Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

Revenue Code (the Code).

Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2016

This Form is Open to Public Inspection

Part I		t Identification Information			0/04/0040				
For calenda	ar plan year 2016 or	fiscal plan year beginning 01/01/2	2016	and ending 1	2/31/2016				
		🔀 a single-employer plan	a multiple-employer p						
A This return/report is for:		a one-participant plan	list of participating er	form instructions.)					
		a one-participant plan	a one-participant plan a foreign plan						
B This return/report is		the first return/report	the final return/report						
D This retu	in/report is	an amended return/report							
		an amended return/report							
C Check box if filing under: Form 5558 automatic extension									
		special extension (enter desc	ription)						
Part II	Basic Plan Inf	formation—enter all requested in	formation						
1a Name		·			1b Three-digit				
		LC 401(K) P/S PLAN			plan numbe				
					(PN) •	001			
					1c Effective da	te of plan 1/01/2016			
Mailing	address (include ro	loyer, if for a single-employer plan) om, apt., suite no. and street, or P.C			2b Employer Identification Number (EIN) 46-3222104				
	EATTLE CAPITAL L	nce, country, and ZIP or foreign post LC	al code (if foreign, see ins	tructions)	2c Sponsor's telephone number 206-255-7505				
					2d Business code (see instructions)				
3100 128TH BELLEVUE,					5	41990			
DELLE VOE,	WA 96003								
20 Dlan a		and address Disaster as Blancas			2b Administrato	Ja FINI			
	aministrator's name : EATTLE CAPITAL L	and address Same as Plan Spo	nsor. TH AVE NE		3b Administrate	6-3222104			
GREATER 3	LATTLE CAPITAL L		JE, WA 98005		3c Administrator's telephone number				
					206-255-7505				
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the					4b EIN				
name, EIN, and the plan number from the last return/report.					1.0 2.11				
a Sponsor's name					4c PN				
5a Total number of participants at the beginning of the plan year					5a	2			
b Total r	number of participan	ts at the end of the plan year			5b	5			
		h account balances as of the end of			5c	0			
d(1) Tota	al number of active p	participants at the beginning of the p	an year		5d(1)	2			
d(2) Tota	al number of active p	participants at the end of the plan ye	ar		5d(2)	5			
		at terminated employment during the			5e	0			
		e or incomplete filing of this retur			use is established	<u> </u>			
Under pena	alties of perjury and	other penalties set forth in the instru	ctions, I declare that I have	e examined this return/re	port, including, if a	oplicable, a Schedule			
		and signed by an enrolled actuary, a	as well as the electronic ve	ersion of this return/report	rt, and to the best o	f my knowledge and			
	Filed with authorize	d/valid electronic signature.	05/01/2017	ANDREW YOUNG					
SIGN HERE									
	Signature of plan	administrator	Date	Enter name of individ	administrator				
SIGN									
HERE		loyer/plan sponsor	Date			loyer or plan sponsor			
Preparer's	name (including firm	name, if applicable) and address (in	nclude room or suite numb	er)	Preparer's teleph	one number			
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 Were all of the plan's assets during the plan year invested in eligit Are you claiming a waiver of the annual examination and report of under 29 CFR 2520.104-46? (See instructions on waiver eligibility of you answered "No" to either line 6a or line 6b, the plan can 	f an indeper and condit	ndent qualified public a	account	ant (IC	QPA)			X Ye	
c If the plan is a defined benefit plan, is it covered under the PBGC	insurance p	rogram (see ERISA se	ection 4	021)?		Yes	No	Not det	termined
Part III Financial Information									
7 Plan Assets and Liabilities		(a) Beginning	of Year			((b) End o	of Year	
a Total plan assets	7a								0
b Total plan liabilities	7b								0
C Net plan assets (subtract line 7b from line 7a)	7с		C)					0
8 Income, Expenses, and Transfers for this Plan Year		(a) Amour	nt		(b) Total				
Contributions received or receivable from: (1) Employers	8a(1)		C						
(2) Participants	8a(2)		C						
(3) Others (including rollovers)	8a(3)		C)					
b Other income (loss)	8b		C)					
C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c								0
d Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		C						
e Certain deemed and/or corrective distributions (see instructions).	8e		C)					
f Administrative service providers (salaries, fees, commissions)	8f		()					
g Other expenses	8g		C)					
h Total expenses (add lines 8d, 8e, 8f, and 8g)	8h				0				
i Net income (loss) (subtract line 8h from line 8c)	8i				0				
j Transfers to (from) the plan (see instructions)	8j								
Part IV Plan Characteristics									
9a If the plan provides pension benefits, enter the applicable pension 2G 3D 2F 2E 2J 2K 2T	n feature co	des from the List of Pl	an Cha	racteri	stic Co	odes in	the instr	uctions:	
b If the plan provides welfare benefits, enter the applicable welfare	feature cod	es from the List of Pla	n Char	acteris	tic Cod	des in t	he instru	ctions:	
Part V Compliance Questions									
10 During the plan year:				Yes	No	N/A		Amount	
Was there a failure to transmit to the plan any participant contrib described in 29 CFR 2510.3-102? (See instructions and DOL's Program)	Voluntary F	iduciary Correction	10a		X				
	• ,				X				
C Was the plan covered by a fidelity bond?			10c		X				
d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X				
Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X				
f Has the plan failed to provide any benefit when due under the plan?			10f		X				
g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g		X				
h If this is an individual account plan, was there a blackout period?	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)				X				
i If 10h was answered "Yes," check the box if you either provided exceptions to providing the notice applied under 29 CFR 2520.1	the required	d notice or one of the	10h 10i						

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Part	VI	Pension Funding Compliance							
11		s this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)						es No	
11a	Ente	er the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40			11a				
12	ERISA?					f 		es X No	
		Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) vaiver of the minimum funding standard for a prior year is being amortized in this plan year, see inst	ruotior	20.000	d ontor t	ho data	of the letter	ruling	
	gran	ting the waiver	onth _	15, and	_ Day		Year _		
		ompleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 1			406				
<u> </u>	Enter	the minimum required contribution for this plan year			12b				
С	Enter	the amount contributed by the employer to the plan for this plan year			12c				
d		ract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the leastive amount)			12d			-	
<u>e</u>	Will	the minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A	
Part '	VII	Plan Terminations and Transfers of Assets							
13a	Has	a resolution to terminate the plan been adopted in any plan year?				Yes	s X No)	
	If "Y	es," enter the amount of any plan assets that reverted to the employer this year			13a				
b		e all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brouging of the PBGC?					Yes X	No	
С		uring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identifich assets or liabilities were transferred. (See instructions.)	y the p	plan(s)) to				
1	3c(1)	Name of plan(s):		13c(2)	EIN(s)		13c(3)	PN(s)	
Part	VIII	Trust Information							
14a Name of trust				14b Trust's EIN					
14c Name of trustee or custodian				14d Trustee's or custodian's telephone number					
Part	: IX	IRS Compliance Questions							
15a	Is the	plan a 401(k) plan? If "No," skip b		Yes		[No		
401(k)(3) for the plan year? Check all that apply:			·	e harbor "Prior year" test			ar" ADP		
			"Curre	rrent year" N/A P test					
				entage Average N/A benefit test N/A					
16b Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?				Yes	☐ No				
17a If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS opinion letter or advisory letter, enter the date of the letter/ and the serial number									
17b If the plan is an individually-designed plan that received a favorable determination letter from the IRS, enter the date of the most recent determination letter/									
					Ye	Yes No			
19	Was	any plan participant a 5% owner who had attained at least age 70 $^{1\!\!/}_{2}$ during the prior plan year?			Ye	s [No		