDS_SP UL RUSSIAN FEDERATION R 100BPS 2020 SEP 20	(200,000)	(26,970)	–
Other	CDX_SP UL CMBX.NA.AAA.8_1 R 50BPS 2057 OCT 17	900,000	–	(50,354)
Other	CDX_SP UL CMBX.NA.AAA.8_1 R 50BPS 2057 OCT 17	(900,000)	(44,330)	–
Other	CDX_SP UL CMBX.NA.AAA.8_1 R 50BPS 2057 OCT 17	500,000	–	(27,974)
Other	CDX_SP UL CMBX.NA.AAA.8_1 R 50BPS 2057 OCT 17	(500,000)	(24,141)	–
Other	CDS_SP UL METLIFE INC. R 100BPS 2020 SEP 20	400,000	5,677	1,238
Other	CDS_SP UL METLIFE INC. R 100BPS 2020 SEP 20	(400,000)	–	–
Other	CCP CDS_SP UL CDX.NA.IG.25 R 100 BPS 2020 DEC 20 ICE	3,500,000	13,262	11,547
Other	CCP CDS_SP UL CDX.NA.IG.25 R 100 BPS 2020 DEC 20	(3,500,000)	–	–
Other	CDS_SP UL PEOPLE'S REPUBLIC O R 100BPS 2020 DEC 20	300,000	–	(3,868)
Other	CDS_SP UL PEOPLE'S REPUBLIC O R 100BPS 2020 DEC 20	(300,000)	(3,759)	–
Other	CCP CDX_SP UL HY25 5Y ICE R 500BSP 2020 DEC 20	300,000	–	(343)
Other	CCP CDX_SP UL HY25 5Y ICE R 500BSP 2020 DEC 20	(300,000)	(561)	–
Other	CDS_SP UL ABU DHABI R 100BPS 2016 JUN 20	300,000	258	1,551
Other	CDS_SP UL ABU DHABI R 100BPS 2016 JUN 20	(300,000)	–	–
Other	CDS_SP UL PETROLEO BRASILEIRO R 100BPS 2018 MAR 20	400,000	–	(78,399)
Other	CDS_SP UL PETROLEO BRASILEIRO R 100BPS 2018 MAR 20	(400,000)	(16,972)	–
Other	CDS_SP UL BP P.L.C. R 100BPS 2016 MAR 20	100,000	255	373
Other	CDS_SP UL BP P.L.C. R 100BPS 2016 MAR 20	(100,000)	–	–
Other	CDS_SP UL PETROLEO BRASILEIRO R 100BPS 2018 JUN 20	500,000	–	(107,174)
Other	CDS_SP UL PETROLEO BRASILEIRO R 100BPS 2018 JUN 20	(500,000)	(23,711)	–
Other	CDS_SP UL DANONE R 100BPS 2016 MAR 20	100,000	1,667	427
Other	CDS_SP UL DANONE R 100BPS 2016 MAR 20	(100,000)	–	–
Other	CDS_SP UL CANADIAN NATURAL RE R 100BPS 2015 DEC 20	200,000	685	240

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Other (continued)				
Other	CDS_SP UL CANADIAN NATURAL RE R 100BPS 2015 DEC 20	(200,000)	\$ –	\$ –
Other	CDS SP UL CATERPILLAR INC. R 100BPS 2016 MAR 20	300,000	4,324	1,289
Other	CDS_SP UL CATERPILLAR INC. R 100BPS 2016 MAR 20	(300,000)	–	–
Other	CDS SP UL CATERPILLAR INC. R 100BPS 2016 MAR 20	100,000	1,422	430
Other	CDS_SP UL CATERPILLAR INC. R 100BPS 2016 MAR 20	(100,000)	–	–
Other	CDS SP UL COMCAST CORPORATION R 100BPS 2015 DEC 20	300,000	–	637
Other	CDS_SP UL COMCAST CORPORATION R 100BPS 2015 DEC 20	(300,000)	(879)	–
Other	CDS SP UL TIME WARNER CABLE I R 100BPS 2015 DEC 20	200,000	–	378
Other	CDS_SP UL TIME WARNER CABLE I R 100BPS 2015 DEC 20	(200,000)	(1,946)	–
Other	CDS SP UL BP P.L.C. R 100BPS 2016 MAR 20	100,000	255	373
Other	CDS_SP UL BP P.L.C. R 100BPS 2016 MAR 20	(100,000)	–	–
Other	CDS SP UL CANADIAN NATURAL RE R 100BPS 2015 DEC 20	100,000	546	120
Other	CDS_SP UL CANADIAN NATURAL RE R 100BPS 2015 DEC 20	(100,000)	–	–
Other	CDS SP UL POTASH CORPORATION R 100BPS 2015 DEC 20	500,000	–	1,000
Other	CDS_SP UL POTASH CORPORATION R 100BPS 2015 DEC 20	(500,000)	(1,452)	–
Other	CDS SP UL BP P.L.C. R 100BPS 2016 MAR 20	100,000	255	373
Other	CDS_SP UL BP P.L.C. R 100BPS 2016 MAR 20	(100,000)	–	–
Other	CDS SP UL BHP BILLITON LIMITE R 100BPS 2015 DEC 20	100,000	755	139
Other	CDS_SP UL BHP BILLITON LIMITE R 100BPS 2015 DEC 20	(100,000)	–	–
Other	CDS SP UL CATERPILLAR INC. R 100BPS 2016 MAR 20	100,000	1,434	430
Other	CDS_SP UL CATERPILLAR INC. R 100BPS 2016 MAR 20	(100,000)	–	–
Other	CDS SP UL GENERAL ELECTRIC CA R 100BPS 2015 DEC 20	300,000	–	595
Other	CDS_SP UL GENERAL ELECTRIC CA R 100BPS 2015 DEC 20	(300,000)	(8,548)	–
Other	CDS SP UL D R HORTON INC R 100BPS 2018 SEP 20	200,000	–	941
Other	CDS_SP UL D R HORTON INC R 100BPS 2018 SEP 20	(200,000)	(12,412)	–
Other	CDS SP UL TOYOTA MOTOR CREDIT R 100BPS 2016 JUN 20	200,000	–	1,310
Other	CDS_SP UL TOYOTA MOTOR CREDIT R 100BPS 2016 JUN 20	(200,000)	(1,148)	–
Other	CDS SP UL UNITED KINGDOM OF G R 100BPS 2017 SEP 20	1,500,000	35,482	28,650
Other	CDS_SP UL UNITED KINGDOM OF G R 100BPS 2017 SEP 20	(1,500,000)	–	–
Other	CDS SP UL ABU DHABI R 100BPS 2016 MAR 20	100,000	26	337
Other	CDS_SP UL ABU DHABI R 100BPS 2016 MAR 20	(100,000)	–	–
Other	CDS SP UL NRG ENERGY INC. R 500BPS 2019 MAR 20	300,000	–	11,386
Other	CDS_SP UL NRG ENERGY INC. R 500BPS 2019 MAR 20	(300,000)	(21,833)	–
Other	CDS SP UL MGM RESORTS INTERNA R 500BPS 2018 MAR 20	400,000	7,599	27,389
Other	CDS_SP UL MGM RESORTS INTERNA R 500BPS 2018 MAR 20	(400,000)	–	–
Other	CCP UL CDX.NA.HY.18 (ICE) P 500BPS 2017 JUN 20	1,350,000	22,237	14,392
Other	CCP-UL CDX.NA.HY.18 (ICE) P 500BPS 2017 JUN 20..	(1,350,000)	–	–
Other	CCP CDX SP UL NA.IG.19 1.000% 12/20/2017 DD 09/20/12	1,800,000	14,065	21,433
Other	CCP_CDX SP UL NA.IG.19 2017 DEC 20	(1,800,000)	–	–
Other	CCP CDS SP CDX.NA.IG.24 5Y ICE 1.000% 06/20/2020 DD 03/20/15	24,100,000	414,063	121,464
Other	CCP CDS SP CDX.NA.IG.24 5Y ICE VAR RT 06/20/2020 DD 03/20/15	(24,100,000)	–	–
Other	CCP CDX_SP UL CDX HY24 5Y 5.000% 06/20/2020 DD 03/20/15	10,763,500	746,144	299,279
Other	CCP CDX SP UL CDX HY 24 5Y R 500BP 2020 JUN 20 ICE	(10,763,500)	–	–
Other	IFL_L UL CPI URBAN P 1.3025% 2020 SEP 30	(1,050,000)	–	–
Other	IFL L UL CPI URBAN P 1.3025% 2020 SEP 30	1,050,000	–	1,068
Other	IFL_L UL CPI URBAN P 1.2725% 2020 SEP 30	(550,000)	–	–
Other	IFL L UL CPI URBAN P 1.2725% 2020 SEP 30	550,000	–	1,378
Other	IFL L UL CPI URBAN R 1.2775% 2020 OCT 01	550,000	–	1,251

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	Share/Par Value	Cost	Current Value
Other (continued)				
Other	IFL _L UL CPI URBAN R 1.2775% 2020 OCT 01	(550,000)	\$ –	\$ –
Other	IFL_PAY 1.5325 R US CPI 2016 NOV 07	(300,000)	–	–
Other	IFL_PAY 1.5325 R US CPI 2016 NOV 07	300,000	8	(6,685)
Other	IFL_PAY 1.54 R US CPI 2016 NOV 07	(100,000)	–	–
Other	IFL_PAY 1.54 R US CPI 2016 NOV 07	100,000	–	(2,244)
Other	ZCS_R BRL CID P BRL 11 % 2021 JAN 04	(200,000)	–	–
Other	ZCS_R BRL CID P BRL 11 % 2021 JAN 04	200,000	2,459	6,803
Other	IRS P BRL-CDI DAILY R 11 UAG 2021 JAN 04	200,000	–	(6,803)
Other	IRS_P BRL-CDI DAILY R 11 UAG 2021 JAN 04	(200,000)	(567)	–
Other	IRS_ZCS P BRL CDI R 13.73% 2018 JAN 02	200,000	79	(1,631)
Other	IRS_ZCS P BRL CDI R 13.73% 2018 JAN 02	(200,000)	–	–
Other	CDS SP UL ITRAXX 23 06/20/20 CALL NOV 15 000.600 ED 111815	(700,000)	(454)	(449)
Other	CCP_IRS_R EUR-EURIBOR-REUTERS PAY 1.50% 2046 MAR 16	(400,000)	(63,330)	–
Other	CCP_IRS_R EUR-EURIBOR-REUTERS PAY 1.50% 2046 MAR 16	400,000	–	6,451
Other	CCP_IRS_R EUR-EURIBOR-REUTERS PAY 1.00% 2026 MAR 16	(350,000)	–	–
Other	CCP_IRS_R EUR-EURIBOR-REUTERS PAY 1.00% 2026 MAR 16	350,000	3,918	2,025
Other	CCP_IRS_JPY-LIBOR-BBA 6M CME PAY 1.00% 2013 JAN 25	(187,500,000)	(7,469)	–
Other	CCP_IRS_JPY-LIBOR-BBA 6M CME PAY 1.00% 2013 JAN 25	187,500,000	4,922	(77,269)
Other	CCP_IRS_R JPY-LIBOR-BBA 6M CM 0.500% 50% 2021 SEP 17	(15,000,000)	(1,750)	–
Other	CCP_IRS_R JPY-LIBOR-BBA 6M CM PAY 0.50% 2021 SEP 17	15,000,000	–	(1,846)
Other	CCP_IRS_R JP0006M P 1.00% 2024 SEP 20 CME	(170,000,000)	–	–
Other	CCP_IRS_R JP0006M P 1.00% 2024 SEP 20 CME	170,000,000	2,906	(22,520)
Other	CCP_IRS_P MXN TIE 0BP R 5.840% 2021 SEP 14 CME	3,600,000	549	1,540
Other	CCP_IRS_P MXN TIE 0BP R 5.840% VAR RT P 14 CME	(3,600,000)	–	–
Other	CCP_IRS_P TIE28D 43BPS R5.630% 2021 OCT 11 CME	1,000,000	314	(297)
Other	CCP_IRS_P TIE28D 43BPS R5.630% 2021 OCT 11 CME	(1,000,000)	–	–
Other	CCP_IRS_P MXN-TIIE-BANXICO 6.800% 2023 DEC 26 CME	900,000	6,309	2,375
Other	CCP_IRS_P MXN-TIIE-BANXICO VAR RT 2023 DEC 26 CME	(900,000)	–	–
Other	CCP_IRS_P TI28D R 5.610% CME 2021 JUL 07	1,000,000	434	(138)
Other	CCP_IRS_P TI28D R 5.610% CME VAR RATE 07/07/2021	(1,000,000)	–	–
Other	CCP_IRS_P MXN-TIIE-BANXICO 28 REC 5.75% 2021 SEP 30	2,400,000	5,793	262
Other	CCP_IRS_P MXN-TIIE-BANXICO 28 REC 5.75% 2021 SEP 30	(2,400,000)	–	–
Other	CCP_IRS_P MXN-TIIE-BANXICO 28 REC 5.81% 2021 SEP 29	1,700,000	4,001	514
Other	CCP_IRS_P MXN-TIIE-BANXICO 28 REC 5.81% 2021 SEP 29	(1,700,000)	–	–
Other	CCP_IRS_P MXN-TIIE-BANXICO 28 REC 6.75% 2021 AUG 31	6,200,000	38,210	20,515
Other	CCP_IRS_P MXN-TIIE-BANXICO 28 REC 6.75% 2021 AUG 31	(6,200,000)	–	–
Other	CCP_IRS_P MXN-TIIE-BANXICO 28 REC 6.19% 2035 JAN 03	17,700,000	4,748	(111,334)
Other	CCP_IRS_P MXN-TIIE-BANXICO 28 REC 6.19% 2035 JAN 03	(17,700,000)	–	–
Other	IRS_P MXN-TIIE-BANXICO 28D 7.500% % 2021 JUN 02	2,000,000	4,925	3,402
Other	IRS_P MXN-TIIE-BANXICO 28D VAR RT % 2021 JUN 02	(2,000,000)	–	–
Other	IRS_P MXN-TIIE-BANXICO 28D R 7.50% 2021 JUN 02	200,000	513	354
Other	IRS_P MXN-TIIE-BANXICO 28D R 7.50% 2021 JUN 02	(200,000)	–	–
Other	CCP_IRS_R GBP-LIBOR-BBA 6M CM PAY 3.00% 2024 SEP 11	(600,000)	–	–
Other	CCP_IRS_R GBP-LIBOR-BBA 6M CM PAY 3.00% 2024 SEP 11	600,000	5,359	(34,094)
Other	CCP_IRS_R GBP-LIBOR-BBA 6M CM PAY 2.25% 2046 MAR 16	(100,000)	(2,286)	–
Other	CCP_IRS_R GBP-LIBOR-BBA 6M CM PAY 2.25% 2046 MAR 16	100,000	–	(5,498)
Other	CCP_IRS_R GBP-LIBOR-BBA 6M CM PAY 2.15% 2046 MAR 16	(300,000)	–	–
Other	CCP_IRS_R GBP-LIBOR-BBA 6M CM PAY 2.15% 2046 MAR 16	300,000	–	(6,010)
Other	CCP_IRS_R GBP-LIBOR-BBA 6M CM PAY 2.18% 2046 MAR 16	(300,000)	–	–

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	Share/Par Value	Cost	Current Value
Other (continued)				
Other	CCP_IRS. R GBP-LIBOR-BBA 6M CM PAY 2.18% 2046 MAR 16	300,000	\$ –	\$ (8,631)
Other	CCP_IRS. R GBP-LIBOR-BBA 6M CM PAY 2.00% 2026 MAR 16	(1,400,000)	–	–
Other	CCP_IRS. R GBP-LIBOR-BBA 6M CM PAY 2.00% 2026 MAR 16	1,400,000	20,277	(19,286)
Other	CDX P USD 5Y 2BPS R 1% 6/20/20 CALL NOV 15 000.700 ED 11/18/15	(1,000,000)	(700)	(700)
Other	CDX.O P IG25 USD 5Y V1 .9% PUT DEC 15 001.050 ED 12/16/15	(1,600,000)	(3,840)	(3,840)
Other	CDX.O P IG25 USD 5Y V1 .9% CALL DEC 15 000.750 ED 12/16/15	(1,600,000)	(1,320)	(1,320)
Other	CDX P USD 5Y 2BPS R 1% CALL NOV 15 000.700 ED 11/18/15	(2,400,000)	(1,500)	(1,500)
Other	CDX P USD 5Y 2BPS R 1% PUT DEC 15 001.100 ED 12/16/15	(2,000,000)	(2,925)	(2,925)
Other	CDX.O P IG25 USD 5Y V1 .9% PUT DEC 15 001.250 ED 12/16/15	(1,500,000)	(2,645)	(2,645)
Other	CDX.O P IG25 USD 5Y V1 .9% PUT DEC 15 001.010 ED 12/16/15	(600,000)	(1,530)	(1,530)
Other	CDX P USD 5Y 11BPS R .85% PUT OCT 15 000.900 ED 10/21/15	(400,000)	(700)	(700)
Other	CDX P USD 5Y 2BPS R 1% 6/20/20 PUT NOV 15 001.050 ED 11/18/15	(2,000,000)	(3,250)	(3,250)
Other	CDX P USD 5Y 2BPS R 1% 6/20/20 PUT NOV 15 001.100 ED 11/18/15	(5,400,000)	(11,565)	(11,565)
Other	CDX P USD 5Y 2BPS R 1% 6/20/20 PUT NOV 15 001.050 ED 11/18/15	(5,400,000)	(8,802)	(8,802)
Other	CDX P USD 5Y 2BPS R 1% PUT NOV 15 001.000 ED 11/18/15	(2,400,000)	(3,240)	(3,240)
Other	CDX P USD 5Y 11BPS R .85% PUT OCT 15 000.900 ED 10/21/15	(4,900,000)	(7,686)	(7,686)
Other	CDX P USD 5Y 2BPS R 1% 6/20/20 PUT NOV 15 000.950 ED 11/18/15	(1,000,000)	(1,150)	(1,150)
Other	IRS R US0003M P 3.185% 9/19/48 PUT SEP 18 003.185 ED 09/17/18	1,600,000	148,000	148,000
Other	IRS P USD 30Y .93BPS R 3.18% PUT SEP 18 03.18 ED 09/17/18	3,000,000	279,943	279,943
Other	IRS P USD 10Y 53BPS R 1.97% CALL DEC 15 001.970 ED 12/14/15	(1,500,000)	(8,063)	(8,063)
Other	IRS P USD .10Y 49BPS R 2.53% PUT DEC 15 002.530 ED 12/14/15	(1,500,000)	(6,450)	(6,450)
Other	IRS P USD 10Y 20BPS R 2.75% PUT SEP 16 002.750 ED 09/21/16	(4,950,000)	(99,427)	(99,427)
Other	IRS P USD 30Y 46BPS R 3.08% PUT SEP 16 003.080 ED 09/21/16	2,150,000	99,975	99,975
Other	IRS P USD 153BPS R 3.955% PUT AUG 17 003.955 ED 08/17/17	(7,200,000)	(110,400)	(18,377)
Other	IRS P USD 10Y 9BPS R 2.15% CALL DEC 15 002.150 ED 12/01/15	(6,000,000)	(59,600)	(59,600)
Other	IRS P USD 2Y 18BPS R 1.14% CALL DEC 15 001.140 ED 12/01/15	12,400,000	24,284	24,284
Other	IRS R 3.3 P US0003M PUT MAR 16 003.300 ED 03/14/16	1,950,000	64,350	64,350
Other	IRS P US0003M R 0.95% 12/17/17 CALL OCT 15 000.950 ED 10/01/15	16,700,000	20,374	20,374
Other	IRS P USD 2Y 23BPS R 1.045% CALL JAN 16 001.045 ED 01/06/16	5,650,000	13,330	13,330
Other	IRS P USD 2Y 20BPS R 1% CALL JAN 16 001.000 ED 01/11/16	34,600,000	74,491	74,491
Other	IRS P USD5Y 179BPS R 3.950% PUT AUG 17 003.950 ED 08/15/17	(2,700,000)	(48,465)	(48,465)
Other	IRS P USD 30Y 700BPS R 3.950% PUT AUG 17 003.950 ED 08/15/17	700,000	49,000	49,000
Other	IRS P US0003M R 3.91% 08/17/22 PUT AUG 17 003.910 ED 08/15/17	(800,000)	(12,400)	(12,400)
Other	IRS P US0003M R 3.95% 08/17/47 PUT AUG 17 003.950 ED 08/15/17	200,000	12,340	12,340
Other	IRS P USD 5Y 23BPS R 2.9% PUT AUG 18 002.900 ED 08/13/18	(2,200,000)	(52,000)	(52,000)
Other	IRS P USD 30Y 10BPS R 2.9325% PUT AUG 18 002.933 ED 08/13/18	500,000	52,000	52,000
Other	IRS P USD 5Y 157BPS R 3.900% PUT AUG 17 003.900 ED 08/17/17	(3,400,000)	(53,542)	(53,542)
Other	IRS P USD 627BPS R 3.950% PUT AUG 17 003.950 ED 08/17/17	900,000	56,310	56,310
Other	IRS P USD5Y 147BPS R 3.920% PUT AUG 17 003.920 ED 08/17/17	(1,100,000)	(16,170)	(16,170)

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	Share/Par Value	Cost	Current Value
Other (continued)				
Other	IRS P USD30Y 584BPS R 3.950% PUT AUG 17 003.950 ED 08/17/17	300,000	\$ 17,520	\$ 17,520
Other	IRS P USD 30Y 575BPS R 3.950% PUT AUG 17 003.950 ED 08/17/17	200,000	11,510	11,510
Other	IRS P USD5Y 145BPS R 3.900% PUT AUG 17 003.900 ED 08/17/17	(800,000)	(11,600)	(11,600)
Other	IRS P USD 30Y 570 BPS R 3.950% PUT AUG 17 003.950 ED 08/17/17	1,800,000	102,600	102,600
Other	IRS P USD 5Y 142BPS R 3.900% PUT AUG 17 003.900 ED 08/17/17	(7,200,000)	(102,600)	(102,600)
Other	IRS P USD 10Y 14BPS R 3% 51626 PUT MAR 16 003.000 ED 03/14/16	(2,150,000)	(30,530)	(30,530)
Other	IRS P USD 2Y 30BPS R 1.27% CALL MAR 16 001.270 ED 03/14/16	4,100,000	12,478	12,478
Other	IRS P USD 10Y 14BPS R 2% CALL MAR 16 002.000 ED 3/14/16	(900,000)	(12,623)	(12,623)
Other	IRS P USD 2Y 3BPS R 1.26% CALL MAR 16 001.260 ED 03/14/16	4,850,000	14,550	14,550
Other	IRS P US0003M R 2.00% 03/16/26 CALL MAR 16 002.000 ED 03/14/16	(1,050,000)	(14,490)	(14,490)
Other	IRS P USD 10Y 14BPS R 3% PUT MAR 16 003.000 ED 03/14/16	(2,500,000)	(36,250)	(36,250)
Other	IRS P USD5Y 152BPS R 3.860% PUT AUG 17 003.860 ED 08/17/17	(7,200,000)	(109,890)	(109,890)
Other	IRS P USD 30Y 610BPS R 3.950% PUT AUG 17 003.950 ED 08/17/17	1,800,000	109,800	109,800
Other	IRS P USD 5Y 153BPS R 3.820% PUT AUG 17 003.820 ED 08/17/17	(7,200,000)	(110,520)	(110,520)
Other	IRS P USD 30Y 613BPS R 3.950% PUT AUG 17 003.950 ED 08/17/17	1,800,000	110,400	110,400
Other	IRS P USD 5Y 160BPS R 3.8600% PUT AUG 17 003.860 ED 08/17/17	(7,200,000)	(115,356)	(115,356)
Other	IRS P USD 30Y 64BPS R 3.9500% PUT AUG 17 003.950 ED 08/17/17	5,400,000	344,940	344,940
Other	IRS P USD 5Y 166BPS R 3.870% PUT AUG 17 003.870 ED 08/17/17	(7,200,000)	(119,520)	(119,520)
Other	IRS P US0003M R 5.2% 08/02/21 PUT JUL 16 005.200 ED 07/29/16	(1,800,000)	(22,047)	(67)
Other	IRS P US0003M R 5.2% 08/02/46 PUT JUL 16 005.200 ED 07/29/16	500,000	21,417	117
Other	IRS P USD 5Y 20BPS R 3% 091823 PUT SEP 18 003.000 ED 09/14/18	(3,400,000)	(68,386)	(68,386)
Other	IRS P USD 30Y 87BPS R 3.16% PUT SEP 18 003.160 ED 09/14/18	800,000	70,040	70,040
Other	IRS P USD 5Y 20BPS R 3% PUT SEP 18 003.000 ED 09/14/18	(4,000,000)	(81,818)	(81,818)
Other	IRS P USD 30Y 90BPS R 3.16% PUT SEP 18 003.160 ED 09/14/18	900,000	81,225	81,225
Other	IRS P USD 5Y 21BPS R 3% 091923 PUT SEP 18 003.000 ED 09/17/18	(20,250,000)	(431,764)	(431,764)
Other	CCP_IRS_R USD-LIBOR-BBA 3M CM PAY 1.30% 2017 MAY 06	(3,700,000)	–	–
Other	CCP_IRS_R USD-LIBOR-BBA 3M CM PAY 1.30% 2017 MAY 06	3,700,000	38	(18,402)
Other	CCP_IRS_R USD-LIBOR-BBA 3M CM PAY 2.25% 2019 MAR 16	(24,900,000)	(95,992)	–
Other	CCP_IRS_R USD-LIBOR-BBA 3M CM PAY 2.25% 2019 MAR 16	24,900,000	–	(387,574)
Other	CCP_IRS_R USD-LIBOR-BBA 3M CM PAY 2.50% 2045 DEC 22	(400,000)	–	–
Other	CCP_IRS_R USD-LIBOR-BBA 3M CM PAY 2.50% 2045 DEC 22	400,000	29,764	6,162
Other	CCP_IRS_R USD-LIBOR-BBA 3M LC PAY 2.50% 2025 DEC 16	(5,800,000)	–	–
Other	CCP_IRS_R USD-LIBOR-BBA 3M CM PAY 2.50% 2025 DEC 16	5,800,000	88,002	(233,458)
Other	CCP_IRS_R USD-LIBOR-BBA 3M CM 1.500% 50% 2017 DEC 16	(4,500,000)	(53,234)	–
Other	CCP_IRS_R USD-LIBOR-BBA 3M CM VAR RT 50% 2017 DEC 16	4,500,000	–	(56,987)
Other	CCP_IRS_R USD-LIBOR-BBA 3M CM PAY 2.00% 2020 DEC 16	(2,700,000)	–	–
Other	CCP_IRS_R USD-LIBOR-BBA 3M CM PAY 2.00% 2020 DEC 16	2,700,000	12,020	(67,077)
Other	CCP_IRS_R US0003M P 2.75% 2.750% 12/16/2045 DD 12/16/15	(1,500,000)	(3,212)	–

St. Luke's Health System Retirement Plan

EIN #76-0536232 Plan #001

Schedule H, Line 4i – Schedule of Assets (Held at End of Year) (continued)

September 30, 2015

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	Share/Par Value	Cost	Current Value
Other (continued)				
Other	CCP_IRS R US0003M P 2.75% 2045 DEC 16 CME	1,500,000	\$ 5,369	\$ (59,979)
Other	CCP_IRS._R USD-LIBOR-BBA 3M LC PAY 2.75% 2045 DEC 16	(200,000)	–	–
Other	CCP_IRS. R USD-LIBOR-BBA 3M CM PAY 2.75% 2045 DEC 16	200,000	11,762	(8,622)
Other	CCP_IRS._R USD-LIBOR-BBA 3M CM PAY 2.91% 2019 AUG 20	(11,200,000)	–	–
Other	CCP_IRS. R USD-LIBOR-BBA 3M CM PAY 2.91% 2019 AUG 20	11,200,000	–	(116,984)
Other	CCP_IRS._R USD-LIBOR-BBA 3M CM PAY 2.33% 2025 AUG 19	(1,400,000)	–	–
Other	CCP_IRS. R USD-LIBOR-BBA 3M CM PAY 2.33% 2025 AUG 19	1,400,000	–	(40,713)
Other	CCP_IRS._R USD-LIBOR-BBA 3M CM PAY 2.34% 2025 AUG 24	(1,100,000)	–	–
Other	CCP_IRS. R USD-LIBOR-BBA 3M CM PAY 2.34% 2025 AUG 24	1,100,000	–	(32,389)
Other	CCP_IRS R US0003M P 2.5% 2.500% 12/16/2025 DD 12/16/15	(3,600,000)	–	–
Other	CCP_IRS R US0003M P 2.5% 2025 DEC 16 CME	3,600,000	50,706	(140,640)
Other total				(1,889,853)
Grand total				\$ 212,010,984

Schedule SB, line 24 — Change in Actuarial Assumptions

- Interest discounts and mortality rates were updated from 2013 to 2014 in accordance with PPA, including reflecting stabilized rates under HATFA.
- The expense component of normal cost decreased from \$934,000 to \$756,000 to reflect our expectations for the current plan year.
- The cash balance accumulation rates changed from 3.61% for the first year and 3.12% thereafter to 3.33% for the first year and 3.29% thereafter.