#### Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

## Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110

1210-0089

2016

This Form is Open to **Public Inspection** 

Part I	Annual Repor	t Identification Information				
For calend	lar plan year 2016 or	fiscal plan year beginning 01/01/2	2016	and ending 12	2/31/2016	
A This re	turn/report is for:	a single-employer plan			-	
	·	a one-participant plan	a foreign plan			
<b>B</b> This ret	urn/report is	the first return/report				
		an amended return/report	a short plan year re	turn/report (less than 12 m	onths)	
C Check	box if filing under:	Form 5558		n	DFVC program	n
Dort II	Decis Dien Inf					
_		ormation—enter all requested in	formation		1h Three diese	
		NED BENEFIT PLAN			_	
					(PN)	001
The return/report is a single-employer plan   a multiple-employer plan   a multiple-employer plan (nor multimappley) (Filera brokesing this box must attach a list of participant plan   a multiple-employer plan (nor multimappley) (Filera brokesing this box must attach a list of participant plan   a foreign plan   b file plan year   popular plan   a foreign plan   a foreign plan   b file plan year   popular plan   b file plan year   popular plan   b file plan year   b foreign postal code (if foreign, see instructions)   1b fire-edgin plan plan plan plan plan plan plan pla						
•	•	nce, country, and ZIP or foreign pos	tal code (if foreign, see ir	nstructions)	<b>2c</b> Sponsor's	
FORESTIII	LL3, NT 11373					
3a Plan a	administrator's name	and address X Same, as Plan Spo	nsor		<b>3b</b> Administra	tor's FIN
ou man			110011		• Administra	.0.0 2.114
					<b>3c</b> Administra	tor's telephone number
<b>1</b> 16 415 5		h	4b - 1 - 4 - 4 - 4 - 4 - 4 - 4 - 4 - 4 - 4	al fau thia mha a antau tha	Ala en	
			the last return/report file	ed for this plan, enter the	4D EIN	
<b>a</b> Spons	sor's name	·			4c PN	
<b>5a</b> Total	number of participant	s at the beginning of the plan year.			5a	2
<b>b</b> Total	number of participant	s at the end of the plan year			5b	1
		account balances as of the end of	the plan year (only defin	ned contribution plans	5c	(
<b>d(1)</b> To	tal number of active p	articipants at the beginning of the p	lan year		5d(1)	2
<b>d(2)</b> To	tal number of active p	participants at the end of the plan ye	ar		5d(2)	1
<b>e</b> Num	ber of participants tha	at terminated employment during the	e plan year with accrued	benefits that were less	5e	1
Caution	100% vested	or incomplete filing of this retur	n/report will be assess	ed unless reasonable car		<u> </u>
			as well as the electronic	version of this return/report	t, and to the best	of my knowledge and
			07/03/2017	ANNA FIFI DMAN		
	Signature of plan	administrator	Date	Enter name of individe	ual signing as pla	n administrator
SIGN HERE						
		loyer/plan sponsor	Date			ployer or plan sponsor
Preparer's	name (including firm	name, if applicable) and address (in	nciuae room or suite nun	nber)	Preparer's telep	none number

Form 5500-SF 2016 Page **2** 

	Were all of the plan's assets during the plan year invested in eligib Are you claiming a waiver of the annual examination and report of a under 29 CFR 2520.104-46? (See instructions on waiver eligibility a If you answered "No" to either line 6a or line 6b, the plan cann	an indepe and condit	ndent qualified public a	account	ant (IC	(PA)				′es
С	If the plan is a defined benefit plan, is it covered under the PBGC in					_		_	Not d	letermined
Pa	rt III Financial Information									
7	Plan Assets and Liabilities		(a) Beginning	of Year			(	(b) End	of Year	
a	Total plan assets	7a	1.	286192					15439	
<u>b</u>	Total plan liabilities	7b		0						0
<u>C</u>	Net plan assets (subtract line 7b from line 7a)	7c	1.	286192					15439	944
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	nt				(b) T	otal	
а	Contributions received or receivable from: (1) Employers	8a(1)		205700						
	(2) Participants	8a(2)		0						
	(3) Others (including rollovers)	8a(3)		0						
b	Other income (loss)	8b		79676						
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							2853	376
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		27624						
е	Certain deemed and/or corrective distributions (see instructions).	8e		0						
f	Administrative service providers (salaries, fees, commissions)	8f		O						
g	Other expenses	8g		0						
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							270	624
i_	Net income (loss) (subtract line 8h from line 8c)	8i							2577	752
<u>j</u>	Transfers to (from) the plan (see instructions)	8j		C						
Pai	t IV Plan Characteristics									
9a	If the plan provides pension benefits, enter the applicable pension $1A  3D$	feature co	odes from the List of Pl	an Cha	racteri	stic Co	des in	the inst	ructions:	
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	les from the List of Pla	n Chara	acteris	tic Coc	les in t	he instr	uctions:	
Par	t V Compliance Questions									
10	During the plan year:				Yes	No	N/A		Amou	nt
_	Was there a failure to transmit to the plan any participant contribu described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)	oluntary F	iduciary Correction	10a		X			7	(
b	Were there any nonexempt transactions with any party-in-interest reported on line 10a.)	? (Do not	include transactions	10b		X				(
				10c		Χ				(
d		fidelity bo	nd, that was caused	10d		X				(
е		ner person ne or all of	s by an insurance the benefits under	10e		Х				(
f	Has the plan failed to provide any benefit when due under the pla	n?		10f		X				(
9	Did the plan have any participant loans? (If "Yes," enter amount a	s of year-	end.)	10g		X				(
h	2520.101-3.)			10h		X				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i						

Page 3-	1	

Part	VI	Pension Funding Compliance						
11		s a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and c n 5500) and line 11a below)					X	'es No
	Ente	r the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40			11a			0
12		is a defined contribution plan subject to the minimum funding requirements of section 412 of the Co A?					Y	′es X No
	(If "	es," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)						
a		raiver of the minimum funding standard for a prior year is being amortized in this plan year, see insing the waiver		s, and	l enter t Day		of the lette Year _	r ruling
If	you c	ompleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 1	13.			I		
b	Enter	the minimum required contribution for this plan year			12b			
С	Enter	the amount contributed by the employer to the plan for this plan year			12c			
d		ract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the l tive amount)			12d			
		he minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A
Part	VII	Plan Terminations and Transfers of Assets						
13a	Has a	a resolution to terminate the plan been adopted in any plan year?				Yes	x N	0
	If "Y€	es," enter the amount of any plan assets that reverted to the employer this year			13a			
b		e all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brougout of the PBGC?					Yes X	No
С		ring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identinassets or liabilities were transferred. (See instructions.)	fy the p	lan(s)	to			
	13c(1)	Name of plan(s):	1	3c(2)	EIN(s)		13c(3	<b>)</b> PN(s)
Part	VIII	Trust Information		ı				
14a	Name	of trust			14b <sup>-</sup>	Trust's E	EIN	
14c	Name	of trustee or custodian					s or custod ne number	ian's
Par	t IX	IRS Compliance Questions						
15a	Is the	plan a 401(k) plan? If "No," skip b		Yes			No	
		did the plan satisfy the nondiscrimination requirements for employee deferrals under section (3) for the plan year? Check all that apply:	L		n-based arbor	j [	] "Prior ye test	ear" ADP
			-   □ □ □	Curre ADP t	ent year est	"	N/A	
16a 		testing method was used to satisfy the coverage requirements under section 410(b) for the plan Check all that apply:	🔲	Ratio perce test	entage		verage enefit test	N/A
	for the	be plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) be plan year by combining this plan with any other plan under the permissive aggregation rules?	Ш	Yes			No	
	the le							
	letter	plan is an individually-designed plan that received a favorable determination letter from the IRS, er	nter the	date	of the m	nost rece	ent determi	nation
18	Were	ed Benefit Plan or Money Purchase Pension Plan Only: any distributions made during the plan year to an employee who attained age 62 and had not sepa e?		om	Ye	s	No	
19	Was	any plan participant a 5% owner who had attained at least age 70 $\frac{1}{2}$ during the prior plan year?			Ye	s	No	

# SCHEDULE SB (Form 5500)

Department of the Treasury Internal Revenue Service Department of Labor

Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Single-Employer Defined Benefit Plan Actuarial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code).

File as an attachment to Form 5500 or 5500-SF.

OMB No. 1210-0110

2016

This Form is Open to Public Inspection

_ F0	r calendar pla	n year 2016	or fiscal plan	year beginning (	01/01/201	6		and endin	g 12/3	31/2016	
			nearest dollar	sessed for late filing o	of this rep	ort unless reason	nable caus	se is establishe			
Α	Name of plan			ENEFIT PLAN	c. uno repr	or amous reason	idolo odu	B Three-di	git	ı) <b>&gt;</b>	001
	Plan sponsor'			2a of Form 5500 or 55	500-SF			<b>D</b> Employer	Identific	ation Number (E	EIN)
E	Type of plan:	X Single	Multiple-A	Multiple-B		F Prior year pla	an size: 🛚	100 or fewer	101	-500 More th	nan 500
F	Part I Ba	asic Infor	mation								
_1	Enter the v	aluation date	<b>)</b> :	Month	Day01	Year <u>2</u>	016			T	
2	Assets:										
									. 2a		1286192
_									. 2b	ata d Cuadina	1286192
3	Funding tar	get/participa	ant count break	kdown			` '	Number of rticipants	(2) VE	sted Funding Target	(3) Total Funding Target
	<b>a</b> For retire	d participan	ts and benefic	iaries receiving paym	ent			0		0	0
	<b>b</b> For term	inated veste	d participants.					0		0	0
	<b>C</b> For active	e participants	s					2		817107	840157
	<b>d</b> Total							2		817107	840157
4	If the plan i	s in at-risk s	tatus, check th	ne box and complete I	lines (a) aı	nd (b)					
	<b>a</b> Funding	target disreg	arding prescri	bed at-risk assumptio	ons				4a		
				umptions, but disrega							
5	Effective in	terest rate							5		5.72%
6	Target norr	nal cost							6		104406
	accordance with a combination, offer	knowledge, the applicable law ar	information supplied regulations. In m	ed in this schedule and accor ny opinion, each other assum xperience under the plan.							d assumption was applied in d such other assumptions, in
	SIGN HERE									03/07/201	7
			Sigr	nature of actuary						Date	
	DEBORAH SM	1IST								14-04681	
			Type or p	print name of actuary					Most	recent enrollmer	nt number
	GUARDIAN LI	FE INS. CO.	OF AMERICA	4						413-499-43	321
	700 SOUTH S PITTSFIELD, I			Firm name				Tε	elephone	number (includ	ling area code)
			Ad	dress of the firm				_			
	e actuary has	not fully refle	ected any regu	ulation or ruling promu	ulgated un	der the statute ir	n completi	ng this schedule	e, check	the box and see	е

age	2 -	1
-----	-----	---

Pa	art II	Begir	nning of Year	Carryov	er and Prefunding B	aland	ces						
7	Polonoo	ot boginn	ving of prior voor o	ftor applied	able adjustments (line 13 fro	m pric	or	(a) C	arryover baland	e	(b) F	refundir	ng balance
		•	•							0			0
8			•	-	nding requirement (line 35 f					0			0
9	, ,									0			0
10			•		rn of0.00%					0			0
11					to prefunding balance:								
	<b>a</b> Preser	nt value o	of excess contribut	ions (line 3	38a from prior year)								228190
<b>b(1)</b> Interest on the excess, if any, of line 38a over line 38b from prior year Schedule SB, using prior year's effective interest rate of											13326		
					edule SB, using prior year's								0
					ar to add to prefunding balanc								241516
	<b>d</b> Portio	n of (c) to	be added to prefu	ınding bala	ance								0
12	Other re	ductions i	in balances due to	elections	or deemed elections					0			0
					line 10 + line 11d – line 12)					0			0
Р	art III	Fun	ding Percenta	iges			L						
14	Funding											14	153.08%
					)							15	153.08%
16					of determining whether carry							16	148.52%
17	•				less than 70 percent of the							17	0.00%
Р	art IV	Con	tributions and	d Liquid	ity Shortfalls						l	l	
18	Contribu	tions mad	· ·		ar by employer(s) and employer	oyees:	:						
(1)	(a) Date //M-DD-Y		<b>(b)</b> Amount pa employer(		(c) Amount paid by employees	(N	(a) Date MM-DD-Y		<b>(b)</b> Amount employ		(0	Amoui emplo	nt paid by byees
0	7/27/2016	6		100000	0								
0	9/26/2016	5		100000	0								
1	1/28/2016	5		5700	0								
						Tota	als ▶	18(b)		205700	18(c)		0
19	Discount	ted emplo	yer contributions -	- see instr	uctions for small plan with a	valua	ation date	after the	beginning of th	e year:			
	<b>a</b> Contri	butions a	llocated toward ur	paid minir	num required contributions t	from p	orior years	i		19a			0
	<b>b</b> Contri	butions m	nade to avoid restr	ictions adj	usted to valuation date					19b			0
					red contribution for current ye	ar adju	usted to va	aluation d	ate	19c			198282
20			itions and liquidity										
			_		e prior year?								Yes X No
			·		installments for the current y			timely ma	anner?				Yes No
	C If line	20a is "Y	es," see instruction	ns and con	nplete the following table as Liquidity shortfall as of en			hie nlan v	/ear				
		(1) 1s	t		(2) 2nd	a or qu	uarter Ur l		3rd			(4) 4th	<u> </u>
		. ,	0		0			. ,	0				0
						-				•			

E	Part V Assumptions Used to Determine Funding Target and Target Normal C	Cost		
21		JUBL		
<b>-</b> 1		egment:		DAI/A Call Selection
	4.43% 5.91%	6.65 %		N/A, full yield curve used
	<b>b</b> Applicable month (enter code)		21b	0
22	Weighted average retirement age		22	60
23	Mortality table(s) (see instructions)     X   Prescribed - combined   Prescribed - separate	е	Subst	titute
Pa	art VI Miscellaneous Items			
24	Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Ye	s," see ir	nstructio	ons regarding required
	attachment.			
25	Has a method change been made for the current plan year? If "Yes," see instructions regarding require	ed attach	ment	∏ Yes X No
26	Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding re	equired a	ttachme	ent Yes X No
27	If the plan is subject to alternative funding rules, enter applicable code and see instructions regarding attachment		27	
P	Part VII Reconciliation of Unpaid Minimum Required Contributions For Prior	Vaare		
	Unpaid minimum required contributions for all prior years		28	0
29				
	(line 19a)		29	0
30	Remaining amount of unpaid minimum required contributions (line 28 minus line 29)		30	0
	art VIII Minimum Required Contribution For Current Year			
31	Target normal cost and excess assets (see instructions):			
	a Target normal cost (line 6)	-	31a	
	<b>b</b> Excess assets, if applicable, but not greater than line 31a		31b	
32	Amortization installments:  Outstand	ling Balaı		Installment
	a Net shortfall amortization installment		0	0
	<b>b</b> Waiver amortization installment		0	0
33	If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month Day Year) and the waived amount		33	
34	Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b		34	0
	Carryover balance Prefundi	, ,		Total balance
25		3		
33	Balances elected for use to offset funding requirement		0	0
36	Additional cash requirement (line 34 minus line 35)		36	0
	Contributions allocated toward minimum required contribution for current year adjusted to valuation dat		37	198282
	19c)			190202
38	Present value of excess contributions for current year (see instructions)			T
	a Total (excess, if any, of line 37 over line 36)		38a	
	<b>b</b> Portion included in line 38a attributable to use of prefunding and funding standard carryover balances		38b	
39			39	0
40 Pa	The state of the s		40 \	0
	Pension Funding Relief Under Pension Relief Act of 2010 (See Instru	CHOIIS	)	
41	If an election was made to use PRA 2010 funding relief for this plan:			
	a Schedule elected			<del></del>
	<b>b</b> Eligible plan year(s) for which the election in line 41a was made	-		2008 2009 2010 2011
	Amount of acceleration adjustment		42	
43	Excess installment acceleration amount to be carried over to future plan years		43	

#### Form 5500-SF

Department of the Treasury Internal Revenue Service

Crapartment of Leber Employee Brandiss Sassarity Aprilioscopion Pension Benefit Quaranty Corporation

#### Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be field under sections 104 and 4965 of the Employee Ratirement Income Security Act of 974 (ERISA), and sections 1057(b) and 8058(a) of the Internal Revenue Code (the Code).

OMB Nos. 1210-0110 1210-0089

2016

This Form is Open to Public Inspection

Pension Bengill Gueranty Corporation	Complete all entries in	accordance with the instructions to the Form	5500-SF.	
	rt Identification Informatio	ſ1		
For calendar plan year 2016 or	fiscal plan year beginning	1/1/2016 and ending	12/31/201	5
A This return/report is for:	a single-employer plan	a multiple-employer plan (not multiemployer list of participating employer information in a	) (Filers checking the	ile box must attach a
The following of the same	["] a one-participant plan	a foreign plan	occurdance with ine	icim instructions)
3 This return/report is	the first return/report	the final return/report		
Service de englis et traduk Service de men	an amanded return/report	a short plan year return/report (less than 12 r	months)	
Check box if fiting under:	Form 5556	automatic extension	☐ DFVC r	ragram
D	special extension (enter des			
	formation—enter all requested i	nformation		
a Name of plan Anna Fieldman, MO PC Define	d Sentift Plan		1b Three-digit plan numbe (PN)	001
			1c Effective da	
Mailing address (include ro	loyer, if for a single-employer plan) om, apt., suite no, and street, or P.	O. Box)	1/0/11	entification Number
City or town, state or provin Anna Fieldman, MD PC	nce, country, and ZIP or foreign pos	stal code (if foreign, see instructions)	2c Sponsor's l	CONTRACTOR CONTRACTOR STREET,
107-21 Queens Blvd, Suite 1			parent material mater	de (see instructions)
Forest Hills 1 1375	NY		6211	11
a Plan administrator's name	and address Asime as Plan Spor	ISOC.	3b Administrate	r's FIN
If the name and/or EIN of It	he plan sponsor has changed since umber from the last return/report.	the last return/report filed for this plan, enter the	4b EIN	
a Sponsor's name	arredit non the last requirereport.		4c PN	
a Total number of participant	s at the beginning of the plan year.			2
			5b	2
<ul> <li>Number of participants with</li> </ul>	account balances as of the end of	the plan year (defined benefit plans do not	5c	0
d(1) Total number of active pa	anticipants at the beginning of the p	ion yeur	5d(1)	2
d(2) Total number of active p	articipants at the end of the plan ye	8f	5d(2)	1
Number of participants that than 100% vested	t lerminated employment during the	a plan year with accroed benefits that were less	5e	1
nder penalties of periory and o	ther penulties set forth in the loster	ctions I harders that I have expended the country	east lest due Ta-	olicable e Schedule
tief, it is true, correct, and con	plete.	as well as the electronic version of this return/repor	t, and to the best of	my knowledge and
IGN Signature of plan	administrator )	Date Enter name of individ	reldm	an
GN O	Shino	7/3/17 Anna	Fieldy	Nam
Signature of emple	oyer/plan sponsor name, if applicable) and address (in	Date Enter name of individ	lual signing as emple Proparer's telepho	
	,			
				,
r Paperwork Roduction Act Notic	co and OMB Control Numbers, see th	u instructions for Form 5300-SF.		Form 5500-5F (2015)

	Form 5500-SF 2016		Page <b>2</b>			
b	Were all of the plan's assets during the plan year invested in eligible. Are you claiming a waiver of the annual examination and report of a under 29 CFR 2520.104-46? (See instructions on waiver eligibility at If you answered "No" to either line 6a or line 6b, the plan cannot the plan is a defined benefit plan, is it covered under the PBGC in	an independ and conditio ot use Forr	lent qualified public accountant ( ns.) n 5500-SF and must instead us	QPA) se Forn	n 5500.	
	rt III   Financial Information		,		_	
7	Plan Assets and Liabilities	Out (Edit	(a) Beginning of Year		(	b) End of Year
a	Total plan assets	7a	1286192			1543944
b	Total plan liabilities	7b	0			0
С	Net plan assets (subtract line 7b from line 7a)	7c	1286192			1543944
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount			(b) Total
а	Contributions received or receivable from: (1) Employers	8a(1)	205700			
	(2) Participants	8a(2)	0			
	(3) Others (including rollovers)	8a(3)	0			
b	Other income (loss)	8b	79676			
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c				285376
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	27624		ingle,	
е	Certain deemed and/or corrective distributions (see instructions)	8e	0			
f	Administrative service providers (salaries, fees, commissions)	8f	0			
g	Other expenses	8g	0			
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h				27624
i	Net income (loss) (subtract line 8h from line 8c)	8i				257752
j	Transfers to (from) the plan (see instructions)	8j	(	18		
Pa	rt IV Plan Characteristics					
9a	If the plan provides pension benefits, enter the applicable pension 1A 3D	feature cod	les from the List of Plan Characte	eristic C	odes in	the instructions:
b	If the plan provides welfare benefits, enter the applicable welfare for	eature code	s from the List of Plan Character	istic Co	des in t	he instructions:
Pa	rt V Compliance Questions					
10	During the plan year:		Ye	s No	N/A	Amount
ć	Was there a failure to transmit to the plan any participant contribu described in 29 CFR 2510.3-102? (See instructions and DOL's V			١,		

10	During the plan year:		Yes	No	N/A	Amount
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a		1		
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	10b		1		
С	Was the plan covered by a fidelity bond?	10c		1		
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	10d		<b>✓</b>		
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)	10e		1		
f	Has the plan failed to provide any benefit when due under the plan?	10f		1		
g	Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)	10g		1		
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	10h		1		
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	10i				

Form 5500-SF 2016	Form	5500-SF	2016	
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Page	3-	10000
rage	J-	

Part V	Pension Funding Compliance		-1,0-1,0-1,2-1,2-2			,	
(	this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and comform 5500) and line 11a below)				M	Yes	No
11a	inter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40		11a		1		0
12	s this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code RISA?	e or section	302 of		. 0	Yes	/ No
	If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)	otions, and	enter th	o date i	of the let	ter rulir	na
(	a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instruranting the waiver.	ith	Day	ie date (	Year	Cor rom	
If yo	u completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.				11-11-11		
bΕ	nter the minimum required contribution for this plan year		12b				0
СE	nter the amount contributed by the employer to the plan for this plan year		12c				0
d :	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left legative amount)	of a	12d			п.	0
e	Vill the minimum funding amount reported on line 12d be met by the funding deadline?			Yes	∐ No	N V	I/A
Part V	Plan Terminations and Transfers of Assets	0					
13a	Has a resolution to terminate the plan been adopted in any plan year?			Yes	$\checkmark$	No	
	f "Yes," enter the amount of any plan assets that reverted to the employer this year		13a				0
	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brough control of the PBGC?	******			Yes	✓ No	)
С	f, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify which assets or liabilities were transferred. (See instructions.)	the plan(s	) to				
13	c(1) Name of plan(s):	13c(2)	EIN(s)		130	(3) PN	l(s)
Part 14a N	/III Trust Information ame of trust		14b	Γrust's E	EIN		
14c 1	lame of trustee or custodian		1.5 1.5 1.5 1.5		s or cust ne numb		}
Part	IX IRS Compliance Questions						
	·	Yes			No		
	s the plan a 401(k) plan? If "No," skip b	CONTRACTOR 2	n-based	i [	"Prio	r year"	ADP
130	01(k)(3) for the plan year? Check all that apply:		ent year	" [	N/A		
16a	What testing method was used to satisfy the coverage requirements under section 410(b) for the plan year? Check all that apply:	Ration percent	o entage		verage enefit te	st [	] N/A
	Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) or the plan year by combining this plan with any other plan under the permissive aggregation rules?	Yes			☐ No		The section
17a	f the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS of the letter and the serial number.						
	If the plan is an individually-designed plan that received a favorable determination letter from the IRS, entertier	er the date	of the r	nost rec	ent dete	rminati	on
	Defined Benefit Plan or Money Purchase Pension Plan Only: Were any distributions made during the plan year to an employee who attained age 62 and had not sepal	rated from	Ye	s	No		
-	service?					-	

## Anna Fieldman, MD PC Defined Benefit Plan Schedule SB, Part V - Summary of Plan Provisions

Plan Name: Anna Fieldman, MD PC Defined Benefit Plan

Plan EIN: 11-3595664 Plan Number: 001

#### **VALUATION AS OF 01/01/2016**

Plan Effective Date January 1, 2006

Plan Anniversary Date January 1, 2016

Participation Eligibility Minimum age: 21 and

Minimum months of service: 12

Plan Entry Date Plan anniversary nearest the satisfaction of the participation requirements

Normal Retirement Date 60th birthday and the completion of 5 years of participation

Normal Form of Benefit Single Life Annuity

(Qualified Joint and Survivor annuity is the required standard option)

Normal Retirement Benefit 125% of compensation

Total retirement benefit reduced by 1/25 for each year of participation less than

25

IRC415 maximum annual benefit: \$210,000
Actuarially adjusted under IRC415(b) for benefit

commencement age and benefit form Benefit limited to 100% of compensation

Minimum benefit: 2% of compensation per year of topheavy plan service up to

10 (actuarially adjusted for benefit form)

Compensation Definition Highest consecutive 3 year average salary over all service

Annual salary up to \$265,000 considered

Pre-Retirement Death Benefit Lump sum payable on death of participant

Benefit Amount 100% present value of accrued benefit

Vested Retirement Benefit Vesting Schedule:

20% a year after 2 years (100% after 6 years)

Exclude service before age 18
Exclude service before effective date

Computation Period: Plan Years

Based on periods of service rounded to nearest year

Accrued Retirement Benefit Pro-rated on participation

#### Anna Fieldman, MD PC Defined Benefit Plan

#### Schedule SB, Part V - Statement of Actuarial Assumptions/Methods

Plan Name: Anna Fieldman, MD PC Defined Benefit Plan

Plan EIN: 11-3595664 Plan Number: 001

#### **VALUATION AS OF 01/01/2016**

#### **Normal Retirement Benefit**

Actuarial Cost Method: PPA06 Funding Rules

IRC430 Funding Yield Curve Segmented Rates

First Segment: 4.43%
Second Segment: 5.91%
Third Segment: 6.65%

IRC404 Funding Yield Curve Segmented Rates

First Segment: 1.41%
Second Segment: 3.96%
Third Segment: 4.97%

Pre-Retirement Valuation Assumptions

Retirement Valuation Assumptions

Mortality Table 2016 430(h)(3)(A)-Optional combined

IRC417(e)(3) Interest Assumption

Segment Rate same as Funding Yield Curve Segmented Rates

IRC417(e)(3) Pre-retirement Mortality

Mortality Table None

IRC417(e)(3) Retirement Mortality

Mortality Table 2016 417(e)(3) Applicable Mortality Table

**Optional Forms Assumption** 

5% of participants will elect the Plan Normal Form

95% of participants will elect a Lump Sum (single payment)

Pre-Retirement Death Benefit

Liability funded on a reserve basis with Normal Retirement Benefit

Mortality Table 2016 430(h)(3)(A)-Optional combined

**Pre-Retirement Actuarial Equivalence Assumptions** 

Investment Earnings 5% Effective annual rate

Retirement Actuarial Equivalence Assumptions

Investment Earnings 5.5% Effective annual rate

Mortality Table 2010 417(e)(3) Applicable Mortality Table

### Anna Fieldman, MD PC Defined Benefit Plan

Schedule SB, Part V - Statement of Actuarial Assumptions/Methods

Plan Name: Anna Fieldman, MD PC Defined Benefit Plan

Plan EIN: 11-3595664 Plan Number: 001

#### **VALUATION AS OF 01/01/2016**

## Assumptions for IRC415 Maximum Benefit Actuarial Adjustments

Investment Earnings 5% Effective annual rate

Mortality Table 2016 417(e)(3) Applicable Mortality Table

Retirement Protection Act of 1994 Interest Rate for non-life annuities

Investment Earnings 5.5% Effective annual rate

# Anna Fieldman, MD PC Defined Benefit Plan

Schedule SB, line 22 - Description of Weighted Average Retirement Age

Plan Name: Anna Fieldman, MD PC Defined Benefit Plan

Plan EIN: 11-3595664

Plan Number: 001

VALUATION AS OF 01/01/2016

The weighted average retirement age of 60 is the average of the assumed retirement ages for all active participants as of the valuation date rounded to the nearest whole age. For an active late retiree, the assumed retirement age may be later than the Plan's normal retirement age. Each participant's rate of retirement is assumed to be 100% of his/her assumed retirement age.

## SCHEDULE SB (Form 5500)

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Single-Employer Defined Benefit Plan Actuarial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code).

File as an attachment to Form 5500 or 5500-SF.

OMB No. 1210-0110

2015

This Form is Open to Public Inspection

For ca	alendar plan year 2015 or fiscal plan year beginning 1/1/2015		and end	ling 12/3	31/2015		
	ound off amounts to nearest dollar.	T 19					
	aution: A penalty of \$1,000 will be assessed for late filing of this report unless	reasonable ca	and the same of th	ned.			
A Nar	me of plan	B Three-d			001		
Anna	a Fieldman, MD PC Defined Benefit Plan	nber (PN)	<u> </u>				
C Pla	in sponsor's name as shown on line 2a of Form 5500 or 5500-SF		D Employer	Identificat	ion Number (E	EIN)	
Anna	a Fieldman, MD PC			•	11-3595664		
Pr Principals			7				
Е Тур	pe of plan: X Single Multiple-A Multiple-B F Prior ye	ear plan size: >	100 or fewer	101-5	00 More th	an 500	
Part	Basic Information						
<b>1</b> E	Enter the valuation date: 1/1/2015						
2 A	Assets:					407004	7
а	A Market value			2a		1072247	
b	Control of the contro			2b		107224	2
3 F	Funding target/participant count breakdown		Number of rticipants	TOTAL MANAGEMENT	ted Funding arget	(3) Total Fur Target	nding
a	a For retired participants and beneficiaries receiving payment		0		0	0	
k	b For terminated vested participants		0		0	0	
	C For active participants		2	664465		721947	
C	d Total		2	66	64465	721947	7
4 1	f the plan is in at-risk status, check the box and complete lines (a) and (b)		П				
	a Funding target disregarding prescribed at-risk assumptions		20.00	4a			
	b Funding target reflecting at-risk assumptions, but disregarding transition rule			4b			
	at-risk status for fewer than five consecutive years and disregarding loadi					F 0.4	
5 E	Effective interest rate			5		5.84	%
	Target normal cost			6		91484	
Tot	nent by Enrolled Actuary the best of my knowledge, the information supplied in this schedule and accompanying schedules, state	ments and attachm	nents, if any, is comp	lete and accu	rate. Each prescrib	ed assumption was a	applied in
acco	ordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking nbination, offer my best estimate of anticipated experience under the plan.	g into account the e	experience of the pla	n and reasona	ble expectations) a	and such other assur	nptions, in
SIC	GN O						
HE	RE \				7/22/2016	3	
	Signature of actuary				Date		
Deb	orah Smist				1404681		
	Type or print name of actuary				ecent enrollme		
Guai	rdian Life Ins. Co. of America				413-499-43	321	
	Firm name		7	Telephone	number (includ	ding area code)	
700	South Street						
Pittst	field MA 01201						
1 1(10)	Address of the firm						
If the ac	ctuary has not fully reflected any regulation or ruling promulgated under the sta	atute in comple	eting this sched	ule, check	the box and s	ee	П
instructi		esmoster of the Militia	Mary .	250			

Pag	P	2	1	
au	C	_	1	

Schedule SB (Form 5500) 2015

Pa	art II	Begin	ning of Year	Carryove	r and Pre	funding	Ba	alances							
								(b) Prefunding balance			<u>e</u>				
7	7 Balance at beginning of prior year after applicable adjustments (line 13 from prior year)									0					
8 Portion elected for use to offset prior year's funding requirement (line 35 from prior year)									0			0	9) 27		
9	Amoun	t remainin	ng (line 7 minus lir	ne 8)						0			0		
10	Interest	on line 9	using prior year's	actual retu	rn of0	%				0			0		
11	Prior ye	ear's exce	ss contributions t	o be added	to prefundin	g balance:									
	a Prese	ent value	of excess contribu	utions (line 3	38a from pric	or year)							1330	115	
	S	chedule S	the excess, if any SB, using prior year	ar's effective	interest rate	of 5.98	%						795	54	
	r	eturn	line 38b from prid							23					
	<b>c</b> Total	available a	at beginning of cur	rent plan yea	ar to add to pr	efunding b	alan	ce					1409	69	
	<b>d</b> Porti	on of (c) t	o be added to pre	efunding bala	ance								0		
12	Other re	eductions	in balances due	to elections	or deemed e	elections				0			0		
13	Balance	e at begin	ning of current ye	ear (line 9 +	line 10 + line	11d – line	12	)		0			0		
	art III		ding Percenta												
			ttainment percent										14	148.52	2 %
No.	2 200	110.000	target attainmen	A	Walter Service Marin								15	148.52	2 %
	16 Prior year's funding percentage for purposes of determining whether carryover/prefunding balances may be used to reduce current year's funding requirement							9	16	170.81	1 %				
17		,	ue of the assets o				-						17	0	%
P	art IV	Con	tributions and	d Liauidit	v Shortfa	lls									
			de to the plan for				emp	oloyees:							
	(a) Dat 1M-DD-Y	e	(b) Amount p employer	aid by	(c) Amou	nt paid by		(a) Dat (MM-DD-Y		(b) Amount p employer		(c) Amount paid by employees			
	8/17/20	015		50000			0								
	11/16/2	015		50000			0								
	12/22/2	2015		125000			0								
	2/19/2	016		15000			0								
								Totals ▶	18(b)		240000	18(c)			
19	Discour	nted empl	oyer contributions	s – see instr	uctions for s	mall plan v	vith		_			y some Killings (I)			
10											19a		0	)	
a Contributions allocated toward unpaid minimum required contributions from prior years. 19a  b Contributions made to avoid restrictions adjusted to valuation date 19b									0	j .					
c Contributions allocated toward minimum required contribution for current year adjusted to valuation date									228	190					
20			utions and liquidit		rea contributi	orrior darre	,,,,	our dajustou to	10.000.00						
_0		-	ave a "funding sh		e prior vear?									Yes 🕏	No
			es," were require										_	Yes 🗍	No
			es," see instructi								Γ		L		
	O II III IE	, 200 13 1	oo, oce motructi	one and con		-		nd of quarter of	of this plan	n year	1.				
		(1) 1s	t		(2) 2nd	201			Constitution of the Consti	3rd			(4) 4t	h	
	0 0 0								0						

Pa	rt V Assumptio	ns Used to Determine Fu	inding Target and Target	Normal Cost							
21	1 Discount rate:										
	a Segment rates:	1st segment: 4.72 %	2nd segment: 6.11 %	3rd segment: 6.81 %		N/A, full yield curve used					
	<b>b</b> Applicable month (	enter code)	21b	0							
22	Weighted average ret	irement age		22	61						
23	Mortality table(s) (see	Substitute									
Pa	rt VI Miscellane	ous Items									
24		nade in the non-prescribed actua	rial assumptions for the current p								
25	Has a method change	been made for the current plan	year? If "Yes," see instructions r	egarding required attac	hment	Yes 🗷 No					
26	Is the plan required to	provide a Schedule of Active Pa	articipants? If "Yes," see instructi	ons regarding required	attachment	Yes 🛛 No					
27		alternative funding rules, enter	5X7X		27						
Pa	rt VII Reconcilia	ation of Unpaid Minimum	Required Contributions	For Prior Years							
28	Unpaid minimum requ	uired contributions for all prior yea	ars		28	0					
29		contributions allocated toward ur			29	0					
30	Remaining amount of	unpaid minimum required contril	butions (line 28 minus line 29)		30	0					
Pa	rt VIII Minimum	Required Contribution F	or Current Year								
31	Target normal cost ar	nd excess assets (see instruction	s):								
	a Target normal cost (	line 6)			31a	91484					
	<b>b</b> Excess assets, if ap	oplicable, but not greater than line	e 31a		31b	91484					
32	Amortization installme	ents:		Outstanding Bala	nce	Installment					
	a Net shortfall amortiz	zation installment			0	0					
	b Waiver amortization	n installment			0	0					
33	If a waiver has been a	approved for this plan year, enter	the date of the ruling letter grant ) and the waived amount		33						
34	Total funding requirer	nent before reflecting carryover/p	orefunding balances (lines 31a - 3	31b + 32a + 32b - 33)	34	0					
			Carryover balance	Prefunding balar	nce	Total balance					
35	Balances elected for u	use to offset funding	0		0	0					
36	Additional cash requir	ement (line 34 minus line 35)			36	0					
37		d toward minimum required cont	TO 1700		37	228190					
38	Present value of exce	ss contributions for current year	(see instructions)								
	a Total (excess, if any	, of line 37 over line 36)			38a	228190					
	<b>b</b> Portion included in	ine 38a attributable to use of pre	rryover balances								
39	Unpaid minimum requ	ired contribution for current year	ne 37)	39	0						
40	Unpaid minimum requ	ired contributions for all years			40	0					
Pai	rt IX Pension F	unding Relief Under Per	nsion Relief Act of 2010	See Instructions)							
41	If an election was mad	le to use PRA 2010 funding relie	f for this plan:								
	a Schedule elected				2	plus 7 years 15 years					
	<b>b</b> Eligible plan year(s)	for which the election in line 41a	a was made		2008	2009 2010 2011					
42	Amount of acceleratio	n adjustment			42						
			ver to future plan years		43						