

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code). ► Complete all entries in accordance with the instructions to the Form 5500.	OMB Nos. 1210-0110 1210-0089 2016 This Form is Open to Public Inspection
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Part I	Annual Report Identification Information For calendar plan year 2016 or fiscal plan year beginning <u>01/01/2016</u> and ending <u>12/31/2016</u>
A	This return/report is for: <div style="display: inline-block; width: 45%;"> ☐ a multiemployer plan </div> <div style="display: inline-block; width: 45%;"> ☐ a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.) </div>
	☒ a single-employer plan <div style="display: inline-block; width: 45%;"> ☐ a DFE (specify) ____ </div>
B	This return/report is: <div style="display: inline-block; width: 45%;"> ☐ the first return/report </div> <div style="display: inline-block; width: 45%;"> ☐ the final return/report </div>
	☐ an amended return/report <div style="display: inline-block; width: 45%;"> ☐ a short plan year return/report (less than 12 months) </div>
C	If the plan is a collectively-bargained plan, check here. ☐
D	Check box if filing under: <div style="display: inline-block; width: 45%;"> ☒ Form 5558 </div> <div style="display: inline-block; width: 45%;"> ☐ automatic extension </div>
	☐ special extension (enter description) <div style="display: inline-block; width: 45%;"> ☐ the DFVC program </div>

Part II	Basic Plan Information —enter all requested information	
1a	Name of plan <u>LEGAL AID SOCIETY MONEY PURCHASE PENSION PLAN</u>	1b Three-digit plan number (PN) ► <u>001</u>
		1c Effective date of plan <u>11/01/1981</u>
2a	Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) <u>LEGAL AID SOCIETY OF CINCINNATI</u> <u>215 EAST 9TH STREET</u> <u>SUITE 200</u> <u>CINCINNATI, OH 45202</u>	
	2b Employer Identification Number (EIN) <u>31-0536673</u>	
	2c Plan Sponsor's telephone number <u>513-241-9400</u>	
	2d Business code (see instructions) <u>541190</u>	

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature. Signature of plan administrator	<u>06/20/2017</u> Date	<u>MARY ASBURY</u> Enter name of individual signing as plan administrator
SIGN HERE	Filed with authorized/valid electronic signature. Signature of employer/plan sponsor	<u>06/20/2017</u> Date	<u>MARY ASBURY</u> Enter name of individual signing as employer or plan sponsor
SIGN HERE	Signature of DFE	Date	Enter name of individual signing as DFE
Preparer's name (including firm name, if applicable) and address (include room or suite number)			Preparer's telephone number

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Form 5500 (2016)
v. 160205

3a Plan administrator's name and address ☒ Same as Plan Sponsor	3b Administrator's EIN
	3c Administrator's telephone number
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report: a Sponsor's name	4b EIN 4c PN
5 Total number of participants at the beginning of the plan year	5 106
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1) , 6a(2) , 6b , 6c , and 6d).	
a(1) Total number of active participants at the beginning of the plan year.....	6a(1) 71
a(2) Total number of active participants at the end of the plan year	6a(2) 76
b Retired or separated participants receiving benefits.....	6b 0
c Other retired or separated participants entitled to future benefits	6c 31
d Subtotal. Add lines 6a(2) , 6b , and 6c	6d 107
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.	6e 0
f Total. Add lines 6d and 6e	6f 107
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	6g 105
h Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested	6h 0
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item).....	7
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: 2C 3D	
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:	
9a Plan funding arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	9b Plan benefit arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor
10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)	
a Pension Schedules (1) ☒ R (Retirement Plan Information) (2) ☐ MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary (3) ☐ SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary	b General Schedules (1) ☒ H (Financial Information) (2) ☐ I (Financial Information – Small Plan) (3) ☐ A (Insurance Information) (4) ☒ C (Service Provider Information) (5) ☐ D (DFE/Participating Plan Information) (6) ☐ G (Financial Transaction Schedules)

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2016 Form M-1 annual report. If the plan was not required to file the 2016 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code _____

<div>SCHEDULE C</div> <div>(Form 5500)</div> <div>Department of the Treasury Internal Revenue Service</div> <div>Department of Labor Employee Benefits Security Administration</div> <div>Pension Benefit Guaranty Corporation</div>	<div>Service Provider Information</div> <div>This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).</div> <div>▶ File as an attachment to Form 5500.</div>	OMB No. 1210-0110
		2016
		This Form is Open to Public Inspection.
For calendar plan year 2016 or fiscal plan year beginning 01/01/2016 and ending 12/31/2016		
A Name of plan LEGAL AID SOCIETY MONEY PURCHASE PENSION PLAN		B Three-digit plan number (PN) ▶ 001
C Plan sponsor's name as shown on line 2a of Form 5500 LEGAL AID SOCIETY OF CINCINNATI		D Employer Identification Number (EIN) 31-0536673

Part I	Service Provider Information (see instructions)
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You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

- a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☐ Yes ☒ No
- b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

RIVERPOINT CAPITAL

80-0861455

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51	NONE	45623	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

US BANK NATIONAL ASSOCIATION

31-0841368

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
21 50 99	NONE	944	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

US BANCORP ASSET MANAGEMENT, INC.

41-2003732

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
99	NONE	0	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☒ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

US BANKCORP FUND SERVICES, LLC

39-1939072

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
99	NONE	0	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
US BANK NATIONAL ASSOCIATION	99	
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FIRST AMERICAN FUNDS 31-0841368	RATE OF FEES RECEIVED .39%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
US BANKCORP ASSET MANAGEMENT, INC.	99	
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FIRST AMERICAN FUNDS 31-0841368	RATE OF FEES RECEIVED .10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
US BANKCORP FUND SERVICES, LLC	99	
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FIRST AMERICAN FUNDS 31-0841368	RATE OF FEES .01%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
US BANK NATIONAL ASSOCIATION	99	

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
OAKMARK INTERNATIONAL 31-0841368	RATE OF FEES RECEIVED .28%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
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(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)
(complete as many entries as needed)

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

SCHEDULE H (Form 5500) <small>Internal Revenue Service</small> <small>Department of Labor</small> <small>Employee Benefits Security Administration</small> <small>Pension Benefit Guaranty Corporation</small>	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	<small>OMB No. 1210-0110</small> 2016 This Form is Open to Public Inspection
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For calendar plan year 2016 or fiscal plan year beginning <u>01/01/2016</u> and ending <u>12/31/2016</u>		
A Name of plan <u>LEGAL AID SOCIETY MONEY PURCHASE PENSION PLAN</u>	B Three-digit plan number (PN) ►	<u>001</u>
C Plan sponsor's name as shown on line 2a of Form 5500 <u>LEGAL AID SOCIETY OF CINCINNATI</u>	D Employer Identification Number (EIN) <u>31-0536673</u>	

Part I	Asset and Liability Statement
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1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a		
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions.....	1b(1)	32827	63738
(2) Participant contributions	1b(2)		
(3) Other.....	1b(3)	21087	56323
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit).....	1c(1)	377877	373575
(2) U.S. Government securities	1c(2)		
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		
(B) All other.....	1c(3)(B)	2279089	2131200
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)	206761	196933
(B) Common.....	1c(4)(B)	3361127	3648378
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property).....	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans.....	1c(8)		
(9) Value of interest in common/collective trusts	1c(9)		
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities.....	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds).....	1c(13)	1776963	1716350
(14) Value of funds held in insurance company general account (unallocated contracts).....	1c(14)		
(15) Other	1c(15)		

1d Employer-related investments:		(a) Beginning of Year	(b) End of Year
(1) Employer securities	1d(1)		
(2) Employer real property	1d(2)		
e Buildings and other property used in plan operation	1e		
f Total assets (add all amounts in lines 1a through 1e)	1f	8055731	8186497

Liabilities

g Benefit claims payable	1g		163
h Operating payables	1h		
i Acquisition indebtedness	1i		
j Other liabilities	1j		
k Total liabilities (add all amounts in lines 1g through 1j)	1k	0	163

Net Assets

l Net assets (subtract line 1k from line 1f)	1l	8055731	8186334
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Part II Income and Expense Statement

- 2** Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income

		(a) Amount	(b) Total
a Contributions:			
(1) Received or receivable in cash from: (A) Employers	2a(1)(A)	299196	
(B) Participants	2a(1)(B)		
(C) Others (including rollovers)	2a(1)(C)		
(2) Noncash contributions	2a(2)		
(3) Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)		299196
b Earnings on investments:			
(1) Interest:			
(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)	51	
(B) U.S. Government securities	2b(1)(B)		
(C) Corporate debt instruments	2b(1)(C)	62615	
(D) Loans (other than to participants)	2b(1)(D)		
(E) Participant loans	2b(1)(E)		
(F) Other	2b(1)(F)		
(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)		62666
(2) Dividends: (A) Preferred stock	2b(2)(A)	16703	
(B) Common stock	2b(2)(B)	66701	
(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	42257	
(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)		125661
(3) Rents	2b(3)		
(4) Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)	1206929	
(B) Aggregate carrying amount (see instructions)	2b(4)(B)	1209925	
(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)		-2996
(5) Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)		
(B) Other	2b(5)(B)	373595	
(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)		373595

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds).....	2b(10)		87832
c Other income.....	2c		
d Total income. Add all income amounts in column (b) and enter total.....	2d		945954

Expenses

e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	772536	
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3).....	2e(4)		772536
f Corrective distributions (see instructions)	2f		
g Certain deemed distributions of participant loans (see instructions)	2g		
h Interest expense.....	2h		
i Administrative expenses: (1) Professional fees.....	2i(1)	750	
(2) Contract administrator fees.....	2i(2)		
(3) Investment advisory and management fees.....	2i(3)	42065	
(4) Other	2i(4)		
(5) Total administrative expenses. Add lines 2i(1) through (4).....	2i(5)		42815
j Total expenses. Add all expense amounts in column (b) and enter total	2j		815351

Net Income and Reconciliation

k Net income (loss). Subtract line 2j from line 2d	2k		130603
l Transfers of assets:			
(1) To this plan.....	2l(1)		
(2) From this plan.....	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☐ Unqualified (2) ☐ Qualified (3) ☒ Disclaimer (4) ☐ Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)? ☒ Yes ☐ No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: **BARNES DENNIG & COMPANY, LTD**

(2) EIN: **31-1119890**

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.).....

b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)

	Yes	No	Amount
4a		X	
4b		X	

		Yes	No	Amount
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)	4c		X	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)	4d		X	
e Was this plan covered by a fidelity bond?	4e	X		500000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		X	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	4g		X	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	4h		X	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	4i	X		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)	4j		X	
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4k		X	
l Has the plan failed to provide any benefit when due under the plan?	4l		X	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m		X	
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.	4n			
o Defined Benefit Plan or Money Purchase Pension Plan Only: Were any distributions made during the plan year to an employee who attained age 62 and had not separated from service?	4o			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?
If "Yes," enter the amount of any plan assets that reverted to the employer this year. ☐ Yes ☒ No **Amount:**

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)

5c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (See ERISA section 4021.)? ☐ Yes ☐ No ☐ Not determined
If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year (See instructions.)

Part V Trust Information

6a Name of trust	6b Trust's EIN
6c Name of trustee or custodian	6d Trustee's or custodian's telephone number

SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Retirement Plan Information This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110 2016 This Form is Open to Public Inspection.
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For calendar plan year 2016 or fiscal plan year beginning 01/01/2016 and ending 12/31/2016

A Name of plan <u>LEGAL AID SOCIETY MONEY PURCHASE PENSION PLAN</u>	B Three-digit plan number (PN) ▶ <u>001</u>
C Plan sponsor's name as shown on line 2a of Form 5500 <u>LEGAL AID SOCIETY OF CINCINNATI</u>	D Employer Identification Number (EIN) <u>31-0536673</u>

Part I	Distributions
---------------	----------------------

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions	1	
2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): <u>41-6271370</u>		
Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.		
3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year	3	

Part II	Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)
----------------	---

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)?	☐ Yes	☒ No	☐ N/A
If the plan is a defined benefit plan, go to line 8.			
5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____ If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.			
6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived)	6a	<u>299196</u>	
b Enter the amount contributed by the employer to the plan for this plan year	6b	<u>299196</u>	
c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount)	6c	<u>0</u>	
If you completed line 6c, skip lines 8 and 9.			
7 Will the minimum funding amount reported on line 6c be met by the funding deadline?	☒ Yes	☐ No	☐ N/A
8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change?	☐ Yes	☐ No	☐ N/A

Part III	Amendments
-----------------	-------------------

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box.....	☐ Increase	☐ Decrease	☐ Both	☐ No
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Part IV	ESOPs (see instructions). If this is not a plan described under Section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.
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10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan?	☐ Yes	☐ No
11 a Does the ESOP hold any preferred stock?	☐ Yes	☐ No
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.)	☐ Yes	☐ No
12 Does the ESOP hold any stock that is not readily tradable on an established securities market?	☐ Yes	☐ No

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Schedule R (Form 5500) 2016
v. 160205

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

14	Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:		
	a The current year	14a	
	b The plan year immediately preceding the current plan year	14b	
	c The second preceding plan year	14c	
15	Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:		
	a The corresponding number for the plan year immediately preceding the current plan year	15a	
	b The corresponding number for the second preceding plan year	15b	
16	Information with respect to any employers who withdrew from the plan during the preceding plan year:		
	a Enter the number of employers who withdrew during the preceding plan year	16a	
	b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	16b	
17	If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐		

Part VI	Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans
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18	If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment	☐
19	If the total number of participants is 1,000 or more, complete lines (a) through (c)	
	a Enter the percentage of plan assets held as: Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%	
	b Provide the average duration of the combined investment-grade and high-yield debt: ☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more	
	c What duration measure was used to calculate line 19(b)? ☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify): _____	

Part VII	IRS Compliance Questions
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20a Is the plan a 401(k) plan? If "No," skip b	☐ Yes ☐ No
20b How did the plan satisfy the nondiscrimination requirements for employee deferrals under section 401(k)(3) for the plan year? Check all that apply:	☐ Design-based safe harbor ☐ "Prior year" ADP test ☐ "Current year" ADP test ☐ N/A
21a What testing method was used to satisfy the coverage requirements under section 410(b) for the plan year? Check all that apply:	☐ Ratio percentage test ☐ Average benefit test ☐ N/A
21b Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?	☐ Yes ☐ No
22a If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS opinion letter or advisory letter, enter the date of the letter / / and the serial number .	
22b If the plan is an individually-designed plan that received a favorable determination letter from the IRS, enter the date of the most recent determination letter / / .	



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Independent Auditors' Report

To the Pension Committee
Legal Aid Society of Cincinnati Money Purchase Pension Plan
Cincinnati, Ohio

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of Legal Aid Society of Cincinnati Money Purchase Pension Plan (the Plan), which comprise the statements of net assets available for benefits as of December 31, 2016 and 2015, and the related statement of changes in net assets available for benefits for the year ended December 31, 2016, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Plan management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting the audits in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the Plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 7, which was certified by U.S. Bank N.A., the asset custodian of the Plan, except for comparing the information with the related information included in the financial statements. We have been informed by the Plan administrator that the custodian holds the Plan's investment assets and executes investment transactions. The Plan administrator has obtained a certification from the custodian as of December 31, 2016 and 2015 and for the year ending December 31, 2016, that the information provided to the Plan administrator by the custodian is complete and accurate.

Disclaimer of Opinion

Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient, appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

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**Independent Auditors' Report
(Continued)**

Other Matter – Supplemental Schedules

The supplemental schedules of Schedule H, Line 4i – Schedule of Assets (Held at End of Year) and Schedule H, Line 4j – Schedule of Reportable Transactions as of or for the year ending December 31, 2016, are required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and are presented for the purpose of additional analysis and are not a required part of the financial statements. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we do not express an opinion on the supplemental schedules referred to above.

Report on Form and Content in Compliance with DOL Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedules, other than that derived from the information certified by the custodian, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.



May 19, 2017
Cincinnati, Ohio

LEGAL AID SOCIETY OF CINCINNATI MONEY PURCHASE PENSION PLAN

**Statements of Net Assets Available for Plan Benefits
December 31, 2016 and 2015**

	<u>2016</u>	<u>2015</u>
Assets		
Investments, at fair value		
Money market funds	\$ 373,575	\$ 377,877
Mutual funds	1,716,350	1,776,963
Common stocks	3,845,311	3,567,888
Corporate bonds	<u>2,131,200</u>	<u>2,279,089</u>
Total investments, at fair value	<u>8,066,436</u>	<u>8,001,817</u>
Receivables		
Employer contribution receivables	63,738	32,827
Other receivables	<u>56,323</u>	<u>21,087</u>
Total receivables	<u>120,061</u>	<u>53,914</u>
Liabilities		
Benefits payable	<u>163</u>	<u>-</u>
Net assets available for benefits	<u><u>\$ 8,186,334</u></u>	<u><u>\$ 8,055,731</u></u>

See accompanying notes to financial statements

LEGAL AID SOCIETY OF CINCINNATI MONEY PURCHASE PENSION PLAN

**Statement of Changes in Net Assets Available for Plan Benefits
Year Ended December 31, 2016**

Additions

Investment income:

Net appreciation in fair value of investments	\$ 458,431
Dividend income	125,661
Interest income	<u>62,666</u>

Total investment income 646,758

Employer contributions 299,196

Total additions 945,954

Deductions

Benefits paid to participants	772,536
Administrative expenses	<u>42,815</u>

Total deductions 815,351

Net increase 130,603

Net assets available for benefits, beginning of year 8,055,731

Net assets available for benefits, end of year \$ 8,186,334

See accompanying notes to financial statements

LEGAL AID SOCIETY OF CINCINNATI MONEY PURCHASE PENSION PLAN

Notes to Financial Statements

NOTE 1 SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Presentation

The accompanying financial statements of Legal Aid Society of Cincinnati Money Purchase Pension Plan (the Plan) have been prepared on the accrual basis.

Investment Valuation and Income Recognition

Investments are reported at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. See Note 3 for discussion of fair value measurements. Investment securities, in general, are exposed to various risks such as interest rate, credit and overall market volatility risks. Due to the level of risk associated with certain investments, it is reasonably possible that changes in the values of investment securities will occur in the near term and those changes could materially affect the amounts reported in the statements of net assets available for benefits.

Purchases and sales of securities are recorded on a trade date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation includes the Plan's gains and losses on investments bought and sold as well as held during the year.

Benefit Payments

Benefit payments are recorded when paid.

Related Party Transactions

Legal Aid Society of Cincinnati (the Company) provides the Plan with certain accounting and administrative services for which no fees are charged.

In 2016 and 2015, certain Plan investments were invested in funds with U.S. Bancorp. U.S. Bank, N.A. a wholly owned subsidiary of U.S. Bancorp, is the asset custodian as defined by the Plan. Therefore, these transactions qualify as party-in-interest.

Expenses

Certain expenses and costs of the Plan were paid by the Company and, accordingly, are not reflected in the Plan's financial statements. Certain investment-related expenses are included in net appreciation of fair value of investments.

Use of Estimates

The preparation of financial statements in conformity with generally accepted accounting principles (GAAP) requires the Plan administrator to make estimates and assumptions that affect certain reported amounts and disclosures. Accordingly, actual results may differ from those estimates.

LEGAL AID SOCIETY OF CINCINNATI MONEY PURCHASE PENSION PLAN

Notes to Financial Statements (Continued)

NOTE 1 SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Subsequent Event Evaluation

Subsequent events were evaluated through May 19, 2017, which is the date the financial statements were available to be issued.

NOTE 2 DESCRIPTION OF PLAN

The following description of the Legal Aid Society of Cincinnati Money Purchase Pension Plan provides only general information. Participants should refer to the Plan agreement for a more complete description of the Plan's provisions.

General

The Plan is a defined contribution plan covering all eligible employees of the Company who have three months and 1,000 hours of service. Once eligibility requirements are met employees are automatically enrolled in the Plan. The Plan includes employees of the Legal Aid Society of Greater Cincinnati and the Legal Aid Society of Southwest Ohio, which together form the Company. The Plan was established by the Company effective November 1, 1981 and subsequently amended and restated in its entirety effective January 1, 2015. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA).

Contributions

The Plan does not allow for employee or rollover contributions. The Company makes contributions to the Plan equal to 7.8% of eligible participants' compensation, as defined. The Company made contributions of 299,196 for 2016, which meets the minimum funding requirements of ERISA.

Participant Accounts

Each participant's account is credited allocations of (a) the Company's contribution and (b) Plan earnings. Allocations are based on participant earnings or account balances, as defined. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

Non-Participant Directed Accounts

All participant accounts are maintained in investment funds directed by the Company.

Vesting

Participants are vested in their share of employer contributions plus actual earnings thereon as follows:

<u>Vesting Years</u>	<u>Non-Forfeitable Percentage</u>
Less than 2	0%
2	20%
3 - 4	75%
5	100%

LEGAL AID SOCIETY OF CINCINNATI MONEY PURCHASE PENSION PLAN

Notes to Financial Statements (Continued)

NOTE 2 DESCRIPTION OF PLAN (CONTINUED)

Payment of Benefits

Upon termination of service due to death, disability or retirement, a participant may elect to receive either a lump-sum amount equal to the value of the participant's vested interest in his or her account or annual installments. For termination of service due to other reasons, a participant may receive the value of the vested interest in his or her account as a lump-sum distribution, a joint and 50% or 75% survivor annuity or a rollover.

Forfeitures

Forfeitures occurring during the year serve to restore participant's forfeitures who are reemployed and bought back into the Plan and offset Plan expenses or employer contributions. During 2016, \$25,169 of forfeitures were used to offset employer contributions. At December 31, 2016 and 2015, forfeited nonvested accounts totaled \$-0- and \$16,981, respectively.

NOTE 3 FAIR VALUE MEASUREMENTS

Under applicable GAAP for fair value measurements and disclosures, a three-level hierarchy is established for fair value measurements based on transparency of valuation inputs as of the measurement date. The hierarchy is based on the lowest level of input that is significant to the fair value measurement.

The three levels are defined as follows:

- Level 1 – Inputs are unadjusted quoted prices for identical assets in active markets.
- Level 2 – Inputs are observable quoted prices for similar assets in active markets.
- Level 3 – Inputs are unobservable and reflect management's best estimates of what market participants would use as fair value.

Common stocks, mutual funds and corporate bonds: Fair values are determined by reference to quoted market prices and other relevant information generated by market transactions. Common stocks, mutual funds and government bonds are categorized as using Level 1 inputs.

Money market funds: Valued at the daily closing price as reported by the fund. The money market account held by the Plan is an open-ended mutual fund that is registered with the Securities and Exchange Commission. This fund is required to publish its daily NAV and to transact at that price. The money market account held by the Plan is deemed to be actively traded.

LEGAL AID SOCIETY OF CINCINNATI MONEY PURCHASE PENSION PLAN

Notes to Financial Statements (Continued)

NOTE 3 FAIR VALUE MEASUREMENTS (CONTINUED)

The following table summarizes assets measured at fair value on a recurring basis at December 31:

	<u>2016</u>	<u>2015</u>
Level 1:		
Common stocks	\$ 3,845,311	\$ 3,567,888
Mutual funds	1,716,350	1,776,963
Level 2:		
Corporate bonds	2,131,200	2,279,089
Money market funds	<u>373,575</u>	<u>377,877</u>
Total	<u>\$ 8,066,436</u>	<u>\$ 8,001,817</u>

NOTE 4 PLAN TERMINATION

Although it has not expressed any intent to do so, the Company has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions of ERISA. In the event of the Plan termination, all amounts credited to participants' accounts will become fully vested and nonforfeitable.

NOTE 5 TAX STATUS

The Plan Sponsor has adopted a prototype plan which has received an opinion letter from the Internal Revenue Service dated January 7, 2015 which states the provider's prototype, as then designed, was in compliance with the applicable requirements of the Internal Revenue Code. The Plan itself has not received a determination letter from the IRS. The Plan has not been amended since receiving the opinion letter. Therefore, no provisions for income taxes have been included in the Plan's financial statements.

NOTE 6 RISKS AND UNCERTAINTIES

The Plan invests in various investment securities. Investment securities are exposed to various risks such as interest rate, market, and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect participants' account balances and the amounts reported in the statement of net assets available for benefits.

LEGAL AID SOCIETY OF CINCINNATI MONEY PURCHASE PENSION PLAN

**Notes to Financial Statements
(Continued)**

NOTE 7 INFORMATION CERTIFIED BY THE ASSET CUSTODIAN

The following information provided by U.S. Bank, N.A. was certified to be accurate and complete:

- (a) The assets held by U.S. Bank, N.A. as of December 31, 2016 and 2015, as well as the transactions involving these assets for the year ended December 31, 2016.
- (b) The amount of investment income for the year ended December 31, 2016.
- (c) The Schedule H, Line 4i – Schedule of Assets (Held at End of Year) for the year ended December 31, 2016.
- (d) The Schedule H, Line 4j – Schedule of Reportable Transactions for the year ended December 31, 2016.

LEGAL AID SOCIETY OF CINCINNATI MONEY PURCHASE PENSION PLAN

**Schedule H, Line 4i – Schedule of Assets (Held at End of Year)
December 31, 2016**

SCHEDULE H – FORM 5500
FEIN # 31-0536673
PLAN NUMBER 001

Column A	Column B	Column C	Column D	Column E
	Identity of issuer, borrower of similar entity	Description of Investment	Cost	Current Value
	First America Government Obligation Fund	Money Market Funds	\$ 373,575	\$ 373,575
		Total Money Market Funds	373,575	373,575
	iShares Msci Emerging Markets ETF	Mutual Funds	160,014	158,770
	iShares Msci Eafe ETF	Mutual Funds	645,550	603,856
	iShares Russell Midcap Index ETF	Mutual Funds	121,130	178,860
	iShares Russell 2000 Index ETF	Mutual Funds	229,820	269,700
	JP Morgan Alerian Mlp Index ETN	Mutual Funds	349,248	281,329
	Spdr Gold Shares ETF	Mutual Funds	150,162	130,984
	Technology Select Sector	Mutual Funds	74,859	92,851
		Total Mutual Funds	1,730,783	1,716,350
	Alphabet Inc	Common Stock	61,423	156,427
	Amazon Com Inc	Common Stock	53,959	53,241
	Bank of New York Mellon Corp	Common Stock	94,837	116,081
	Bankunited Inc	Common Stock	66,829	76,699
	Csx Corp	Common Stock	64,463	102,401
	Capital One Financial Corp	Common Stock	59,091	78,516
	Celgene Corp	Common Stock	52,347	50,351
	Chevron Corporation	Common Stock	30,637	110,638
	Cisco Systems Inc	Common Stock	72,525	75,550
	Disney Walt Co	Common Stock	52,755	114,642
	E O G Res Inc	Common Stock	79,900	97,056
	Fiserv Inc	Common Stock	26,264	74,396
	Flowserve Corp	Common Stock	63,831	71,114

(Continued)

LEGAL AID SOCIETY OF CINCINNATI MONEY PURCHASE PENSION PLAN

**Schedule H, Line 4i – Schedule of Assets (Held at End of Year) (Continued)
December 31, 2016**

SCHEDULE H – FORM 5500
FEIN # 31-0536673
PLAN NUMBER 001

Column A	Column B	Column C	Column D	Column E
	Identity of issuer, borrower of similar entity	Description of Investment	Cost	Current Value
	Fortune Brands Home & Security	Common Stock	\$ 105,021	\$ 117,077
	Johnson & Johnson	Common Stock	76,635	129,611
	Kroger Co	Common Stock	59,369	77,302
	Lowes Co Inc	Common Stock	50,154	96,012
	Marsh McLennan Cos Inc	Common Stock	56,191	103,075
	Marriott Intl Inc	Common Stock	66,782	79,373
	Merck and Co Inc	Common Stock	62,690	82,418
	Microchip Technology Inc	Common Stock	63,295	102,640
	Morgan Stanley	Common Stock	64,534	91,894
	Pepsico Inc	Common Stock	68,045	112,477
	Procter & Gamble Co	Common Stock	25,743	105,100
	Schwab Charles Corp	Common Stock	69,291	97,886
	Stryker Corp	Common Stock	32,854	76,678
	TJX Companies Inc	Common Stock	44,873	74,379
	3M Corporation	Common Stock	64,231	124,999
*	US Bancorp	Common Stock	18,448	105,309
	United Technologies Corp	Common Stock	61,924	87,696
	United Health Group Inc	Common Stock	33,832	86,422
	Verizon Communications Inc	Common Stock	61,788	69,394
	Visa Inc	Common Stock	41,516	74,119
	Bbt Corporation	Common Stock	67,785	73,583
	JP Morgan Chase	Common Stock	74,960	73,830
	Prudential	Common Stock	50,000	49,520
	Medtronic Plc	Common Stock	63,344	64,107
	Renaissancere	Common Stock	82,394	89,960
	Chubb Ltd	Common Stock	57,822	105,696
	Broadcom Ltd	Common Stock	99,865	100,759
	Carnival Corp	Common Stock	72,235	74,186
	Nestle Sa Sponsored A D R	Common Stock	40,058	71,740
	Rio Tinto PLC	Common Stock	68,808	70,957
		Total Common Stock	2,583,348	3,845,311

(Continued)

LEGAL AID SOCIETY OF CINCINNATI MONEY PURCHASE PENSION PLAN

**Schedule H, Line 4i – Schedule of Assets (Held at End of Year) (Continued)
December 31, 2016**

SCHEDULE H – FORM 5500
FEIN # 31-0536673
PLAN NUMBER 001

Column A	Column B	Column C	Column D	Column E
	Identity of issuer, borrower of similar entity	Description of Investment	Cost	Current Value
	Abbvie Inc	Corporate Bond	\$ 99,878	\$ 100,061
	Amphenol Corp	Corporate Bond	101,630	101,200
	Assurant Inc	Corporate Bond	75,200	75,784
	Bank of America Corp	Corporate Bond	99,500	98,500
	Becton Dickinson	Corporate Bond	100,707	100,071
	Dow Chemical Co	Corporate Bond	70,231	70,201
	FMC Technologies	Corporate Bond	73,476	75,054
	Gatx Corp	Corporate Bond	75,563	75,332
	General Electric	Corporate Bond	100,000	101,248
	Goldman Sachs	Corporate Bond	76,125	75,446
	IBM Corp	Corporate Bond	49,967	50,307
	JP Morgan Chase	Corporate Bond	152,625	150,563
	Kennametal	Corporate Bond	100,958	99,451
	McDonalds	Corporate Bond	150,512	151,673
	Monsanto Co	Corporate Bond	99,467	99,979
	Morgan Stanley	Corporate Bond	51,151	50,011
	Rock Tenn Co	Corporate Bond	102,079	102,524
	Ryder System	Corporate Bond	100,951	100,588
	Thermo Fisher	Corporate Bond	101,322	100,760
	Actavis Inc	Corporate Bond	74,610	75,143
	Wellpoint Inc	Corporate Bond	75,677	75,033
	Western Union	Corporate Bond	99,346	101,020
	Xilinx Inc	Corporate Bond	103,036	101,251
		Total Corporate Bond	2,134,011	2,131,200
			<u>\$ 6,821,717</u>	<u>\$ 8,066,436</u>

Note: The above data is based upon information that has been certified as complete and accurate by US Bank, N.A.

* Party-In-Interest

See independent auditors' disclaimer of opinion report

LEGAL AID SOCIETY OF CINCINNATI MONEY PURCHASE PENSION PLAN

**Schedule H, Line 4j – Schedule of Reportable Transactions
Year Ended December 31, 2016**

SCHEDULE H – FORM 5500
FEIN # 31-0536673
PLAN NUMBER 001

Identity	Description of Asset	Purchase Price	Selling Price	Cost of Assets	Current Value at Transaction Date	Net Gain or (Loss)
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Attachment A

NOTE: Please find the Schedule of Reportable Transactions information attached

NOTE: The attached data is based on information that has been certified as complete
and accurate by U.S. Bank, N.A.

See independent auditors' disclaimer of opinion report

Attachment A



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FORM 5500 - REPORTABLE TRANSACTION SCHEDULE

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
BEGINNING MARKET VALUE							
					8,022,787.66		
COMPARATIVE VALUE (5%)							
					401,139.38		
CATEGORY 1 - SINGLE TRANSACTION EXCEEDS 5% OF VALUE							
Issue: 31846V203 - First American Govt Oblig Fund CI Y							
05/04/2016	S	- 462,123.720	1.0000		462,124 *	462,124	
CATEGORY 2 - SERIES OF TRANSACTIONS WITH SAME BROKER EXCEEDS 5% OF VALUE							
Broker: Stifel, Nicolaus & Co., Inc.							
Issue: 099724106 - Borg Warner Inc							
02/01/2016	S	- 1,725.000	29.3355	87	50,517	91,894	- 41,377
Issue: 34964C105 - Fortune Brands Home & Securi							
02/10/2016	B	570,000	46.0183	29	- 26,259	26,259	
Issue: 97717X701 - Wisdomtree Europe Hedged Equity Etf							
03/07/2016	S	- 4,425.000	51.4354	226	227,375	248,600	- 21,225
Issue: 34354P105 - Flowserve Corp							
07/01/2016	B	1,480.000	43.0793	74	- 63,831	63,831	
Issue: 808513105 - Schwab Charles Corp							
07/26/2016	B	2,480.000	27.8901	124	- 69,291	69,291	
Issue: G491BT108 - Invesco Ltd							
10/20/2016	S	- 1,525.000	29.6366	77	45,119	62,675	- 17,557
Issue: 767204100 - Rio Tinto Plc A D R							
11/21/2016	B	1,845.000	37.2441	92	- 68,808	68,808	
Issue: 464287465 - Ishares Msci Eafe Etf							
11/22/2016	B	5,260.000	56.7892	263	- 298,974	298,974	
Total For Stifel, Nicolaus & Co., Inc.				972	850,174	930,332	- 80,159

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FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
Broker: William Blair & Company, LLC							
02/10/2016	B	470.000	42.6861	24	- 20,086	20,086	
Issue: 247361702 - Delta Air Lines Inc							
03/31/2016	S	- 350.000	73.8777	18	25,839	15,295	10,544
Issue: 92826C839 - Visa Inc Class A Shares							
06/13/2016	S	- 260.000	76.9112	13	19,983	11,785	8,199
Issue: 872540109 - Tjx Companies Inc							
08/24/2016	B	410.000	174.6103	21	- 71,611	71,611	
Issue: Y09827109 - Broadcom Ltd							
08/29/2016	S	- 1,080.000	47.0309	55	50,738	42,109	8,629
Issue: 81369Y803 - Technology Select Sector S P D R							
09/06/2016	S	- 800.000	85.0532	41	68,001	66,562	1,439
Issue: 244199105 - Deere Co							
11/21/2016	S	- 645.000	79.3666	33	51,158	24,962	26,197
Issue: 03073E105 - Amerisourcebergen Corp							
12/07/2016	B	4,535.000	35.2343	227	- 160,014	160,014	
Issue: 464287234 - Ishares Msci Emerging Markets Etf							
Total For William Blair & Company, LLC					467,430	412,424	- 55,008
GRAND TOTAL					1,317,604	1,342,756	- 25,151

CATEGORY 3 - SERIES OF TRANSACTIONS IN SAME SECURITY EXCEEDS 5% OF VALUE

Issue: 31846V203 - First American Govt Oblig Fund Cl Y

01/05/2016	B	2,300	1.0000	- 2	2	
01/07/2016	B	755.190	1.0000	- 755	755	
01/08/2016	B	644.000	1.0000	- 644	644	



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FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
01/08/2016	B	640.000	1.0000		-640	640	
01/11/2016	B	781.000	1.0000		-781	781	
01/15/2016	B	2,938.340	1.0000		-2,938	2,938	
01/15/2016	B	342.000	1.0000		-342	342	
01/20/2016	B	116.500	1.0000		-117	117	
01/21/2016	B	28,226.740	1.0000		-28,227	28,227	
01/22/2016	B	890.630	1.0000		-891	891	
01/28/2016	B	.150	1.0000				
01/29/2016	B	845.350	1.0000		-845	845	
01/29/2016	B	160.800	1.0000		-161	161	
02/01/2016	B	60,516.690	1.0000		-60,517	60,517	
02/02/2016	B	1.970	1.0000		-2	2	
02/03/2016	B	378.000	1.0000		-378	378	
02/16/2016	B	1,154.880	1.0000		-1,155	1,155	
02/18/2016	B	27,356.100	1.0000		-27,356	27,356	
02/26/2016	B	360.000	1.0000		-360	360	
03/01/2016	B	4,295.330	1.0000		-4,295	4,295	
03/01/2016	B	1,343.750	1.0000		-1,344	1,344	
03/03/2016	B	262.500	1.0000		-263	263	
03/04/2016	B	545.000	1.0000		-545	545	
03/04/2016	B	411.750	1.0000		-412	412	
03/07/2016	B	228,169.140	1.0000		-228,169	228,169	

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FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
03/08/2016	B	31,400.580	1.0000		-31,401	31,401	
03/09/2016	B	267.300	1.0000		-267	267	
03/10/2016	B	1,517.800	1.0000		-1,518	1,518	
03/14/2016	B	1,527.000	1.0000		-1,527	1,527	
03/15/2016	B	4,876.750	1.0000		-4,877	4,877	
03/16/2016	B	614.720	1.0000		-615	615	
03/22/2016	B	450.000	1.0000		-450	450	
03/28/2016	B	483.050	1.0000		-483	483	
03/29/2016	B	111.630	1.0000		-112	112	
03/30/2016	B	2,159.290	1.0000		-2,159	2,159	
03/31/2016	B	151,751.480	1.0000		-151,751	151,751	
03/31/2016	B	162.620	1.0000		-163	163	
04/01/2016	B	1,453.130	1.0000		-1,453	1,453	
04/04/2016	B	2.570	1.0000		-3	3	
04/07/2016	B	644.000	1.0000		-644	644	
04/08/2016	B	640.000	1.0000		-640	640	
04/15/2016	B	522.750	1.0000		-523	523	
04/15/2016	B	752.010	1.0000		-752	752	
04/18/2016	B	26,515.880	1.0000		-26,516	26,516	
04/21/2016	B	536.000	1.0000		-536	536	
04/29/2016	B	845.350	1.0000		-845	845	
04/29/2016	B	160.800	1.0000		-161	161	



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FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
05/02/2016	B	2,539.500	1.0000		-2,540	2,540	
05/03/2016	B	3.110	1.0000		-3	3	
05/06/2016	B	1,000.000	1.0000		-1,000	1,000	
05/13/2016	B	1,215.500	1.0000		-1,216	1,216	
05/16/2016	B	1,561.880	1.0000		-1,562	1,562	
05/20/2016	B	1,944.270	1.0000		-1,944	1,944	
05/23/2016	B	360.790	1.0000		-361	361	
05/26/2016	B	360.000	1.0000		-360	360	
05/31/2016	B	116,216.850	1.0000		-116,217	116,217	
06/01/2016	B	2,630.630	1.0000		-2,631	2,631	
06/01/2016	B	1,343.750	1.0000		-1,344	1,344	
06/02/2016	B	325.720	1.0000		-326	326	
06/03/2016	B	427.000	1.0000		-427	427	
06/06/2016	B	380.200	1.0000		-380	380	
06/06/2016	B	414.300	1.0000		-414	414	
06/07/2016	B	5,820.310	1.0000		-5,820	5,820	
06/09/2016	B	2,062.500	1.0000		-2,063	2,063	
06/10/2016	B	2,971.300	1.0000		-2,971	2,971	
06/13/2016	B	100,826.840	1.0000		-100,827	100,827	
06/15/2016	B	103,006.750	1.0000		-103,007	103,007	
06/16/2016	B	329.940	1.0000		-330	330	
06/17/2016	B	96,476.060	1.0000		-96,476	96,476	

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FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
06/27/2016	B	555.930	1.0000		- 556	556	
06/27/2016	B	51,953.320	1.0000		- 51,953	51,953	
06/28/2016	B	6,446.580	1.0000		- 6,447	6,447	
06/30/2016	B	1,096.940	1.0000		- 1,097	1,097	
07/05/2016	B	1.820	1.0000		- 2	2	
07/08/2016	B	1,284.000	1.0000		- 1,284	1,284	
07/12/2016	B	1,960.820	1.0000		- 1,961	1,961	
07/15/2016	B	3,012.490	1.0000		- 3,012	3,012	
07/19/2016	B	25,996.400	1.0000		- 25,996	25,996	
07/21/2016	B	108,279.410	1.0000		- 108,279	108,279	
07/21/2016	B	552.000	1.0000		- 552	552	
07/22/2016	B	890.630	1.0000		- 891	891	
07/27/2016	B	650.000	1.0000		- 650	650	
07/27/2016	B	387.000	1.0000		- 387	387	
07/28/2016	B	781.000	1.0000		- 781	781	
07/29/2016	B	670.550	1.0000		- 671	671	
07/29/2016	B	160.800	1.0000		- 161	161	
08/01/2016	B	10,480.130	1.0000		- 10,480	10,480	
08/02/2016	B	1.970	1.0000		- 2	2	
08/03/2016	B	472.500	1.0000		- 473	473	
08/12/2016	B	953.000	1.0000		- 953	953	
08/15/2016	B	1,790.380	1.0000		- 1,790	1,790	



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FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
08/18/2016	B	360.000	1.0000		-360	360	
08/23/2016	B	27,151.340	1.0000		-27,151	27,151	
08/26/2016	B	173.600	1.0000		-174	174	
09/01/2016	B	4,404.330	1.0000		-4,404	4,404	
09/01/2016	B	1,343.750	1.0000		-1,344	1,344	
09/02/2016	B	403.070	1.0000		-403	403	
09/02/2016	B	427.000	1.0000		-427	427	
09/06/2016	B	74,328.330	1.0000		-74,328	74,328	
09/07/2016	B	51,004.420	1.0000		-51,004	51,004	
09/07/2016	B	27,397.960	1.0000		-27,398	27,398	
09/12/2016	B	732.800	1.0000		-733	733	
09/13/2016	B	75,750.000	1.0000		-75,750	75,750	
09/14/2016	B	300.000	1.0000		-300	300	
09/15/2016	B	4,876.750	1.0000		-4,877	4,877	
09/16/2016	B	331.850	1.0000		-332	332	
09/20/2016	B	337.500	1.0000		-338	338	
09/26/2016	B	309.500	1.0000		-310	310	
09/30/2016	B	209.100	1.0000		-209	209	
10/03/2016	B	1,453.130	1.0000		-1,453	1,453	
10/04/2016	B	2.720	1.0000		-3	3	
10/07/2016	B	644.000	1.0000		-644	644	
10/14/2016	B	281.200	1.0000		-281	281	

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FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
10/17/2016	B	1,012.690	1.0000		- 1,013	1,013	
10/20/2016	B	45,118.580	1.0000		-45,119	45,119	
10/21/2016	B	939.000	1.0000		- 939	939	
10/26/2016	B	650.000	1.0000		- 650	650	
10/31/2016	B	670.550	1.0000		- 671	671	
10/31/2016	B	160.800	1.0000		- 161	161	
11/01/2016	B	102,783.520	1.0000		- 102,784	102,784	
11/02/2016	B	475.430	1.0000		- 475	475	
11/07/2016	B	1,000.000	1.0000		- 1,000	1,000	
11/18/2016	B	313,164.500	1.0000		- 313,165	313,165	
11/25/2016	B	318.000	1.0000		- 318	318	
11/28/2016	B	173.600	1.0000		- 174	174	
11/29/2016	B	27,588.830	1.0000		- 27,589	27,589	
12/01/2016	B	2,654.330	1.0000		- 2,654	2,654	
12/02/2016	B	1,747.610	1.0000		- 1,748	1,748	
12/05/2016	B	576.800	1.0000		- 577	577	
12/06/2016	B	1,056.750	1.0000		- 1,057	1,057	
12/07/2016	B	4,442.880	1.0000		- 4,443	4,443	
12/09/2016	B	2,062.500	1.0000		- 2,063	2,063	
12/12/2016	B	3,757.700	1.0000		- 3,758	3,758	
12/13/2016	B	337.500	1.0000		- 338	338	
12/14/2016	B	350.400	1.0000		- 350	350	



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FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
12/15/2016	B	1,231.750	1.0000		-1,232	1,232	
12/16/2016	B	356.740	1.0000		-357	357	
12/16/2016	B	498.750	1.0000		-499	499	
12/20/2016	B	2,562.500	1.0000		-2,563	2,563	
12/27/2016	B	253.170	1.0000		-253	253	
12/28/2016	B	8,032.770	1.0000		-8,033	8,033	
12/29/2016	B	2,174.070	1.0000		-2,174	2,174	
12/30/2016	B	288.020	1.0000		-288	288	
12/30/2016	B	581.400	1.0000		-581	581	
Total For Buys				0	2,048,381	2,048,381	0
01/14/2016	S	-10,841.450	1.0000		10,841	10,841	
01/26/2016	S	-36.000	1.0000		36	36	
02/10/2016	S	-46,394.900	1.0000		46,395	46,395	
02/11/2016	S	-27,790.780	1.0000		27,791	27,791	
02/12/2016	S	-9,430.820	1.0000		9,431	9,431	
02/25/2016	S	-54.000	1.0000		54	54	
02/29/2016	S	-50.000	1.0000		50	50	
03/02/2016	S	-36,129.590	1.0000		36,130	36,130	
03/08/2016	S	-65,717.850	1.0000		65,718	65,718	
03/11/2016	S	-68,154.980	1.0000		68,155	68,155	
03/24/2016	S	-599.050	1.0000		599	599	

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LEGAL AID SOCIETY OF CINCINNATI
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Period from January 1, 2016 to December 31, 2016

FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
04/05/2016	S	- 50.000	1.0000		50	50	
04/06/2016	S	- 65,818.150	1.0000		65,818	65,818	
04/25/2016	S	- 114.000	1.0000		114	114	
05/03/2016	S	- 150.000	1.0000		150	150	
05/04/2016	S	- 462,123.720	1.0000		462,124 *	462,124	
05/05/2016	S	- 35,300.040	1.0000		35,300	35,300	
05/09/2016	S	- 10,662.610	1.0000		10,663	10,663	
05/10/2016	S	- 66,781.820	1.0000		66,782	66,782	
05/25/2016	S	- 80.000	1.0000		80	80	
06/16/2016	S	- 200.000	1.0000		200	200	
07/01/2016	S	- 213,992.910	1.0000		213,993	213,993	
07/22/2016	S	- 10,304.990	1.0000		10,305	10,305	
07/25/2016	S	- 90.000	1.0000		90	90	
07/26/2016	S	- 69,291.450	1.0000		69,291	69,291	
08/24/2016	S	- 71,610.720	1.0000		71,611	71,611	
08/25/2016	S	- 78.000	1.0000		78	78	
08/29/2016	S	- 3,220.800	1.0000		3,221	3,221	
09/08/2016	S	- 50.000	1.0000		50	50	
09/21/2016	S	- 50.000	1.0000		50	50	
09/23/2016	S	- 35,225.920	1.0000		35,226	35,226	
09/28/2016	S	- 50.000	1.0000		50	50	
09/30/2016	S	- 32,887.910	1.0000		32,888	32,888	



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LEGAL AID SOCIETY OF CINCINNATI
ACCOUNT 19-RP726

FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
10/25/2016	S	- 78.000	1.0000		78	78	
10/28/2016	S	- 9,512.290	1.0000		9,512	9,512	
11/01/2016	S	- 50.000	1.0000		50	50	
11/03/2016	S	- 40,963.200	1.0000		40,963	40,963	
11/10/2016	S	- 44,877.830	1.0000		44,878	44,878	
11/10/2016	S	- 50.000	1.0000		50	50	
11/15/2016	S	- 59,806.840	1.0000		59,807	59,807	
11/21/2016	S	- 89,884.480	1.0000		89,884	89,884	
11/22/2016	S	- 298,974.190	1.0000		298,974	298,974	
11/23/2016	S	- 101,547.250	1.0000		101,547	101,547	
12/07/2016	S	- 63,597.530	1.0000		63,598	63,598	
Total For Sells				0	2,052,575	2,052,575	0
Total First American Govt Oblig Fund Cl Y				0	4,101,056	4,101,056	0
GRAND TOTAL				0	4,101,056	4,101,056	0

CATEGORY 4 - SINGLE TRANSACTION WITH ONE BROKER EXCEEDS 5% OF VALUE
"NO TRANSACTIONS QUALIFIED FOR THIS SECTION"

Legal Aid Society of Cincinnati Money Purchase Pension Plan

**Financial Statements with Supplementary Information
December 31, 2016 and 2015, and
Independent Auditors' Report**

LEGAL AID SOCIETY OF CINCINNATI MONEY PURCHASE PENSION PLAN
December 31, 2016 and 2015

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Independent Auditors' Report

To the Pension Committee
Legal Aid Society of Cincinnati Money Purchase Pension Plan
Cincinnati, Ohio

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of Legal Aid Society of Cincinnati Money Purchase Pension Plan (the Plan), which comprise the statements of net assets available for benefits as of December 31, 2016 and 2015, and the related statement of changes in net assets available for benefits for the year ended December 31, 2016, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Plan management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting the audits in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the Plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 7, which was certified by U.S. Bank N.A., the asset custodian of the Plan, except for comparing the information with the related information included in the financial statements. We have been informed by the Plan administrator that the custodian holds the Plan's investment assets and executes investment transactions. The Plan administrator has obtained a certification from the custodian as of December 31, 2016 and 2015 and for the year ending December 31, 2016, that the information provided to the Plan administrator by the custodian is complete and accurate.

Disclaimer of Opinion

Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient, appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

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**Independent Auditors' Report
(Continued)**

Other Matter – Supplemental Schedules

The supplemental schedules of Schedule H, Line 4i – Schedule of Assets (Held at End of Year) and Schedule H, Line 4j – Schedule of Reportable Transactions as of or for the year ending December 31, 2016, are required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and are presented for the purpose of additional analysis and are not a required part of the financial statements. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we do not express an opinion on the supplemental schedules referred to above.

Report on Form and Content in Compliance with DOL Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedules, other than that derived from the information certified by the custodian, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.



May 19, 2017
Cincinnati, Ohio

LEGAL AID SOCIETY OF CINCINNATI MONEY PURCHASE PENSION PLAN

**Statements of Net Assets Available for Plan Benefits
December 31, 2016 and 2015**

	<u>2016</u>	<u>2015</u>
Assets		
Investments, at fair value		
Money market funds	\$ 373,575	\$ 377,877
Mutual funds	1,716,350	1,776,963
Common stocks	3,845,311	3,567,888
Corporate bonds	<u>2,131,200</u>	<u>2,279,089</u>
Total investments, at fair value	<u>8,066,436</u>	<u>8,001,817</u>
Receivables		
Employer contribution receivables	63,738	32,827
Other receivables	<u>56,323</u>	<u>21,087</u>
Total receivables	<u>120,061</u>	<u>53,914</u>
Liabilities		
Benefits payable	<u>163</u>	<u>-</u>
Net assets available for benefits	<u><u>\$ 8,186,334</u></u>	<u><u>\$ 8,055,731</u></u>

See accompanying notes to financial statements

LEGAL AID SOCIETY OF CINCINNATI MONEY PURCHASE PENSION PLAN

**Statement of Changes in Net Assets Available for Plan Benefits
Year Ended December 31, 2016**

Additions

Investment income:

Net appreciation in fair value of investments	\$ 458,431
Dividend income	125,661
Interest income	<u>62,666</u>

Total investment income 646,758

Employer contributions 299,196

Total additions 945,954

Deductions

Benefits paid to participants	772,536
Administrative expenses	<u>42,815</u>

Total deductions 815,351

Net increase 130,603

Net assets available for benefits, beginning of year 8,055,731

Net assets available for benefits, end of year \$ 8,186,334

See accompanying notes to financial statements

LEGAL AID SOCIETY OF CINCINNATI MONEY PURCHASE PENSION PLAN

Notes to Financial Statements

NOTE 1 SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Presentation

The accompanying financial statements of Legal Aid Society of Cincinnati Money Purchase Pension Plan (the Plan) have been prepared on the accrual basis.

Investment Valuation and Income Recognition

Investments are reported at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. See Note 3 for discussion of fair value measurements. Investment securities, in general, are exposed to various risks such as interest rate, credit and overall market volatility risks. Due to the level of risk associated with certain investments, it is reasonably possible that changes in the values of investment securities will occur in the near term and those changes could materially affect the amounts reported in the statements of net assets available for benefits.

Purchases and sales of securities are recorded on a trade date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation includes the Plan's gains and losses on investments bought and sold as well as held during the year.

Benefit Payments

Benefit payments are recorded when paid.

Related Party Transactions

Legal Aid Society of Cincinnati (the Company) provides the Plan with certain accounting and administrative services for which no fees are charged.

In 2016 and 2015, certain Plan investments were invested in funds with U.S. Bancorp. U.S. Bank, N.A. a wholly owned subsidiary of U.S. Bancorp, is the asset custodian as defined by the Plan. Therefore, these transactions qualify as party-in-interest.

Expenses

Certain expenses and costs of the Plan were paid by the Company and, accordingly, are not reflected in the Plan's financial statements. Certain investment-related expenses are included in net appreciation of fair value of investments.

Use of Estimates

The preparation of financial statements in conformity with generally accepted accounting principles (GAAP) requires the Plan administrator to make estimates and assumptions that affect certain reported amounts and disclosures. Accordingly, actual results may differ from those estimates.

LEGAL AID SOCIETY OF CINCINNATI MONEY PURCHASE PENSION PLAN

Notes to Financial Statements (Continued)

NOTE 1 SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Subsequent Event Evaluation

Subsequent events were evaluated through May 19, 2017, which is the date the financial statements were available to be issued.

NOTE 2 DESCRIPTION OF PLAN

The following description of the Legal Aid Society of Cincinnati Money Purchase Pension Plan provides only general information. Participants should refer to the Plan agreement for a more complete description of the Plan's provisions.

General

The Plan is a defined contribution plan covering all eligible employees of the Company who have three months and 1,000 hours of service. Once eligibility requirements are met employees are automatically enrolled in the Plan. The Plan includes employees of the Legal Aid Society of Greater Cincinnati and the Legal Aid Society of Southwest Ohio, which together form the Company. The Plan was established by the Company effective November 1, 1981 and subsequently amended and restated in its entirety effective January 1, 2015. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA).

Contributions

The Plan does not allow for employee or rollover contributions. The Company makes contributions to the Plan equal to 7.8% of eligible participants' compensation, as defined. The Company made contributions of 299,196 for 2016, which meets the minimum funding requirements of ERISA.

Participant Accounts

Each participant's account is credited allocations of (a) the Company's contribution and (b) Plan earnings. Allocations are based on participant earnings or account balances, as defined. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

Non-Participant Directed Accounts

All participant accounts are maintained in investment funds directed by the Company.

Vesting

Participants are vested in their share of employer contributions plus actual earnings thereon as follows:

<u>Vesting Years</u>	<u>Non-Forfeitable Percentage</u>
Less than 2	0%
2	20%
3 - 4	75%
5	100%

LEGAL AID SOCIETY OF CINCINNATI MONEY PURCHASE PENSION PLAN

Notes to Financial Statements (Continued)

NOTE 2 DESCRIPTION OF PLAN (CONTINUED)

Payment of Benefits

Upon termination of service due to death, disability or retirement, a participant may elect to receive either a lump-sum amount equal to the value of the participant's vested interest in his or her account or annual installments. For termination of service due to other reasons, a participant may receive the value of the vested interest in his or her account as a lump-sum distribution, a joint and 50% or 75% survivor annuity or a rollover.

Forfeitures

Forfeitures occurring during the year serve to restore participant's forfeitures who are reemployed and bought back into the Plan and offset Plan expenses or employer contributions. During 2016, \$25,169 of forfeitures were used to offset employer contributions. At December 31, 2016 and 2015, forfeited nonvested accounts totaled \$-0- and \$16,981, respectively.

NOTE 3 FAIR VALUE MEASUREMENTS

Under applicable GAAP for fair value measurements and disclosures, a three-level hierarchy is established for fair value measurements based on transparency of valuation inputs as of the measurement date. The hierarchy is based on the lowest level of input that is significant to the fair value measurement.

The three levels are defined as follows:

- Level 1 – Inputs are unadjusted quoted prices for identical assets in active markets.
- Level 2 – Inputs are observable quoted prices for similar assets in active markets.
- Level 3 – Inputs are unobservable and reflect management's best estimates of what market participants would use as fair value.

Common stocks, mutual funds and corporate bonds: Fair values are determined by reference to quoted market prices and other relevant information generated by market transactions. Common stocks, mutual funds and government bonds are categorized as using Level 1 inputs.

Money market funds: Valued at the daily closing price as reported by the fund. The money market account held by the Plan is an open-ended mutual fund that is registered with the Securities and Exchange Commission. This fund is required to publish its daily NAV and to transact at that price. The money market account held by the Plan is deemed to be actively traded.

LEGAL AID SOCIETY OF CINCINNATI MONEY PURCHASE PENSION PLAN

Notes to Financial Statements (Continued)

NOTE 3 FAIR VALUE MEASUREMENTS (CONTINUED)

The following table summarizes assets measured at fair value on a recurring basis at December 31:

	<u>2016</u>	<u>2015</u>
Level 1:		
Common stocks	\$ 3,845,311	\$ 3,567,888
Mutual funds	1,716,350	1,776,963
Level 2:		
Corporate bonds	2,131,200	2,279,089
Money market funds	<u>373,575</u>	<u>377,877</u>
Total	<u>\$ 8,066,436</u>	<u>\$ 8,001,817</u>

NOTE 4 PLAN TERMINATION

Although it has not expressed any intent to do so, the Company has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions of ERISA. In the event of the Plan termination, all amounts credited to participants' accounts will become fully vested and nonforfeitable.

NOTE 5 TAX STATUS

The Plan Sponsor has adopted a prototype plan which has received an opinion letter from the Internal Revenue Service dated January 7, 2015 which states the provider's prototype, as then designed, was in compliance with the applicable requirements of the Internal Revenue Code. The Plan itself has not received a determination letter from the IRS. The Plan has not been amended since receiving the opinion letter. Therefore, no provisions for income taxes have been included in the Plan's financial statements.

NOTE 6 RISKS AND UNCERTAINTIES

The Plan invests in various investment securities. Investment securities are exposed to various risks such as interest rate, market, and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect participants' account balances and the amounts reported in the statement of net assets available for benefits.

LEGAL AID SOCIETY OF CINCINNATI MONEY PURCHASE PENSION PLAN

**Notes to Financial Statements
(Continued)**

NOTE 7 INFORMATION CERTIFIED BY THE ASSET CUSTODIAN

The following information provided by U.S. Bank, N.A. was certified to be accurate and complete:

- (a) The assets held by U.S. Bank, N.A. as of December 31, 2016 and 2015, as well as the transactions involving these assets for the year ended December 31, 2016.
- (b) The amount of investment income for the year ended December 31, 2016.
- (c) The Schedule H, Line 4i – Schedule of Assets (Held at End of Year) for the year ended December 31, 2016.
- (d) The Schedule H, Line 4j – Schedule of Reportable Transactions for the year ended December 31, 2016.

LEGAL AID SOCIETY OF CINCINNATI MONEY PURCHASE PENSION PLAN

**Schedule H, Line 4I – Schedule of Assets (Held at End of Year)
December 31, 2016**

SCHEDULE H – FORM 5500
FEIN # 31-0536673
PLAN NUMBER 001

Column A	Column B	Column C	Column D	Column E
	Identity of issuer, borrower of similar entity	Description of Investment	Cost	Current Value
	First America Government Obligation Fund	Money Market Funds	\$ 373,575	\$ 373,575
		Total Money Market Funds	373,575	373,575
	iShares Msci Emerging Markets ETF	Mutual Funds	160,014	158,770
	iShares Msci Eafe ETF	Mutual Funds	645,550	603,856
	iShares Russell Midcap Index ETF	Mutual Funds	121,130	178,860
	iShares Russell 2000 Index ETF	Mutual Funds	229,820	269,700
	JP Morgan Alerian Mlp Index ETN	Mutual Funds	349,248	281,329
	Spdr Gold Shares ETF	Mutual Funds	150,162	130,984
	Technology Select Sector	Mutual Funds	74,859	92,851
		Total Mutual Funds	1,730,783	1,716,350
	Alphabet Inc	Common Stock	61,423	156,427
	Amazon Com Inc	Common Stock	53,959	53,241
	Bank of New York Mellon Corp	Common Stock	94,837	116,081
	Bankunited Inc	Common Stock	66,829	76,699
	Csx Corp	Common Stock	64,463	102,401
	Capital One Financial Corp	Common Stock	59,091	78,516
	Celgene Corp	Common Stock	52,347	50,351
	Chevron Corporation	Common Stock	30,637	110,638
	Cisco Systems Inc	Common Stock	72,525	75,550
	Disney Walt Co	Common Stock	52,755	114,642
	E O G Res Inc	Common Stock	79,900	97,056
	Fiserv Inc	Common Stock	26,264	74,396
	Flowserve Corp	Common Stock	63,831	71,114

(Continued)

LEGAL AID SOCIETY OF CINCINNATI MONEY PURCHASE PENSION PLAN

**Schedule H, Line 4i – Schedule of Assets (Held at End of Year) (Continued)
December 31, 2016**

SCHEDULE H – FORM 5500
FEIN # 31-0536673
PLAN NUMBER 001

Column A	Column B	Column C	Column D	Column E
	Identity of issuer, borrower of similar entity	Description of Investment	Cost	Current Value
	Fortune Brands Home & Security	Common Stock	\$ 105,021	\$ 117,077
	Johnson & Johnson	Common Stock	76,635	129,611
	Kroger Co	Common Stock	59,369	77,302
	Lowes Co Inc	Common Stock	50,154	96,012
	Marsh McLennan Cos Inc	Common Stock	56,191	103,075
	Marriott Intl Inc	Common Stock	66,782	79,373
	Merck and Co Inc	Common Stock	62,690	82,418
	Microchip Technology Inc	Common Stock	63,295	102,640
	Morgan Stanley	Common Stock	64,534	91,894
	Pepsico Inc	Common Stock	68,045	112,477
	Procter & Gamble Co	Common Stock	25,743	105,100
	Schwab Charles Corp	Common Stock	69,291	97,886
	Stryker Corp	Common Stock	32,854	76,678
	TJX Companies Inc	Common Stock	44,873	74,379
	3M Corporation	Common Stock	64,231	124,999
*	US Bancorp	Common Stock	18,448	105,309
	United Technologies Corp	Common Stock	61,924	87,696
	United Health Group Inc	Common Stock	33,832	86,422
	Verizon Communications Inc	Common Stock	61,788	69,394
	Visa Inc	Common Stock	41,516	74,119
	Bbt Corporation	Common Stock	67,785	73,583
	JP Morgan Chase	Common Stock	74,960	73,830
	Prudential	Common Stock	50,000	49,520
	Medtronic Plc	Common Stock	63,344	64,107
	Renaissancere	Common Stock	82,394	89,960
	Chubb Ltd	Common Stock	57,822	105,696
	Broadcom Ltd	Common Stock	99,865	100,759
	Carnival Corp	Common Stock	72,235	74,186
	Nestle Sa Sponsored A D R	Common Stock	40,058	71,740
	Rio Tinto PLC	Common Stock	68,808	70,957
		Total Common Stock	2,583,348	3,845,311

(Continued)

LEGAL AID SOCIETY OF CINCINNATI MONEY PURCHASE PENSION PLAN

**Schedule H, Line 4i – Schedule of Assets (Held at End of Year) (Continued)
December 31, 2016**

SCHEDULE H – FORM 5500
FEIN # 31-0536673
PLAN NUMBER 001

Column A	Column B	Column C	Column D	Column E
	Identity of issuer, borrower of similar entity	Description of Investment	Cost	Current Value
	Abbvie Inc	Corporate Bond	\$ 99,878	\$ 100,061
	Amphenol Corp	Corporate Bond	101,630	101,200
	Assurant Inc	Corporate Bond	75,200	75,784
	Bank of America Corp	Corporate Bond	99,500	98,500
	Becton Dickinson	Corporate Bond	100,707	100,071
	Dow Chemical Co	Corporate Bond	70,231	70,201
	FMC Technologies	Corporate Bond	73,476	75,054
	Gatx Corp	Corporate Bond	75,563	75,332
	General Electric	Corporate Bond	100,000	101,248
	Goldman Sachs	Corporate Bond	76,125	75,446
	IBM Corp	Corporate Bond	49,967	50,307
	JP Morgan Chase	Corporate Bond	152,625	150,563
	Kennametal	Corporate Bond	100,958	99,451
	McDonalds	Corporate Bond	150,512	151,673
	Monsanto Co	Corporate Bond	99,467	99,979
	Morgan Stanley	Corporate Bond	51,151	50,011
	Rock Tenn Co	Corporate Bond	102,079	102,524
	Ryder System	Corporate Bond	100,951	100,588
	Thermo Fisher	Corporate Bond	101,322	100,760
	Actavis Inc	Corporate Bond	74,610	75,143
	Wellpoint Inc	Corporate Bond	75,677	75,033
	Western Union	Corporate Bond	99,346	101,020
	Xilinx Inc	Corporate Bond	103,036	101,251
		Total Corporate Bond	2,134,011	2,131,200
			<u>\$ 6,821,717</u>	<u>\$ 8,066,436</u>

Note: The above data is based upon information that has been certified as complete and accurate by US Bank, N.A.

* Party-In-Interest

See independent auditors' disclaimer of opinion report

LEGAL AID SOCIETY OF CINCINNATI MONEY PURCHASE PENSION PLAN

**Schedule H, Line 4j – Schedule of Reportable Transactions
Year Ended December 31, 2016**

SCHEDULE H – FORM 5500
FEIN # 31-0536673
PLAN NUMBER 001

Identity	Description of Asset	Purchase Price	Selling Price	Cost of Assets	Current Value at Transaction Date	Net Gain or (Loss)
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Attachment A

NOTE: Please find the Schedule of Reportable Transactions information attached

NOTE: The attached data is based on information that has been certified as complete
and accurate by U.S. Bank, N.A.

See independent auditors' disclaimer of opinion report



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Period from January 1, 2016 to December 31, 2016

LEGAL AID SOCIETY OF CINCINNATI
ACCOUNT 19-RP726

FORM 5500 - REPORTABLE TRANSACTION SCHEDULE

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
BEGINNING MARKET VALUE							
					8,022,787.66		
COMPARATIVE VALUE (5%)							
					401,139.38		
CATEGORY 1 - SINGLE TRANSACTION EXCEEDS 5% OF VALUE							
Issue: 31846V203 - First American Govt Oblig Fund Cl Y							
05/04/2016	S	- 462,123.720	1.0000		462,124 *	462,124	
CATEGORY 2 - SERIES OF TRANSACTIONS WITH SAME BROKER EXCEEDS 5% OF VALUE							
Broker: Stifel, Nicolaus & Co., Inc.							
Issue: 099724106 - Borg Warner Inc							
02/01/2016	S	- 1,725.000	29.3355	87	50,517	91,894	- 41,377
Issue: 34964C106 - Fortune Brands Home & Securi							
02/10/2016	B	570.000	46.0183	29	- 26,259	26,259	
Issue: 97717X701 - Wisdomtree Europe Hedged Equity Etf							
03/07/2016	S	- 4,425.000	51.4354	226	227,375	248,600	- 21,225
Issue: 34354P105 - Flowserve Corp							
07/01/2016	B	1,480.000	43.0793	74	- 63,831	63,831	
Issue: 808513105 - Schwab Charles Corp							
07/26/2016	B	2,480.000	27.8901	124	- 69,291	69,291	
Issue: G491BT108 - Invesco Ltd							
10/20/2016	S	- 1,525.000	29.6366	77	45,119	62,675	- 17,557
Issue: 767204100 - Rio Tinto Plc A D R							
11/21/2016	B	1,845.000	37.2441	92	- 68,808	68,808	
Issue: 464287465 - iShares Msci Eafe Etf							
11/22/2016	B	5,260.000	56.7892	263	- 298,974	298,974	
Total For Stifel, Nicolaus & Co., Inc.					850,174	930,332	- 80,159

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
Broker: William Blair & Company, L.L.C.							
02/10/2016		Issue: 247361702 - Delta Air Lines Inc B 470.000	42.6861	24	- 20,086	20,086	
03/31/2016		Issue: 92826C839 - Visa Inc Class A Shares S - 350.000	73.8777	18	25,839	15,295	10,544
06/13/2016		Issue: 872540109 - Tjx Companies Inc S - 260.000	76.9112	13	19,983	11,785	8,199
08/24/2016		Issue: Y09827109 - Broadcom Ltd B 410.000	174.6103	21	- 71,611	71,611	
08/29/2016		Issue: 81369Y803 - Technology Select Sector S P D R S - 1,080.000	47.0309	55	50,738	42,108	8,629
09/06/2016		Issue: 244199105 - Deere Co S - 800.000	85.0532	41	68,001	66,562	1,439
11/21/2016		Issue: 03073E105 - Amerisourcebergen Corp S - 645.000	79.3666	33	51,158	24,962	26,197
12/07/2016		Issue: 464287234 - Ishares Msci Emerging Markets Etf B 4,535.000	35.2343	227	- 160,014	160,014	
Total For William Blair & Company, L.L.C.				432	467,430	412,424	55,008
GRAND TOTAL				1,404	1,317,604	1,342,756	- 25,151

CATEGORY 3 - SERIES OF TRANSACTIONS IN SAME SECURITY EXCEEDS 5% OF VALUE

Issue: 31846V203 - First American Govt Oblig Fund Cl Y							
01/05/2016	B	2.300	1.0000		- 2	2	
01/07/2016	B	755.190	1.0000		- 755	755	
01/08/2016	B	644.000	1.0000		- 644	644	



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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
01/08/2016	B	640.000	1.0000		- 640	640	
01/11/2016	B	781.000	1.0000		- 781	781	
01/15/2016	B	2,938.340	1.0000		- 2,938	2,938	
01/15/2016	B	342.000	1.0000		- 342	342	
01/20/2016	B	116.500	1.0000		- 117	117	
01/21/2016	B	28,226.740	1.0000		- 28,227	28,227	
01/22/2016	B	890.630	1.0000		- 891	891	
01/28/2016	B	.150	1.0000				
01/29/2016	B	845.350	1.0000		- 845	845	
01/29/2016	B	160.800	1.0000		- 161	161	
02/01/2016	B	60,516.690	1.0000		- 60,517	60,517	
02/02/2016	B	1.970	1.0000		- 2	2	
02/03/2016	B	378.000	1.0000		- 378	378	
02/16/2016	B	1,154.880	1.0000		- 1,155	1,155	
02/18/2016	B	27,356.100	1.0000		- 27,356	27,356	
02/26/2016	B	360.000	1.0000		- 360	360	
03/01/2016	B	4,295.330	1.0000		- 4,295	4,295	
03/01/2016	B	1,343.750	1.0000		- 1,344	1,344	
03/03/2016	B	262.500	1.0000		- 263	263	
03/04/2016	B	545.000	1.0000		- 545	545	
03/04/2016	B	411.750	1.0000		- 412	412	
03/07/2016	B	228,169.140	1.0000		- 228,169	228,169	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
03/08/2016	B	31,400.580	1.0000		-31,401	31,401	
03/09/2016	B	267.300	1.0000		-267	267	
03/10/2016	B	1,517.800	1.0000		-1,518	1,518	
03/14/2016	B	1,527.000	1.0000		-1,527	1,527	
03/15/2016	B	4,876.750	1.0000		-4,877	4,877	
03/16/2016	B	614.720	1.0000		-615	615	
03/22/2016	B	450.000	1.0000		-450	450	
03/28/2016	B	483.050	1.0000		-483	483	
03/29/2016	B	111.630	1.0000		-112	112	
03/30/2016	B	2,159.290	1.0000		-2,159	2,159	
03/31/2016	B	151,751.480	1.0000		-151,751	151,751	
03/31/2016	B	162.620	1.0000		-163	163	
04/01/2016	B	1,453.130	1.0000		-1,453	1,453	
04/04/2016	B	2.570	1.0000		-3	3	
04/07/2016	B	644.000	1.0000		-644	644	
04/08/2016	B	640.000	1.0000		-640	640	
04/15/2016	B	522.750	1.0000		-523	523	
04/15/2016	B	752.010	1.0000		-752	752	
04/18/2016	B	26,515.880	1.0000		-26,516	26,516	
04/21/2016	B	536.000	1.0000		-536	536	
04/29/2016	B	845.350	1.0000		-845	845	
04/29/2016	B	160.800	1.0000		-161	161	



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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
05/02/2016	B	2,539.500	1.0000		-2,540	2,540	
05/03/2016	B	3.110	1.0000		-3	3	
05/06/2016	B	1,000.000	1.0000		-1,000	1,000	
05/13/2016	B	1,215.500	1.0000		-1,216	1,216	
05/16/2016	B	1,561.880	1.0000		-1,562	1,562	
05/20/2016	B	1,944.270	1.0000		-1,944	1,944	
05/23/2016	B	360.790	1.0000		-361	361	
05/26/2016	B	360.000	1.0000		-360	360	
05/31/2016	B	116,216.850	1.0000		-116,217	116,217	
06/01/2016	B	2,630.630	1.0000		-2,631	2,631	
06/01/2016	B	1,343.750	1.0000		-1,344	1,344	
06/02/2016	B	325.720	1.0000		-326	326	
06/03/2016	B	427.000	1.0000		-427	427	
06/06/2016	B	380.200	1.0000		-380	380	
06/06/2016	B	414.300	1.0000		-414	414	
06/07/2016	B	5,820.310	1.0000		-5,820	5,820	
06/09/2016	B	2,062.500	1.0000		-2,063	2,063	
06/10/2016	B	2,971.300	1.0000		-2,971	2,971	
06/13/2016	B	100,826.840	1.0000		-100,827	100,827	
06/15/2016	B	103,006.750	1.0000		-103,007	103,007	
06/16/2016	B	329.940	1.0000		-330	330	
06/17/2016	B	96,476.060	1.0000		-96,476	96,476	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
06/27/2016	B	555.930	1.0000		- 556	556	
06/27/2016	B	51,953.320	1.0000		- 51,953	51,953	
06/28/2016	B	6,446.580	1.0000		- 6,447	6,447	
06/30/2016	B	1,096.940	1.0000		- 1,097	1,097	
07/05/2016	B	1.820	1.0000		- 2	2	
07/08/2016	B	1,284.000	1.0000		- 1,284	1,284	
07/12/2016	B	1,960.820	1.0000		- 1,961	1,961	
07/15/2016	B	3,012.490	1.0000		- 3,012	3,012	
07/19/2016	B	25,996.400	1.0000		- 25,996	25,996	
07/21/2016	B	108,279.410	1.0000		- 108,279	108,279	
07/21/2016	B	552.000	1.0000		- 552	552	
07/22/2016	B	890.630	1.0000		- 891	891	
07/27/2016	B	650.000	1.0000		- 650	650	
07/27/2016	B	387.000	1.0000		- 387	387	
07/28/2016	B	781.000	1.0000		- 781	781	
07/29/2016	B	670.550	1.0000		- 671	671	
07/29/2016	B	160.800	1.0000		- 161	161	
08/01/2016	B	10,480.130	1.0000		- 10,480	10,480	
08/02/2016	B	1.970	1.0000		- 2	2	
08/03/2016	B	472.500	1.0000		- 473	473	
08/12/2016	B	953.000	1.0000		- 953	953	
08/15/2016	B	1,790.380	1.0000		- 1,790	1,790	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
08/18/2016	B	360.000	1.0000		-360	360	
08/23/2016	B	27,151.340	1.0000		-27,151	27,151	
08/26/2016	B	173.600	1.0000		-174	174	
09/01/2016	B	4,404.330	1.0000		-4,404	4,404	
09/01/2016	B	1,343.750	1.0000		-1,344	1,344	
09/02/2016	B	403.070	1.0000		-403	403	
09/02/2016	B	427.000	1.0000		-427	427	
09/06/2016	B	74,328.330	1.0000		-74,328	74,328	
09/07/2016	B	51,004.420	1.0000		-51,004	51,004	
09/07/2016	B	27,397.960	1.0000		-27,398	27,398	
09/12/2016	B	732.800	1.0000		-733	733	
09/13/2016	B	75,750.000	1.0000		-75,750	75,750	
09/14/2016	B	300.000	1.0000		-300	300	
09/15/2016	B	4,876.750	1.0000		-4,877	4,877	
09/16/2016	B	331.850	1.0000		-332	332	
09/20/2016	B	337.500	1.0000		-338	338	
09/26/2016	B	309.500	1.0000		-310	310	
09/30/2016	B	209.100	1.0000		-209	209	
10/03/2016	B	1,453.130	1.0000		-1,453	1,453	
10/04/2016	B	2,720	1.0000		-3	3	
10/07/2016	B	644.000	1.0000		-644	644	
10/14/2016	B	281.200	1.0000		-281	281	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
10/17/2016	B	1,012.690	1.0000		-1,013	1,013	
10/20/2016	B	45,118.580	1.0000		-45,119	45,119	
10/21/2016	B	939.000	1.0000		-939	939	
10/26/2016	B	650.000	1.0000		-650	650	
10/31/2016	B	670.550	1.0000		-671	671	
10/31/2016	B	160.800	1.0000		-161	161	
11/01/2016	B	102,783.520	1.0000		-102,784	102,784	
11/02/2016	B	475.430	1.0000		-475	475	
11/07/2016	B	1,000.000	1.0000		-1,000	1,000	
11/18/2016	B	313,164.500	1.0000		-313,165	313,165	
11/25/2016	B	318.000	1.0000		-318	318	
11/28/2016	B	173.600	1.0000		-174	174	
11/29/2016	B	27,588.830	1.0000		-27,589	27,589	
12/01/2016	B	2,654.330	1.0000		-2,654	2,654	
12/02/2016	B	1,747.610	1.0000		-1,748	1,748	
12/05/2016	B	576.800	1.0000		-577	577	
12/06/2016	B	1,056.750	1.0000		-1,057	1,057	
12/07/2016	B	4,442.880	1.0000		-4,443	4,443	
12/09/2016	B	2,062.500	1.0000		-2,063	2,063	
12/12/2016	B	3,757.700	1.0000		-3,758	3,758	
12/13/2016	B	337.500	1.0000		-338	338	
12/14/2016	B	350.400	1.0000		-350	350	



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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
12/15/2016	B	1,231.750	1.0000		- 1,232	1,232	
12/16/2016	B	356.740	1.0000		- 357	357	
12/16/2016	B	498.750	1.0000		- 499	499	
12/20/2016	B	2,562.500	1.0000		- 2,563	2,563	
12/27/2016	B	253.170	1.0000		- 253	253	
12/28/2016	B	8,032.770	1.0000		- 8,033	8,033	
12/29/2016	B	2,174.070	1.0000		- 2,174	2,174	
12/30/2016	B	288.020	1.0000		- 288	288	
12/30/2016	B	581.400	1.0000		- 581	581	
Total For Buys				0	2,048,381	2,048,381	0
01/14/2016	S	- 10,841.450	1.0000		10,841	10,841	
01/26/2016	S	- 36.000	1.0000		36	36	
02/10/2016	S	- 46,394.900	1.0000		46,395	46,395	
02/11/2016	S	- 27,790.780	1.0000		27,791	27,791	
02/12/2016	S	- 9,430.820	1.0000		9,431	9,431	
02/25/2016	S	- 54.000	1.0000		54	54	
02/29/2016	S	- 50.000	1.0000		50	50	
03/02/2016	S	- 36,129.590	1.0000		36,130	36,130	
03/08/2016	S	- 65,717.850	1.0000		65,718	65,718	
03/11/2016	S	- 68,154.980	1.0000		68,155	68,155	
03/24/2016	S	- 599.050	1.0000		599	599	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
04/05/2016	S	- 50.000	1.0000		50	50	
04/06/2016	S	- 65,818.150	1.0000		65,818	65,818	
04/25/2016	S	- 114.000	1.0000		114	114	
05/03/2016	S	- 150.000	1.0000		150	150	
05/04/2016	S	- 462,123.720	1.0000		462,124 *	462,124	
05/05/2016	S	- 35,300.040	1.0000		35,300	35,300	
05/09/2016	S	- 10,662.610	1.0000		10,663	10,663	
05/10/2016	S	- 66,781.820	1.0000		66,782	66,782	
05/25/2016	S	- 80.000	1.0000		80	80	
06/16/2016	S	- 200.000	1.0000		200	200	
07/01/2016	S	- 213,992.910	1.0000		213,993	213,993	
07/22/2016	S	- 10,304.990	1.0000		10,305	10,305	
07/25/2016	S	- 90.000	1.0000		90	90	
07/26/2016	S	- 69,291.450	1.0000		69,291	69,291	
08/24/2016	S	- 71,610.720	1.0000		71,611	71,611	
08/25/2016	S	- 78.000	1.0000		78	78	
08/29/2016	S	- 3,220.800	1.0000		3,221	3,221	
09/08/2016	S	- 50.000	1.0000		50	50	
09/21/2016	S	- 50.000	1.0000		50	50	
09/23/2016	S	- 35,225.920	1.0000		35,226	35,226	
09/28/2016	S	- 50.000	1.0000		50	50	
09/30/2016	S	- 32,887.910	1.0000		32,888	32,888	

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10/25/2016	S	- 78.000	1.0000		78	78	
10/28/2016	S	- 9,512.290	1.0000		9,512	9,512	
11/01/2016	S	- 50.000	1.0000		50	50	
11/03/2016	S	- 40,963.200	1.0000		40,963	40,963	
11/10/2016	S	- 44,877.830	1.0000		44,878	44,878	
11/10/2016	S	- 50.000	1.0000		50	50	
11/15/2016	S	- 59,806.840	1.0000		59,807	59,807	
11/21/2016	S	- 89,884.480	1.0000		89,884	89,884	
11/22/2016	S	- 298,974.190	1.0000		298,974	298,974	
11/23/2016	S	- 101,547.250	1.0000		101,547	101,547	
12/07/2016	S	- 63,597.530	1.0000		63,598	63,598	
Total For Sells				0	2,052,675	2,052,675	0
Total First American Govt Oblig Fund Cl Y				0	4,101,056	4,101,056	0
GRAND TOTAL				0	4,101,056	4,101,056	0

CATEGORY 4 - SINGLE TRANSACTION WITH ONE BROKER EXCEEDS 5% OF VALUE
NO TRANSACTIONS QUALIFIED FOR THIS SECTION