Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2016

This Form is Open to Public Inspection

Part I		Identification Information							
For calenda	ar plan year 2016 or f	iscal plan year beginning 01/01/20	<u>16</u>	and ending 1	2/31/2016				
a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must at list of participating employer information in accordance with the form instruction									
a one-participant plan a foreign plan									
B This retu	ırn/report is								
		an amended return/report	nonths)						
C Check b	oox if filing under:	Form 5558	automatic extension		DFVC program				
		special extension (enter descrip	otion)		_				
Part II	Basic Plan Info	ormation—enter all requested info							
1a Name		oner an requested mile	maton		1b Three-digit				
J B SEAMLE	SS GUTTER 401 K F	PROFIT SHARING PLAN TRUST			plan numbe	r 001			
					1c Effective date of plan 01/01/2016				
		oyer, if for a single-employer plan)	D.		2b Employer Id	entification Number			
		om, apt., suite no. and street, or P.O. ce, country, and ZIP or foreign postal		ructions)	(=114)	0-1076617			
	ESS GUTTER		, ,	,	2c Sponsor's te	elephone number -689-7429			
40407 DONT	A PRIDGE PR				2d Business co	de (see instructions)			
JORDAN, NY	A BRIDGE RD ′ 13080				2	36110			
3a Plan a	dministrator's name a	nd address X Same as Plan Spons	sor.		3b Administrator's EIN				
					3c Administrator's telephone number				
						·			
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN, and the plan number from the last return/report.				4b EIN					
a Sponso	or's name				4c PN				
5a Total number of participants at the beginning of the plan year					5a				
b Total number of participants at the end of the plan year					5b	6			
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)					5c	1			
d(1) Total number of active participants at the beginning of the plan year				5d(1)	6				
d(2) Total number of active participants at the end of the plan year			5d(2)						
Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested					5e				
		or incomplete filing of this return/							
SB or Sche	edule MB completed a	ther penalties set forth in the instruct and signed by an enrolled actuary, as							
SIGN	rue, correct, and com Filed with authorized	/valid electronic signature.	06/07/2017	BOBBI JO					
HERE	Signature of plan	administrator	Date	Enter name of individ	dual signing as plan	administrator			
SIGN									
HERE	Signature of emplo	oyer/plan sponsor	Date	Enter name of individ	dual signing as emp	loyer or plan sponsor			
Preparer's name (including firm name, if applicable) and address (include room or suite number) Preparer's telephone number					one number				

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	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.) If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.									
	C If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No Not determined									
Pai	rt III Financial Information									
	Plan Assets and Liabilities	_	(a) Beginning (of Year				(b) End of Year		
	Total plan liabilities	7a		0		0				
	Total plan liabilities	7b 7c		0				285		
8	Income, Expenses, and Transfers for this Plan Year	76	(a) Amoun							
	Contributions received or receivable from:		(a) Amoun	ıt				(b) Total		
	(1) Employers	8a(1)		0						
	(2) Participants	8a(2)		284						
	(3) Others (including rollovers)	8a(3)		0						
b	Other income (loss)	8b		2						
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						286		
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		0						
е	Certain deemed and/or corrective distributions (see instructions).	8e		0						
f	Administrative service providers (salaries, fees, commissions)	8f		1						
q	Other expenses	8g		0						
	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h								
ī	Net income (loss) (subtract line 8h from line 8c)	8i						285		
j	Transfers to (from) the plan (see instructions)	8i		C						
Pai	Part IV Plan Characteristics									
9a										
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	les from the List of Pla	n Chara	acterist	tic Coc	des in t	he instructions:		
Par	t V Compliance Questions									
10	During the plan year:				Yes	No	N/A	Amount		
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X				
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X				
С	C Was the plan covered by a fidelity bond?			10c		X				
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X				
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X				
f	f Has the plan failed to provide any benefit when due under the plan?			10f		X				
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g		X				
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X				
i										

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Part	VI	Pension Funding Compliance						
11		s a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and con 5500) and line 11a below)						Yes X No
	11a Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40							
12	12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or sect ERISA?						│	Yes X No
	(lf "\	es," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)						
	grant	aiver of the minimum funding standard for a prior year is being amortized in this plan year, see ins ing the waiver	/lonth _	s, and	d enter t Day		of the lette Year _	er ruling
If	you co	empleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line	13.	1		T		
<u>b</u>	Enter	the minimum required contribution for this plan year			12b			
С	Enter	he amount contributed by the employer to the plan for this plan year			12c			
d		act the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the l ive amount)			12d			
		ne minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A
Part	VII	Plan Terminations and Transfers of Assets						
13a	Has a	resolution to terminate the plan been adopted in any plan year?				Yes	s X N	lo
	If "Ye	s," enter the amount of any plan assets that reverted to the employer this year			13a			
b		all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brougol of the PBGC?		er the			Yes	No
С		ring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identi n assets or liabilities were transferred. (See instructions.)	ify the p	olan(s)) to			
	13c(1)	Name of plan(s):	1	3c(2)	EIN(s)		13c(3) PN(s)	
Part	VIII	Trust Information						
14a	Name	of trust			14b ⁻	Trust's E	EIN	
14c Name of trustee or custodian			14d Trustee's or custodian's telephone number					
Par	t IX	IRS Compliance Questions						
15a	Is the	plan a 401(k) plan? If "No," skip b		Yes			No	
				ign-based "Prior year" ADP harbor test			ear" ADP	
"Curry ADP				rrent year" N/A P test				
16a What testing method was used to satisfy the coverage requirements under section 410(b) for the plan year? Check all that apply:			entage Average N/A benefit test N/A			□ N/A		
16b Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?								
17a If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS opinion letter or advisory letter, enter the date of the letter/ and the serial number								
17b If the plan is an individually-designed plan that received a favorable determination letter from the IRS, enter the date of the most recent determination letter/								
18 Defined Benefit Plan or Money Purchase Pension Plan Only: Were any distributions made during the plan year to an employee who attained age 62 and had not separated from service?				Ye	Yes No			
19 Was any plan participant a 5% owner who had attained at least age 70 ½ during the prior plan year?					Ye	s [No	