## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

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2016

OMB Nos. 1210-0110

1210-0089

This Form is Open to Public Inspection

Pensio	n Benefit Guaranty Corporation	▶ Complete all entries in a	accordance with the instructions to the Form	5500-SF	₹.	<u> </u>			
Part	I Annual Report	<b>Identification Information</b>							
For cale	endar plan year 2016 or fi	scal plan year beginning 01/01/2	016 and ending 1	12/31/20	016				
<b>A</b> This	return/report is for:	a single-employer plan  a one-participant plan	a multiple-employer plan (not multiemployer) list of participating employer information in a a foreign plan	,	•				
<b>B</b> This	return/report is	the first return/report an amended return/report	the final return/report a short plan year return/report (less than 12 r	nonths)					
<b>C</b> Che	ck box if filing under:	Form 5558 special extension (enter descr	· /	DF	VC program				
Part I	I Basic Plan Info	rmation—enter all requested inf	formation						
	me of plan ESON CPA PC 401(K) PL	AN			Three-digit plan number (PN) ▶	001			
				1c	Effective date of 01/0	of plan 1/2008			
Ma	iling address (include rooi	yer, if for a single-employer plan) m, apt., suite no. and street, or P.C			2b Employer Identification Number (EIN) 11-3519083				
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions)  J. GITTLESON, CPA PC			<b>2c</b> Sponsor's telephone number 516-295-1525						
				2d	Business code	(see instructions)			
154 FRAN Woodme	IKLIN PLACE ERE, NY 11598				5412	211			
<b>3a</b> Pla	n administrator's name ar	nd address X Same as Plan Spor	nsor.	3b	Administrator's	EIN			
	3c Administrator's telephone number								
na	me, EIN, and the plan nui	e plan sponsor has changed since mber from the last return/report.	the last return/report filed for this plan, enter the	4b					
<b>a</b> Spo	onsor's name			4c					
<b>5a</b> To	tal number of participants	at the beginning of the plan year		58					
<b>b</b> To	tal number of participants	at the end of the plan year		5l	b				
			the plan year (only defined contribution plans	50	С	!			
d(1)	Total number of active pa	rticipants at the beginning of the pl	an year	5d(					
d(2)	Total number of active pa	rticipants at the end of the plan yea	ar	5d(	(2)				
th	an 100% vested		plan year with accrued benefits that were less	56					
Caution	Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.								

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

<u>belief, it is t</u>	true, correct, and complete.						
SIGN HERE	Filed with authorized/valid electronic signature.	05/23/2017	JEFFREY GITTLESON				
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator				
SIGN							
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor				
Preparer's name (including firm name, if applicable) and address (include		room or suite numbe	r )	Preparer's telephone number			

Form 5500-SF 2016 Page **2** 

If you answered "No" to either line 6a or line 6b, the pian cannot use Form 5500.   C if the pian is a defined benefit pian, is it covered under the PBGC insurance program (see ERISA section 4021)?	6a	Were all of the plan's assets during the plan year invested in eligib	le assets?	? (See instructions.)						X Yes	No No
C If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)?	b	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)						No			
Part III   Financial Information   (a) Beginning of Year   (b) End of Year   (c) End plan isabilities   (b) End plan assets (subtract line 7b from line 7a)   7b   (c) Note plan assets (subtract line 7b from line 7a)   7c   898775   (0) 11289   (c) Note plan assets (subtract line 7b from line 7a)   7c   898775   (0) 11289   (c) Note plan assets (subtract line 7b from line 7a)   7c   898775   (0) 101289   (c) Note plan assets (subtract line 7b from line 7a)   7c   898775   (d) Note plan assets (subtract line 7b from line 7a)   7c   898775   (d) Note plan line Note plan lin	_						_	-		T. Nicolated	
7 Plan Assets and Liabilities   (a) Beginning of Year   (b) End of Year   (c) End of Year   (d) End of Year   (e) End			nsurance p	orogram (see ERISA se	ection 4	021)?		Yes	INO	Not dete	erminea
a Total plan assets	Pa		r	i .							
b Total plan liabilities			_						(b) End o		)
C Net plan assets (subtract line 7b from line 7a)	_	·			090113	<u>'</u>				1011208	,
8 income. Expenses, and Transfers for this Plan Year a Contributions received or receivable from: (1) Employers (2) Participants (3) Others (including rollovers). 8a(2) 48000 (3) Others (including rollovers). 8a(3) 0 (3) Others (including rollovers). 8a(4) 0 (4) Bb 54514 (5) Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) 8c (6) Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) 8c (7) Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) 8c (8) 0 (9) Other spenses and for corrective distributions (see instructions). 8c (9) Other expenses (add lines 8a(1), 8a(8), and 8a) 8d (9) Other expenses (add lines 8a(1), 8a(8), and 8a) 8d (9) Other expenses (add lines 8a(1), 8a(8), and 8a) 8d (1) Other expenses (add lines 8a(1), 8a(8), and 8a) 8d (1) Other expenses (add lines 8a(1), 8a(8), and 8a) 8d (1) Transfers to (from) the plan (see instructions) 8d (1) Transfers to (from) the plan (see instructions) 8d (1) In the income (loss) (subtract line 8h from line 8c) 8d (1) If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:  2A 22 22 23 30  2B If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:  2A 22 22 23 30  2B If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:  2A 22 23 30  2B If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:  2B If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:  2B If the plan provides welfare to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program).  2B User there any nonexempt t								1011280	)		
a Contributions received or receivable from: (i) Employers (i) Employers (ii) Employers (iii) Employers (iiii) Employers (iiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiii		•	/c								
(1) Employers				(a) Amour	nt		(b) I otal				
(a) Others (including rollovers)			8a(1)		10000						
b Other income (loss)		(2) Participants	8a(2)		48000	)					
C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)		(3) Others (including rollovers)	8a(3)		0	)					
d Benefits paid (including direct rollovers and insurance premiums to provide benefits)	b	Other income (loss)	8b		54514						
e Certain deemed and/or corrective distributions (see instructions).  8	С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							112514	1
f Administrative service providers (salaries, fees, commissions)	d		8d		0						
g Other expenses	е	Certain deemed and/or corrective distributions (see instructions).	8e		0						
Total expenses (add lines 8d, 8e, 8f, and 8g)	f	Administrative service providers (salaries, fees, commissions)	8f		O	)					
Net income (loss) (subtract line 8h from line 8c)	g										
Transfers to (from) the plan (see instructions)	h									(	)
Part IV Plan Characteristics  9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:  2A 2E 2F 2G 2J 3D  b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:  Part V Compliance Questions  10 During the plan year:  a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)  b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)  C Was the plan covered by a fidelity bond?  d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?  e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions).  10a X  10b X  10c X  1	i	Net income (loss) (subtract line 8h from line 8c)	8i							112514	1
Part IV   Plan Characteristics	j	Transferred (from the plan (and instructions)				)					
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:  Part V Compliance Questions  10 During the plan year:  a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)  b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)  C Was the plan covered by a fidelity bond?  d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?  e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)  f Has the plan failed to provide any benefit when due under the plan?  g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)  10	Pai										
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10 During the plan year:  a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program).  b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.).  c Was the plan covered by a fidelity bond?  d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?  e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.).  f Has the plan failed to provide any benefit when due under the plan?  g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.).  100  100  100  100  100  100  100	b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	des from the List of Pla	n Chara	acterist	tic Cod	des in t	he instruc	tions:	
10 During the plan year:  a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program).  b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.).  c Was the plan covered by a fidelity bond?  d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?  e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.).  f Has the plan failed to provide any benefit when due under the plan?  g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.).  100  100  100  100  100  100  100	Par	t V Compliance Questions									
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)						Yes	No	N/A		Amount	
b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	а	Was there a failure to transmit to the plan any participant contribudescribed in 29 CFR 2510.3-102? (See instructions and DOL's V	oluntary F	Fiduciary Correction	40-		X				
C Was the plan covered by a fidelity bond?	b	Were there any nonexempt transactions with any party-in-interest	t? (Do not	include transactions			X				
by fraud or dishonesty?		·				X					100000
Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)	d				10d		X				
g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)	е	<b>e</b> Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under			10e						
h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)  If 10h was answered "Yes," check the box if you either provided the required notice or one of the	f	f Has the plan failed to provide any benefit when due under the plan?			10f		X				
2520.101-3.)	<u>_</u>	Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g	X					38134
	h	·			10h		X				
	i 				10i						

Form	5500	-SF	201	6

Page <b>3</b> -	1	
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Part	VI	Pension Funding Compliance						
11		s a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and c n 5500) and line 11a below)					\	∕es X No
	11a Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40							
12	12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA?							res X No
	(lf "\	es," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)						
	grant	raiver of the minimum funding standard for a prior year is being amortized in this plan year, see ins ing the waiver	onth _	s, and	d enter t Day		of the lette Year _	er ruling
If	you co	ompleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 1	13.	1		1		
b	Enter	the minimum required contribution for this plan year			12b			
С	Enter	the amount contributed by the employer to the plan for this plan year			12c			
d		ract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the l tive amount)			12d			
		he minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A
Part	VII	Plan Terminations and Transfers of Assets		1				
13a	Has a	a resolution to terminate the plan been adopted in any plan year?				Yes	s X N	lo
	If "Y€	es," enter the amount of any plan assets that reverted to the employer this year			13a			
b		e all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brougout of the PBGC?		r the			Yes	No
С		ring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identinassets or liabilities were transferred. (See instructions.)	fy the p	lan(s)	to			
	13c(1)	Name of plan(s):	1	3c(2)	EIN(s)		13c(3	<b>)</b> PN(s)
Part	VIII	Trust Information						
14a	Name	of trust			14b <sup>-</sup>	Trust's E	EIN	
14c	Name	of trustee or custodian			<b>14d</b> Trustee's or custodian's telephone number			
Par	t IX	IRS Compliance Questions						
15a	Is the	plan a 401(k) plan? If "No," skip b		Yes			No	
		did the plan satisfy the nondiscrimination requirements for employee deferrals under section (3) for the plan year? Check all that apply:	L		gn-based "Prior year" ADP test			ear" ADP
				Curre	ent year est	<u>"</u>	N/A	
16a What testing method was used to satisfy the coverage requirements under section 410(b) for the plan year? Check all that apply:			entage	tage Average N/A benefit test N/A				
<b>16b</b> Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?				☐ No				
	the le							
	letter	plan is an individually-designed plan that received a favorable determination letter from the IRS, er	nter the	date	of the m	nost rece	ent determi	nation
18	Were	ed Benefit Plan or Money Purchase Pension Plan Only: any distributions made during the plan year to an employee who attained age 62 and had not sepa e?		om	Ye	s [	No	
19	Wasa	any plan participant a 5% owner who had attained at least age 70 $^{1\!\!/}_{2}$ during the prior plan year?			Ye	s	No	