Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

2016

2010

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

This Form is Open to Public Inspection

OMB Nos. 1210-0110

1210-0089

Part I		t Identification Information									
For calendar plan year 2016 or fiscal plan year beginning 01/01/2016 and ending 12/31/2016											
a single-employer plan a multiple-employer plan (not multiemployer											
A This return/report is for:			_ ' ' "	nployer information in a	ccordance with the	form instructions.)					
		a one-participant plan	a foreign plan								
D		The first return/renert	The final return/renert								
B This retu	urn/report is	the first return/report an amended return/report	the final return/report								
		nonths)									
C Check I	oox if filing under:	Form 5558	automatic extension		DFVC program	1					
		special extension (enter desc				•					
Part II	Pacia Blan Inf	ormation—enter all requested in	' '								
_		ormation—enter all requested in	Iomation		1b Three-digit						
1a Name	•	OOL AND 401 K PROFIT SHARING	PLAN TRUST		plan numbe	ır					
					(PN))	001					
					1c Effective da	te of plan					
						01/01/2012					
	· · ·	loyer, if for a single-employer plan)			2b Employer Identification Number						
		om, apt., suite no. and street, or P.C		ruotiono)	(EIN) 27-0687667						
	C PRODUCTION TO	nce, country, and ZIP or foreign post DOL AND	iai code (ii ioreigri, see irisi	ructions)		elephone number					
						-751-3333					
4000 POND	DD OTE 4				2d Business co	ode (see instructions)					
1860 POND RONKONKO	RD STE 4 MA, NY 11779-7249				3	339900					
3a Plan a	dministrator's name :	and address X Same as Plan Spo	nsor		3b Administrator's EIN						
Ju Flama		and dadress Game as rian spe	110011		7.44						
					3c Administrate	or's telephone number					
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the				4b EIN							
name, EIN, and the plan number from the last return/report.					_						
a Sponsor's name					4c PN						
5a Total number of participants at the beginning of the plan year					5a	4					
b Total number of participants at the end of the plan year					5b	4					
C Number of participants with account balances as of the end of the plan year (only defined contribution plans				I contribution plans	5c	3					
compl	ete this item)										
d(1) Tota	al number of active p	articipants at the beginning of the p	lan year		5d(1)	4					
d(2) Total number of active participants at the end of the plan year				5d(2)	4						
e Numb	per of participants that	at terminated employment during the	e plan year with accrued be	enefits that were less	5e	0					
		e or incomplete filing of this return other penalties set forth in the instru									
		and signed by an enrolled actuary,									
belief, it is	true, correct, and cor			1							
SIGN	Filed with authorized	d/valid electronic signature.	07/09/2017	ROBERT ENGEL							
HERE	Signature of plan administrator Date Enter name of individual signing as plan ad					administrator					
SIGN											
HERE	Ciamatura of omn		Data	Fatan again of in divis							
Signature of employer/plan sponsor Preparer's name (including firm name, if applicable) and address (include room or suite number)			Preparer's teleph	<u> </u>							
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	Were all of the plan's assets during the plan year invested in eligib		` ,						X Ye	s No		
	b Are you claiming a waiver of the annual examination and report of an independent qualified public under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)								X Ye	s No		
	f you answered "No" to either line 6a or line 6b, the plan cann					_	-		_			
C	f the plan is a defined benefit plan, is it covered under the PBGC ir	nsurance p	orogram (see ERISA se	ection 4	021)?		Yes	No	Not det	ermined		
Part	t III Financial Information		·		- 1							
7	Plan Assets and Liabilities		(a) Beginning				((b) End	l of Year			
<u>a</u>	Total plan assets	7a		28866					5449			
	Total plan liabilities	7b		0		0						
<u> </u>	Net plan assets (subtract line 7b from line 7a)	7c		28866				54499				
	ncome, Expenses, and Transfers for this Plan Year		(a) Amour	nt				(b)	Total			
	Contributions received or receivable from: 1) Employers	8a(1)		4572								
	2) Participants	8a(2)		18207								
	3) Others (including rollovers)	8a(3)		0)							
	Other income (loss)	8b		2854								
	Fotal income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c					25633					
	Benefits paid (including direct rollovers and insurance premiums	- 55										
t	o provide benefits)	8d		0	_							
e (Certain deemed and/or corrective distributions (see instructions).	8e		0	_							
f /	Administrative service providers (salaries, fees, commissions)	8f		0	_							
g	Other expenses	8g		0								
<u>h</u> 1	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h								0		
	Net income (loss) (subtract line 8h from line 8c)	8i						25633				
<u>j</u>	Transfers to (from) the plan (see instructions)	8j		C								
Part												
9a	If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 2T 3D	feature co	odes from the List of Pl	an Cha	racteri	stic Co	odes in	the ins	tructions:			
b	If the plan provides welfare benefits, enter the applicable welfare f	eature cod	des from the List of Pla	n Chara	acteris	tic Cod	des in t	he instr	uctions:			
Part	V Compliance Questions											
10	During the plan year:				Yes	No	N/A		Amount	:		
а	Was there a failure to transmit to the plan any participant contributed described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)	oluntary F	Fiduciary Correction	10a		Х						
b	b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X						
С	C Was the plan covered by a fidelity bond?			10c		X						
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X						
е				10e		X						
f	f Has the plan failed to provide any benefit when due under the plan?			10f		X						
g	Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g		X						
	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X						
i	If 10h was answered "Yes," check the box if you either provided to exceptions to providing the notice applied under 29 CFR 2520.10			10i								

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Part	VI	Pension Funding Compliance							
11		s a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and con 5500) and line 11a below)						Yes X No	
		the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40			11a				
12	12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?						│	Yes X No	
	(lf "\	es," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)							
	grant	aiver of the minimum funding standard for a prior year is being amortized in this plan year, see ins ing the waiver	/lonth _	s, and	d enter t Day		of the lette Year _	er ruling	
If	you co	empleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line	13.	1		T			
<u>b</u>	Enter	the minimum required contribution for this plan year			12b				
С	Enter	he amount contributed by the employer to the plan for this plan year			12c				
d		act the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the l ive amount)			12d				
		ne minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A	
Part	VII	Plan Terminations and Transfers of Assets							
13a	Has a	resolution to terminate the plan been adopted in any plan year?				Yes	s X N	lo	
	If "Ye	s," enter the amount of any plan assets that reverted to the employer this year			13a				
b		all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brougol of the PBGC?		er the		Yes X No			
С		ring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identi n assets or liabilities were transferred. (See instructions.)	ify the p	olan(s)) to				
	13c(1)	Name of plan(s):	1	3c(2)	EIN(s)		13c(3	3) PN(s)	
Part	VIII	Trust Information							
14a	Name	of trust			14b ⁻	Trust's E	EIN		
14c Name of trustee or custodian				14d Trustee's or custodian's telephone number					
Par	t IX	IRS Compliance Questions							
15a	Is the	plan a 401(k) plan? If "No," skip b		Yes			No		
				ign-based "Prior year" ADF test					
				"Curre	ent year test	"	N/A		
16a What testing method was used to satisfy the coverage requirements under section 410(b) for the plan year? Check all that apply:			entage	age Average N/A benefit test N/A					
16b Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?									
17a If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS opinion letter or advisory letter, enter the date of the letter/ and the serial number									
17b If the plan is an individually-designed plan that received a favorable determination letter from the IRS, enter the date of the most recent determination letter/									
18 Defined Benefit Plan or Money Purchase Pension Plan Only: Were any distributions made during the plan year to an employee who attained age 62 and had not separated from service?					Ye	Yes No			
19	Was a	any plan participant a 5% owner who had attained at least age 70 $^{1\!\!/}$ during the prior plan year?			Ye	s [No		