Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

1210-0089

OMB Nos. 1210-0110

2015

This Form is Open to Public Inspection

Parti		identification information								
For calend	For calendar plan year 2015 or fiscal plan year beginning 01/01/2016 and ending 10/19/2016									
Δ This re	turn/report is for:	a single-employer plan	a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions)							
A IIIISTE	tum/report is for.	a one-participant plan	a foreign plan	and form mondonone)						
B This retu	s return/report is									
		an amended return/report								
C Check	box if filing under:	Form 5558	automatic extension		DF	VC program				
F		special extension (enter descri								
Part II	Basic Plan Info	rmation—enter all requested inf	ormation							
1a Name	of plan				1b Three-o					
SHORELINE VETERINARY DENTAL CLINIC 401(K) P/S PLAN						mber				
				}	(PN)	l e e e e e e e e e e e e e e e e e e e				
			1C Effectiv	re date of plan 01/01/2012						
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box)						er Identification Number 11-3735655				
City or	town, state or provinc	e, country, and ZIP or foreign posta		uctions)	(EIN)	pr's telephone number				
SHORELINE VETERINARY DENTAL CLINIC						206-542-2101				
0544 BOVE)				2d Business code (see instruction					
2514 BOYEF SEATTLE, W					541940					
3a Plan administrator's name and address ⊠Same as Plan Sponsor.						3b Administrator's EIN				
						3c Administrator's telephone number				
					OO Adminis	strator a telephone mamber				
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the				or this plan, enter the	4b EIN					
name, EIN, and the plan number from the last return/report. a Sponsor's name										
5a Total number of participants at the beginning of the plan year						4				
b Total number of participants at the end of the plan year						0				
C Number of participants with account balances as of the end of the plan year (defined benefit plans do not										
complete this item)						0				
d(1) Total number of active participants at the beginning of the plan year						3				
d(2) Total number of active participants at the end of the plan year						0				
Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested						. 5e 0				
		or incomplete filing of this return								
SB or Sche		her penalties set forth in the instruc nd signed by an enrolled actuary, a plete.								
SIGN HERE		valid electronic signature.	11/25/2016	LINDA DEBOWES	'ES					
	Signature of plan a	dministrator	Date	Enter name of individu	plan administrator					
SIGN										
HERE	Signature of emplo		Date	Enter name of individual signing as employer or plan						
Preparer's name (including firm name, if applicable) and address (include room or suite number) Preparer's telephone number										

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6a Were all of the plan's assets during the plan year invested in elig b Are you claiming a waiver of the annual examination and report of under 29 CFR 2520.104-46? (See instructions on waiver eligibility If you answered "No" to either line 6a or line 6b, the plan care	of an independ y and condition nnot use For	dent qualified public a ons.) m 5500-SF and mus	ccount	ant (IQ	PA) Form	5500.		×	Yes Yes	No No
c If the plan is a defined benefit plan, is it covered under the PBGC	insurance pr	ogram (see ERISA se	ection 4	021)? .		Yes	No	Not	determ	ined
Part III Financial Information										
7 Plan Assets and Liabilities		(a) Beginning	g of Year				(b) End of Year			
a Total plan assets			174	540						0
b Total plan liabilities										
C Net plan assets (subtract line 7b from line 7a)	7с		174540			0				
8 Income, Expenses, and Transfers for this Plan Year a Contributions received or receivable from:		(a) Amou	ınt				(b)	Total		
(1) Employers	8a(1)									
(2) Participants	8a(2)									
(3) Others (including rollovers)	8a(3)									
b Other income (loss)	8b		11	884						
C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c								1188	4
d Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		185	022						
Certain deemed and/or corrective distributions (see instructions).										
f Administrative service providers (salaries, fees, commissions)										
g Other expenses			1	402						
h Total expenses (add lines 8d, 8e, 8f, and 8g)	·								18642	4
i Net income (loss) (subtract line 8h from line 8c)	8i								-17454	0
j Transfers to (from) the plan (see instructions)	8j									
Part IV Plan Characteristics					•					
9a If the plan provides pension benefits, enter the applicable pension	on feature cod	les from the List of Pl	an Cha	racteris	stic Co	des in t	the instr	uctions	•	
B If the plan provides welfare benefits, enter the applicable welfare	footure and	a from the List of Dis	n Char		io Coo	ام نم دا	a inatru	otiono		
in the plan provides wellare benefits, efficit the applicable wellare	e reature code	s morn the List of Fla	ii Cilaia	acterist	.10 000	162 111 111	ie iristiu	JUOIIS.		
Part V Compliance Questions										
10 During the plan year:				Yes	No	N/A		Ame	ount	
Was there a failure to transmit to the plan any participant contributed in 29 CFR 2510.3-102? (See instructions and DOL's Program)	Voluntary Fig	duciary Correction	10a		X					
b Were there any nonexempt transactions with any party-in-intere					.,					
reported on line 10a.)			10b		X					
C Was the plan covered by a fidelity bond?			10c		X					
by fraud or dishonesty?	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?				X					
Were any fees or commissions paid to any brokers, agents, or carrier, insurance service, or other organization that provides so the plan? (See instructions.)	ome or all of the	ne benefits under	10e		X					
f Has the plan failed to provide any benefit when due under the p			10f		Χ					
					X					
	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)				^					
· · · · · · · · · · · · · · · · · · ·	2520.101-3.)				X					
· · · · · · · · · · · · · · · · · · ·	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3									
j Did the plan trust incur unrelated business taxable income?			10j							
Part VI Pension Funding Compliance										
11 Is this a defined benefit plan subject to minimum funding require 5500) and line 11a below)								ПП	Yes	No
11a Enter the unpaid minimum required contribution for all years from						11a				
12 Is this a defined contribution plan subject to the minimum fundir						302 of E	RISA?.	🗍	Yes	X No

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	(If "Ye	s," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)							
а	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver								
If		ng the waiver		Day_		Τσαι			
b	Enter ti	he minimum required contribution for this plan year		12b					
C Enter the amount contributed by the employer to the plan for this plan year									
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a									
		ve amount)			Yes	No	N/A		
Part		e minimum funding amount reported on line 12d be met by the funding deadline? Plan Terminations and Transfers of Assets			163	NO	IN/A		
		resolution to terminate the plan been adopted in any plan year?			X Ye	sПNo			
		s," enter the amount of any plan assets that reverted to the employer this year		13a	(
b	Were	all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brou PBGC?	ght under the co	ontrol					
С	If duri	ng this plan year, any assets or liabilities were transferred from this plan to another plan(s), identiassets or liabilities were transferred. (See instructions.)							
•	13c(1) N	lame of plan(s):	13c(2)	EIN(s)		13c(3) PN(s)			
Part		Trust Information		Т					
14a	Name o	f trust		14b Trust's EIN					
14c	Name	of trustee or custodian		14d Trustee's or custodian's					
				telephone number					
Par	t IX	IRS Compliance Questions							
15a	Is the	plan a 401(k) plan?		☐ Ye	s	No			
	10 110			Design-					
15b	15b If "Yes," how does the 401(k) plan satisfy the nondiscrimination requirements for employee deferrals and employer matching contributions (as applicable) under sections 401(k)(3) and 401(m)(2)?					based safe ADP/ACP harbor test method			
15c		DP/ACP test is used, did the 401(k) plan perform ADP/ACP testing for the plan year using the "c		Yes No					
testing method" for nonhighly compensated employees (Treas. Reg sections 1.401(k)-2(a)(2)(ii) and 1.401(m)-2(a)(2)(ii))?									
16a	6a Check the box to indicate the method used by the plan to satisfy the coverage requirements under section 410(b):					☐ Ratio ☐ Avera			
16b	16b Does the plan satisfy the coverage and nondiscrimination tests of sections 410(b) and 401(a)(4) by combining this plan with any other plans under the permissive aggregation rules?					No			
17a Has the plan been timely amended for all required tax law changes?				Ye	S	No	N/A		
17b Date the last plan amendment/restatement for the required tax law changes was adopted// Enter the all for tax law changes and codes).					code	(See ins	tructions		
17c If the plan sponsor is an adopter of a pre-approved master and prototype (M&P) or volume submitter plan that is subject to a favorable IRS opinion or advisory letter, enter the date of that favorable letter / and the letter's serial number									
17d If the plan is an individually-designed plan and received a favorable determination letter from the IRS, enter the date of the plan's last favorable determination letter									
18					S	No			
19	Were in-service distributions made during the plan year?			Ye	s	No			
	If "Yes," enter amount								
20	Were required minimum distributions made to 5% owners who have attained age 70 ½ (regardless of whether or not retired), as required under section 401(a)(9)?					No	N/A		