

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), 6057(b), and 6058(a) of the Internal Revenue Code (the Code). ▶ Complete all entries in accordance with the instructions to the Form 5500.	OMB Nos. 1210-0110 1210-0089 2015 This Form is Open to Public Inspection
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Part I	Annual Report Identification Information
For calendar plan year 2015 or fiscal plan year beginning <u>01/01/2016</u> and ending <u>01/04/2016</u>	
A This return/report is for:	☐ a multiemployer plan; ☐ a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions); or ☒ a single-employer plan; ☐ a DFE (specify) ____ B This return/report is: ☐ the first return/report; ☒ the final return/report; ☐ an amended return/report; ☒ a short plan year return/report (less than 12 months). C If the plan is a collectively-bargained plan, check here. ☐ D Check box if filing under: ☒ Form 5558; ☐ automatic extension; ☐ the DFVC program; ☐ special extension (enter description)

Part II	Basic Plan Information —enter all requested information										
1a Name of plan <u>TRIHEALTH Q, LLC 401(K) RETIREMENT SAVINGS PLAN</u> 2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) <u>TRIHEALTH Q, LLC</u> <u>2753 ERIE AVENUE</u> <u>CINCINNATI, OH 45208</u>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%;">1b Three-digit plan number (PN) ▶</td> <td style="width: 20%; text-align: center;"><u>001</u></td> </tr> <tr> <td colspan="2">1c Effective date of plan <u>01/01/2006</u></td> </tr> <tr> <td colspan="2">2b Employer Identification Number (EIN) <u>20-2305105</u></td> </tr> <tr> <td colspan="2">2c Plan Sponsor's telephone number <u>513-533-2812</u></td> </tr> <tr> <td colspan="2">2d Business code (see instructions) <u>621111</u></td> </tr> </table>	1b Three-digit plan number (PN) ▶	<u>001</u>	1c Effective date of plan <u>01/01/2006</u>		2b Employer Identification Number (EIN) <u>20-2305105</u>		2c Plan Sponsor's telephone number <u>513-533-2812</u>		2d Business code (see instructions) <u>621111</u>	
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2b Employer Identification Number (EIN) <u>20-2305105</u>											
2c Plan Sponsor's telephone number <u>513-533-2812</u>											
2d Business code (see instructions) <u>621111</u>											

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	11/15/2016	WILLIAM GRONEMAN
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE	Filed with authorized/valid electronic signature.	11/15/2016	WILLIAM GRONEMAN
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE
Preparer's name (including firm name, if applicable) and address (include room or suite number)			Preparer's telephone number

3a Plan administrator's name and address ☒ Same as Plan Sponsor	3b Administrator's EIN
	3c Administrator's telephone number
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report: a Sponsor's name	4b EIN 4c PN
5 Total number of participants at the beginning of the plan year	5 201
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1) , 6a(2) , 6b , 6c , and 6d).	
a(1) Total number of active participants at the beginning of the plan year.....	6a(1) 189
a(2) Total number of active participants at the end of the plan year	6a(2) 0
b Retired or separated participants receiving benefits.....	6b 0
c Other retired or separated participants entitled to future benefits.....	6c 0
d Subtotal. Add lines 6a(2) , 6b , and 6c	6d 0
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.....	6e 0
f Total. Add lines 6d and 6e	6f 0
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	6g 0
h Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested	6h 0
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	7
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: 2E 2F 2G 2J 2K 3D 3H	
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:	
9a Plan funding arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	9b Plan benefit arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor
10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)	
a Pension Schedules (1) ☐ R (Retirement Plan Information) (2) ☐ MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary (3) ☐ SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary	b General Schedules (1) ☒ H (Financial Information) (2) ☐ I (Financial Information – Small Plan) (3) ☐ A (Insurance Information) (4) ☐ C (Service Provider Information) (5) ☐ D (DFE/Participating Plan Information) (6) ☐ G (Financial Transaction Schedules)

Part III	Form M-1 Compliance Information (to be completed by welfare benefit plans)
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11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2015 Form M-1 annual report. If the plan was not required to file the 2015 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code _____

SCHEDULE H (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2015 This Form is Open to Public Inspection
For calendar plan year 2015 or fiscal plan year beginning <u>01/01/2016</u> and ending <u>01/04/2016</u>		
A Name of plan <u>TRIHEALTH Q, LLC 401(K) RETIREMENT SAVINGS PLAN</u>	B Three-digit plan number (PN) ►	<u>001</u>
C Plan sponsor's name as shown on line 2a of Form 5500 <u>TRIHEALTH Q, LLC</u>	D Employer Identification Number (EIN) <u>20-2305105</u>	

Part I	Asset and Liability Statement		(a) Beginning of Year	(b) End of Year
1	Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.			
	Assets			
a	Total noninterest-bearing cash	1a		
b	Receivables (less allowance for doubtful accounts):			
	(1) Employer contributions	1b(1)		
	(2) Participant contributions	1b(2)		
	(3) Other.....	1b(3)		
c	General investments:			
	(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)	594944	0
	(2) U.S. Government securities.....	1c(2)		
	(3) Corporate debt instruments (other than employer securities):			
	(A) Preferred	1c(3)(A)		
	(B) All other.....	1c(3)(B)		
	(4) Corporate stocks (other than employer securities):			
	(A) Preferred	1c(4)(A)		
	(B) Common	1c(4)(B)		
	(5) Partnership/joint venture interests	1c(5)		
	(6) Real estate (other than employer real property)	1c(6)		
	(7) Loans (other than to participants)	1c(7)		
	(8) Participant loans	1c(8)		
	(9) Value of interest in common/collective trusts.....	1c(9)		
	(10) Value of interest in pooled separate accounts.....	1c(10)		
	(11) Value of interest in master trust investment accounts	1c(11)		
	(12) Value of interest in 103-12 investment entities	1c(12)		
	(13) Value of interest in registered investment companies (e.g., mutual funds).....	1c(13)	6524359	0
	(14) Value of funds held in insurance company general account (unallocated contracts).....	1c(14)		
	(15) Other	1c(15)		

1d Employer-related investments:		(a) Beginning of Year	(b) End of Year
(1) Employer securities	1d(1)		
(2) Employer real property	1d(2)		
e Buildings and other property used in plan operation	1e		
f Total assets (add all amounts in lines 1a through 1e)	1f	7119303	0

Liabilities

g Benefit claims payable	1g		
h Operating payables	1h		
i Acquisition indebtedness	1i		
j Other liabilities	1j		
k Total liabilities (add all amounts in lines 1g through 1j)	1k	0	0

Net Assets

l Net assets (subtract line 1k from line 1f)	1l	7119303	0
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Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income

		(a) Amount	(b) Total
a Contributions:			
(1) Received or receivable in cash from: (A) Employers	2a(1)(A)		
(B) Participants	2a(1)(B)		
(C) Others (including rollovers)	2a(1)(C)		
(2) Noncash contributions	2a(2)		
(3) Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)		0
b Earnings on investments:			
(1) Interest:			
(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)		
(B) U.S. Government securities	2b(1)(B)		
(C) Corporate debt instruments	2b(1)(C)		
(D) Loans (other than to participants)	2b(1)(D)		
(E) Participant loans	2b(1)(E)		
(F) Other	2b(1)(F)		
(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)		0
(2) Dividends: (A) Preferred stock	2b(2)(A)		
(B) Common stock	2b(2)(B)		
(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	153	
(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)		153
(3) Rents	2b(3)		
(4) Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)		
(B) Aggregate carrying amount (see instructions)	2b(4)(B)		
(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)		0
(5) Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)		
(B) Other	2b(5)(B)		
(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)		0

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		-82621
c Other income	2c		
d Total income. Add all income amounts in column (b) and enter total	2d		-82468

Expenses

e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)		
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3)	2e(4)		0
f Corrective distributions (see instructions)	2f		
g Certain deemed distributions of participant loans (see instructions)	2g		
h Interest expense	2h		
i Administrative expenses: (1) Professional fees	2i(1)		
(2) Contract administrator fees	2i(2)		
(3) Investment advisory and management fees	2i(3)		
(4) Other	2i(4)		
(5) Total administrative expenses. Add lines 2i(1) through (4)	2i(5)		0
j Total expenses. Add all expense amounts in column (b) and enter total	2j		0

Net Income and Reconciliation

k Net income (loss). Subtract line 2j from line 2d	2k		-82468
l Transfers of assets:			
(1) To this plan	2l(1)		
(2) From this plan	2l(2)		7036835

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☒ Unqualified (2) ☐ Qualified (3) ☐ Disclaimer (4) ☐ Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)? ☐ Yes ☒ No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: BARNES DENNIG

(2) EIN: 31-1119890

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

	Yes	No	N/A	Amount
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	☒	☐	☐	27
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)	☐	☒	☐	

	Yes	No	N/A	Amount
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)		X		
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)		X		
e Was this plan covered by a fidelity bond?	X			500000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		X		
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?		X		
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?		X		
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)		X		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)		X		
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	X			
l Has the plan failed to provide any benefit when due under the plan?		X		
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	X			
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.	X			
o Did the plan trust incur unrelated business taxable income?				
p Were in-service distributions made during the plan year?				

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?
 If "Yes," enter the amount of any plan assets that reverted to the employer this year. ☒ Yes ☐ No Amount: 0

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)
TRIHEALTH, INC. DESIGNATED GROUPS 401(K) RETIREMENT SAVINGS PLAN	31-1438846	002
TRIHEALTH 401(K) RETIREMENT SAVINGS PLAN	31-1438846	004

5c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? ☐ Yes ☐ No ☐ Not determined

Part V Trust Information

6a Name of trust	6b Trust's EIN
6c Name of trustee or custodian	6d Trustee's or custodian's telephone number



BARNES DENNIG

Accounting • Tax • Business Insight

OHIO OFFICE

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Independent Auditors' Report

To the Plan Administrator of TriHealth Q, LLC
401(k) Retirement Savings Plan
Cincinnati, Ohio

Report on the Financial Statements

We have audited the accompanying financial statements of TriHealth Q, LLC 401(k) Retirement Savings Plan, which comprise the statements of net assets available for benefits as of January 4, 2016 and December 31, 2015, and the related statement of changes in net assets available for benefits for the period ended January 4, 2016, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the Plan's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Plan's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements referred to in the first paragraph present fairly, in all material respects, the financial status of TriHealth Q, LLC 401(k) Retirement Savings Plan as of December 31, 2015 and January 4, 2016, and the changes in its assets available for benefits for the period ended January 4, 2016, in accordance with accounting principles generally accepted in the United States of America.

BARNES DENNIG

**Independent Auditors' Report
(Continued)**

Report on Supplemental Information

Our audits were conducted for the purpose of forming an opinion on the financial statements as a whole. The supplemental schedule of Schedule of Delinquent Participant Contributions, referred to as "supplemental information," is presented for the purpose of additional analysis and is not a required part of the financial statements, but is supplemental information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974. Such information is the responsibility of the Plan's management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated in all material respects in relation to the financial statements as a whole.



November 14, 2016
Cincinnati, Ohio

TRIHEALTH Q, LLC 401(K) RETIREMENT SAVINGS PLAN

**Statements of Net Assets Available for Benefits
January 4, 2016 and December 31, 2015**

	<u>2016</u>	<u>2015</u>
Investments - at fair value:		
Money market fund	\$ -	\$ 594,944
Mutual funds	<u>-</u>	<u>6,524,359</u>
 Total investments	<u>-</u>	<u>7,119,303</u>
 Net assets available for benefits	<u>\$ -</u>	<u>\$ 7,119,303</u>

The accompanying notes are an integral part of these financial statements

TRIHEALTH Q, LLC 401(K) RETIREMENT SAVINGS PLAN

**Statement of Changes in Net Assets Available for Benefits
Period Ended January 4, 2016**

Additions:

Investment income:

Interest and dividends	\$ 153
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Total investment income	153
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Total additions	153
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Deductions:

Net depreciation in fair value of investments	82,621
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Total deductions	82,621
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Net decrease	(82,468)
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Transfer of Plan assets due to merger	(7,036,835)
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Net assets available for benefits:

Beginning of year	7,119,303
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End of year	\$ -
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The accompanying notes are an integral part of these financial statements

TRIHEALTH Q, LLC 401(K) RETIREMENT SAVINGS PLAN

Notes to Financial Statements

NOTE 1 SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Presentation

The accompanying financial statements of the TriHealth Q, LLC 401(k) Retirement Savings Plan (the "Plan") have been prepared on the accrual basis of accounting using accounting principles generally accepted in the United States of America.

Investment Valuation and Income Recognition

Investments are reported at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. See Note 3 for discussion of fair value measurements.

Purchases and sales of securities are recorded on a trade date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation/depreciation includes the Plan's gains and losses on investments bought and sold as well as held during the year.

The Plan utilizes various investment instruments. Investment securities, in general, are exposed to various risks, such as interest rate, credit and overall market volatility. Due to the level of risk associated with certain investment securities, it is reasonably possible that changes in the values of investment securities will occur in the near term and those changes could materially affect the amounts reported in the statement of net assets available for benefits.

Related-Party Transactions

In 2016 and 2015, certain Plan investments are invested in funds with a registered investment company managed by The Capital Group Companies, Inc. The Capital Group Companies, Inc. is the asset custodian as defined by the Plan. Therefore, these transactions qualify as party-in-interest.

Several employees of the Company provide administrative services to the Plan. These services include Plan oversight and day-to-day Plan administration. The Plan is not charged by the Company for the services of the employees to the Plan.

Estimates

The preparation of financial statements in conformity with United States generally accepted accounting principles requires the Plan Administrator to make estimates and assumptions that affect certain reported amounts and disclosures. Accordingly, actual results may differ from those estimates.

Benefit Payments

Benefit payments are recorded when paid.

The Plan provides for automatic direct rollovers of accounts greater than \$1,000, but equal to or less than \$5,000 into an individual retirement plan designated by the Plan Administrator unless otherwise directed by the participant. In the event that the value of the account is greater than 5,000, such benefit may be distributed in a single sum only. Account balances with less than \$1,000 will be made in a lump sum cash payment unless otherwise directed by the participant.

TRIHEALTH Q, LLC 401(K) RETIREMENT SAVINGS PLAN

Notes to Financial Statements (Continued)

NOTE 1 SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Expenses

Certain expenses and costs of administering the Plan are paid for by the Company. Investment related expenses are included in net appreciation/depreciation of fair value of investments.

Subsequent Events

In preparing its financial statements, the Plan Sponsor has evaluated events subsequent to the date of these financial statements through November 14, 2016, which is the date the financial statements were available to be issued.

NOTE 2 DESCRIPTION OF THE PLAN

The following description of the Plan provides only general information. Participants should refer to their TriHealth Q, LLC 401(k) Retirement Savings Plan document for a more complete description of the Plan's provisions. The Plan became effective on January 1, 2006.

During 2015, management approved a merger of the Plan into the TriHealth, Inc. Designated Group Retirement Savings Plan and the TriHealth, Inc. Retirement Savings Plan. The effective date of the merger was January 1, 2016 and the Plan's assets were transferred on January 4, 2016.

General

The Plan is a defined contribution plan covering all eligible employees of TriHealth Q, LLC (the "Company") who have completed one hour of service with entrance into the Plan on the first pay date coinciding with or immediately following the completion of the Employee's Eligibility Service. All employees who were also participants in the Queen City Physicians, Ltd. Profit Sharing Plan are eligible. The Plan is subject to the Employee Retirement Income Security Act of 1974 (ERISA). The employees classified by the Company as Physicians or members of Senior Leadership in a position of Director or above using the meaning given such terms under the normal personnel procedures and practices established by the Company are not entitled to any contributions.

Contributions

A participant may contribute up to 100% of eligible compensation. Participants direct the investment of their contributions into various investment options offered by the Plan. Participants designate the percentage of their total contribution to be invested in any of the Plan's investment funds. Participants may change the percentage of contributions invested in each account and may make transfers between accounts anytime during the year. Contributions may not exceed limitations established under Section 402(g) of the Internal Revenue Code of 1986 as amended.

The Company may make supplemental contributions to the salary deferral accounts of eligible participants, other than highly compensated employees, in an amount necessary to meet the requirements of the nondiscrimination testing. The Company did not make a supplemental contribution to the Plan under this provision for the period ended January 4, 2016. The Company may make a discretionary matching contribution on behalf of each eligible participant who makes a salary deferral contribution in an amount determined by the Board of Trustees provided such discretionary matching contribution shall be determined and made on behalf of eligible participants in a manner which is uniform in nature and not discriminatory. The Company did not make a matching contribution for the period ended January 4, 2016.

TRIHEALTH Q, LLC 401(K) RETIREMENT SAVINGS PLAN

Notes to Financial Statements (Continued)

NOTE 2 DESCRIPTION OF THE PLAN (CONTINUED)

Contributions (Continued)

The Company may make a safe harbor contribution for plan years designated in writing by the Company on behalf of each eligible participant who is an eligible participant at any time during the plan year and who makes a salary deferral contribution for the plan year. The Company did not make a safe harbor contribution for the period ended January 4, 2016.

Rollover Contributions

Participants may roll over their taxable portion of funds previously held for their account in another qualified employee benefit plan to the Plan on a tax-free basis.

Participant Accounts

Each participant's account is credited with the participant's and Company's contributions and a pro-rata allocation of the Plan's earnings. The pro-rata allocation is based upon the proportion of individual account balances to total participants' account balances in the respective investment funds. The benefit to which a participant is entitled is the benefit that can be provided from the participant's account.

Vesting

Participants become immediately vested at the time of participation in the Plan in their contributions plus actual earnings thereon. Each eligible participant shall be 100% vested in the Company's supplemental contributions plus actual earnings thereon. Vesting in the Company's matching contribution portion of their accounts, plus actual earnings thereon is based on years of continuous service. Participants become 100% vested after three years of credited service. Non-vested portions of participant accounts that are forfeited upon payment of benefits are retained in the Plan and used to reduce employer contributions.

Forfeitures

Forfeitures of the Plan are intended to reduce future employer contributions to the Plan. At January 4, 2016 and December 31, 2015, no unallocated forfeitures were contained in the Plan.

Payment of Benefits

Upon a participant's retirement, death, total and permanent disability, other termination of employment with the Company, or termination of the Plan, the participants may receive benefits in accordance with the Plan's provisions and existing laws and regulations.

In-service distributions are permissible from the Plan for participants that have attained the age of 59 1/2.

In conditions of a "financial hardship", as defined, a participant may apply to the Plan administrator for approval of withdraw that portion of his/her 401(k) deferral account balance necessary to meet the financial hardship, subject to the limitations the Plan and the Internal Revenue Code. Upon termination of the Plan, the assets will be distributed to participants in accordance with the Plan's provisions and existing laws and regulations.

TRIHEALTH Q, LLC 401(K) RETIREMENT SAVINGS PLAN

Notes to Financial Statements (Continued)

NOTE 3 FAIR VALUE MEAUREMENTS

Financial Accounting Standards Board (FASB) *Accounting Standards Codification (ASC) 820, Fair Value Measurements and Disclosures*, provides the framework for measuring fair value. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities and the lowest priority to unobservable inputs.

The hierarchy is broken down into three levels based on the ability to observe inputs as follows:

Level 1 – Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Plan has the ability to access.

Level 2 – Inputs to the valuation methodology include:

- Quoted prices for similar assets or liabilities in active markets
- Quoted prices for identical or similar assets or liabilities in inactive markets
- Inputs other than quoted prices that are observable for the asset or liability;
- Inputs that are derived principally from or corroborated by observable market data by correlation or other means

If the asset or liability has a specified (contractual) term, the Level 2 input must be observable for substantially the full term of the asset or liability.

Level 3 – Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The asset's or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

Following is a description of the valuation approaches used for assets measured at fair value. There have been no changes in the methodologies used at January 4, 2016 and December 31, 2015.

Mutual funds: Valued at the daily closing price as reported by the fund. Mutual funds are held by the Plan are open-end mutual funds that are registered with the SEC. These funds are required to publish their daily NAV and to transact at that price. The mutual funds held by the Plan are deemed to be actively traded.

Money Market Fund: Valued at the daily closing prices as reported by the fund. The money market fund held by the Plan is an open-ended mutual fund that is registered with the Securities and Exchange Commission. This fund is required to publish its daily NAV and to transact at the price. The money market fund held by the Plan is deemed to be actively traded.

The methods described above may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, while the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different approaches or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

TRIHEALTH Q, LLC 401(K) RETIREMENT SAVINGS PLAN

Notes to Financial Statements (Continued)

NOTE 3 FAIR VALUE MEAUREMENTS (CONTINUED)

Assets Measured at Fair Value on a Recurring Basis

The following table summarizes by level, within the fair value hierarchy, the Plan's assets measured at fair value on a recurring basis at January 4, 2016 and December 31, 2015:

Assets at fair value as of January 4, 2016				
Description	Total	Level 1	Level 2	Level 3
Assets				
Money market fund	\$ -	\$ -	\$ -	\$ -
Mutual funds	-	-	-	-
Total assets	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>
Assets at fair value as of December 31, 2015				
Description	Total	Level 1	Level 2	Level 3
Assets				
Money market fund	\$ 594,944	\$ -	\$ 594,944	\$ -
Mutual funds	<u>6,524,359</u>	<u>6,524,359</u>	<u>-</u>	<u>-</u>
Total assets	<u>\$ 7,119,303</u>	<u>\$ 6,524,359</u>	<u>\$ 594,944</u>	<u>\$ -</u>

NOTE 4 TAX STATUS

The Plan obtained its latest determination letter on July 24, 2012, in which the Internal Revenue Service stated that the Plan, as then designed upon application, was in compliance with the applicable requirements of the Internal Revenue Code. The Plan has been amended since applying for and receiving the determination letter. However, the Plan administrator and the Plan's tax counsel believe that the Plan is currently designed and being operated in compliance with the applicable requirements of the Internal Revenue Code. Therefore, no provisions for income taxes have been included in the Plan's financial statements.

TRIHEALTH Q, LLC 401(K) RETIREMENT SAVINGS PLAN

**Schedule H, Line 4i – Schedule of Delinquent Participant Contributions
January 4, 2016**

Schedule H (Form 5500)
EIN 20-2305105
Plan Number – 001

Total that Constitutes Nonexempt Prohibited Transactions

Participant Contributions Transferred Late to Plan	Contributions Not Corrected	Contributions Corrected Outside VFCP	Contributions Pending Correction in VFCP	Total Fully Corrected under VFCF and PTE 2002-51
Check here if late participant loan repayments are included: ☐	\$ -	\$ 27	\$ -	\$ -

TriHealth Q, LLC

401(k) Retirement Savings Plan

Financial Statements

With Supplementary Information

Period Ended January 4, 2016 and Year Ended December 31, 2015

With Independent Auditors' Report



BARNES DENNIG

Accounting • Tax • Business Insight

OHIO OFFICE

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Independent Auditors' Report

To the Plan Administrator of TriHealth Q, LLC
401(k) Retirement Savings Plan
Cincinnati, Ohio

Report on the Financial Statements

We have audited the accompanying financial statements of TriHealth Q, LLC 401(k) Retirement Savings Plan, which comprise the statements of net assets available for benefits as of January 4, 2016 and December 31, 2015, and the related statement of changes in net assets available for benefits for the period ended January 4, 2016, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the Plan's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Plan's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements referred to in the first paragraph present fairly, in all material respects, the financial status of TriHealth Q, LLC 401(k) Retirement Savings Plan as of December 31, 2015 and January 4, 2016, and the changes in its assets available for benefits for the period ended January 4, 2016, in accordance with accounting principles generally accepted in the United States of America.

BARNES DENNIG

**Independent Auditors' Report
(Continued)**

Report on Supplemental Information

Our audits were conducted for the purpose of forming an opinion on the financial statements as a whole. The supplemental schedule of Schedule of Delinquent Participant Contributions, referred to as "supplemental information," is presented for the purpose of additional analysis and is not a required part of the financial statements, but is supplemental information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974. Such information is the responsibility of the Plan's management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated in all material respects in relation to the financial statements as a whole.

Barnes Dennig & Co., LLP

November 14, 2016
Cincinnati, Ohio

TRIHEALTH Q, LLC 401(K) RETIREMENT SAVINGS PLAN

**Statements of Net Assets Available for Benefits
January 4, 2016 and December 31, 2015**

	<u>2016</u>	<u>2015</u>
Investments - at fair value:		
Money market fund	\$ -	\$ 594,944
Mutual funds	<u>-</u>	<u>6,524,359</u>
Total investments	<u>-</u>	<u>7,119,303</u>
Net assets available for benefits	<u><u>\$ -</u></u>	<u><u>\$ 7,119,303</u></u>

The accompanying notes are an integral part of these financial statements

TRIHEALTH Q, LLC 401(K) RETIREMENT SAVINGS PLAN

**Statement of Changes in Net Assets Available for Benefits
Period Ended January 4, 2016**

Additions:

Investment income:

Interest and dividends	\$ 153
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Total investment income	153
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Total additions	153
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Deductions:

Net depreciation in fair value of investments	82,621
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Total deductions	82,621
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Net decrease	(82,468)
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Transfer of Plan assets due to merger	(7,036,835)
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Net assets available for benefits:

Beginning of year	7,119,303
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End of year	\$ -
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The accompanying notes are an integral part of these financial statements

TRIHEALTH Q, LLC 401(K) RETIREMENT SAVINGS PLAN

Notes to Financial Statements

NOTE 1 SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Presentation

The accompanying financial statements of the TriHealth Q, LLC 401(k) Retirement Savings Plan (the "Plan") have been prepared on the accrual basis of accounting using accounting principles generally accepted in the United States of America.

Investment Valuation and Income Recognition

Investments are reported at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. See Note 3 for discussion of fair value measurements.

Purchases and sales of securities are recorded on a trade date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation/depreciation includes the Plan's gains and losses on investments bought and sold as well as held during the year.

The Plan utilizes various investment instruments. Investment securities, in general, are exposed to various risks, such as interest rate, credit and overall market volatility. Due to the level of risk associated with certain investment securities, it is reasonably possible that changes in the values of investment securities will occur in the near term and those changes could materially affect the amounts reported in the statement of net assets available for benefits.

Related-Party Transactions

In 2016 and 2015, certain Plan investments are invested in funds with a registered investment company managed by The Capital Group Companies, Inc. The Capital Group Companies, Inc. is the asset custodian as defined by the Plan. Therefore, these transactions qualify as party-in-interest.

Several employees of the Company provide administrative services to the Plan. These services include Plan oversight and day-to-day Plan administration. The Plan is not charged by the Company for the services of the employees to the Plan.

Estimates

The preparation of financial statements in conformity with United States generally accepted accounting principles requires the Plan Administrator to make estimates and assumptions that affect certain reported amounts and disclosures. Accordingly, actual results may differ from those estimates.

Benefit Payments

Benefit payments are recorded when paid.

The Plan provides for automatic direct rollovers of accounts greater than \$1,000, but equal to or less than \$5,000 into an individual retirement plan designated by the Plan Administrator unless otherwise directed by the participant. In the event that the value of the account is greater than \$5,000, such benefit may be distributed in a single sum only. Account balances with less than \$1,000 will be made in a lump sum cash payment unless otherwise directed by the participant.

TRIHEALTH Q, LLC 401(K) RETIREMENT SAVINGS PLAN

Notes to Financial Statements (Continued)

NOTE 1 SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Expenses

Certain expenses and costs of administering the Plan are paid for by the Company. Investment related expenses are included in net appreciation/depreciation of fair value of investments.

Subsequent Events

In preparing its financial statements, the Plan Sponsor has evaluated events subsequent to the date of these financial statements through November 14, 2016, which is the date the financial statements were available to be issued.

NOTE 2 DESCRIPTION OF THE PLAN

The following description of the Plan provides only general information. Participants should refer to their TriHealth Q, LLC 401(k) Retirement Savings Plan document for a more complete description of the Plan's provisions. The Plan became effective on January 1, 2006.

During 2015, management approved a merger of the Plan into the TriHealth, Inc. Designated Group Retirement Savings Plan and the TriHealth, Inc. Retirement Savings Plan. The effective date of the merger was January 1, 2016 and the Plan's assets were transferred on January 4, 2016.

General

The Plan is a defined contribution plan covering all eligible employees of TriHealth Q, LLC (the "Company") who have completed one hour of service with entrance into the Plan on the first pay date coinciding with or immediately following the completion of the Employee's Eligibility Service. All employees who were also participants in the Queen City Physicians, Ltd. Profit Sharing Plan are eligible. The Plan is subject to the Employee Retirement Income Security Act of 1974 (ERISA). The employees classified by the Company as Physicians or members of Senior Leadership in a position of Director or above using the meaning given such terms under the normal personnel procedures and practices established by the Company are not entitled to any contributions.

Contributions

A participant may contribute up to 100% of eligible compensation. Participants direct the investment of their contributions into various investment options offered by the Plan. Participants designate the percentage of their total contribution to be invested in any of the Plan's investment funds. Participants may change the percentage of contributions invested in each account and may make transfers between accounts anytime during the year. Contributions may not exceed limitations established under Section 402(g) of the Internal Revenue Code of 1986 as amended.

The Company may make supplemental contributions to the salary deferral accounts of eligible participants, other than highly compensated employees, in an amount necessary to meet the requirements of the nondiscrimination testing. The Company did not make a supplemental contribution to the Plan under this provision for the period ended January 4, 2016. The Company may make a discretionary matching contribution on behalf of each eligible participant who makes a salary deferral contribution in an amount determined by the Board of Trustees provided such discretionary matching contribution shall be determined and made on behalf of eligible participants in a manner which is uniform in nature and not discriminatory. The Company did not make a matching contribution for the period ended January 4, 2016.

TRIHEALTH Q, LLC 401(K) RETIREMENT SAVINGS PLAN

Notes to Financial Statements (Continued)

NOTE 2 DESCRIPTION OF THE PLAN (CONTINUED)

Contributions (Continued)

The Company may make a safe harbor contribution for plan years designated in writing by the Company on behalf of each eligible participant who is an eligible participant at any time during the plan year and who makes a salary deferral contribution for the plan year. The Company did not make a safe harbor contribution for the period ended January 4, 2016.

Rollover Contributions

Participants may roll over their taxable portion of funds previously held for their account in another qualified employee benefit plan to the Plan on a tax-free basis.

Participant Accounts

Each participant's account is credited with the participant's and Company's contributions and a pro-rata allocation of the Plan's earnings. The pro-rata allocation is based upon the proportion of individual account balances to total participants' account balances in the respective investment funds. The benefit to which a participant is entitled is the benefit that can be provided from the participant's account.

Vesting

Participants become immediately vested at the time of participation in the Plan in their contributions plus actual earnings thereon. Each eligible participant shall be 100% vested in the Company's supplemental contributions plus actual earnings thereon. Vesting in the Company's matching contribution portion of their accounts, plus actual earnings thereon is based on years of continuous service. Participants become 100% vested after three years of credited service. Non-vested portions of participant accounts that are forfeited upon payment of benefits are retained in the Plan and used to reduce employer contributions.

Forfeitures

Forfeitures of the Plan are intended to reduce future employer contributions to the Plan. At January 4, 2016 and December 31, 2015, no unallocated forfeitures were contained in the Plan.

Payment of Benefits

Upon a participant's retirement, death, total and permanent disability, other termination of employment with the Company, or termination of the Plan, the participants may receive benefits in accordance with the Plan's provisions and existing laws and regulations.

In-service distributions are permissible from the Plan for participants that have attained the age of 59 1/2.

In conditions of a "financial hardship", as defined, a participant may apply to the Plan administrator for approval of withdraw that portion of his/her 401(k) deferral account balance necessary to meet the financial hardship, subject to the limitations the Plan and the Internal Revenue Code. Upon termination of the Plan, the assets will be distributed to participants in accordance with the Plan's provisions and existing laws and regulations.

TRIHEALTH Q, LLC 401(K) RETIREMENT SAVINGS PLAN

Notes to Financial Statements (Continued)

NOTE 3 FAIR VALUE MEAUREMENTS

Financial Accounting Standards Board (FASB) *Accounting Standards Codification (ASC) 820, Fair Value Measurements and Disclosures*, provides the framework for measuring fair value. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities and the lowest priority to unobservable inputs.

The hierarchy is broken down into three levels based on the ability to observe inputs as follows:

Level 1 – Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Plan has the ability to access.

Level 2 – Inputs to the valuation methodology include:

- Quoted prices for similar assets or liabilities in active markets
- Quoted prices for identical or similar assets or liabilities in inactive markets
- Inputs other than quoted prices that are observable for the asset or liability;
- Inputs that are derived principally from or corroborated by observable market data by correlation or other means

If the asset or liability has a specified (contractual) term, the Level 2 input must be observable for substantially the full term of the asset or liability.

Level 3 – Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The asset's or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

Following is a description of the valuation approaches used for assets measured at fair value. There have been no changes in the methodologies used at January 4, 2016 and December 31, 2015.

Mutual funds: Valued at the daily closing price as reported by the fund. Mutual funds are held by the Plan are open-end mutual funds that are registered with the SEC. These funds are required to publish their daily NAV and to transact at that price. The mutual funds held by the Plan are deemed to be actively traded.

Money Market Fund: Valued at the daily closing prices as reported by the fund. The money market fund held by the Plan is an open-ended mutual fund that is registered with the Securities and Exchange Commission. This fund is required to publish its daily NAV and to transact at the price. The money market fund held by the Plan is deemed to be actively traded.

The methods described above may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, while the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different approaches or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

TRIHEALTH Q, LLC 401(K) RETIREMENT SAVINGS PLAN

Notes to Financial Statements (Continued)

NOTE 3 FAIR VALUE MEASUREMENTS (CONTINUED)

Assets Measured at Fair Value on a Recurring Basis

The following table summarizes by level, within the fair value hierarchy, the Plan's assets measured at fair value on a recurring basis at January 4, 2016 and December 31, 2015:

Description	Assets at fair value as of January 4, 2016			
	Total	Level 1	Level 2	Level 3
Assets				
Money market fund	\$ -	\$ -	\$ -	\$ -
Mutual funds	-	-	-	-
Total assets	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>
Description	Assets at fair value as of December 31, 2015			
	Total	Level 1	Level 2	Level 3
Assets				
Money market fund	\$ 594,944	\$ -	\$ 594,944	\$ -
Mutual funds	<u>6,524,359</u>	<u>6,524,359</u>	<u>-</u>	<u>-</u>
Total assets	<u>\$ 7,119,303</u>	<u>\$ 6,524,359</u>	<u>\$ 594,944</u>	<u>\$ -</u>

NOTE 4 TAX STATUS

The Plan obtained its latest determination letter on July 24, 2012, in which the Internal Revenue Service stated that the Plan, as then designed upon application, was in compliance with the applicable requirements of the Internal Revenue Code. The Plan has been amended since applying for and receiving the determination letter. However, the Plan administrator and the Plan's tax counsel believe that the Plan is currently designed and being operated in compliance with the applicable requirements of the Internal Revenue Code. Therefore, no provisions for income taxes have been included in the Plan's financial statements.

TRIHEALTH Q, LLC 401(K) RETIREMENT SAVINGS PLAN

**Schedule H, Line 4i – Schedule of Delinquent Participant Contributions
January 4, 2016**

Schedule H (Form 5500)
EIN 20-2305105
Plan Number – 001

Total that Constitutes Nonexempt Prohibited Transactions

Participant Contributions Transferred Late to Plan	Contributions Not Corrected	Contributions Corrected Outside VFCP	Contributions Pending Correction in VFCP	Total Fully Corrected under VFCI and PTE 2002-51
Check here if late participant loan repayments are included: ☐	\$ -	\$ 27	\$ -	\$ -