Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2016

This Form is Open to **Public Inspection**

Part I Annua	al Report Identification Informatio	n						
For calendar plan ye	ar 2016 or fiscal plan year beginning 01/01/	/2016	and ending 1	2/31/2016				
■ A This return/report is for: a single-employer plan a multiple-employer plan (not multiemployer plan list of participating employer information in								
	a one-participant plan	a foreign plan						
B This return/report	is the first return/report	the final return/repo	rt					
	an amended return/report	a short plan year re	turn/report (less than 12 m	nonths)				
C Check box if filing	g under: Form 5558	automatic extension	n	DFVC program	m			
	special extension (enter des							
Part II Basic	Plan Information—enter all requested i	nformation						
1a Name of plan 5K, LLC 401K PLAN				1b Three-digit plan numb				
				(PN) 1C Effective d				
20 Diameter and a					01/01/2007			
Mailing address	name (employer, if for a single-employer plan) (include room, apt., suite no. and street, or P. te or province, country, and ZIP or foreign pos	.O. Box)	nstructions)	2b Employer Identification Number (EIN) 20-8613423				
5K, LLC		,	,	2c Sponsor's telephone number 509-575-3600				
104 SOUTH 6TH AVE.					ode (see instructions)			
YAKIMA, WA 98902					334110			
3a Plan administrat	or's name and address Same as Plan Spo	onsor.		3b Administrati	tor's EIN			
5K, LLC	104 SOL	JTH 6TH AVE.		20-8613423				
YAKIMA, WA 98902			3c Administrator's telephone number					
				50	9-575-3600			
	/or EIN of the plan sponsor has changed since the plan number from the last return/report.	e the last return/report file	d for this plan, enter the	4b EIN				
a Sponsor's name	•			4c PN				
5a Total number of participants at the beginning of the plan year				5a				
b Total number of participants at the end of the plan year				5b	Ç			
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)			·	5c	7			
	of active participants at the beginning of the			5d(1)	7			
d(2) Total number	of active participants at the end of the plan ye	ear		5d(2)				
•	icipants that terminated employment during the	' '		5e	(
	for the late or incomplete filing of this retu			use is establishe	d.			
	erjury and other penalties set forth in the instruction and signed by an enrolled actuary, and complete							
	n authorized/valid electronic signature.	07/11/2017	JOHN MCKEAN					
HERE Signatu	re of plan administrator	Date	Enter name of individ	individual signing as plan administrator				
SIGN								
HERE Signatu	re of employer/plan sponsor	Date	Enter name of individ	lual signing as em	ployer or plan sponsor			
Preparer's name (including firm name, if applicable) and address (include room or suite number)				Preparer's telep	· · · · · · · · · · · · · · · · · · ·			
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b	 Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.) If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500. 								N Y	res No	
	If the plan is a defined benefit plan, is it covered under the PBGC in	nsurance p	orogram (see ERISA se	ection 4	021)?		Yes	∐ No	☐ Not d	etermined	
7	t III Financial Information Plan Assets and Liabilities		(a) Beginning	of Voor				(b) End	of Year		
<u> </u>	Total plan assets	7a		386684				(b) Ellu	4232	227	
	Total plan liabilities	7b		521					650		
	Net plan assets (subtract line 7b from line 7a)	7c		386163			422577				
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	nt			(b) Total				
а	Contributions received or receivable from:		, ,	3980							
	(1) Employers	8a(1)									
	(2) Participants	8a(2)		15000							
	(3) Others (including rollovers)	8a(3)		27146							
	Other income (loss)	8b		27 140		404.00				126	
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) Benefits paid (including direct rollovers and insurance premiums	8c				46126			120		
	to provide benefits)	8d		9712							
е	Certain deemed and/or corrective distributions (see instructions).	8e									
f	Administrative service providers (salaries, fees, commissions)	8f									
g	Other expenses	8g									
<u>h</u>	h Total expenses (add lines 8d, 8e, 8f, and 8g)			9712					712		
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i						36414			
<u>j</u>	Transfers to (from) the plan (see instructions)	8j									
Pai	Part IV Plan Characteristics										
9a	If the plan provides pension benefits, enter the applicable pension ${\sf 2F}$ ${\sf 2G}$ ${\sf 2J}$ ${\sf 3D}$ ${\sf 2E}$	feature co	odes from the List of Pl	an Cha	racteri	stic Co	odes in	the ins	tructions:		
b	If the plan provides welfare benefits, enter the applicable welfare f	eature cod	les from the List of Pla	n Chara	acterist	tic Coc	des in t	he instr	uctions:		
Par	t V Compliance Questions										
10	During the plan year:				Yes	No	N/A		Amou	nt	
	Was there a failure to transmit to the plan any participant contribu	itions with	n the time period		100	-110	NA		Aillou	iit.	
-	described in 29 CFR 2510.3-102? (See instructions and DOL's V	oluntary F	iduciary Correction		X					625	
	Program)			10a							
D	reported on line 10a.)			10b		X					
С	C Was the plan covered by a fidelity bond?			10c	X					25000	
d	Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty?			10d		X					
е	Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides som the plan? (See instructions.)	ne or all of	the benefits under	10e		X					
f	f Has the plan failed to provide any benefit when due under the plan?			10f		X					
g	Did the plan have any participant loans? (If "Yes," enter amount a	s of year-	end.)	10g		X					
h	If this is an individual account plan, was there a blackout period? 2520.101-3.)			10h		X					
i	If 10h was answered "Yes," check the box if you either provided to exceptions to providing the notice applied under 29 CFR 2520.10	he require	d notice or one of the	10i							

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Part	VI	Pension Funding Compliance							
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)							es No	
11a	Ente	er the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40			11a				
12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?					I I Y			es X No	
		Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) vaiver of the minimum funding standard for a prior year is being amortized in this plan year, see inst	ruotior	20.000	d ontor t	ho data	of the letter	ruling	
	gran	ting the waiver	onth _	15, and	_ Day		Year _		
		ompleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 1			406				
<u> </u>	Enter	the minimum required contribution for this plan year			12b				
С	Enter	the amount contributed by the employer to the plan for this plan year			12c				
d		ract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the leastive amount)			12d			-	
<u>e</u>	Will	the minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A	
Part '	VII	Plan Terminations and Transfers of Assets							
13a	Has	a resolution to terminate the plan been adopted in any plan year?				Yes	s X No)	
	If "Y	es," enter the amount of any plan assets that reverted to the employer this year			13a				
b		e all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brouging of the PBGC?					Yes X	No	
С		uring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identifich assets or liabilities were transferred. (See instructions.)	y the p	plan(s)) to				
1	3c(1)	Name of plan(s):		13c(2)	EIN(s)		13c(3)	PN(s)	
Part	VIII	Trust Information							
14a Name of trust					14b Trust's EIN				
14c Name of trustee or custodian				14d Trustee's or custodian's telephone number					
Part	: IX	IRS Compliance Questions							
15a	Is the	plan a 401(k) plan? If "No," skip b		Yes		[No		
130 How did the plan esticty the pendicerimination requirements for employee deterrals under section 11.1		·	ign-based "Prior yea harbor test			ar" ADP			
□ "Curi			"Curre	rent year"					
				entage	age Average N/A benefit test N/A				
16b Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?				☐ No					
17a If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS opinion letter or advisory letter, enter the date of the letter/									
17b If the plan is an individually-designed plan that received a favorable determination letter from the IRS, enter the date of the most recent determination letter/									
18 Defined Benefit Plan or Money Purchase Pension Plan Only: Were any distributions made during the plan year to an employee who attained age 62 and had not separated from service?				from	Ye	Yes No			
19	Was	any plan participant a 5% owner who had attained at least age 70 $^{1\!\!/}_{2}$ during the prior plan year?			Ye	s [No		