## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

## **Short Form Annual Return/Report of Small Employee Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

1210-0089

OMB Nos. 1210-0110

2015

This Form is Open to **Public Inspection** 

Part I Annual Repor	t Identification Informatio	n			
For calendar plan year 2015 or	fiscal plan year beginning 01/01	/2015 and ending 1	2/31/2015		
<b>A</b> This return/report is for:	a single-employer plan a one-participant plan	a multiple-employer plan (not multiemployer) list of participating employer information in ac a foreign plan			
<b>B</b> This return/report is	the first return/report an amended return/report	the final return/report a short plan year return/report (less than 12 m	nonths)		
<b>C</b> Check box if filing under:	X Form 5558 special extension (enter des	automatic extension cription)	DFVC	program	
Part II Basic Plan Inf	ormation—enter all requested i	nformation			
1a Name of plan RAIMA, INC. 401(K) PLAN			1b Three-digit plan numb (PN) ▶ 1c Effective d	er 001 ate of plan	
2a Plan sponsor's name (empl Mailing address (include ro		01/01/2001 <b>2b</b> Employer Identification Number (EIN) 77-0543348			
AIMA, INC.	2c Sponsor's telephone number 206-748-5353				
20 THIRD AVENUE, SUITE 110 EATTLE, WA 98104	0		<b>2d</b> Business of	code (see instructions)	
<b>3a</b> Plan administrator's name a	and address XSame as Plan Spor	nsor.	3b Administra  3c Administra	tor's EIN tor's telephone number	
	he plan sponsor has changed sincumber from the last return/report.	e the last return/report filed for this plan, enter the	<b>4b</b> EIN <b>4c</b> PN		
_	ts at the beginning of the plan year		F-	17	
			5b	11	
C Number of participants with	n account balances as of the end o	f the plan year (defined benefit plans do not	5c	9	
d(1) Total number of active p	articipants at the beginning of the	olan year	5d(1)	12	
d(2) Total number of active p	articipants at the end of the plan y	ear	5d(2)	6	
Number of participants that than 100% vested	at terminated employment during th	ne plan year with accrued benefits that were less	5e	0	
		rn/report will be assessed unless reasonable ca			

SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete

Deliel, it is	true, correct, and complete.					
SIGN HERE	Filed with authorized/valid electronic signature.	10/16/2016	ELLEN BERGLAND			
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator			
SIGN HERE	Filed with authorized/valid electronic signature.	10/16/2016	ELLEN BERGLAND			
	Signature of employer/plan sponsor	Enter name of individual signing as employer or plan sponsor				
Preparer's name (including firm name, if applicable) and address (include room or suite number )  Preparer's telephone number						

Preparer's name (including firm name, if applicable) and address (include room or suite number ) KERI MULLAN

DAVIS MOORE & ASSOCIATES, INC.

1380 112TH AVE NE, SUITE 202 SEATTLE, WA 98004

425-233-6108

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<ul> <li>Were all of the plan's assets during the plan year invested in eligib</li> <li>Are you claiming a waiver of the annual examination and report of under 29 CFR 2520.104-46? (See instructions on waiver eligibility a lf you answered "No" to either line 6a or line 6b, the plan cannot be a second to the plan cannot be a second t</li></ul>	an indepen and conditi	dent qualified public a	ccount	ant (IQ	PA)				es No
C If the plan is a defined benefit plan, is it covered under the PBGC in	nsurance pr	ogram (see ERISA se	ection 4	021)? .		Yes	No	Not de	termined
Part III Financial Information	1				-				
7 Plan Assets and Liabilities		(a) Beginning	•				(b) End	of Year	
a Total plan assets	7a		1028	908				95	8570
b Total plan liabilities	7b		1028	2008				05	8570
Net plan assets (subtract line 7b from line 7a)      Income, Expenses, and Transfers for this Plan Year	7c	(a) Amou		1900			(b)	Total	16370
a Contributions received or receivable from:		(a) Amot	ant				(n)	IOLAI	
(1) Employers	8a(1)								
(2) Participants	8a(2)		6	302					
(3) Others (including rollovers)	8a(3)								
<b>b</b> Other income (loss)	8b		17	769					14074
Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)      Benefits paid (including direct rollovers and insurance premiums	8c							2	24071
to provide benefits)	8d		91	529					
e Certain deemed and/or corrective distributions (see instructions)	8e								
f Administrative service providers (salaries, fees, commissions)	8f		2	2880					
g Other expenses	8g								
h Total expenses (add lines 8d, 8e, 8f, and 8g)	8h								14409
Net income (loss) (subtract line 8h from line 8c)	8i							-7	0338
j Transfers to (from) the plan (see instructions)	8j								
Part IV Plan Characteristics									
9a If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 3D	feature cod	des from the List of Pi	an Cha	racteris	stic Cc	des in t	ne instru	ictions:	
B If the plan provides welfare benefits, enter the applicable welfare for	eature code	es from the List of Pla	n Chara	acterist	ic Cod	les in th	e instruc	tions:	
Part V   Compliance Questions									
10 During the plan year:				Yes	No	N/A		Amou	nt
Was there a failure to transmit to the plan any participant contribu described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)	oluntary Fi	duciary Correction	10a	X					2692
<b>b</b> Were there any nonexempt transactions with any party-in-interest					V				
reported on line 10a.)			10b		X				
C Was the plan covered by a fidelity bond?			10c	Х					100000
<b>d</b> Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty?			10d		X				
Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides some	ner persons	by an insurance the benefits under			X				
the plan? (See instructions.)			10e						
f Has the plan failed to provide any benefit when due under the pla			10f		X				
g Did the plan have any participant loans? (If "Yes," enter amount a	•	,	10g		X				
h If this is an individual account plan, was there a blackout period?	•		10h		X				
i If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i						
j Did the plan trust incur unrelated business taxable income?			10i						
Part VI Pension Funding Compliance			,						
11 Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below)								П Y	es No
11a Enter the unpaid minimum required contribution for all years from						11a		<u> </u>	<u>I</u> i
12 Is this a defined contribution plan subject to the minimum funding							RISA?	. N	es X No

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	_ `	s," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)							
а		aiver of the minimum funding standard for a prior year is being amortized in this plan year, see inc ng the waiver		enter the Day	date of t	he letter rul Year	ing		
lf		mpleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line		Duy_		1 oui			
b	Enter t	ne minimum required contribution for this plan year		12b					
С	Enter th	ne amount contributed by the employer to the plan for this plan year		12c					
d		ct the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the		12d					
		ve amount)e minimum funding amount reported on line 12d be met by the funding deadline?		П	Yes	No 🗌	N/A		
Part		Plan Terminations and Transfers of Assets			100	110	1471		
		resolution to terminate the plan been adopted in any plan year?			Yes	s X No			
		s," enter the amount of any plan assets that reverted to the employer this year		13a					
b	Were	all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brough	ght under the co						
С	If duri	ng this plan year, any assets or liabilities were transferred from this plan to another plan(s), identiassets or liabilities were transferred. (See instructions.)							
•	13c(1) N	lame of plan(s):	13c(2)	EIN(s)		13c(3) F	PN(s)		
Part	: VIII	Trust Information							
14a	Name o	f trust		14b 1	Γrust's EIN	١			
14c	Name	of trustee or custodian		14d	Trustee's	or custodia	an's		
Name of flustee of custodian					telephone number				
Par	t IX	IRS Compliance Questions							
15a	Is the	plan a 401(k) plan?		Ye	S	No			
15b	15b If "Yes," how does the 401(k) plan satisfy the nondiscrimination requirements for employee deferrals and employer matching contributions (as applicable) under sections 401(k)(3) and 401(m)(2)?					Design- based safe ADP/ACP harbor test method			
15c	<b>15c</b> If the ADP/ACP test is used, did the 401(k) plan perform ADP/ACP testing for the plan year using the "current year testing method" for nonhighly compensated employees (Treas. Reg sections 1.401(k)-2(a)(2)(ii) and 1.401(m)-2(a)(2)(ii))?				s	No			
16a	16a Check the box to indicate the method used by the plan to satisfy the coverage requirements under section 410(b):						rage efit test		
16b	<b>16b</b> Does the plan satisfy the coverage and nondiscrimination tests of sections 410(b) and 401(a)(4) by combining this plan with any other plans under the permissive aggregation rules?					No			
17a	Has the	e plan been timely amended for all required tax law changes?		Ye	S	No	N/A		
17b		ne last plan amendment/restatement for the required tax law changes was adopted//law changes and codes).	Enter the ap	plicable	code	(See ins	tructions		
17c		lan sponsor is an adopter of a pre-approved master and prototype (M&P) or volume submitter pland the letter yellower. I and the letter's serial references and the letter's serial references and the letter's serial references.		t to a fa	vorable II	RS opinion	or		
17d	If the p	lan is an individually-designed plan and received a favorable determination letter from the IRS, e ination letter/		the plai	n's last fav	vorable			
18		Plan maintained in a U.S. territory (i.e., Puerto Rico (if no election under ERISA section 1022(i)(2, American Samoa, Guam, the Commonwealth of the Northern Mariana Islands or the U.S. Virgin		Yes	;	No			
19	19 Were in-service distributions made during the plan year?					No			
	If "Yes," enter amount								
20	Were required minimum distributions made to 5% owners who have attained age 70 ½ (regardless of whether or n retired), as required under section 401(a)(9)?				s	No	N/A		

## Attachment to 2015 Form 5500-SF Part V - Line 10a - Schedule of Delinquent Participant Contributions

Plan Name:	Raima, Inc. 401(k) Plan		EIN:	: <u>77-0543348</u> : 001		
Plan Sponsor's Name:	Raima, Inc.		PN:			
			<del>-</del>			
	Total that Cons	titute Nonexempt Prohi	bited Transactions			
Participant						
Contributions		Contributions	Contributions	Total Fully Corrected		
Transferred	Contributions	Corrected	Pending Correction	Under VFCP and		
Late to Plan	Not Corrected	Outside VFCP	in VFCP	PTE 2002-51		
2 692	0	2 692	0	0		