Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Annual Report Identification Information

Part I

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

2016

OMB Nos. 1210-0110

1210-0089

This Form is Open to **Public Inspection**

A This return/report is for:	mber				
B This return/report is the first return/report the final return/report the final return/report that final return/report the final return/report that final return/report t	mber				
a a maneded return/report	mber				
an amended return/report a short plan year return/report (less than 12 months) C Check box if filing under: Form 5558 automatic extension DFVC program Special extension (enter description) Part II Basic Plan Information—enter all requested information 1a Name of plan	mber				
special extension (enter description) Part II Basic Plan Information—enter all requested information 1a Name of plan	mber				
Part II Basic Plan Information—enter all requested information 1a Name of plan 1b Three-digit plan number (PN) ▶ 1c Effective date of plan 07/01/2009 2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) 2b Employer Identification Nr (EIN) 20-3204800 2c Sponsor's telephone num 206-799-0504 2c Sponsor's telephone num 206-799-0504 2d Business code (see instructions) 2d Business code (see instructions) 3a Plan administrator's name and address Same as Plan Sponsor. 3b Administrator's EIN	mber				
1a Name of plan1b Three-digit plan number (PN) ▶2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions)2b Employer Identification Note (EIN) 20-32048002c Sponsor's telephone num 206-799-05043d Plan administrator's name and address X Same as Plan Sponsor.3b Administrator's EIN	mber				
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2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) EPIC SEATS INC 2b Employer Identification No. (EIN) 20-3204800 (EIN) 20-3204800 2c Sponsor's telephone num 206-799-0504 2d Business code (see instructions) SEATTLE, WA 98134-1240 3b Administrator's EIN	per				
Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) EPIC SEATS INC 2c Sponsor's telephone num 206-799-0504 2d Business code (see instru 454390 3a Plan administrator's name and address Same as Plan Sponsor. 3b Administrator's EIN	per				
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3c Administrator's telephone	7 Administrator o Ent				
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN, and the plan number from the last return/report. 4b EIN					
a Sponsor's name 4c PN					
5a Total number of participants at the beginning of the plan year	15				
b Total number of participants at the end of the plan year	12				
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	10				
d(1) Total number of active participants at the beginning of the plan year	10				
d(2) Total number of active participants at the end of the plan year					
Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested	(
Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.					
Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a S SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowled belief, it is true, correct, and complete.					
SIGN Filed with authorized/valid electronic signature. 06/14/2017 JAMES KIMMEL					
HERE Signature of plan administrator Date Enter name of individual signing as plan administrator					
SIGN					
HERE Signature of employer/plan sponsor Date Enter name of individual signing as employer or plan	ponsor				
Preparer's name (including firm name, if applicable) and address (include room or suite number) Preparer's telephone number					

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b	b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)							X Yo	es No	
	rt III Financial Information	nsurance p	orogram (see ERISA se	ection 4	021)?		Yes	Пио	☐ Not de	etermined
<u>га</u> 7	Plan Assets and Liabilities		(a) Beginning	of Vear				(h) End	l of Year	
<u>.</u>	Total plan assets	7a		649112				(D) LIIC	6998	81
	Total plan liabilities	7b		0		0				0
	Net plan assets (subtract line 7b from line 7a)					699881				81
8	Income, Expenses, and Transfers for this Plan Year		(a) Amour	nt			(b) Total			
а	Contributions received or receivable from:		, ,							
-	(1) Employers	8a(1)		22930						
	(2) Participants	8a(2)		30659	_					
	(3) Others (including rollovers)	8a(3)		6129						
	Other income (loss)	8b		0123	-				597	10
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							391	10
	Benefits paid (including direct rollovers and insurance premiums to provide benefits)			8805						
е	Certain deemed and/or corrective distributions (see instructions).	8e		0						
f	Administrative service providers (salaries, fees, commissions)	8f		144						
g	Other expenses	8g		0						
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							89	49
i_	Net income (loss) (subtract line 8h from line 8c)								507	69
j	Transfers to (from) the plan (see instructions)									
Pai	art IV Plan Characteristics									
9a										
b	If the plan provides welfare benefits, enter the applicable welfare f	eature cod	les from the List of Pla	n Chara	acterist	tic Coc	les in t	he instr	uctions:	
Par	t V Compliance Questions									
10	During the plan year:				Yes	No	N/A		Amour	t
a	Was there a failure to transmit to the plan any participant contribudescribed in 29 CFR 2510.3-102? (See instructions and DOL's V	oluntary F	Fiduciary Correction			X				
b	Were there any nonexempt transactions with any party-in-interest	t? (Do not	include transactions	10a 10b		X				
	reported on line 10a.)				X					04044
C				10c	^					64911
	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X				
e	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)					X				
f	Has the plan failed to provide any benefit when due under the plan?					X				
g	Did the plan have any participant loans? (If "Yes," enter amount a	s of year-	end.)	10g		X				
h	If this is an individual account plan, was there a blackout period? 2520.101-3.)			10h		X				
i	If 10h was answered "Yes," check the box if you either provided to exceptions to providing the notice applied under 29 CFR 2520.10	he require	d notice or one of the	10i						

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Part	VI	Pension Funding Compliance								
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sc (Form 5500) and line 11a below)							Yes X No		
		the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40			11a					
12	12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 412 of t									
	(lf "\	es," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)								
	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver									
If	you co	empleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line	13.	1		T				
<u>b</u>	Enter	the minimum required contribution for this plan year			12b					
С	Enter	he amount contributed by the employer to the plan for this plan year			12c					
d		act the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the l ive amount)			12d					
		ne minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A		
Part	VII	Plan Terminations and Transfers of Assets								
13a	Has a	resolution to terminate the plan been adopted in any plan year?				Yes	s X N	lo		
	If "Ye	s," enter the amount of any plan assets that reverted to the employer this year			13a					
b		all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brougol of the PBGC?		er the			Yes	No		
С		ring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identi n assets or liabilities were transferred. (See instructions.)	ify the p	olan(s)) to					
	13c(1)	Name of plan(s):	1	3c(2)	EIN(s)		13c(3	3) PN(s)		
Part	VIII	Trust Information								
14a Name of trust					14b Trust's EIN					
14c Name of trustee or custodian					14d Trustee's or custodian's telephone number					
Par	t IX	IRS Compliance Questions								
15a	Is the	plan a 401(k) plan? If "No," skip b		Yes	☐ No					
		id the plan satisfy the nondiscrimination requirements for employee deferrals under section (3) for the plan year? Check all that apply:	IШ		e harbor "Prior year" ADP					
"Curre ADP t				rent year" N/A test						
16a What testing method was used to satisfy the coverage requirements under section 410(b) for the plan year? Check all that apply:				entage	age Average N/A benefit test N/A					
16b Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?					S No					
	the le									
	17b If the plan is an individually-designed plan that received a favorable determination letter from the IRS, enter the date of the most recent determination letter/									
18 Defined Benefit Plan or Money Purchase Pension Plan Only: Were any distributions made during the plan year to an employee who attained age 62 and had not separated from service?					Ye	Yes No				
19 Was any plan participant a 5% owner who had attained at least age 70 ½ during the prior plan year?										