Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

1210-0089

2015

OMB Nos. 1210-0110

This Form is Open to **Public Inspection**

Pa	rt I	Annual Report	Identification Information								
For c	alendar	plan year 2015 or fis	scal plan year beginning 01/01/2	2015 and ending 12	2/31/20	015					
A This return/report is for:			a single-employer plan a one-participant plan	a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions) a foreign plan							
B Th	B This return/report is ☐ the first return/report ☐ an amended return/report			the final return/report a short plan year return/report (less than 12 months)							
C Check box if filing under:				automatic extension	DFVC program						
Pai	rt II	Basic Plan Info	prmation—enter all requested in	formation							
1a	Name of		- That of the second in	ionidadi.	1b	Three-digit plan number (PN)	001				
						1c Effective date of plan 06/01/1986					
Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box)						Employer Identification Number (EIN) 91-2080617					
	City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) HEM L.L.C.						2c Sponsor's telephone number 425-228-4111				
3.J. BARTELLS 00 POWELL AVE SW EENTON, WA 98057					2d Business code (see instructions) 238220						
3a 1	Plan adr	ninistrator's name ar	nd address XSame as Plan Spons	sor.		Administrator's I	elephone number				
	If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter name, EIN, and the plan number from the last return/report.			the last return/report filed for this plan, enter the	4b						
as	Sponsor	's name			4c						
5a	Total nu	mber of participants	at the beginning of the plan year		5		103				
					5l	b	73				
С	Number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item)					5c 70					
d(ʻ	1) Total	number of active pa	5d(84						
d(2) Total number of active participants at the end of the plan year						(2)	3				
	than 10	00% vested		e plan year with accrued benefits that were less	56		0				
Unde SB o	er penalt r Sched	ies of perjury and otl	her penalties set forth in the instructed nd signed by an enrolled actuary, a	n/report will be assessed unless reasonable cau ctions, I declare that I have examined this return/re as well as the electronic version of this return/repor	port, in	ncluding, if applic					

SIGN Filed with authorized/valid electronic signature. 10/14/2016 **ABBY QUINTO HERE** Signature of plan administrator Date Enter name of individual signing as plan administrator **SIGN HERE** Enter name of individual signing as employer or plan sponsor Signature of employer/plan sponsor Date Preparer's name (including firm name, if applicable) and address (include room or suite number) Preparer's telephone number AMERICAN UNITED LIFE INSURANCE CO. 800-261-9618

AMERICAN UNITED LIFE INSURANCE CO.

ONE AMERICAN SQUARE, PO BOX 368

INDIANAPOLIS, IN 46206-0368

For Paperwork Reduction Act Notice and OMB Control Numbers, see the instructions for Form 5500-SF.

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 Were all of the plan's assets during the plan year invested in eligib Are you claiming a waiver of the annual examination and report of under 29 CFR 2520.104-46? (See instructions on waiver eligibility a lf you answered "No" to either line 6a or line 6b, the plan cannot be a second to the plan cannot be a second t	an independand condition	dent qualified public a	account	ant (IQ	PA)			X Yes No		
C If the plan is a defined benefit plan, is it covered under the PBGC in	nsurance pr	ogram (see ERISA se	ection 4	021)? .		Yes	No N	lot determined		
Part III Financial Information										
7 Plan Assets and Liabilities		(a) Beginning					(b) End of	(b) End of Year		
a Total plan assets	7a		6760		-			6480508		
b Total plan liabilities	7b		6760	0				6480508		
Net plan assets (subtract line 7b from line 7a) Income, Expenses, and Transfers for this Plan Year	7c	(a) Amou	6760828				(b) Total			
a Contributions received or receivable from:		(a) Amot	unt				(b) 10t	aı		
(1) Employers	8a(1)		174129							
(2) Participants	8a(2)		331	928						
(3) Others (including rollovers)	8a(3)			0						
b Other income (loss)	8b		-92	2165						
C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							413892		
Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		662	2957						
e Certain deemed and/or corrective distributions (see instructions)	8e			0						
f Administrative service providers (salaries, fees, commissions)	8f		31	255						
g Other expenses	8g			0						
h Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							694212		
i Net income (loss) (subtract line 8h from line 8c)	8i							-280320		
j Transfers to (from) the plan (see instructions)	8j									
Part IV Plan Characteristics										
B If the plan provides welfare benefits, enter the applicable welfare for Part V Compliance Questions	eature code	es from the List of Pla	n Chara	acterist	ic Cod	les in the	instruction	IS:		
10 During the plan year:				Yes	No	N/A	Δ	mount		
a Was there a failure to transmit to the plan any participant contribu described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)	oluntary Fi	duciary Correction	10a		X					
b Were there any nonexempt transactions with any party-in-interest reported on line 10a.)			10b		X					
C Was the plan covered by a fidelity bond?			10c	X				1000000		
	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?				X					
Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides som the plan? (See instructions.)	ne or all of t	he benefits under	10e		X					
f Has the plan failed to provide any benefit when due under the pla			10f		X					
g Did the plan have any participant loans? (If "Yes," enter amount a	10g	X				125739				
h If this is an individual account plan, was there a blackout period?	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			٨	X			123739		
i If 10h was answered "Yes," check the box if you either provided the	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3									
j Did the plan trust incur unrelated business taxable income?			10i 10i							
Part VI Pension Funding Compliance			iUj	<u> </u>	<u> </u>					
11 Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below)								☐ Yes ☐ No		
11a Enter the unpaid minimum required contribution for all years from						11a				
12 Is this a defined contribution plan subject to the minimum funding							RISA?	Yes X No		

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(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)									
а		aiver of the minimum funding standard for a prior year is being amortized in this plan year, see inc ng the waiver		enter the Day	date of t	he letter rul Year	ing		
lf		mpleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line		Duy_		1 oui			
b	Enter t	ne minimum required contribution for this plan year		12b					
С	Enter th	ne amount contributed by the employer to the plan for this plan year		12c					
d		ct the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the		12d					
		ve amount)e minimum funding amount reported on line 12d be met by the funding deadline?		П	Yes	No 🗌	N/A		
Part		Plan Terminations and Transfers of Assets			100	110	1471		
		resolution to terminate the plan been adopted in any plan year?			Yes	s X No			
		s," enter the amount of any plan assets that reverted to the employer this year		13a					
b	Were	all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brough	ght under the co	ontrol	Yes X No				
С	If duri	ng this plan year, any assets or liabilities were transferred from this plan to another plan(s), identiassets or liabilities were transferred. (See instructions.)							
•	13c(1) N	lame of plan(s):	13c(2)	EIN(s)		13c(3) F	PN(s)		
Part	: VIII	Trust Information							
14a	Name o	f trust		14b Trust's EIN					
14c	Name	of trustee or custodian		14d	4d Trustee's or custodian's				
140 Name of trustee of custodian						telephone number			
Par	t IX	IRS Compliance Questions							
15a	Is the	plan a 401(k) plan?		Ye	S	No			
15b	5b If "Yes," how does the 401(k) plan satisfy the nondiscrimination requirements for employee deferrals and employer matching contributions (as applicable) under sections 401(k)(3) and 401(m)(2)?					Design- based safe ADP/ACP harbor test method			
15c	If the ADP/ACP test is used, did the 401(k) plan perform ADP/ACP testing for the plan year using the "current year testing method" for nonhighly compensated employees (Treas. Reg sections 1.401(k)-2(a)(2)(ii) and 1.401(m)-2(a)(2)(ii))?					Yes No			
16a	6a Check the box to indicate the method used by the plan to satisfy the coverage requirements under section 410(b):					Ratio percentage benefit test			
16b	16b Does the plan satisfy the coverage and nondiscrimination tests of sections 410(b) and 401(a)(4) by combining this plan with any other plans under the permissive aggregation rules?					No			
17a Has the plan been timely amended for all required tax law changes?						No	N/A		
17b Date the last plan amendment/restatement for the required tax law changes was adopted// Enter the applicable code (See instrufor tax law changes and codes).							tructions		
17c If the plan sponsor is an adopter of a pre-approved master and prototype (M&P) or volume submitter plan that is subject to a favorable IRS opinion or advisory letter, enter the date of that favorable letter/ and the letter's serial number									
17d If the plan is an individually-designed plan and received a favorable determination letter from the IRS, enter the date of the plan's last favorable determination letter/									
18		Is the Plan maintained in a U.S. territory (i.e., Puerto Rico (if no election under ERISA section 1022(i)(2) has been made), American Samoa, Guam, the Commonwealth of the Northern Mariana Islands or the U.S. Virgin Islands)?				No			
19	Were in	Were in-service distributions made during the plan year?			s	No			
	If "Yes	f "Yes," enter amount							
20		Were required minimum distributions made to 5% owners who have attained age 70 ½ (regardless of whether or not retired), as required under section 401(a)(9)?				No	N/A		