Form 5500-SF		Short Form Annual Return/Report of Small Employee					OMB Nos. 1210-0110 1210-0089		
Department of the Treasury Internal Revenue Service		Benefit Plan This form is required to be filed under sections 104 and 4065 of the Employee R			etirement	2016			
Employee B	epartment of Labor enefits Security Administration	Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Revenue Code (the Code).				rm is Open to c Inspection			
	enefit Guaranty Corporation	Complete all entries in		structions to the Form 5	500-SF.	Fublic	mspection		
Part I	Annual Report Ic	dentification Information		and ending 1	2/31/2016				
		a single-employer plan		plan (not multiemployer) (ing this box	must attach a		
A This re	turn/report is for:	a one-participant plan		employer information in ad		-			
B This ret	urn/report is	the first return/report an amended return/report							
C Check	box if filing under:	Form 5558	automatic extension						
		special extension (enter desc	ription)						
Part II	Basic Plan Inform	nation—enter all requested in	formation						
1a Name of plan ORTHOPEDICS NORTHWEST 401(K) PROFIT SHARING PLAN AND TRUST						1b Three-digit plan number (PN) ▶ 001 1c Effective date of plan			
						07/01/			
Mailin	g address (include room,	r, if for a single-employer plan) apt., suite no. and street, or P.C			2b Employer Identification Number (EIN) 91-2058773				
	ICS NORTHWEST PLL	country, and ZIP or foreign post	ai code (if foreign, see in	structions)	2c Sponsor's telephone number 509-834-6201				
1211 N 16TH YAKIMA, WA					2d Busir	ness code (s 62111	ee instructions) 1		
3a Plan a	dministrator's name and	address X Same as Plan Spo	nsor.		3b Admi	nistrator's E	IN		
					3c Admi	nistrator's te	elephone number		
4 If the	name and/or FIN of the r	blan sponsor has changed since	the last return/report file	d for this plan, enter the	4b EIN				
name		per from the last return/report.			4C PN				
		t the beginning of the plan year.			5a		108		
_		t the end of the plan year			50 5b		109		
C Numb	er of participants with ac	count balances as of the end of	the plan year (only defin	ed contribution plans	5c				
	,	cipants at the beginning of the p			5d(1)		92		
• •		cipants at the end of the plan ye	-		5d(2)		88		
e Num	per of participants that te	rminated employment during the	e plan year with accrued	benefits that were less	5e		2		
Caution: A	A penalty for the late or	incomplete filing of this return	n/report will be assesse	ed unless reasonable ca					
SB or Sch		r penalties set forth in the instru signed by an enrolled actuary, a ete.							
SIGN	Filed with authorized/va		05/26/2017	JOLENE JONES					
HERE	Signature of plan adr	ninistrator	Date	Enter name of individ	ividual signing as plan administrator				
SIGN	· · ·	lid electronic signature.	05/26/2017	JOHN HWANG					
HERE	Signature of employer/plan sponsor Date			Enter name of individ	f individual signing as employer or plan sponsor				
Preparer's		ne, if applicable) and address (in	nclude room or suite num			telephone			
	ant Daduction Act Nation	see the Instructions for Form 550				E.	orm 5500-SE (2016)		

41689

0

347328

2931620

6a							
b	b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.).						
	If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.						
C	c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No 🗌 No						
Pa	rt III Financial Information						
7	Plan Assets and Liabilities		(a) Beginning of Year	(b) End of Year			
а	Total plan assets	7a	15976002	18907622			
b	Total plan liabilities	7b	0	0			
С	Net plan assets (subtract line 7b from line 7a)	7c	15976002	18907622			
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount	(b) Total			
а	Contributions received or receivable from: (1) Employers	8a(1)	769033				
	(2) Participants	8a(2)	544092				
	(3) Others (including rollovers)	8a(3)	94641				
b	Other income (loss)	8b	1871182				
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c		3278948			
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	305639				
е	Certain deemed and/or corrective distributions (see instructions).	8e	0				

8f

8g

8h

8i

8j

If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:

If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

Part V Compliance Questions

2E 2G 2J 2K 2T 3D 2F

Part IV Plan Characteristics

Administrative service providers (salaries, fees, commissions)

Transfers to (from) the plan (see instructions)

g Other expenses.....

h Total expenses (add lines 8d, 8e, 8f, and 8g)...

i Net income (loss) (subtract line 8h from line 8c).....

f

j

9a

b

10	During the plan year:					Amount
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a		Х		
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	10b		Х		
С	Was the plan covered by a fidelity bond?	10c	Х			500000
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	10d		Х		
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.).	10e		Х		
f	Has the plan failed to provide any benefit when due under the plan?	10f		Х		
g	Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)	10g	Х			67110
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	10h		Х		
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	10i				

Part	VI	Pension Funding Compliance							
11		is a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and co m 5500) and line 11a below)						Yes 🗙 No	
11a	Ente	er the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40			11a				
12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section								Yes 🗙 No	
		SA? Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)							
а	,	valver of the minimum funding standard for a prior year is being amortized in this plan year, see instr	uctior	ns, and	d enter t	he date	of the lett	er ruling	
	gran	ting the waiver	onth_		_ Day		Year		
lf	you c	ompleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 1	3.						
b	Enter	the minimum required contribution for this plan year			12b				
С	Enter	the amount contributed by the employer to the plan for this plan year			12c				
d		tract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the le ative amount)			12d				
е	Will	the minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A	
Part	VII	Plan Terminations and Transfers of Assets							
13a	Has	a resolution to terminate the plan been adopted in any plan year?				Yes	s XI	No	
		es," enter the amount of any plan assets that reverted to the employer this year			13a				
b	Wer	e all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brough rol of the PBGC?	nt und	er the			Yes	X No	
c	lf, d	uring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the assets or liabilities were transferred. (See instructions.)			to				
1		Name of plan(s):		13c(2)	EIN(s)		13c(3) PN(s)	
Part	VIII	Trust Information							
14a Name of trust			14b Trust's EIN						
14c Name of trustee or custodian			14d Trustee's or custodian's telephone number						
Par	t IX	IRS Compliance Questions							
15a	Is the	plan a 401(k) plan? If "No," skip b		Yes	Νο				
15b How did the plan satisfy the nondiscrimination requirements for employee deferrals under section 401(k)(3) for the plan year? Check all that apply:									
				"Curre ADP t	ent year est	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	N/A		
16a What testing method was used to satisfy the coverage requirements under section 410(b) for the plan year? Check all that apply:				entage Average N/A benefit test N/A					
16b Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?					No				
	the le		-			-			
	letter		ter the	e date	of the m	nost rece	ent determ	ination	
18	8 Defined Benefit Plan or Money Purchase Pension Plan Only: Were any distributions made during the plan year to an employee who attained age 62 and had not separated from service?								
19	Was	any plan participant a 5% owner who had attained at least age 70 ½ during the prior plan year?			Ye	s	No		