Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

2016

OMB Nos. 1210-0110

1210-0089

This Form is Open to Public Inspection

Part I		<u>rt Identification Information</u>						
For calend	ar plan year 2016 or	fiscal plan year beginning 01/01/2	2016	and ending 12	2/31/2016			
a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must attact list of participating employer information in accordance with the form instruction								
		a one-participant plan	a foreign plan	, ,		,		
B This return/report is ☐ the first return/report ☐ the final return/report								
an amended return/report a short plan year return/report (less than 12 months)								
C Check	box if filing under:	Form 5558	automatic extension	n	DFVC program			
		special extension (enter desc	• •					
Part II		formation—enter all requested in	formation		T			
1a Name RABAR MAR		NCORPORATED 401(K) PLAN			1b Three-digit plan number (PN) ▶	r 001		
					1c Effective date of plan 01/01/1995			
Mailing	g address (include ro	loyer, if for a single-employer plan) om, apt., suite no. and street, or P.0			2b Employer Identification Number (EIN) 36-3478522			
	town, state or provi	nce, country, and ZIP or foreign pos NCORPORATED	tal code (if foreign, see in	structions)	2c Sponsor's telephone number 914-682-8363			
					2d Business code (see instructions)			
120 S. RIVEI CHICAGO, N	RSIDE PLAZA NY 60606				523900			
3a Plan a	dministrator's name	and address X Same as Plan Spo	nsor.		3b Administrator's EIN			
		ь .			20 11 11 11 11 11			
					3c Administrator's telephone number			
		he plan sponsor has changed since umber from the last return/report.	the last return/report file	d for this plan, enter the	4b EIN			
a Sponsor's name				4c PN				
5a Total number of participants at the beginning of the plan year				5a	18			
b Total number of participants at the end of the plan year				5b	14			
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)				•	5c	8		
d(1) Total number of active participants at the beginning of the plan year				5d(1)	14			
d(2) Total number of active participants at the end of the plan year				5d(2)	11			
Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested				5e	C			
Caution: A	A penalty for the lat	e or incomplete filing of this retur	n/report will be assesse	ed unless reasonable car				
SB or Sche		other penalties set forth in the instru and signed by an enrolled actuary, mplete.						
SIGN		d/valid electronic signature.	07/06/2017	PAUL RABAR				
HERE	Signature of plan	gnature of plan administrator Date Enter name of individe				administrator		
SIGN					•			
HERE	Signature of emp	loyer/plan sponsor	Date	Enter name of individ	ual signing as emp	loyer or plan sponsor		
Preparer's	name (including firm	name, if applicable) and address (i	nclude room or suite num	nber)	Preparer's teleph	one number		

Form 5500-SF 2016 Page **2**

6a	Were all of the plan's assets during the plan year invested in eligib	ole assets?	(See instructions.)						X Ye	s No
b	b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)									
	If you answered "No" to either line 6a or line 6b, the plan cann					_	-		_	
c	If the plan is a defined benefit plan, is it covered under the PBGC ir	nsurance p	orogram (see ERISA se	ection 4	021)?		Yes	No	Not det	termined
Pa	rt III Financial Information									
7	Plan Assets and Liabilities		(a) Beginning				((b) End c		
<u>a</u>	Total plan assets	7a	3	382938					199522	6
	Total plan liabilities	7b								
<u> </u>	Net plan assets (subtract line 7b from line 7a)	7c	3	382938		1995226				
8	Income, Expenses, and Transfers for this Plan Year		(a) Amour	nt	_	(b) Total				
а	Contributions received or receivable from:	90/1)								
-	(1) Employers	8a(1)		65250						
-	(2) Others (including relleves)	8a(2)		00200	$\overline{}$					
<u>_</u>	(3) Others (including rollovers) Other income (loss)	8a(3)		20417	_					
	· /	8b			_	85667				
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c				03007				·•
	to provide benefits)	8d	1	1466680						
е	Certain deemed and/or corrective distributions (see instructions).	8e								
f	Administrative service providers (salaries, fees, commissions)	8f		6699						
g	Other expenses	8g								
h	h Total expenses (add lines 8d, 8e, 8f, and 8g)								147337	'9
i	Net income (loss) (subtract line 8h from line 8c)	8i							-138771	2
j Transfers to (from) the plan (see instructions)										
Pai	rt IV Plan Characteristics	<u> </u>								
9a										
b	If the plan provides welfare benefits, enter the applicable welfare f	eature cod	des from the List of Pla	n Chara	acterist	tic Cod	des in t	he instru	ctions:	
Par	t V Compliance Questions									
10	During the plan year:				Yes	No	N/A		Amount	
	Was there a failure to transmit to the plan any participant contribudescribed in 29 CFR 2510.3-102? (See instructions and DOL's V	oluntary F	Fiduciary Correction	40		X				
b		t? (Do not	include transactions	10a		Χ				
С	reported on line 10a.) C Was the plan covered by a fidelity bond?			10b 10c	X					500000
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X				
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e	X					20281
f	f Has the plan failed to provide any benefit when due under the plan?			10f		X			_	_
<u> </u>	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g	X					41559
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h	X					
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i	X					

ı	Form	550	0-SF	201	16

Page 3-	1	
Page 3-	1	

Part	VI	Pension Funding Compliance							
11		Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)						es No	
11a	Ente	er the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40			11a				
12	12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?							es X No	
		Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) vaiver of the minimum funding standard for a prior year is being amortized in this plan year, see inst	ruotior	20.000	d ontor t	ho data	of the letter	ruling	
	gran	ting the waiver	onth _	15, and	_ Day		Year _		
		ompleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 1			406				
<u> </u>	Enter	the minimum required contribution for this plan year			12b				
С	Enter	the amount contributed by the employer to the plan for this plan year			12c				
d		ract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the leastive amount)			12d			_	
<u>e</u>	Will	the minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A	
Part '	VII	Plan Terminations and Transfers of Assets							
13a	Has	a resolution to terminate the plan been adopted in any plan year?				Yes	s X No)	
	If "Y	es," enter the amount of any plan assets that reverted to the employer this year			13a				
b		e all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brouging of the PBGC?					Yes X	No	
С		uring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identifich assets or liabilities were transferred. (See instructions.)	y the p	plan(s)) to				
1	3c(1)	Name of plan(s):		13c(2)	EIN(s)		13c(3)	PN(s)	
Part	VIII	Trust Information							
14a Name of trust					14b Trust's EIN				
14c Name of trustee or custodian					14d Trustee's or custodian's telephone number				
Part	: IX	IRS Compliance Questions							
15a	Is the	plan a 401(k) plan? If "No," skip b		Yes		[No		
401(k)(3) for the plan year? Check all that apply:			·	ign-based "Prior year" A test			ar" ADP		
			"Curre	rrent year" N/A P test					
			•	entage	ntage Average N/A benefit test N/A				
16b Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?				Yes	☐ No				
17a If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS opinion letter or advisory letter, enter the date of the letter/ and the serial number									
17b If the plan is an individually-designed plan that received a favorable determination letter from the IRS, enter the date of the most recent determination letter/									
18 Defined Benefit Plan or Money Purchase Pension Plan Only: Were any distributions made during the plan year to an employee who attained age 62 and had not separated from service?				Ye	Yes No				
19	Was	any plan participant a 5% owner who had attained at least age 70 $^{1\!\!/}_{2}$ during the prior plan year?			Ye	s [No		