## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan** 

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

2016

OMB Nos. 1210-0110

1210-0089

This Form is Open to **Public Inspection** 

		port Identification Information								
For	calendar plan year 201	6 or fiscal plan year beginning 01/01/2	2016 and ending	12/31/2016						
Α -	This return/report is for:	a single-employer plan	a multiple-employer plan (not multiemployer) (Filers checking this box must at list of participating employer information in accordance with the form instructi a foreign plan							
		a one-participant plan								
Вт	his return/report is	the first return/report	the final return/report	n 12 months)						
		an amended return/report	a short plan year return/report (less than 12 i							
C	Check box if filing under	r: Form 5558	automatic extension	DFVC p	program					
		special extension (enter descri	ription)							
Pa	rt II Basic Plan	Information—enter all requested in	formation	_						
	Name of plan MECHANICAL CONST	FRUCTION AND ENGINEERING, 401K	RETIREMENT SAVINGS PLAN	<b>1b</b> Thre plan (PN)	number					
					ctive date of plan					
					10/01/1997					
	Mailing address (includ	employer, if for a single-employer plan) le room, apt., suite no. and street, or P.C		<b>2b</b> Employer Identification Number (EIN) 37-1339546						
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) CON MECHANICAL CONSTRUCTION AND ENGINEERING, L.L.C.				<b>2c</b> Sponsor's telephone number 618-452-0035						
				2d Business code (see instructions)						
1616 CLEVELAND BLVD GRANITE CITY, IL 62040-4401			541330							
	,									
3a Plan administrator's name and address X Same as Plan Sponsor.			<b>3b</b> Administrator's EIN							
				<b>3c</b> Administrator's telephone number						
4		of the plan sponsor has changed since an number from the last return/report.	the last return/report filed for this plan, enter the	4b EIN						
а	Sponsor's name	·		4c PN						
5a	Total number of partici	pants at the beginning of the plan year		5a	89					
b	Total number of partici	pants at the end of the plan year		5b	90					
С			the plan year (only defined contribution plans	5c	90					
d(1) Total number of active participants at the beginning of the plan year			5d(1)	7						
d(	2) Total number of acti	ive participants at the end of the plan ye	ar	5d(2)	7					
e`	Number of participant	s that terminated employment during the	e plan year with accrued benefits that were less	5e						
Cau			n/report will be assessed unless reasonable ca	ause is esta	blished.					

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and

<u>beliet, it is t</u>	rue, correct, and complete.							
0.0.1	Filed with authorized/valid electronic signature.	07/10/2017	JEFF SMITH					
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator					
SIGN								
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor					
Preparer's	name (including firm name, if applicable) and address (include	Preparer's telephone number						

Form 5500-SF 2016 Page **2** 

62	Ware all of the plan's cocets during the plan year invested in cligib	lo acceta?	(See instructions)						X	′es No
	<ul> <li>Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)</li> <li>Are you claiming a waiver of the annual examination and report of an independent qualified public accountant under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)</li> </ul>					(IQPA) Yes No				
	If you answered "No" to either line 6a or line 6b, the plan cann					_	_	_	_	
С	If the plan is a defined benefit plan, is it covered under the PBGC ir	nsurance p	rogram (see ERISA se	ection 4	021)?		Yes	No	Not d	letermined
Pai	rt III Financial Information									
7	Plan Assets and Liabilities		(a) Beginning	of Year				(b) End	of Year	
<u>a</u>	Total plan assets	7a	5	029160	)				62894	117
b	b Total plan liabilities									
C	Net plan assets (subtract line 7b from line 7a)	7с	5	)	6289417					
8	Income, Expenses, and Transfers for this Plan Year		(a) Amour	nt		(b) Total				
<u>а</u>	Contributions received or receivable from: (1) Employers	8a(1)		603649	)					
	(2) Participants	8a(2)		464147	'					
	(3) Others (including rollovers)	8a(3)		63574						
b	Other income (loss)	8b		291551						
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c				1422921				
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		162664						
е	Certain deemed and/or corrective distributions (see instructions).	8e								
f	Administrative service providers (salaries, fees, commissions)	8f								
g	Other expenses	8g								
<u>h</u>	h Total expenses (add lines 8d, 8e, 8f, and 8g)								1626	664
<u>i</u>	i Net income (loss) (subtract line 8h from line 8c)								12602	257
j Transfers to (from) the plan (see instructions)										
Par	Part IV Plan Characteristics									
9a										
b	b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:									
Par	t V Compliance Questions									
10	During the plan year:				Yes	No	N/A		Amou	nt
а	Was there a failure to transmit to the plan any participant contribudescribed in 29 CFR 2510.3-102? (See instructions and DOL's V	oluntary F	iduciary Correction	10a		X				
b	Program)  Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X				
С	· · · · · · · · · · · · · · · · · · ·			10c	X					500000
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X				
e	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X				
f	Has the plan failed to provide any benefit when due under the plan?			10f		X				
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g	Х					175236
h	2520.101-3.)			10h		X				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i						

ı	Form	550	0-SF	201	16

Page 3-	1	
Page 3-	1	

Part	VI	Pension Funding Compliance							
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)							es No	
11a	Ente	er the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40			11a				
12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?						f 		es X No	
		Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) vaiver of the minimum funding standard for a prior year is being amortized in this plan year, see inst	ruotior	20.000	d ontor t	ho data	of the letter	ruling	
	gran	ting the waiver	onth _	15, and	_ Day		Year _		
		ompleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 1			406				
<u> </u>	Enter	the minimum required contribution for this plan year			12b				
С	Enter	the amount contributed by the employer to the plan for this plan year			12c				
d		ract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the leastive amount)			12d			_	
<u>e</u>	Will	the minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A	
Part '	VII	Plan Terminations and Transfers of Assets							
13a	Has	a resolution to terminate the plan been adopted in any plan year?				Yes	s X No	)	
	If "Y	es," enter the amount of any plan assets that reverted to the employer this year			13a				
b		e all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brouging of the PBGC?					Yes X	No	
С		uring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identifich assets or liabilities were transferred. (See instructions.)	y the p	plan(s)	) to				
1	3c(1)	Name of plan(s):		13c(2)	EIN(s)		13c(3)	PN(s)	
Part	VIII	Trust Information							
14a	Name	of trust			14b Trust's EIN				
14c	14c Name of trustee or custodian					<b>14d</b> Trustee's or custodian's telephone number			
Part	: IX	IRS Compliance Questions							
15a	Is the	plan a 401(k) plan? If "No," skip b		Yes		[	No		
		did the plan satisfy the nondiscrimination requirements for employee deferrals under section )(3) for the plan year? Check all that apply:		·	gn-based "Prior year" ADP harbor test			ar" ADP	
			"Curre	rent year" N/A test					
16a What testing method was used to satisfy the coverage requirements under section 410(b) for the plan year? Check all that apply:				entage	age Average N/A benefit test N/A				
<b>16b</b> Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?				Yes	☐ No				
17a If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS opinion letter or advisory letter, enter the date of the letter/ and the serial number									
	17b If the plan is an individually-designed plan that received a favorable determination letter from the IRS, enter the date of the most recent determination letter/								
	Were	ed Benefit Plan or Money Purchase Pension Plan Only: any distributions made during the plan year to an employee who attained age 62 and had not sepace?		from	Ye	s [	No		
19	Was	any plan participant a 5% owner who had attained at least age 70 $^{1\!\!/}_{2}$ during the prior plan year?			Ye	s [	No		