Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

2016

This Form is Open to **Public Inspection**

OMB Nos. 1210-0110

1210-0089

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

Part I		Identification Information						
For calenda	ar plan year 2016 or fi	scal plan year beginning 01/01/2	2016	and ending 1	2/31/2016			
Δ This ret	urn/report is for:	a single-employer plan	a multiple-employer plan (not multiemployer) (Filers checking this box must list of participating employer information in accordance with the form instr					
74 THIS TOL	animopore io ioi.	a one-participant plan	a foreign plan	,p.o,oo.	000.00			
B This retu								
		an amended return/report	a short plan year return	n/report (less than 12 m	nonths)			
C Check b	oox if filing under:	Form 5558	automatic extension		DFVC program			
Part II	Pacia Blan Info	special extension (enter descr						
1a Name		ormation—enter all requested in	rormation		1b Three-digit			
	C. PROFIT SHARING	PLAN			plan numbe	r 001		
					1c Effective date of plan			
	, ,	oyer, if for a single-employer plan) m, apt., suite no. and street, or P.C). Box)		2b Employer Identification Number (EIN) 11-3091620			
	town, state or province	ce, country, and ZIP or foreign post		ructions)	2c Sponsor's telephone number			
27 E 24 CT C	TREET, 5TH FLOOR				2d Business code (see instructions)			
NEW YORK,	NY 10010				3	15990		
3a Plan administrator's name and address 🗵 Same as Plan Sponsor.					3b Administrator's EIN			
					3c Administrator's telephone number			
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN, and the plan number from the last return/report.					4b EIN			
a Sponsor's name					4c PN	4		
5a Total number of participants at the beginning of the plan year					5a			
 Total number of participants at the end of the plan year Number of participants with account balances as of the end of the plan year (only defined contribution plans 					5b	4		
		account balances as of the end of			5c	4		
d(1) Total number of active participants at the beginning of the plan year					5d(1)	3		
d(2) Total number of active participants at the end of the plan year					5d(2)	3		
Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested					5e	0		
		or incomplete filing of this return						
SB or Sche		ther penalties set forth in the instruction and signed by an enrolled actuary, a plete.						
0.0.0	Filed with authorized	/valid electronic signature.	07/10/2017	KIMBERLEY A. BAKE	A. BAKER			
HERE	Signature of plan a	administrator	Date	Enter name of individ	vidual signing as plan administrator			
SIGN								
					vidual signing as employer or plan sponsor			
Preparer's	name (including firm r	name, if applicable) and address (ir	nclude room or suite numbe	er)	Preparer's teleph	one number		

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6a	Were all of the plan's assets during the plan year invested in eligib	le assets?	(See instructions.)						X Ye	s No		
b	b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)								X Ye	s No		
c	If the plan is a defined benefit plan, is it covered under the PBGC in					_	_	_	□ Not de	termined		
	rt III Financial Information				0=:/:		1	□				
7	Plan Assets and Liabilities		(a) Beginning	of Voor				(b) End	of Vear			
<u>.</u>	Total plan assets	7a		245357		(b) End of Year 253605						
	Total plan liabilities	7b		0				0				
	Net plan assets (subtract line 7b from line 7a)	7c		245357		253605						
8	Income, Expenses, and Transfers for this Plan Year		(a) Amour	(a) Amount			(b) Total					
	Contributions received or receivable from:		(4) 1 1110 111	•				-				
	(1) Employers	8a(1)		0								
	(2) Participants	8a(2)		0	_							
	(3) Others (including rollovers)	8a(3)		0								
<u>b</u>	Other income (loss)	8b		8248								
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c				8248						
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		0								
	Certain deemed and/or corrective distributions (see instructions).	8e		0	_							
	Administrative service providers (salaries, fees, commissions)	8f		0								
a	Other expenses	8g		0)							
	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h								0		
¨	Net income (loss) (subtract line 8h from line 8c)	8i						8248				
$\frac{\cdot}{i}$	Transfers to (from) the plan (see instructions)			C)							
Da												
9a	rt IV Plan Characteristics If the plan provides pension benefits, enter the applicable pension	feature co	odes from the List of Pl	an Cha	ractori	etic Co	ndes in	the inst	ructions:			
<i></i>	2A 2E 2F 2G 2T 3D	leature co	des nom the List of the	an Ona	racteri	3110 00	Jues III	uic iiisu	idelions.			
b	If the plan provides welfare benefits, enter the applicable welfare f	eature cod	des from the List of Pla	n Chara	acterist	tic Cod	des in t	he instru	ictions:			
Par	t V Compliance Questions											
10	During the plan year:				Yes	No	N/A		Amoun	t		
а	Was there a failure to transmit to the plan any participant contributed described in 29 CFR 2510.3-102? (See instructions and DOL's National Control of the Program)	oluntary F	Fiduciary Correction	10a		X						
b	Program) Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X						
С	C Was the plan covered by a fidelity bond?			10c	X					26000		
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X						
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e	Х					674		
f	f Has the plan failed to provide any benefit when due under the plan?			10f		X			_			
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g		X						
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X						
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i								

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Part	VI	Pension Funding Compliance							
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)							es No	
11a Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40									
12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?						f 		es X No	
		Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) vaiver of the minimum funding standard for a prior year is being amortized in this plan year, see inst	ruotior	20.000	d ontor t	ho data	of the letter	ruling	
	gran	ting the waiver	onth _	15, and	_ Day		Year _		
		ompleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 1			406				
<u> </u>	Enter	the minimum required contribution for this plan year			12b				
С	Enter	the amount contributed by the employer to the plan for this plan year			12c				
d		ract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the leastive amount)			12d			_	
<u>e</u>	Will	the minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A	
Part '	VII	Plan Terminations and Transfers of Assets							
13a	Has	a resolution to terminate the plan been adopted in any plan year?				Yes	s X No)	
	If "Y	es," enter the amount of any plan assets that reverted to the employer this year			13a				
b		e all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brouging of the PBGC?					Yes X	No	
С		uring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identifich assets or liabilities were transferred. (See instructions.)	y the p	plan(s)) to				
1	3c(1)	Name of plan(s):		13c(2)	EIN(s)		13c(3)	PN(s)	
Part	VIII	Trust Information							
14a Name of trust					14b Trust's EIN				
14c Name of trustee or custodian					14d Trustee's or custodian's telephone number				
Part	: IX	IRS Compliance Questions							
15a	Is the	plan a 401(k) plan? If "No," skip b		Yes		[No		
401(k)(3) for the plan year? Check all that apply:			·	ign-based "Prior year" AD test			ar" ADP		
			"Curre	rent year" N/A test					
			•	entage	ntage Average N/A benefit test N/A				
16b Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?					☐ No				
17a If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS opinion letter or advisory letter, enter the date of the letter/									
17b If the plan is an individually-designed plan that received a favorable determination letter from the IRS, enter the date of the most recent determination letter/									
18 Defined Benefit Plan or Money Purchase Pension Plan Only: Were any distributions made during the plan year to an employee who attained age 62 and had not separated from service?				Ye	Yes No				
19	Was	any plan participant a 5% owner who had attained at least age 70 $^{1\!\!/}_{2}$ during the prior plan year?			Ye	s [No		