## Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

**Annual Report Identification Information** 

Short Form Annual Return/Report of Small Employee **Benefit Plan** 

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2016

This Form is Open to Public Inspection

| For calend   | ar plan year 2016 or   | fiscal plan year beginning 01/01/  | 2016                          | and ending 1               | 2/31/2016   |                     |  |  |
|--|------------------------|--|-------------------------------|----------------------------|---|---------------------|--|--|
| A This return/report is for:   |                        | a single-employer plan   |                               |                            | nployer) (Filers checking this box must attach a ation in accordance with the form instructions.) |                     |  |  |
|  |                        | a one-participant plan   | a foreign plan                |                            |   |                     |  |  |
| <b>B</b> This ret  | urn/report is          | the first return/report  | the final return/repo         | rt                         |   |                     |  |  |
|  |                        | eurn/report (less than 12 m  | nonths)                       |                            |   |                     |  |  |
| C Check  | box if filing under:   | Form 5558  | automatic extension           | n                          | DFVC program  |                     |  |  |
| D 4 !!   | I D . D                | special extension (enter desc  | • •                           |                            |   |                     |  |  |
| Part II  |                        | formation—enter all requested in   | nformation                    |                            | <b>1b</b> Three-digit   |                     |  |  |
| 1a Name of plan AMERICAN PILEDRIVING EQUIPMENT 401(K) PROFIT SHARING PLAN & TRUST  |                        |  |                               |                            |   | 002                 |  |  |
|  |                        |  |                               |                            | 1c Effective date of plan 01/01/1998  |                     |  |  |
| Mailing  | g address (include ro  | loyer, if for a single-employer plan)<br>om, apt., suite no. and street, or P. |                               |                            | <b>2b</b> Employer Identification Number (EIN) 91-1558362   |                     |  |  |
| AMERICAN   | PILEDRIVING EQUI       | nce, country, and ZIP or foreign pos<br>PMENT, INC.                            | stal code (if foreign, see in | istructions)               | <b>2c</b> Sponsor's telephone number 253-872-0141   |                     |  |  |
|  |                        |  |                               |                            | 2d Business code (see instructions  |                     |  |  |
| 7032 SOUTH<br>KENT, WA 9   |                        |  |                               |                            | 333100  |                     |  |  |
|  |                        |  |                               |                            |   |                     |  |  |
| 3a Plan a  | dministrator's name    | and address X Same as Plan Spo   | onsor.                        |                            | <b>3b</b> Administrator's EIN   |                     |  |  |
|  |                        |  |                               |                            | 3c Administrator's telephone number   |                     |  |  |
|  |                        |  |                               |                            | 7.4   | о тогорионо нагиже: |  |  |
|  |                        |  |                               |                            |   |                     |  |  |
|  |                        |  |                               |                            |   |                     |  |  |
| 4 If the   | name and/or EIN of t   | he plan sponsor has changed since  | the last return/report file   | d for this plan, enter the | <b>4b</b> EIN   |                     |  |  |
| name, EIN, and the plan number from the last return/report. <b>a</b> Sponsor's name  |                        |  |                               | 4c PN                      |   |                     |  |  |
|  |                        | ts at the beginning of the plan year   |                               |                            | 5a  | 117                 |  |  |
| _  |                        |  |                               |                            | 5b  | 120                 |  |  |
| b Total number of participants at the end of the plan year  C Number of participants with account balances as of the end of the plan year (only defined contribution plans |                        |  |                               |                            |   |                     |  |  |
|  |                        |  | . , ,                         | •                          | 5c  | 96                  |  |  |
| <b>d(1)</b> Tot  | al number of active p  | participants at the beginning of the p   | olan year                     |                            | 5d(1)   | 106                 |  |  |
| <b>d(2)</b> Tot  | tal number of active p | participants at the end of the plan ye   | ear                           |                            | 5d(2)   | 105                 |  |  |
|  |                        | at terminated employment during th   | • •                           |                            | 5e  | 15                  |  |  |
|  |                        | e or incomplete filing of this retu  |                               |                            |   |                     |  |  |
| Under pen  | alties of perjury and  | other penalties set forth in the instru<br>and signed by an enrolled actuary,  | uctions, I declare that I ha  | ve examined this return/re | port, including, if app   |                     |  |  |
|  | true, correct, and cor | mplete.  |                               | <u> </u>                   |   |                     |  |  |
| SIGN<br>HERE   |                        | d/valid electronic signature.  | 06/29/2017                    | RHIANNON SCRIVEN           |   |                     |  |  |
|  | Signature of plan      | administrator  | Date                          | Enter name of individ      | dual signing as plan a  | administrator       |  |  |
| SIGN<br>HERE   |                        |  |                               |                            |   |                     |  |  |
|  |                        | loyer/plan sponsor   | Date                          | Enter name of individ      |   |                     |  |  |
| Preparer's name (including firm name, if applicable) and address (include room or suite number )   |                        |  |                               | Preparer's telepho         | ne number   |                     |  |  |
|  |                        |  |                               |                            |   |                     |  |  |
|  |                        |  |                               |                            |   |                     |  |  |
|  |                        |  |                               |                            |   |                     |  |  |
|  |                        | tion and the Instructions for Form FE  |                               |                            |   | Earm 5500 SE (2016) |  |  |
|  |                        |  |                               |                            |   |                     |  |  |

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|          | <ul> <li>Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)</li> <li>Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)</li> </ul> |            |                          |          |          |           | (IQPA)   |           |               | No<br>No |
|----------|---|------------|--------------------------|----------|----------|-----------|----------|-----------|---------------|----------|
|          | If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.   |            |                          |          |          |           |          |           |               |          |
| С        | If the plan is a defined benefit plan, is it covered under the PBGC ir  | nsurance p | orogram (see ERISA se    | ection 4 | 021)?    |           | Yes      | No        | Not determine | ned      |
| Pa       | rt III Financial Information  |            |                          |          | -        |           |          |           |               |          |
| 7        | Plan Assets and Liabilities   |            | (a) Beginning            | of Year  |          |           | (        | (b) End   | of Year       |          |
| a        | Total plan assets   | 7a         | 6                        | 543759   | )        |           |          |           | 7467110       |          |
| b        | Total plan liabilities  | 7b         |                          |          |          |           |          |           |               |          |
| С        | Net plan assets (subtract line 7b from line 7a)   | 7c         | 6                        | 543759   | )        |           |          |           | 7467110       |          |
| 8        | Income, Expenses, and Transfers for this Plan Year  |            | (a) Amour                | nt       |          | (b) Total |          |           |               |          |
| а        | Contributions received or receivable from:  |            |                          | 270779   |          |           |          |           |               |          |
|          | (1) Employers   | 8a(1)      |                          | 544621   | $\dashv$ |           |          |           |               |          |
|          | (2) Participants  | 8a(2)      |                          | 13444    | $\dashv$ |           |          |           |               |          |
|          | (3) Others (including rollovers)  | 8a(3)      |                          | 625139   |          |           |          |           |               |          |
|          | Other income (loss)   | 8b         |                          | 020100   |          |           | 4.452002 |           |               |          |
|          | Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)  Benefits paid (including direct rollovers and insurance premiums  | 8c         |                          |          |          | 1453983   |          |           |               |          |
| u        | to provide benefits)  | 8d         |                          | 462941   |          |           |          |           |               |          |
| е        | Certain deemed and/or corrective distributions (see instructions).  | 8e         |                          | 8273     |          |           |          |           |               |          |
| f        | Administrative service providers (salaries, fees, commissions)  | 8f         |                          | 59418    |          |           |          |           |               |          |
| g        | Other expenses  | 8g         |                          |          |          |           |          |           |               |          |
| h        | Total expenses (add lines 8d, 8e, 8f, and 8g)   | 8h         |                          |          |          |           |          |           | 530632        |          |
| i        | Net income (loss) (subtract line 8h from line 8c)   | 8i         |                          |          |          |           |          |           | 923351        |          |
| j        | Transfers to (from) the plan (see instructions)   | 8i         |                          |          |          |           |          |           |               |          |
| Pai      | rt IV Plan Characteristics  |            | •                        |          | _        |           |          |           |               |          |
| 9a       |   |            |                          |          |          |           |          |           |               |          |
| b        | If the plan provides welfare benefits, enter the applicable welfare for   | eature cod | des from the List of Pla | n Chara  | acterist | tic Cod   | des in t | he instru | uctions:      |          |
| Par      | t V Compliance Questions  |            |                          |          |          |           |          |           |               |          |
| 10       | During the plan year:   |            |                          |          | Yes      | No        | N/A      |           | Amount        |          |
| а        | Was there a failure to transmit to the plan any participant contributed described in 29 CFR 2510.3-102? (See instructions and DOL's Verogram)   | oluntary F | Fiduciary Correction     | 10a      |          | X         |          |           |               |          |
| b        | <b>b</b> Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)  |            |                          | 10b      |          | X         |          |           |               |          |
| С        | C Was the plan covered by a fidelity bond?  |            |                          | 10c      | X        |           |          |           | 50            | 00000    |
| d        | <b>d</b> Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?   |            |                          | 10d      |          | X         |          |           |               |          |
| е        | Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)  |            |                          |          |          | X         |          |           |               |          |
| f        | <b>f</b> Has the plan failed to provide any benefit when due under the plan?  |            |                          | 10f      |          | X         |          |           |               |          |
| <u> </u> | <b>g</b> Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)  |            |                          | 10g      | X        |           |          |           | 35            | 52398    |
| h        | h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)   |            |                          | 10h      |          | X         |          |           |               |          |
| i        | If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10   |            |                          | 10i      |          |           |          |           |               |          |

| ı | Form | 550 | 0-SF | 201 | 16 |
|---|------|-----|------|-----|----|
|   |      |     |      |     |    |

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| Part  | VI     | Pension Funding Compliance  |         |  |  |         |               |         |  |
|---|--------|---|---------|--|--|---------|---------------|---------|--|
| 11  |        | Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)                          |         |  |  |         |               | es No   |  |
| 11a   | Ente   | er the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40   |         |  | 11a  |         |               |         |  |
| 12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?   |        |   |         |  |  | f<br>   |               | es X No |  |
|   |        | Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) vaiver of the minimum funding standard for a prior year is being amortized in this plan year, see inst | ruotior | 20.000                                   | d ontor t  | ho data | of the letter | ruling  |  |
|   | gran   | ting the waiver   | onth _  | 15, and                                  | _ Day  |         | Year _        |         |  |
|   |        | ompleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 1   |         |  | 406  |         |               |         |  |
| <u> </u>  | Enter  | the minimum required contribution for this plan year  |         |  | 12b  |         |               |         |  |
| С   | Enter  | the amount contributed by the employer to the plan for this plan year   |         |  | 12c  |         |               |         |  |
| d   |        | ract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the leastive amount)   |         |  | 12d  |         |               | _       |  |
| <u>e</u>  | Will   | the minimum funding amount reported on line 12d be met by the funding deadline?   |         |  |  | Yes     | No            | N/A     |  |
| Part '  | VII    | Plan Terminations and Transfers of Assets   |         |  |  |         |               |         |  |
| 13a   | Has    | a resolution to terminate the plan been adopted in any plan year?   |         |  |  | Yes     | s X No        | )       |  |
|   | If "Y  | es," enter the amount of any plan assets that reverted to the employer this year  |         |  | 13a  |         |               |         |  |
| b   |        | e all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brouging of the PBGC?   |         |  |  |         | Yes X         | No      |  |
| С   |        | uring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identifich assets or liabilities were transferred. (See instructions.)            | y the p | plan(s)                                  | ) to   |         |               |         |  |
| 1   | 3c(1)  | Name of plan(s):  |         | 13c(2)                                   | EIN(s)   |         | 13c(3)        | PN(s)   |  |
|   |        |   |         |  |  |         |               |         |  |
| Part  | VIII   | Trust Information   |         |  |  |         |               |         |  |
| 14a Name of trust   |        |   |         |  | 14b Trust's EIN                                      |         |               |         |  |
| 14c Name of trustee or custodian  |        |   |         |  | <b>14d</b> Trustee's or custodian's telephone number |         |               |         |  |
| Part  | : IX   | IRS Compliance Questions  |         |  |  |         |               |         |  |
| 15a   | Is the | plan a 401(k) plan? If "No," skip b   |         | Yes                                      |  | [       | No            |         |  |
| 401(k)(3) for the plan year? Check all that apply:  |        |   | ·       | ign-based "Prior year" Al<br>harbor test |  |         | ar" ADP       |         |  |
|   |        |   | "Curre  | rent year" N/A P test                    |  |         |               |         |  |
|   |        |   | •       | entage                                   | ntage Average N/A benefit test N/A                   |         |               |         |  |
| <b>16b</b> Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules? |        |   |         | ☐ No                                     |  |         |               |         |  |
| 17a If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS opinion letter or advisory letter, enter the date of the letter/  |        |   |         |  |  |         |               |         |  |
| 17b If the plan is an individually-designed plan that received a favorable determination letter from the IRS, enter the date of the most recent determination letter/   |        |   |         |  |  |         |               |         |  |
| 18 Defined Benefit Plan or Money Purchase Pension Plan Only: Were any distributions made during the plan year to an employee who attained age 62 and had not separated from service?                                  |        |   |         | Ye                                       | Yes No   |         |               |         |  |
| 19  | Was    | any plan participant a 5% owner who had attained at least age 70 $^{1\!\!/}_{2}$ during the prior plan year?  |         |  | Ye   | s [     | No            |         |  |