Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Annual Report Identification Information

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2016

This Form is Open to **Public Inspection**

For calenda	ar plan year 2016 or fi	scal plan year beginning 01/01/2	2016	and ending 12	2/31/2016					
A This ret	urn/report is for:	a single-employer plan	a multiple-employer plan (not multiemployer) (Filers checking this box must at list of participating employer information in accordance with the form instruction							
	•	a one-participant plan	a foreign plan							
B This retu	ırn/report is									
		an amended return/report	a short plan year return	n/report (less than 12 m	ı 12 months)					
C Check b	oox if filing under:	Form 5558	automatic extension		DFVC program					
D (!!		special extension (enter descri	• •							
Part II		prmation—enter all requested in	formation		41					
1a Name BUILDERS S	of plan SAND & GRAVEL INC	. 401(K) PLAN			1b Three-digit plan number (PN) ▶	001				
					1c Effective date of plan 01/01/2007					
Mailing	address (include roo	yer, if for a single-employer plan) m, apt., suite no. and street, or P.C			2b Employer Identification Number (EIN) 91-0756886					
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) BUILDERS SAND & GRAVEL INC.				uctions)	2c Sponsor's telephone number 425-743-3333					
					2d Business code (see instructions)					
18829 YEW WAY SNOHOMISH, WA 98296					484200					
3a Plan a	dministrator's name a	nd address X Same as Plan Spor	neor		3b Administrator's EIN					
Ju i lali a	ummistrator s name a	dancess Same as rian opon	11301.		Administrator 5 LIIV					
					3c Administrator's	telephone number				
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN, and the plan number from the last return/report.			4b EIN							
a Sponsor's name				4c PN						
5a Total number of participants at the beginning of the plan year				5a	12					
b Total r	number of participants	at the end of the plan year			5b	2				
		account balances as of the end of			5c	2				
d(1) Total number of active participants at the beginning of the plan year				5d(1)	8					
d(2) Total number of active participants at the end of the plan year				5d(2)	0					
Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested				5e	0					
		or incomplete filing of this return								
SB or Sche		her penalties set forth in the instruction and signed by an enrolled actuary, a plete.								
SIGN HERE	Filed with authorized	valid electronic signature.	03/31/2017	PHIL WATHNE						
HEKE	Signature of plan a	ndministrator	Date	Enter name of individual signing as plan administrator						
SIGN HERE	Filed with authorized	/valid electronic signature.	03/31/2017	PHIL WATHNE						
	Signature of emplo	oyer/plan sponsor name, if applicable) and address (ir	Date	Enter name of individ	ual signing as employ Preparer's telephon					
Preparer s	name (including ilim r	iame, il applicable) and address (il	ictude room or suite numbe	1)	Preparer's telephon	e number				

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	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)					(IQPA)				
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)							No		
С	If the plan is a defined benefit plan, is it covered under the PBGC in						_		Not dete	rmined
	rt III Financial Information				- ,	<u> </u>	1	<u> </u>		
7	Plan Assets and Liabilities		(a) Beginning	of Year				(b) End	of Year	
a	Total plan assets	7a		211456			'	(b) Liid (71432	
	Total plan liabilities	7b								
	Net plan assets (subtract line 7b from line 7a)	7c		211456	;				71432	
8	Income, Expenses, and Transfers for this Plan Year		(a) Amour	ıt				(b) To	otal	
а	Contributions received or receivable from:		, ,							
	(1) Employers	8a(1)								
	(2) Participants	8a(2)								
	(3) Others (including rollovers)	8a(3)		7819						
	Other income (loss)	8b		7010	-				7819	
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							7018	
	to provide benefits)	8d		144317	•					
е	Certain deemed and/or corrective distributions (see instructions).	8e								
f	Administrative service providers (salaries, fees, commissions)	8f		3526	6					
g	Other expenses	8g								
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							147843	
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i							-140024	
j	Transfers to (from) the plan (see instructions)	8j								
Pai	t IV Plan Characteristics									
9a 	9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2F 2G 2J 2K 2T 3D									
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	des from the List of Pla	n Chara	acterist	tic Cod	des in t	he instru	ctions:	
Par	t V Compliance Questions									
10	During the plan year:				Yes	No	N/A		Amount	
а	Was there a failure to transmit to the plan any participant contributed in 29 CFR 2510.3-102? (See instructions and DOL's Verogram)	oluntary F	Fiduciary Correction	10a		X				
b	Were there any nonexempt transactions with any party-in-interest reported on line 10a.)			10b		X				
С	Was the plan covered by a fidelity bond?			10c		X				
d	Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty?			10d		X				
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e	X					1638
f	f Has the plan failed to provide any benefit when due under the plan?			10f		X				
g	Did the plan have any participant loans? (If "Yes," enter amount a	s of year-	end.)	10g	Χ					0
h	If this is an individual account plan, was there a blackout period? 2520.101-3.)			10h		X				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10	he require	d notice or one of the	10i						

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Part	VI P	ension Funding Compliance							
11		a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and of 5500) and line 11a below)						Yes	X No
11a	Enter t	he unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40			11a				
12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?									X No
а	If a wa	es," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) iver of the minimum funding standard for a prior year is being amortized in this plan year, see ins		ns, and	_				ng
	_	g the waiver			Day	/	Yea	ar	
					12b				
	Enter tr	e minimum required contribution for this plan year							
		e amount contributed by the employer to the plan for this plan year			12c				
a		ct the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the /e amount)			12d				
		e minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	L N	/A
Part	VII P	Plan Terminations and Transfers of Assets							
13a	Has a ı	resolution to terminate the plan been adopted in any plan year?				X Ye	s	No	
	If "Yes	," enter the amount of any plan assets that reverted to the employer this year			13a				0
b		all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought the PBGC?		er the			Yes	X No	ı
С		ng this plan year, any assets or liabilities were transferred from this plan to another plan(s), ident assets or liabilities were transferred. (See instructions.)	ify the p	olan(s)) to				
	13c(1) N	ame of plan(s):		13c(2)	EIN(s)		13	c(3) PN	(s)
Part		Trust Information			4.41.				
14a	Name o	f trust			146	Trust's I	EIN		
14c Name of trustee or custodian			14d Trustee's or custodian's telephone number						
Par	t IX	IRS Compliance Questions							
15a	Is the p	lan a 401(k) plan? If "No," skip b		Yes			No		
				gn-based "Prior year" ADI test				NDP	
	()(.	,		"Curre	ent year test	,,	N/A		
16a What testing method was used to satisfy the coverage requirements under section 410(b) for the plan year? Check all that apply:			entage Average N/A benefit test N/A				N/A		
16b Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?				No No					
17a		an is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS		lette	r or advi	sory let	ter, ente	r the dat	e of
17b	If the pletter _	lan is an individually-designed plan that received a favorable determination letter from the IRS, e	nter the	date	of the n	nost rec	ent dete	rminatio	n
18	Were a	Benefit Plan or Money Purchase Pension Plan Only: ny distributions made during the plan year to an employee who attained age 62 and had not sep ?		from	Ye	s	No		
19	Was ar	ly plan participant a 5% owner who had attained at least age 70 $^{\prime\prime}$ during the prior plan year?			Ye	s	No		