Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

1210-0089

OMB Nos. 1210-0110

2015

This Form is Open to Public Inspection

Part I	Annual Report	Identification Information							
For cale	ndar plan year 2015 or fi	scal plan year beginning 01/01/2	2015 and ending 12	2/31/2015					
A This	return/report is for:	a single-employer plan	a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions) a foreign plan						
B This r	eturn/report is	the first return/report an amended return/report	the final return/report a short plan year return/report (less than 12 months)						
	k box if filing under:	Form 5558 special extension (enter description)	. ,						
Part I	•	ormation—enter all requested in	formation	1	1				
	ne of plan NMENTAL WORKS 403(B) RETIREMENT PLAN		1b Three plan (PN	number	001			
				1c Effective date of plan 01/01/1997					
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) ENVIRONMENTAL WORKS			2b Employer Identification Number (EIN) 23-7139744						
			2c Sponsor's telephone number 206-329-8300						
302 15TH AVE EAST SEATTLE, WA 98112				2d Business code (see instructions) 541400					
3a Plar	n administrator's name a	nd address XSame as Plan Spons	sor.		ninistrator's I	elephone number			
nar		e plan sponsor has changed since mber from the last return/report.	the last return/report filed for this plan, enter the	4b EIN 4c PN					
				5a		12			
_		0 0 , ,		5b		10			
			the release of defined benefit released and	30		10			
con	nplete this item)		the plan year (defined benefit plans do not	5c		7			
d(1) Total number of active participants at the beginning of the plan year				5d(1)		9			
d(2) Total number of active participants at the end of the plan year					5d(2)				
tha	n 100% vested		plan year with accrued benefits that were less	5e	<u> </u>	0			
			n/report will be assessed unless reasonable cau						
Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule									

belief, it is true, correct, and complete

Donor, it is t	ide, correct, and complete.					
SIGN HERE	Filed with authorized/valid electronic signature.	10/17/2016	ROGER TUCKER			
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator			
SIGN						
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor			
Preparer's	name (including firm name, if applicable) and address (include r	r) F	Preparer's telephone number			
•			,	·		

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b	Were all of the plan's assets during the plan year invested in eligib Are you claiming a waiver of the annual examination and report of under 29 CFR 2520.104-46? (See instructions on waiver eligibility If you answered "No" to either line 6a or line 6b, the plan cann	an indepe and condit not use Fo	ndent qualified public a ions.) orm 5500-SF and mus	ccount	ant (IQ	PA) Form	5500.			X Ye	
C	f the plan is a defined benefit plan, is it covered under the PBGC ir	nsurance p	orogram (see ERISA se	ection 4	021)?		Yes	No	<u> </u>	Not det	ermined
Par	t III Financial Information					-					
7	Plan Assets and Liabilities		(a) Beginning					(b) E	nd of	Year	
	Fotal plan assets	. 7a		492	2334					542	2967
	Fotal plan liabilities	. 7b		400	2334					E A	2967
	Net plan assets (subtract line 7b from line 7a)ncome, Expenses, and Transfers for this Plan Year	. 7с	(a) Ama-		334	-		/1			2907
	Contributions received or receivable from:		(a) Amou	ınt				<u>(r</u>) Tot	iai	
	1) Employers	. 8a(1)		2	2994						
	2) Participants	. 8a(2)		42	2478						
	3) Others (including rollovers)	. 8a(3)		5	263						
	Other income (loss)	. 8b			-30						
	Fotal income (add lines 8a(1), 8a(2), 8a(3), and 8b)	. 8c								50	0705
	o provide benefits)	. 8d			0						
е	Certain deemed and/or corrective distributions (see instructions)	. 8e									
f_	Administrative service providers (salaries, fees, commissions)	. 8f									
g	Other expenses	. 8g			72						
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	. 8h									72
	Net income (loss) (subtract line 8h from line 8c)	. 8i								50	0633
	Fransfers to (from) the plan (see instructions)	8j									
Par 9a	t IV Plan Characteristics If the plan provides pension benefits, enter the applicable pension	feature co	odes from the List of Pl	an Cha	ractorio	etic Co	dec in t	the inc	tructi	one:	
Ja	2M 2F 2G 2K 2J	reature co	des nom the List of the	an Ona	racteri	Sile Oc	ues III	1110 1113	lucti	oris.	
В	If the plan provides welfare benefits, enter the applicable welfare f	eature cod	les from the List of Pla	n Chara	acterist	ic Cod	les in th	ne instr	uction	ns:	
D =1	V O										
Part 10					Yes	No	N/A	l		.	
a	During the plan year: Was there a failure to transmit to the plan any participant contribu	ıtions withi	n the time period		163	140	IVA			Amoun	it .
_	described in 29 CFR 2510.3-102? (See instructions and DOL's V	oluntary F	iduciary Correction			X					
h	Program)			10a		^			—		
	reported on line 10a.)			10b		X					
С	Was the plan covered by a fidelity bond?			10c	X						50000
d	Did the plan have a loss, whether or not reimbursed by the plan's										
	by fraud or dishonesty?			10d		X					
е	Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides som										
	the plan? (See instructions.)			10e		X					
f	Has the plan failed to provide any benefit when due under the plan?					X					
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)			10g		X					
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X					
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the			10i							
j	Did the plan trust incur unrelated business taxable income?			10i							
Part	VI Pension Funding Compliance			,							
11	Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below)									Ye	es No
11a	Enter the unpaid minimum required contribution for all years from						11a				
12	Is this a defined contribution plan subject to the minimum funding	requirem	ents of section 412 of t	he Cod	e or se	ction 3	302 of E	RISA	,	Ye	es X No

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	_ `	s," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)								
а	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver									
lf	If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.									
b	Enter t	ne minimum required contribution for this plan year		12b						
С	Enter th	ne amount contributed by the employer to the plan for this plan year		12c						
d		ct the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the		12d						
		ve amount)e minimum funding amount reported on line 12d be met by the funding deadline?		П	Yes	No 🗌	N/A			
Part		Plan Terminations and Transfers of Assets			100	110	1471			
		resolution to terminate the plan been adopted in any plan year?			Yes	s X No				
		s," enter the amount of any plan assets that reverted to the employer this year		13a						
b	Were	all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brough	ght under the co	ontrol Yes X No						
С	If duri	ng this plan year, any assets or liabilities were transferred from this plan to another plan(s), identiassets or liabilities were transferred. (See instructions.)								
•	13c(1) N	lame of plan(s):	13c(2)	EIN(s)		13c(3) F	13c(3) PN(s)			
Part	: VIII	Trust Information								
14a	Name o	f trust		14b Trust's EIN						
14c	Name	of trustee or custodian		14d Trustee's or custodian's						
	rianio	of tubics of suctorial		telephone number						
Par	t IX	IRS Compliance Questions								
15a	Is the	plan a 401(k) plan?		Yes No						
15b	15b If "Yes," how does the 401(k) plan satisfy the nondiscrimination requirements for employee deferrals and employer matching contributions (as applicable) under sections 401(k)(3) and 401(m)(2)?					Design- based safe ADP/ACP harbor test method				
15c	C If the ADP/ACP test is used, did the 401(k) plan perform ADP/ACP testing for the plan year using the "current year testing method" for nonhighly compensated employees (Treas. Reg sections 1.401(k)-2(a)(2)(ii) and 1.401(m)-2(a)(2)(ii))?					No				
16a	a Check the box to indicate the method used by the plan to satisfy the coverage requirements under section 410(b):						Average benefit test			
16b	6b Does the plan satisfy the coverage and nondiscrimination tests of sections 410(b) and 401(a)(4) by combining this plan with any other plans under the permissive aggregation rules?					No				
17a Has the plan been timely amended for all required tax law changes?				Ye	S	No	N/A			
17b Date the last plan amendment/restatement for the required tax law changes was adopted// Enter the applicable code (See instructions for tax law changes and codes).							tructions			
17c If the plan sponsor is an adopter of a pre-approved master and prototype (M&P) or volume submitter plan that is subject to a favorable IRS opinion or advisory letter, enter the date of that favorable letter / and the letter's serial number										
17d	17d If the plan is an individually-designed plan and received a favorable determination letter from the IRS, enter the date of the plan's last favorable determination letter									
18		Is the Plan maintained in a U.S. territory (i.e., Puerto Rico (if no election under ERISA section 1022(i)(2) has been made), American Samoa, Guam, the Commonwealth of the Northern Mariana Islands or the U.S. Virgin Islands)?				No				
19	Were in-service distributions made during the plan year?					No				
	If "Yes	" enter amount	19							
20	Were required minimum distributions made to 5% owners who have attained age 70 ½ (regardless of whether or no retired), as required under section 401(a)(9)?					No	N/A			