## Form 5500-SF

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

**Annual Report Identification Information** 

Short Form Annual Return/Report of Small Employee **Benefit Plan** Department of the Treasury Internal Revenue Service

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2016

This Form is Open to **Public Inspection** 

For calenda	ar plan year 2016 or fi	scal plan year beginning 01/01/2	2016	and ending 12	2/31/2016					
A This ret	urn/report is for:	a single-employer plan	ngle-employer plan a multiple-employer plan (not multiemployer) (Filers checki list of participating employer information in accordance with							
	·	a one-participant plan	a foreign plan							
<b>B</b> This retu	ırn/report is	the first return/report	the final return/report							
		an amended return/report	a short plan year return	/report (less than 12 m	n 12 months)					
C Check b	oox if filing under:	Form 5558	automatic extension		DFVC program					
Don't II	Dania Diam Info	special extension (enter desc	. ,							
Part II		ormation—enter all requested in	formation		4 h - Thomas - 18 a h	<u> </u>				
1a Name ELGOT SALI	of plan ES CORPORATION I	<b>1b</b> Three-digit plan number (PN) ▶	002							
					1c Effective date of plan 01/01/1988					
Mailing	address (include roo	oyer, if for a single-employer plan) m, apt., suite no. and street, or P.C			<b>2b</b> Employer Identification Number (EIN) 13-1539263					
ELGOT SALE		ce, country, and ZIP or foreign post	tal code (if foreign, see instr	uctions)	2c Sponsor's telephone number 212-879-1200					
4000 TUUDD	A\/ENILIE				2d Business code (see instructions)					
1296 THIRD NEW YORK,					443141					
3a Plan ad	dministrator's name a	nd address X Same as Plan Spo	nsor.		<b>3b</b> Administrator's EIN					
					3c Administrator's telephone number					
					7 (4.1	тогорионо нагиво				
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the					4b EIN					
name, EIN, and the plan number from the last return/report. <b>a</b> Sponsor's name				<b>4c</b> PN						
		at the beginning of the plan year.			5a	15				
<b>b</b> Total number of participants at the end of the plan year					5b	19				
		account balances as of the end of			5c	14				
<b>d(1)</b> Tota	al number of active pa	rticipants at the beginning of the p	lan year		5d(1)	11				
		articipants at the end of the plan ye			5d(2)	15				
Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested      Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable ca				5e	0					
		ther penalties set forth in the instru				cable a Schedule				
SB or Sche		nd signed by an enrolled actuary,								
SIGN HERE		/valid electronic signature.	05/09/2017	ELLEN ELIAS						
	Signature of plan a	administrator	Date	Enter name of individual signing as plan administrator						
SIGN HERE										
	Signature of emploname (including firm in	oyer/plan sponsor name, if applicable) and address (ii	Date nclude room or suite numbe	Enter name of individ	ual signing as employ Preparer's telephone					
, pan 31		, , , , , , , , , , , , , , , , , , ,	2. 2	,						

Form 5500-SF 2016 Page **2** 

6a	Were all of the plan's assets during the plan year invested in eligib	le assets?	? (See instructions.)						X Ye	s No			
b	<ul> <li>Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)</li></ul>												
c	If the plan is a defined benefit plan, is it covered under the PBGC in					_	_	_	□ Not de	termined			
	rt III   Financial Information	ioururioo p	orogram (see Errio/r se	300011 4	021).	······ <u></u>	100	Пио		terminea			
7	Plan Assets and Liabilities		(a) Beginning	of Voor				(b) End	of Vear				
<u>.</u>	Total plan assets	7a	(a) Beginning	938679		(b) End of Year 1044363							
_	Total plan liabilities	7b		0	)	0							
	Net plan assets (subtract line 7b from line 7a)	7c		938679				1044363					
8	Income, Expenses, and Transfers for this Plan Year		(a) Amour	(a) Amount			(b) Total						
	Contributions received or receivable from:		·					\-, ·-, ·-					
	(1) Employers	8a(1)		0									
	(2) Participants	8a(2)		26285									
	(3) Others (including rollovers)	8a(3)		0									
<u>b</u>	Other income (loss)	8b		84759									
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c				111044							
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		2369									
	Certain deemed and/or corrective distributions (see instructions).	8e		2350	_								
	Administrative service providers (salaries, fees, commissions)	8f		641	_								
_ <u>'</u>	Other expenses	8g		0									
	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							5360				
$\overline{}$	Net income (loss) (subtract line 8h from line 8c)	8i						105684					
÷	Transfers to (from) the plan (see instructions)			C									
, Doi													
9a	Part IV Plan Characteristics  9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:												
	2E 2F 2G 2J 2K 2T 3D	routuro ot	odeo irom the blet of th	arr oria	raotorii		Juoc III	110 11101	dollorio.				
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	des from the List of Pla	n Chara	acterist	tic Cod	des in t	he instru	ictions:				
Par	t V Compliance Questions												
10	During the plan year:				Yes	No	N/A		Amoun	t			
а	Was there a failure to transmit to the plan any participant contributed described in 29 CFR 2510.3-102? (See instructions and DOL's Vergram)	oluntary F	Fiduciary Correction	10a		X							
b	b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X							
	· · · · · · · · · · · · · · · · · · ·			10c	Χ					500000			
	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X							
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e	Х					4598			
f	<b>f</b> Has the plan failed to provide any benefit when due under the plan?			10f		X							
g	, , , , , , , , , , , , , , , , , , , ,			10g	X					92163			
h	<b>h</b> If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X							
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i									

ı	Form	550	0-SF	201	16

Page 3-	1	
Page 3-	1	

Part	VI	Pension Funding Compliance							
11		s this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)						es No	
11a	Ente	er the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40			11a				
12	12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?							es X No	
		Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) vaiver of the minimum funding standard for a prior year is being amortized in this plan year, see inst	ruotior	20.000	d ontor t	ho data	of the letter	ruling	
	gran	ting the waiver	onth _	15, and	_ Day		Year _		
		ompleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 1			406				
<u> </u>	Enter	the minimum required contribution for this plan year			12b				
С	Enter	the amount contributed by the employer to the plan for this plan year			12c				
d		ract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the leastive amount)			12d			_	
<u>e</u>	Will	the minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A	
Part '	VII	Plan Terminations and Transfers of Assets							
13a	Has	a resolution to terminate the plan been adopted in any plan year?				Yes	s X No	)	
	If "Y	es," enter the amount of any plan assets that reverted to the employer this year			13a				
b		e all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brouging of the PBGC?					Yes X	No	
С		uring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identifich assets or liabilities were transferred. (See instructions.)	y the p	plan(s)	) to				
1	3c(1)	Name of plan(s):		13c(2)	EIN(s)		13c(3)	PN(s)	
Part	VIII	Trust Information							
14a Name of trust					14b Trust's EIN				
14c Name of trustee or custodian					<b>14d</b> Trustee's or custodian's telephone number				
Part	: IX	IRS Compliance Questions							
15a	Is the	plan a 401(k) plan? If "No," skip b		Yes		[	No		
401(k)(3) for the plan year? Check all that apply:			·	ign-based "Prior year" A test			ar" ADP		
			"Curre	rent year" N/A P test					
			•	entage	ntage Average N/A benefit test N/A				
<b>16b</b> Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?				Yes	☐ No				
17a If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS opinion letter or advisory letter, enter the date of the letter/									
17b If the plan is an individually-designed plan that received a favorable determination letter from the IRS, enter the date of the most recent determination letter/									
18 Defined Benefit Plan or Money Purchase Pension Plan Only:  Were any distributions made during the plan year to an employee who attained age 62 and had not separated from service?				Ye	Yes No				
19	Was	any plan participant a 5% owner who had attained at least age 70 $^{1\!\!/}_{2}$ during the prior plan year?			Ye	s [	No		