## **Form 5500-SF**

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

## Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

2016

This Form is Open to Public Inspection

OMB Nos. 1210-0110

1210-0089

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

Part I		Identification Information							
For calenda	ar plan year 2016 or fi	scal plan year beginning 01/01/20	)16 	and ending 12	2/31/2016				
<b>A</b> This ret	a single-employer plan   a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)  a one-participant plan   a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)								
<b>B</b> This retu	ırn/report is	the first return/report	the final return/report  a short plan year return/report (less than 12 months)						
C Check b	oox if filing under:	Form 5558	automatic extension	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	DFVC program				
Dort II	Danie Dlan Infe	special extension (enter descri	•						
Part II		prmation—enter all requested info	ormation		1h Thron digit	T			
1a Name of CB PACIFIC		SHARING PLAN TRUST			<b>1b</b> Three-digit plan number (PN) ▶	001			
					1c Effective date of 01/0	of plan 1/2004			
Mailing	address (include roo	yer, if for a single-employer plan) m, apt., suite no. and street, or P.O. ee, country, and ZIP or foreign posta		uctions)	<b>2b</b> Employer Identification Number (EIN) 98-0165205				
CB PACIFIC	· · · · · · · · · · · · · · · · · · ·	e, country, and zir or toreign posta	i code (ii ioreign, see insti	uctions)	2c Sponsor's telep				
909 7TH AVE KIRKLAND, V	STE 201 VA 98033-5715				2d Business code (see instructions) 541330				
3a Plan ad	dministrator's name a	nd address 🛛 Same as Plan Spons	sor.		<b>3b</b> Administrator's	EIN			
		e plan sponsor has changed since tl mber from the last return/report.	ne last return/report filed fo	or this plan, enter the	4b EIN				
<b>a</b> Sponso	or's name				4c PN				
5a Total number of participants at the beginning of the plan year				5a					
<b>b</b> Total number of participants at the end of the plan year				5b	23				
	er of participants with ete this item)	account balances as of the end of the	ne plan year (only defined	contribution plans	5c	18			
d(1) Total number of active participants at the beginning of the plan year				5d(1)	20				
d(2) Total number of active participants at the end of the plan year				5d(2)	22				
than 1	100% vested	terminated employment during the	•		5e	0			
Under pena SB or Sche	alties of perjury and of	or incomplete filing of this return, her penalties set forth in the instruct nd signed by an enrolled actuary, as	ions, I declare that I have	examined this return/re	port, including, if appli				
SIGN		valid electronic signature.	07/03/2017	MIKE REEVE					
HERE	Signature of plan a	dministrator	Date	Enter name of individ	ual signing as plan ad	ministrator			
SIGN HERE									
	Signature of emploname (including firm in	oyer/plan sponsor name, if applicable) and address (inc	Date clude room or suite numbe		ual signing as employ Preparer's telephone				

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b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (ICPA) under 20 FT 250:1014-46 (See instructions on waiver eligibility and confidens)	6a	Were all of the plan's assets during the plan year invested in eligib	le assets?	(See instructions.)						X Ye	s No	
C if the plans is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)?	b	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)						X Ye	s 🗌 No			
Part III   Financial Information   (a) Beginning of Year   (b) End of Year   27   28   28   28   29   29   29   29   29	C						_	-	_	□ Not de	termined	
7 Plan Ássets and Liabilities		<u> </u>					····· <u>L</u>		□			
a Total plan isabilities. 7a 938078 1234510 b Total plan isabilities. 7b 0 0 0 0 C Net plan assets (subtract line 7b from line 7a). 7c 938078 1234510 8 Income, Expenses, and Transfers for this Plan Year (a) Amount (b) Total Contributions received or receivable from: (1) Employers. 8a(1) 55561 (2) Participants. 8a(2) 143792 (3) Others (including rollovers). 8a(3) 0 0 b Other income (loss). 8a(3) 0 0 C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	7			(a) Beginning	of Vear				(h) End	of Vear		
D Total plan listolities	a		7a	(a) Beginning				'	(b) Lila		0	
C. Net plan assets (subtract line 7b from line 7a)	_	•			0				0			
8 Income, Expenses, and Transfers for this Plan Year a Contributions received or receivable from: (1) Employers. 8a(1) 55861 (2) Participants. 8a(2) 143792 (3) Others (including rollovers). 8a(3) 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0					938078	3	1234510					
a Contributions received or receivable from: (1) Employers				(a) Amour	nt		(b) Total					
(2) Participants	а			(1)					<u> </u>			
(a) Others (including rollovers)		(1) Employers	8a(1)									
b Other income (loss).  C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)		(2) Participants	8a(2)			_						
C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)		· · · · · · · · · · · · · · · · · · ·	8a(3)									
d Benefits paid (including direct rollovers and insurance premiums to provide benefits)	<u>b</u>	Other income (loss)	8b		9/6/6							
e Certain deemed and/or corrective distributions (see instructions).  e Certain deemed and/or corrective distributions (see instructions).  f Administrative service providers (salaries, fees, commissions)			8c				297329					
e Certain deemed and/or corrective distributions (see instructions).  f Administrative service providers (salaries, fees, commissions)	d		84		0							
f Administrative service providers (salaries, fees, commissions)		,			0							
g Other expenses	f	1			897	,						
h Total expenses (add lines 8d, 8e, 8f, and 8g)		-:			0	)						
i Net income (loss) (subtract line 8h from line 8c)		•							89	)7		
Transfers to (from) the plan (see instructions)									296432			
Part IV Plan Characteristics  9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:  2A 2E 2F 2G 2J 2K 2T 3D  b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:  Part V Compliance Questions  10 During the plan year:  a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)  b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	÷				C							
9a	Pai											
Part V   Compliance Questions		9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:										
10 During the plan year:  a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)  b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)  c Was the plan covered by a fidelity bond?  d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?  e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)  f Has the plan failed to provide any benefit when due under the plan?  g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)  10	b		eature cod	des from the List of Pla	n Chara	acterist	tic Cod	des in t	he instru	ctions:		
10 During the plan year:  a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)  b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)  c Was the plan covered by a fidelity bond?  d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?  e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)  f Has the plan failed to provide any benefit when due under the plan?  g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)  10	Dor	t V Compliance Questions										
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)						Voc	No	N/A		A		
described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program).  b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			itions with	in the time period		res	NO	N/A		Amoun	<u> </u>	
reported on line 10a.)	a	described in 29 CFR 2510.3-102? (See instructions and DOL's V	oluntary F	Fiduciary Correction	10a		X					
d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	b				10b		X					
by fraud or dishonesty?	С	C Was the plan covered by a fidelity bond?			10c	X					93808	
carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)	d				10d		X					
g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)	е	<b>e</b> Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under			10e					-	-	
h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) 10h  i If 10h was answered "Yes," check the box if you either provided the required notice or one of the	f	f Has the plan failed to provide any benefit when due under the plan?			10f		X			_	_	
2520.101-3.)	g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g	X					3375	
	h	·			10h		X					
	i				10i							

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Part	VI	Pension Funding Compliance							
11		s a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and con 5500) and line 11a below)						Yes X No	
		the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40			11a				
12		s a defined contribution plan subject to the minimum funding requirements of section 412 of the Co A?					<b>│</b>	Yes X No	
	(lf "\	es," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)							
	grant	aiver of the minimum funding standard for a prior year is being amortized in this plan year, see ins ing the waiver	/lonth _	s, and	d enter t Day		of the lette Year _	er ruling	
If	you co	empleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line	13.	1		T			
<u>b</u>	Enter	the minimum required contribution for this plan year			12b				
С	Enter	he amount contributed by the employer to the plan for this plan year			12c				
d		act the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the l ive amount)			12d				
		ne minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A	
Part	VII	Plan Terminations and Transfers of Assets							
13a	Has a	resolution to terminate the plan been adopted in any plan year?				Yes	s X N	lo	
	If "Ye	s," enter the amount of any plan assets that reverted to the employer this year			13a				
b		all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brougol of the PBGC?		er the		Yes X No			
С		ring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identi n assets or liabilities were transferred. (See instructions.)	ify the p	olan(s)	) to				
	13c(1)	Name of plan(s):	1	3c(2)	EIN(s)		13c(3	<b>3)</b> PN(s)	
Part	VIII	Trust Information							
14a	Name	of trust			14b <sup>-</sup>	Trust's E	EIN		
14c Name of trustee or custodian				<b>14d</b> Trustee's or custodian's telephone number					
Par	t IX	IRS Compliance Questions							
15a	Is the	plan a 401(k) plan? If "No," skip b		Yes			No		
				gn-based "Prior year" ADP test					
				"Curre	ent year test	"	N/A		
16a What testing method was used to satisfy the coverage requirements under section 410(b) for the plan year? Check all that apply:			entage	tage Average N/A benefit test N/A					
<b>16b</b> Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?				☐ No					
17a If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS opinion letter or advisory letter, enter the date of the letter/ and the serial number									
17b If the plan is an individually-designed plan that received a favorable determination letter from the IRS, enter the date of the most recent determination letter/									
Defined Benefit Plan or Money Purchase Pension Plan Only: Were any distributions made during the plan year to an employee who attained age 62 and had not separated from service?					Ye	Yes No			
19	Was a	any plan participant a 5% owner who had attained at least age 70 $^{1\!\!/}$ during the prior plan year?			Ye	s [	No		