## **Form 5500-SF**

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

2016

This Form is Open to Public Inspection

OMB Nos. 1210-0110

1210-0089

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

Part I	Annual Report	<b>Identification Information</b>				
For calenda	ar plan year 2016 or fi	scal plan year beginning 01/01/20	016	and ending 10	0/10/2016	
A This ret	urn/report is for:	a single-employer plan  a one-participant plan		n (not multiemployer) ( ployer information in ac		
		a one-participant plan	a foreign plan			
<b>B</b> This retu	ırn/report is	the first return/report	X the final return/report			
		an amended return/report	a short plan year return	/report (less than 12 m	onths)	
C Check	oox if filing under:	Form 5558	automatic extension		DFVC program	
		special extension (enter descri	iption)		_	
Part II	Basic Plan Info	rmation—enter all requested infe	ormation			
1a Name PAIN CONS		NGTON, PLLC 401 (K) PLAN			<b>1b</b> Three-digit plan number (PN) ▶	001
					1c Effective date	of plan 01/2007
Mailing	address (include roo	yer, if for a single-employer plan) m, apt., suite no. and street, or P.O e, country, and ZIP or foreign posta		uctions)	2b Employer Idea (EIN) 01	ntification Number 0670144
	JLTANTS OF WASHI		ar code (ir foreign, see instit	uctions)	2c Sponsor's tele 425-8	ephone number 99-6030
20603 127TH	I AVE SE				2d Business code	
	H, WA 98296				62	1111
3a Plan a	dministrator's name a	nd address 🛛 Same as Plan Spon	sor.		<b>3b</b> Administrator	s EIN
					20 Adamining	- (-)
					3C Administrator	s telephone number
<b>4</b> 100 -			the all and the state of Clark Co	a th's a law and an the	41	
		e plan sponsor has changed since t mber from the last return/report.	the last return/report filed fo	or this plan, enter the	4b EIN	
<b>a</b> Sponse					4c PN	
_		at the beginning of the plan year			5a	16
		at the end of the plan year			5b	0
	ete this item)	account balances as of the end of t	pian year (only defined	pians	5c	0
<b>d(1)</b> Tota	al number of active pa	rticipants at the beginning of the pla	an year		5d(1)	0
		rticipants at the end of the plan year			5d(2)	С
		terminated employment during the			5e	C
		or incomplete filing of this return				
SB or Sche		her penalties set forth in the instruc nd signed by an enrolled actuary, a plete.				
SIGN HERE	Filed with authorized	valid electronic signature.	10/15/2016	DANIEL NELSON		
TILIXL	Signature of plan a	dministrator	Date	Enter name of individ	ual signing as plan a	dministrator
SIGN HERE						
	Signature of emplo		Date	Enter name of individ		
Preparer's	name (including firm r	name, if applicable) and address (in	clude room or suite numbe	r)	Preparer's telepho	ne number

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	Were all of the plan's assets during the plan year invested in eligib Are you claiming a waiver of the annual examination and report of under 29 CFR 2520.104-46? (See instructions on waiver eligibility	an indepe	ndent qualified public a	account	ant (IQ	PA)			X Yes	☐ No
	If you answered "No" to either line 6a or line 6b, the plan cann								ш	
С	If the plan is a defined benefit plan, is it covered under the PBGC ir	nsurance p	orogram (see ERISA se	ection 4	021)?		Yes	No	Not deter	mined
Pa	rt III Financial Information									
7	Plan Assets and Liabilities		(a) Beginning	of Year			(	(b) End o	f Year	
a	Total plan assets	7a		740594					0	
b	Total plan liabilities	7b		21						
С	Net plan assets (subtract line 7b from line 7a)	7c		740573	1				0	
8	Income, Expenses, and Transfers for this Plan Year		(a) Amour	ıt				(b) To	tal	
а	Contributions received or receivable from:	90(4)								
	(1) Employers	8a(1)								
	(2) Participants	8a(2)			$\dashv$					
<u>_</u>	(3) Others (including rollovers)	8a(3)		33463						
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8b 8c							33463	
	Benefits paid (including direct rollovers and insurance premiums	80							00100	
	to provide benefits)	8d		768635						
е	Certain deemed and/or corrective distributions (see instructions).	8e								
f	Administrative service providers (salaries, fees, commissions)	8f		5401						
g	Other expenses	8g								
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							774036	
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i							-740573	
j	Transfers to (from) the plan (see instructions)	8j								
Pai	t IV Plan Characteristics									
9a	If the plan provides pension benefits, enter the applicable pension 2A 2E 2F 2J 2K 3D 3B 2G 2T	feature co	odes from the List of Pl	an Cha	racteri	stic Co	odes in	the instru	ictions:	
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	des from the List of Pla	n Chara	acterist	tic Cod	des in t	he instruc	tions:	
Par	t V Compliance Questions									
10	During the plan year:				Yes	No	N/A		Amount	
a	Was there a failure to transmit to the plan any participant contributed described in 29 CFR 2510.3-102? (See instructions and DOL's Verogram)	oluntary F	Fiduciary Correction	10a		X				
b	Were there any nonexempt transactions with any party-in-interest reported on line 10a.)			10b		X				
С	Was the plan covered by a fidelity bond?			10c	X					50000
d	Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty?			10d		X				
е	Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides som the plan? (See instructions.)	ne or all of	the benefits under	10e		X				
f	Has the plan failed to provide any benefit when due under the pla	ın?		10f		X				
g	Did the plan have any participant loans? (If "Yes," enter amount a	as of year-	end.)	10g		X				
h	If this is an individual account plan, was there a blackout period? 2520.101-3.)			10h		X				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i						

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Part	VI	Pension Funding Compliance							
11		is a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and c m 5500) and line 11a below)						Yes	No
	Ente	er the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40			11a				
12		is a defined contribution plan subject to the minimum funding requirements of section 412 of the Co				f		Yes X	No
	(lf "	SA? Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)							•
а		vaiver of the minimum funding standard for a prior year is being amortized in this plan year, see ins ting the waiver		ns, and	d enter t Day		of the le	_	
If	you c	ompleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line	13.						
b	Enter	the minimum required contribution for this plan year			12b				
С	Enter	the amount contributed by the employer to the plan for this plan year			12c				
	Subt	ract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the lative amount)	eft of a		12d				
<u>e</u>	Will	the minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A	4
Part	VII	Plan Terminations and Transfers of Assets							
13a	Has	a resolution to terminate the plan been adopted in any plan year?				X Yes	3	No	
	If "Y	es," enter the amount of any plan assets that reverted to the employer this year			13a				0
b		e all the plan assets distributed to participants or beneficiaries, transferred to another plan, or broug rol of the PBGC?					X Yes	☐ No	
С		uring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identi h assets or liabilities were transferred. (See instructions.)	ify the p	olan(s)	) to				
1	3c(1)	Name of plan(s):	•	13c(2)	EIN(s)		130	<b>(3)</b> PN(s	s)
Part	VIII	Trust Information		1	1				
14a	Name	of trust			14b <sup>-</sup>	Trust's E	ΞIN		
14c	Name	e of trustee or custodian					s or custone numbe		
Part	: IX	IRS Compliance Questions		u					
15a	Is the	plan a 401(k) plan? If "No," skip b		Yes			No		
		did the plan satisfy the nondiscrimination requirements for employee deferrals under section )(3) for the plan year? Check all that apply:	IШ		n-based narbor	d [	l "Prior test	year" AD	P
	`			"Curre	ent year test	." [	N/A		
16a		testing method was used to satisfy the coverage requirements under section 410(b) for the plan Check all that apply:		Ratio perce test	entage		verage enefit tes	t 🔲 '	N/A
16b		ne plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) e plan year by combining this plan with any other plan under the permissive aggregation rules?		Yes			No		
	If the	plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS etter/ and the serial number	opinior						of
17b	If the letter	plan is an individually-designed plan that received a favorable determination letter from the IRS, en	nter the	date	of the m	nost rec	ent deter	mination	
	Were	ed Benefit Plan or Money Purchase Pension Plan Only: any distributions made during the plan year to an employee who attained age 62 and had not sepace?		from	Ye	s [	No		
19	Was	any plan participant a 5% owner who had attained at least age 70 $rac{1}{2}$ during the prior plan year?			Ye	s	No		

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Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

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OMB Nos. 1210-0110 1210-0089

2015

This Form is Open to Public Inspection

	ort Identification Information				
For calendar plan year 2015 of	term	01/01/2016	and ending	10/10/2	016
A This return/report is for:	a single-employer plan		olan (not multiemployer) mployer information in ac		
·	a one-participant plan	a foreign plan			
B This return/report is	the first return/report	x the final return/report			
	an amended return/report	🛛 a short plan year retui	rn/report (less than 12 m	nonths)	
C Check box if filling under:	Form 5558	automatic extension		DFVC p	rogram
	special extension (enter desc	ription)			
Part II Basic Plan I	nformation—enter all requested in	formation			
1a Name of plan	3			1b Three-digit	
Pain Consultants o	of Washington, PLLC 401	l(k) Plan		plan number (PN) ▶	001
				<b>1c</b> Effective date 01/01/2	
Mailing address (include	nployer, if for a single-employer plan) room, apt., suite no. and street, or P.			2b Employer Ide (EIN) 01-0	entification Number
	vince, country, and ZIP or foreign pos of Washington, PLLC	tal code (if foreign, see inst	tructions)	2c Sponsor's to 425-899	
20603 127TH AVE S	SE SE				de (see instructions)
SNOHOMISH	WA 98296			021111	
	e and address X Same as Plan Spon	eor		3b Administrato	r'e FIN
ou Hair aurillinistrator s harri	e and address Moanie as rian opon	301.		OD Administrate	1 3 LIIV
					r's telephone number
4 If the name and/or EIN o	f the plan sponsor has changed since	the last return/report filed	for this plan, enter the	4b EIN	
name, EIN, and the plan	f the plan sponsor has changed since number from the last return/report.	the last return/report filed	for this plan, enter the		
name, EIN, and the plan  a Sponsor's name	number from the last return/report.			4b EIN 4c PN	
name, EIN, and the plan a Sponsor's name  5a Total number of participa	number from the last return/report.			4b EIN 4c PN 5a	16
name, EIN, and the plan  a Sponsor's name  5a Total number of participa  b Total number of participan  c Number of participants w	ants at the beginning of the plan year.  ants at the end of the plan year with account balances as of the end of	the plan year (defined ber	nefit plans do not	4b EIN  4c PN  5a  5b	16
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