Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

Complete all entries in accordance with the instructions to the Form 5500-SF.

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2016

OMB Nos. 1210-0110

1210-0089

This Form is Open to Public Inspection

Annual Report Identification Information For calendar plan year 2016 or fiscal plan year beginning and ending X a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.) **A** This return/report is for: a one-participant plan a foreign plan the final return/report B This return/report is the first return/report an amended return/report a short plan year return/report (less than 12 months) C Check box if filing under: DFVC program Form 5558 automatic extension special extension (enter description) Part II Basic Plan Information—enter all requested information 1a Name of plan 1b Three-digit BUFFALO XRAY NDT LLC 401(K) PROFIT SHARING PLAN AND TRUST plan number 001 (PN) • 1c Effective date of plan 01/01/2011 2a Plan sponsor's name (employer, if for a single-employer plan) **2b** Employer Identification Number Mailing address (include room, apt., suite no. and street, or P.O. Box) 27-3549865 (EIN) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) Sponsor's telephone number **BUFFALO XRAY NDT LLC** 716-856-9200 2d Business code (see instructions) 81 E MARKET STREET 541990 BUFFALO, NY 14204-2115 **3a** Plan administrator's name and address X Same as Plan Sponsor. 3b Administrator's EIN 3c Administrator's telephone number If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the 4b EIN name, EIN, and the plan number from the last return/report. 4c PN a Sponsor's name 5a 5a Total number of participants at the beginning of the plan year 5b **b** Total number of participants at the end of the plan year..... Number of participants with account balances as of the end of the plan year (only defined contribution plans 5c complete this item)..... 5d(1) d(1) Total number of active participants at the beginning of the plan year..... 5d(2) d(2) Total number of active participants at the end of the plan year..... Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

| belief, it is t | rue, correct, and complete. | | | | | | |
|--|---|------------|---|-----------------------------|--|--|--|
| SIGN HERE | Filed with authorized/valid electronic signature. | 06/29/2017 | CAMILLE L. KANE | | | | |
| | Signature of plan administrator | Date | Enter name of individual signing as plan administrator | | | | |
| SIGN | | | | | | | |
| HERE | Signature of employer/plan sponsor | Date | Enter name of individual signing as employer or plan spon | | | | |
| Preparer's name (including firm name, if applicable) and address (include room or suite number) | | | r) | Preparer's telephone number | | | |
| | | | | | | | |
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| | | | | | | | |

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| 6a | Were all of the plan's assets during the plan year invested in eligib | le assets? | ? (See instructions.) | | | | | | X Yes | No | |
|------------|--|------------|-----------------------|-------------------|-------|----|-------|-----------|------------------|--------|--|
| b | Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.) | | | | | | X Yes | No | | | |
| _ | If you answered "No" to either line 6a or line 6b, the plan cann | | | | | _ | - | | 7 . | | |
| | If the plan is a defined benefit plan, is it covered under the PBGC ir | nsurance p | orogram (see ERISA se | ection 4 | 021)? | | Yes | □ NO □ | Not dete | rminea | |
| Pa | rt III Financial Information | r | i . | | | | | | | | |
| | Plan Assets and Liabilities | _ | (a) Beginning | of Year 109358 | | | | (b) End o | f Year 149917 | | |
| _ <u>a</u> | Total plan assets | 7a | | 109330 | | | | | 149917 | | |
| | Total plan liabilities | 7b | | 109358 | | | | | 149917 | | |
| | Net plan assets (subtract line 7b from line 7a) | 7c | | | | | | | | | |
| 8 a | Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from: | | (a) Amour | nt | | | | (b) To | tai | | |
| | (1) Employers | 8a(1) | | 11311 | | | | | | | |
| | (2) Participants | 8a(2) | | 24324 | | | | | | | |
| | (3) Others (including rollovers) | 8a(3) | | | | | | | | | |
| b | Other income (loss) | 8b | | 4924 | | | | | | | |
| С | Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) | 8c | | | | | | | 40559 | | |
| d | Benefits paid (including direct rollovers and insurance premiums to provide benefits) | 8d | | | | | | | | | |
| е | Certain deemed and/or corrective distributions (see instructions). | 8e | | | | | | | | | |
| f | Administrative service providers (salaries, fees, commissions) | 8f | | | | | | | | | |
| g | Other expenses | 8g | | | | | | | | | |
| h | otal expenses (add lines 8d, 8e, 8f, and 8g) | | | | | | | | 0 | | |
| <u>i</u> | Net income (loss) (subtract line 8h from line 8c) | 8i | | | | | | 40559 | | | |
| j | Transfers to (from) the plan (see instructions) | | | | | | | | | | |
| Pai | Part IV Plan Characteristics | | | | | | | | | | |
| 9a | - | | | | | | | | | | |
| b | b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions: | | | | | | | | | | |
| Par | t V Compliance Questions | | | | | | | | | | |
| 10 | During the plan year: | | | | Yes | No | N/A | | Amount | | |
| а | Was there a failure to transmit to the plan any participant contributed described in 29 CFR 2510.3-102? (See instructions and DOL's Verogram) | oluntary f | Fiduciary Correction | 10a | | X | | | | | |
| b | , | t? (Do not | include transactions | 10b | | X | | | | | |
| | · · · · · · · · · · · · · · · · · · · | | | 10c | X | | | | | 20000 | |
| d | Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty? | | | 10d | | X | | | | | |
| е | Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.) | | | 10e | Х | | | | | 387 | |
| f | Has the plan failed to provide any benefit when due under the pla | n? | | 10f | | X | | | | | |
| g | | - | | 10g | X | | | | | 1331 | |
| h | 2520.101-3.) | · ····· | | 10h | | X | | | | | |
| i | If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10 | | | 10i | | | | | | | |
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| Part | VI | Pension Funding Compliance | | | | | | | |
|---|---|--|-----------|---|--|-----------|------------------------|-----------------|--|
| 11 | | s a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and con 5500) and line 11a below) | | | | | | Yes X No | |
| | | the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 | | | 11a | | | | |
| 12 | 12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 ERISA? | | | | | | │ | Yes X No | |
| | (lf "\ | es," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) | | | | | | | |
| | grant | aiver of the minimum funding standard for a prior year is being amortized in this plan year, see ins ing the waiver | /lonth _ | s, and | d enter t Day | | of the lette Year _ | er ruling | |
| If | you co | empleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line | 13. | 1 | | T | | | |
| <u>b</u> | Enter | the minimum required contribution for this plan year | | | 12b | | | | |
| С | Enter | he amount contributed by the employer to the plan for this plan year | | | 12c | | | | |
| d | | act the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the l ive amount) | | | 12d | | | | |
| | | ne minimum funding amount reported on line 12d be met by the funding deadline? | | | | Yes | No | N/A | |
| Part | VII | Plan Terminations and Transfers of Assets | | | | | | | |
| 13a | Has a | resolution to terminate the plan been adopted in any plan year? | | | | Yes | s X N | lo | |
| | If "Ye | s," enter the amount of any plan assets that reverted to the employer this year | | | 13a | | | | |
| b | | all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brougol of the PBGC? | | er the | | Yes 🛚 No | | | |
| С | | ring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identi n assets or liabilities were transferred. (See instructions.) | ify the p | olan(s) |) to | | | | |
| | 13c(1) | Name of plan(s): | 1 | 3c(2) | EIN(s) | | 13c(3 | 3) PN(s) | |
| | | | | | | | | | |
| Part | VIII | Trust Information | | | | | | | |
| 14a | Name | of trust | | | 14b ⁻ | Trust's E | EIN | | |
| 14c Name of trustee or custodian | | | | | 14d Trustee's or custodian's telephone number | | | | |
| Par | t IX | IRS Compliance Questions | | | | | | | |
| 15a | Is the | plan a 401(k) plan? If "No," skip b | | Yes | | | No | | |
| | | | | ign-based "Prior year" ADP harbor test | | | | | |
| | | | | "Curre | ent year test | " | N/A | | |
| 16a What testing method was used to satisfy the coverage requirements under section 410(b) for the plan year? Check all that apply: | | | entage | e Average N/A benefit test N/A | | | | | |
| 16b Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules? | | | | ☐ No | | | | | |
| 17a If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS opinion letter or advisory letter, enter the date of the letter/ and the serial number | | | | | | | | | |
| | letter | plan is an individually-designed plan that received a favorable determination letter from the IRS, en | nter the | date | of the m | nost rece | ent determi | nation | |
| 18 | Were | ed Benefit Plan or Money Purchase Pension Plan Only: any distributions made during the plan year to an employee who attained age 62 and had not sepa e? | | rom | Ye | s [| No | | |
| 19 | Was a | any plan participant a 5% owner who had attained at least age 70 $^{1\!\!/}$ during the prior plan year? | | | Ye | s [| No | | |