## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

## Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

1210-0089

2016

OMB Nos. 1210-0110

This Form is Open to Public Inspection

Part		Identification Information								
For cale	endar plan year 2016 or fi	iscal plan year beginning 01/01/20	ond ending 1	2/31/2016						
<b>A</b> This	return/report is for:	a single-employer plan a one-participant plan	a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)  a foreign plan							
<b>B</b> This	return/report is	the first return/report an amended return/report	the final return/report a short plan year return/report (less than 12 months)							
<b>C</b> Che	ck box if filing under:	Form 5558 special extension (enter descri	automatic extension	ension DFVC program						
Part I	I Basic Plan Info	ormation—enter all requested info	prmation							
1a Nar	me of plan	L, LLC 401 K PROFIT SHARING PL		<b>1b</b> Three plan r (PN)	number	001				
				1c Effect	tive date of 01/01	•				
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions)			2b Employer Identification Number (EIN) 20-5602713  2c Sponsor's telephone number							
CARGO	RATES INTERNATIONAL	_, LLC		206-721-6245						
3322 36T SEATTLE	H AVE S , WA 98144			2d Busin	ess code (s 48830	see instructions)				
<b>3a</b> Pla	n administrator's name a	ind address 🛚 Same as Plan Spon	sor.	3b Admir		elephone number				
4 If th	ne name and/or EIN of th	ne plan sponsor has changed since t	he last return/report filed for this plan, enter the	4b EIN						
name, EIN, and the plan number from the last return/report.			_							
<b>a</b> Spo	onsor's name			4c PN						
<b>5a</b> To	tal number of participants	at the beginning of the plan year		5a						
	•	' '		5b						
			he plan year (only defined contribution plans	5c						
d(1)	Total number of active pa	articipants at the beginning of the pla	an year	5d(1)						
d(2)	Total number of active pa	articipants at the end of the plan yea	r	5d(2)						
th	an 100% vested		plan year with accrued benefits that were less	5e						
			/report will be assessed unless reasonable ca			-1-1 0 ! !!				
SB or S		and signed by an enrolled actuary, as	tions, I declare that I have examined this return/res s well as the electronic version of this return/repo							

06/21/2017

Date

Dat<u>e</u>

ROBERT GREER

Enter name of individual signing as plan administrator

Enter name of individual signing as employer or plan sponsor

Preparer's telephone number

Filed with authorized/valid electronic signature.

Preparer's name (including firm name, if applicable) and address (include room or suite number )

Signature of plan administrator

Signature of employer/plan sponsor

SIGN HERE

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<ul> <li>Were all of the plan's assets during the plan year invested in elig</li> <li>Are you claiming a waiver of the annual examination and report of under 29 CFR 2520.104-46? (See instructions on waiver eligibility of the plan care)</li> </ul>	of an indepe y and condit	ndent qualified public a	account	ant (IC	(PA)			X Yes	No No		
C If the plan is a defined benefit plan, is it covered under the PBGC					_	-	No [	Not deter	mined		
Part III Financial Information											
7 Plan Assets and Liabilities		(a) Beginning	of Year			(	(b) End o	f Year			
a Total plan assets	. 7a		81333	3				100621			
<b>b</b> Total plan liabilities	. 7b		0				0				
C Net plan assets (subtract line 7b from line 7a)	7c		81333	3	100621						
8 Income, Expenses, and Transfers for this Plan Year		(a) Amour	nt				(b) To	tal			
Contributions received or receivable from:     Contributions received or receivable from:	0-(4)		3066								
(1) Employers			11881								
(2) Participants	` '		0								
(3) Others (including rollovers)			4341								
C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)								19288			
d Benefits paid (including direct rollovers and insurance premiums	00					10235					
to provide benefits)	. 8d		0	)							
e Certain deemed and/or corrective distributions (see instructions).	8e		0	)							
f Administrative service providers (salaries, fees, commissions)	8f		0	)							
g Other expenses	. 8g		0								
h Total expenses (add lines 8d, 8e, 8f, and 8g)	. 8h						0				
i Net income (loss) (subtract line 8h from line 8c)	8i					19288					
j Transfers to (from) the plan (see instructions)	8j		C	)							
Part IV Plan Characteristics											
9a If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2T 3D	n feature co	odes from the List of Pl	lan Cha	racteri	stic Co	odes in	the instru	ıctions:			
<b>b</b> If the plan provides welfare benefits, enter the applicable welfare	feature cod	les from the List of Pla	n Chara	acteris	tic Cod	des in t	he instruc	tions:			
Part V Compliance Questions											
10 During the plan year:				Yes	No	N/A		Amount			
Was there a failure to transmit to the plan any participant contrit described in 29 CFR 2510.3-102? (See instructions and DOL's Program)	Voluntary F	iduciary Correction	10a		Х						
	·				X						
C Was the plan covered by a fidelity bond?			10c	X					20000		
d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X						
Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X						
<b>f</b> Has the plan failed to provide any benefit when due under the plan?			10f		X						
g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g		X						
	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)				X						
If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3											

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Part	VI	Pension Funding Compliance							
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete So (Form 5500) and line 11a below)							Yes X No	
	11a Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40								
12		s a defined contribution plan subject to the minimum funding requirements of section 412 of the Co A?					<b>│</b>	Yes X No	
	(lf "\	es," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)							
	grant	aiver of the minimum funding standard for a prior year is being amortized in this plan year, see ins ing the waiver	/lonth _	s, and	d enter t Day		of the lette Year _	er ruling	
If	you co	empleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line	13.	1		T			
<u>b</u>	Enter	the minimum required contribution for this plan year			12b	1			
С	Enter	he amount contributed by the employer to the plan for this plan year			12c				
d		act the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the l ive amount)			12d				
		ne minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A	
Part	VII	Plan Terminations and Transfers of Assets							
13a	Has a	resolution to terminate the plan been adopted in any plan year?				Yes	s X N	lo	
	If "Ye	s," enter the amount of any plan assets that reverted to the employer this year			13a				
b		all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brougol of the PBGC?		er the		Yes 🛚 No			
С		ring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identi n assets or liabilities were transferred. (See instructions.)	ify the p	olan(s)	) to				
	13c(1)	Name of plan(s):	1	3c(2)	EIN(s)		13c(3	<b>3)</b> PN(s)	
Part	VIII	Trust Information							
14a	Name	of trust			14b <sup>-</sup>	Trust's E	EIN		
14c Name of trustee or custodian				14d Trustee's or custodian's telephone number					
Par	t IX	IRS Compliance Questions							
15a	Is the	plan a 401(k) plan? If "No," skip b		Yes			No		
		id the plan satisfy the nondiscrimination requirements for employee deferrals under section (3) for the plan year? Check all that apply:	IШ		ign-based "Prior year" ADP test				
"Curre			rrent year" N/A P test						
16a What testing method was used to satisfy the coverage requirements under section 410(b) for the plan year? Check all that apply:			entage	age Average N/A benefit test N/A					
<b>16b</b> Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?									
17a If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS opinion letter or advisory letter, enter the date of the letter/									
17b If the plan is an individually-designed plan that received a favorable determination letter from the IRS, enter the date of the most recent determination letter/									
18	18 Defined Benefit Plan or Money Purchase Pension Plan Only: Were any distributions made during the plan year to an employee who attained age 62 and had not separated from service?					Yes No			
19	19 Was any plan participant a 5% owner who had attained at least age 70 ½ during the prior plan year?								