Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

2016

2010

OMB Nos. 1210-0110

1210-0089

This Form is Open to Public Inspection

Part I Annual Report Identification Information						
For calendar plan year 2016 or fiscal plan year beginning 01/01/2016 and ending 12	/31/2016					
A This return/report is for: a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)						
a one-participant plan a foreign plan						
B This return/report is the first return/report the final return/report						
an amended return/report a short plan year return/report (less than 12 mg	onths)					
C Check box if filing under: Form 5558 automatic extension	DFVC program	1				
special extension (enter description)						
Part II Basic Plan Information—enter all requested information	4h =					
1a Name of plan ADVANCED RADIATION ONCOLOGY, PA 401(K) TRUST	1b Three-digit plan numbe (PN) ▶	er 001				
	1c Effective date of plan 01/01/2004					
Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box)		dentification Number 20-0862718				
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) ADVANCED RADIATION ONCOLOGY, PA		relephone number -744-4408				
	2d Business co	ode (see instructions)				
404 SAVOIE DRIVE PALM BEACH GARDENS, FL 33410	6	521111				
32 Dian administratoria name and address V Come as Dian Connect	3b Administrate					
3a Plan administrator's name and address ∑ Same as Plan Sponsor.	3D Administrate	JI S EIIN				
	3c Administrate	or's telephone number				
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the	4b EIN					
name, EIN, and the plan number from the last return/report. a Sponsor's name	4c PN					
5a Total number of participants at the beginning of the plan year	5a	2				
b Total number of participants at the end of the plan year	5b	(
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	5c					
d(1) Total number of active participants at the beginning of the plan year	5d(1)					
d(2) Total number of active participants at the end of the plan year	5d(2)	(
Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested	5e	(
Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cau	ise is established	d.				
Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/rep SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report belief, it is true, correct, and complete.						
SIGN Filed with authorized/valid electronic signature. 06/15/2017 DAVID HEROLD						
HERE Signature of plan administrator Date Enter name of individu	ual signing as plar	n administrator				
SIGN						
	ual signing as emp					

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b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)							X Yo			
	rt III Financial Information	isurance p	orogram (see LINOA se	otion 4	021):		163			eterrinine a
7	Plan Assets and Liabilities		(a) Beginning	of Year				(b) End	d of Year	
a	Total plan assets	7a		120017				(5) =		0
	Total plan liabilities	7b		0)					0
	Net plan assets (subtract line 7b from line 7a)	7c	1	120017						0
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	ıt				(b)	Total	
а	Contributions received or receivable from:	2 (1)		0						
	(1) Employers	8a(1)		0						
	(2) Participants	8a(2)		0						
<u></u>	(3) Others (including rollovers) Other income (loss)	8a(3) 8b		60736						
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							607	36
	Benefits paid (including direct rollovers and insurance premiums	00								
	to provide benefits)	8d	1	177708						
<u>e</u>	Certain deemed and/or corrective distributions (see instructions).	8e		0						
f_	Administrative service providers (salaries, fees, commissions)	8f		3045						
<u>g</u>	Other expenses	8g		0					44007	F0
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h				1180753 -1120017				
<u></u>	Net income (loss) (subtract line 8h from line 8c)	8i							-11200	17
J	Transfers to (from) the plan (see instructions)	8j		0						
	t IV Plan Characteristics			01		0				
9a	If the plan provides pension benefits, enter the applicable pension $2A$ $2E$ $2G$ $2J$ $3D$	reature co	odes from the list of Pi	an Cna	racteri	Stic Co	aes in	tne ins	structions:	
b	If the plan provides welfare benefits, enter the applicable welfare f	eature cod	des from the List of Pla	n Chara	acteris	tic Cod	les in t	he inst	ructions:	
Par					l	I	I			
10	During the plan year:				Yes	No	N/A		Amour	t
а	Was there a failure to transmit to the plan any participant contribudescribed in 29 CFR 2510.3-102? (See instructions and DOL's \		·			_				
	Program)			10a		X				
b	Were there any nonexempt transactions with any party-in-interest reported on line 10a.)			10b		X				
С	Was the plan covered by a fidelity bond?			10c		X				
d	Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty?			10d		Х				
е	Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides son the plan? (See instructions.)	ne or all of	the benefits under	10e		X				
f	Has the plan failed to provide any benefit when due under the pla	ın?		10f		X			_	
g	Did the plan have any participant loans? (If "Yes," enter amount a	as of year-	end.)	10g		Χ				
h	If this is an individual account plan, was there a blackout period? 2520.101-3.)			10h		Χ				
i	If 10h was answered "Yes," check the box if you either provided t exceptions to providing the notice applied under 29 CFR 2520.10	he require	d notice or one of the	10i						

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Part	VI	Pension Funding Compliance							
11	1 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sc (Form 5500) and line 11a below)							Yes X No	
		r the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40			11a		_		
12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA?								Yes X No	
	(lf "\	es," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)							
	grant	raiver of the minimum funding standard for a prior year is being amortized in this plan year, see ins ing the waiver.	/lonth _	s, and	d enter t Day		of the lett Year	er ruling 	
If	you co	ompleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line	13.	1		1			
<u>b</u>	Enter	the minimum required contribution for this plan year			12b				
С	Enter	the amount contributed by the employer to the plan for this plan year			12c				
d		ract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the live amount)			12d				
		he minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A	
Part	VII	Plan Terminations and Transfers of Assets							
13a	Has a	a resolution to terminate the plan been adopted in any plan year?				X Yes	S [] I	No	
	If "Y€	es," enter the amount of any plan assets that reverted to the employer this year			13a			0	
b		e all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brougol of the PBGC?		er the			X Yes	No	
С		ring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identinassets or liabilities were transferred. (See instructions.)	ify the p	lan(s)	to				
	13c(1)	Name of plan(s):	1	3c(2)	EIN(s)		13c(3) PN(s)	
-									
Part	VIII	Trust Information							
14a	Name	of trust			14b ⁻	Trust's E	EIN		
14c Name of trustee or custodian					14d Trustee's or custodian's telephone number				
Par	t IX	IRS Compliance Questions							
15a	Is the	plan a 401(k) plan? If "No," skip b		Yes		[No		
		did the plan satisfy the nondiscrimination requirements for employee deferrals under section (3) for the plan year? Check all that apply:	- ILI ,		n-based arbor	d [] "Prior y test	ear" ADP	
				"Curre	ent year est	<u>"</u>	N/A		
16a 		testing method was used to satisfy the coverage requirements under section 410(b) for the plan Check all that apply:		Ratio perce test	entage		verage enefit test	□ N/A	
16b Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?					No No				
17a If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS opinion letter or advisory letter, enter the date of the letter/									
	letter	plan is an individually-designed plan that received a favorable determination letter from the IRS, e	nter the	date	of the m	nost rece	ent determ	nination	
18	Were	ed Benefit Plan or Money Purchase Pension Plan Only: any distributions made during the plan year to an employee who attained age 62 and had not sepa e?		rom	Ye	s [No		
19	Wasa	any plan participant a 5% owner who had attained at least age 70 $\frac{1}{2}$ during the prior plan year?			Ye	s	No		

5500-SF Electronic Filing Authorization

Plan Name:

Advanced Radiation Oncology, PA 401(k) Trust

EIN/PN:

20-0862718/001

Plan Year:

01/01/2016 - 12/31/2016

I hereby authorize TPA Admin, Inc. to electronically file the above return with the US Department of Labor's Electronic Filing Acceptance System (EFAST).

I have signed Form 5500-SF for this return and understand a scanned copy of this return bearing my manual signature will be included in the electronic filing and posted on the US Department of Labor's internet site for public disclosure.

Dlan Mministrator

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6 /13 /2017

Plan Sponsor

(sign

(date)

Done 1 5/2017

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