## Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee **Benefit Plan** 

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

Revenue Code (the Code).

OMB Nos. 1210-0110 1210-0089

2016

This Form is Open to Public Inspection

Part I		t Identification Information			0/04/0040			
For calenda	r plan year 2016 or	fiscal plan year beginning 01/01/2	016	and ending 1	2/31/2016			
_		🔀 a single-employer plan	a multiple-employer pl					
A This return/report is for:		a one-participant plan	list of participating employer information in accordance with the form inst					
		a one-participant plan	a foreign plan					
D =0.1		the first return/report	the final return/report					
<b>B</b> This retur	rn/report is	n/report (less than 12 m	(1)					
	nonths)							
C Check be	ox if filing under:	Form 5558	automatic extension		DFVC program	1		
		special extension (enter desci	ription)		_			
Part II	Basic Plan Inf	ormation—enter all requested in						
1a Name o		Cition an requestion in	omaton		<b>1b</b> Three-digit			
		PS PROFIT SHARING RETIREMEN	NT PLAN		plan numbe	r		
					(PN) ▶	001		
					1c Effective da			
•						2/01/1983		
		loyer, if for a single-employer plan) om, apt., suite no. and street, or P.C	) Boy)			lentification Number 01-1177814		
		nce, country, and ZIP or foreign post		ructions)	(=+)			
SUSAN HOLL	INSWORTH DDS,	PS				elephone number -631-8286		
						ode (see instructions)		
13210 S.E. 24	OTH STREET, SUI	TE B-3				521210		
KENT, WA 98	042					021210		
3a Plan ad	lministrator's name	and address 🛛 Same as Plan Spoi	nsor.		<b>3b</b> Administrate	or's EIN		
					20 Administration			
					3C Administrate	or's telephone number		
<b>A</b> 16.0	1/ EDI (4)				41			
		he plan sponsor has changed since umber from the last return/report.	the last return/report filed t	or this plan, enter the	4b EIN			
<b>a</b> Sponso	•				4c PN			
<b>5a</b> Total n	umber of participant	ts at the beginning of the plan year			5a			
		ts at the end of the plan year			5b			
		h account balances as of the end of						
			. , , ,	•	5c	6		
<b>d(1)</b> Tota	I number of active p	participants at the beginning of the pl	an year		5d(1)	6		
		participants at the end of the plan ye			5d(2)	6		
		at terminated employment during the			5e	C		
		e or incomplete filing of this return other penalties set forth in the instru-						
		and signed by an enrolled actuary,						
	ue, correct, and cor		<u> </u>	1				
0.0.4	Filed with authorized	d/valid electronic signature.	03/01/2017	SUSAN ADAMS				
HERE	Signature of plan	administrator	Enter name of individ	lual signing as plar	administrator			
SIGN								
HERE	Signature of emp	lover/plan sponsor	Date	Enter name of individ	dual signing as emr	plover or plan sponsor		
Preparer's n	Signature of employer/plan sponsor   Date   Enter name of individual signing as employer or plan sponsor eparer's name (including firm name, if applicable) and address (include room or suite number )   Preparer's telephone number							
	,							

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under 29 CFR 252.0 104-46? (See instructions on walver eligibility and conditions.)		Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)								s No	
If you answered "No" to either line & aor line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.  C If the plan is a defined benefit plan, is a covered under the PBGC insurance program (see ERISA section 4021)?	b	Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA)								s No	
Part III   Financial Information   (a) Beginning of Year   (b) End of Year   1324547   1491870		and to the total to the total and the total and the total and tota							Ш	ш	
7 Plan Assets and Liabilities	С	If the plan is a defined benefit plan, is it covered under the PBGC ir	nsurance p	rogram (see ERISA se	ection 4	021)?		Yes	No	Not de	termined
a Total plan labilities	Pa	rt III Financial Information									
D Total plan liabilities	7	Plan Assets and Liabilities		(a) Beginning	of Year			(	(b) End	of Year	
E Net plan seatest (subtract line 7b from line 7a)	a	Total plan assets	7a	1	1324547			1491870			
8 Income, Expenses, and Transfers for this Plan Year a Contributions received or receivable from: (1) Employers. (2) Participants. (3) Others (including rollovers). 8a(1) 59725  (2) Participants. (3) Others (including rollovers). 8a(2) (3) Others (including rollovers). 8a(3)  5 Other income (add lines 8a(1), 8a(2), 8a(3), and 8b). 8c C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b). 8c C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b). 8c C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b). 8c C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b). 8c C Certain deemed ancfor corrective distributions (see instructions). 8d R Total R Administrative service providers (salaries, fees, commissions). 8e C Certain deemed ancfor corrective distributions (see instructions). 8g R Total expenses (add lines 8d, 8e, 8l, and 8g). 8h R Total expenses (add lines 8d, 8e, 8l, 8l, 8l, 8l, 8l, 8l, 8l, 8l, 8l, 8l	b	Total plan liabilities	7b	0							
a Contributions received or receivable from: (1) Employers (2) Participants (3) Others (including rollovers) (3) Others (including rollovers) (4) Employers (5) Other income (lost) (6) Other income (lost) (7) Other income (lost) (7) Other income (lost) (8) Other expenses (lost) (9) Other expenses (lost) (9) Other expenses (lost) (10) O	<u>C</u>	Net plan assets (subtract line 7b from line 7a)	7c	1	324547		1491870				
(2) Participants	8	Income, Expenses, and Transfers for this Plan Year		(a) Amour	ıt		(b) Total				
(2) Participants	а		90/1)		59725						
(3) Others (including rollovers)			1								
b Other income (loss)											
C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)		, , , , , , , , , , , , , , , , , , , ,			115379						
d Benefits paid (including direct rollovers and insurance premiums to provide benefits)							175104				)4
e Certain deemed and/or corrective distributions (see instructions).  e Certain deemed and/or corrective distributions (see instructions).  g Other expenses.  f Administrative service providers (salaries, fees, commissions)  g Other expenses.  h Total expenses (add lines 8d, 8e, 8f, and 8g)		( )	00								
f Administrative service providers (salaries, fees, commissions)			8d		180						
## Authinistrative service private versions services to the services of the se	e	Certain deemed and/or corrective distributions (see instructions).	8e								
h Total expenses (add lines 8d, 8e, 8f, and 8g)	f	Administrative service providers (salaries, fees, commissions)	8f		7601						
i Net income (loss) (subtract line 8h from line 8c)	g	Other expenses	8g								
Part IV   Plan Characteristics	<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h								
Part IV Plan Characteristics  9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:  b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:  Part V Compliance Questions  10 During the plan year:  a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)  b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	<u>_i</u>	Net income (loss) (subtract line 8h from line 8c)	8i				167323			23	
9a   If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:   b   If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:	j	j Transfers to (from) the plan (see instructions)									
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:    Part V   Compliance Questions	Pai	Part IV Plan Characteristics									
Part V Compliance Questions  10 During the plan year:  a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	9a	If the plan provides pension benefits, enter the applicable pension 2A 2E 3D	feature co	des from the List of Pl	an Cha	racteris	stic Co	des in	the insti	ructions:	
10 During the plan year:  a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)  b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)  c Was the plan covered by a fidelity bond?  d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?  e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)  f Has the plan failed to provide any benefit when due under the plan?  g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)  h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)  10 If 10h was answered "Yes," check the box if you either provided the required notice or one of the	b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	es from the List of Pla	n Chara	acterist	ic Cod	les in t	he instru	ictions:	
10 During the plan year:  a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)  b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)  c Was the plan covered by a fidelity bond?  d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?  e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)  f Has the plan failed to provide any benefit when due under the plan?  g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)  h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)  10 If 10h was answered "Yes," check the box if you either provided the required notice or one of the	Par	t V Compliance Questions									
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)						Voc	No	NI/A		A	
described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program).  b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.).  c Was the plan covered by a fidelity bond?			itions withi	n the time period		162	NO	IVA		Amoun	[
b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)		described in 29 CFR 2510.3-102? (See instructions and DOL's V	oluntary F	iduciary Correction	10a		X				
d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	b				10b		X				
d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?  e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)  f Has the plan failed to provide any benefit when due under the plan?  g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)  h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)  i If 10h was answered "Yes," check the box if you either provided the required notice or one of the	С										135000
Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)      Has the plan failed to provide any benefit when due under the plan?      Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)      If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)      If 10h was answered "Yes," check the box if you either provided the required notice or one of the	d	•	•	-			X				
g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)	е	<b>e</b> Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under					X				
h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	f	Has the plan failed to provide any benefit when due under the plan?					X				
i If 10h was answered "Yes," check the box if you either provided the required notice or one of the	g	Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)					X				
	h	·	10h		X						
	i				10i						

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Page <b>3</b> -	1	
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Part	VI	Pension Funding Compliance						
11		s a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and c n 5500) and line 11a below)						∕es X No
	11a Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40							
12		is a defined contribution plan subject to the minimum funding requirements of section 412 of the Co A?						res X No
	(If "\	es," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)						
	grant	raiver of the minimum funding standard for a prior year is being amortized in this plan year, see ins ing the waiver	onth _	s, and	d enter t Day		of the lette Year _	er ruling
If	you c	ompleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 1	13.	1		T		
<u>b</u>	Enter	the minimum required contribution for this plan year			12b			
С	Enter	the amount contributed by the employer to the plan for this plan year			12c			
d		ract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the l tive amount)			12d			
		he minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A
Part	VII	Plan Terminations and Transfers of Assets						
13a	Has a	a resolution to terminate the plan been adopted in any plan year?				Yes	s X N	lo
	If "Ye	es," enter the amount of any plan assets that reverted to the employer this year			13a			
b		e all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brougout of the PBGC?		er the			Yes	No
С		ring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identinassets or liabilities were transferred. (See instructions.)	ify the p	lan(s)	) to			
	13c(1)	Name of plan(s):	1	3c(2)	EIN(s)		13c(3	<b>)</b> PN(s)
Part	VIII	Trust Information						
14a	Name	of trust			14b <sup>-</sup>	Trust's E	EIN	
14c	Name	of trustee or custodian			<b>14d</b> Trustee's or custodian's telephone number			
Par	t IX	IRS Compliance Questions						
15a	Is the	plan a 401(k) plan? If "No," skip b		Yes			No	
		did the plan satisfy the nondiscrimination requirements for employee deferrals under section (3) for the plan year? Check all that apply:	-  LL ;		n-based "Prior year" ADP test			ear" ADP
"Curre					rent year" N/A test			
16a What testing method was used to satisfy the coverage requirements under section 410(b) for the plan year? Check all that apply:					entage	ntage Average N/A benefit test N/A		
<b>16b</b> Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?								
	the le							
	letter	plan is an individually-designed plan that received a favorable determination letter from the IRS, er	nter the	date	of the m	nost rece	ent determi	nation
18	Defined Benefit Plan or Money Purchase Pension Plan Only: Were any distributions made during the plan year to an employee who attained age 62 and had not separated from service?					Yes No		
19 Was any plan participant a 5% owner who had attained at least age 70 ½ during the prior plan year?						s [	No	

OMB Nos. 1210-0110

1210-0088

## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

## Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6057(b) and 8058(a) of the Internal Revenue Code (the Code).

2016

This Form is Open to Public Inspection

Complete all entries in accordance with the instructions to the Form 5500-SF.

	Annual Report	l Identification Information							
For	celender plan year 2018 or fi	scal plan year beginning	01/01/2016	and ending	12/31/201	<u> </u>			
<b>A</b> 1	This return/report is for:    X   a single-employer plan								
a one-participant plan a foreign plan									
	res real responses,	the first return/report	the final return/repor						
		an amended return/report	Z short plan year reti	im/report (less than 12 i	months)				
Cc	Check box If filing under:	Form 5558 special extension (enter descri	automatic extension	•	DFVC pr	ogram			
	Basic Plan Info					···			
	Name of plan	ormation enter all requested i	mormation		dh m				
	SUSAN HOLLINSWORTH	1b Three-digit plen numbe (PN) ►	001						
0-					1c Effective da 12/01/19	te of plan			
	Mailing Address (include to	oyer, if for a single-employer plan) om, apt., sulte no. and street, or P.O oe, country, and ZIP or foreign posts	. Box) al code (if foreion, see ins	nutions)	2b Employer Identification Number (EIN) 91-1177814				
	SUSAN HOLLINSWORTH	DDS, PS	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		2c Sponsor's to (253) 63	ilephone number 11-8286			
	13210 S.E. 240TH S	TREET, SUITE B-3			2d Business code (see instructions) 621210				
2	US KENT WA 98042				<u> </u>				
32	Plan edministrators name a	nd address X Same as Plan Spo	nsor		3b Administrator's EIN				
					1				
					3c Administrate	or's telephone number			
4	if the name and/or EIN of th name, EIN, and the plan nur	e plan sponsor has changed since t mber from the last return/report.	he last return/report filed	or this plan, enter the	4b EIN				
_	Sponsor's name				4c PN				
5a	Total number of participants	et the beginning of the plan year	****	****	5a	3 ,			
b	Total number of participants	at the end of the plan year			6b	6			
	complete this item)	account balances as of the end of the		contribution plans	5c	. 6 ,			
<b>a</b> (1	Total number of active par	rticipants at the beginning of the pla	п уевг	*****	5d(1)	6			
<b>d</b> (2	2) Total number of active par	rticipants at the end of the plan year	*********		5d(2)	6			
8	Number of participants that less than 100% vested	terminated employment during the p	lan year with accrued be	nefits that were	5e	0			
Cat	ution: A penalty for the late	or incomplete filing of this return	vreport will be assesse:	i uniess reasonable ca	use is established				
beli	el l'is une gares and can	ther penalties set for it in the ristore and signed by lar empled schurty, a operation	tions, i declare that have a well as the electromic w	ENTOLINA LETTLE LEDO	sport, Including, if as nivend pathe beet of	plicable, a Schedule, my knowledge and			
	Luca	adame	03/01/17	Susan	Adams				
jabeli i	Sign tipe of plan, edr		Date	Enter mane of individu	al summa agretan S	ininistration)			
	Keesa	adams	03/01/12	Susan	Adams	=)···			
	Signature of employe		Qu's	Enlechame of naven					
Preparer's name (including firm name, if applicable) and address (include room or suite number)						one number			
Sk	ip this question		•	•	Skip this que	estion			
					The state   The				