## Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

For calendar plan year 2016 or fiscal plan year beginning

**Annual Report Identification Information** 

## **Short Form Annual Return/Report of Small Employee Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

2016

This Form is Open to Public Inspection

OMB Nos. 1210-0110

1210-0089

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

and ending

12/31/2016

<b>A</b> This return/report is for:	a single-employer plan			rer) (Filers checking this box must attach a in accordance with the form instructions.)					
	a one-participant plan	a foreign plan	, ,						
<b>B</b> This return/report is	the first return/report an amended return/report	the final return/repor	t urn/report (less than 12 m	ss than 12 months)					
C Check box if filing under:	Form 5558	automatic extension	1	DFVC program					
Part II Basic Plan Inf	ormation—enter all requested in	• •							
1a Name of plan SING LAM, M.D. PROFIT SHARI				<b>1b</b> Three-digit plan number (PN) ▶	001				
				1c Effective date of 11/0	of plan 1/2002				
2a Plan sponsor's name (emp Mailing address (include ro	2b Employer Identification Number (EIN) 91-1939200								
SING LAM, M. D., P. S.	nce, country, and ZIP or foreign pos	ital code (if foreign, see in	structions)	<b>2c</b> Sponsor's telephone number 206-784-5188					
10317 GREENWOOD AVE N. # 201 SEATTLE, WA 98133		2d Business code (see instructions) 621111							
3a Plan administrator's name	and address X Same as Plan Spo	onsor.		<b>3b</b> Administrator's	EIN				
	he plan sponsor has changed since	the last return/report file	d for this plan, enter the	3c Administrator's  4b EIN	totephone number				
name, EIN, and the plan n <b>a</b> Sponsor's name	umber from the last return/report.			4c PN					
	s at the beginning of the plan year			5a	5				
<b>b</b> Total number of participants at the end of the plan year			5b	5					
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)			5c	5					
d(1) Total number of active p	articipants at the beginning of the p	olan year		5d(1)	4				
	participants at the end of the plan ye			5d(2)	4				
	at terminated employment during th		penefits that were less	ess 5e					
Under penalties of perjury and of	e or incomplete filing of this return other penalties set forth in the instru- and signed by an enrolled actuary, nplete.	ictions, I declare that I ha	ve examined this return/re	eport, including, if appli					
01014	d/valid electronic signature.	07/11/2017	SING LAM						
HERE Signature of plan		Date		dual signing as plan ad	ministrator				
HERE	d/valid electronic signature.	07/11/2017	SING LAM						
Signature of emp	loyer/plan sponsor name, if applicable) and address (i	Date Include room or suite num		dual signing as employed Preparer's telephone					
	. , , ,		,						

Form 5500-SF 2016 Page **2** 

6a Were all of the plan's assets of	• , ,	· ·	,						X Yes	No No	
under 29 CFR 2520.104-46?	<b>b</b> Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)						X Yes	No			
	ner line 6a or line 6b, the plan ca plan, is it covered under the PBG0					_	-	ПNо	☐ Not dete	arminad	
Part III Financial Inform	•	o irisurance p	ologiam (see ERISA si	ection 4	021) !		165	Пио	Not dete	emmed	
7 Plan Assets and Liabilities	ation		(a) Basinning	of Voor				(b) End	of Voor		
		7a	(a) Beginning	or Year 908258			(	(b) End	1039086	3	
				0				0			
·	7b from line 7a)		908258			1039086					
8 Income, Expenses, and Trans	·		(a) Amour	nt		(b) Total					
a Contributions received or received			(0,7 : 2					(, -			
(1) Employers		8a(1)		8000							
(2) Participants		8a(2)		50375							
·	s)			70.450							
				72453							
C Total income (add lines 8a(1),						130828			3		
. `	rollovers and insurance premiums										
	tive distributions (see instructions)			C							
	rs (salaries, fees, commissions)			0							
h Total expenses (add lines 8d,	8e, 8f, and 8g)								(	)	
	e 8h from line 8c)					130828					
j Transfers to (from) the plan (see instructions)			0								
Part IV Plan Characteris											
9a If the plan provides pension by 2E 2F 2G 2J 2K 3	penefits, enter the applicable pensi BD 2T	ion feature co	odes from the List of Pl	an Cha	racteri	stic Co	odes in	the inst	ructions:		
<b>b</b> If the plan provides welfare be	enefits, enter the applicable welfar	re feature cod	des from the List of Pla	n Chara	acteris	tic Cod	des in t	he instru	uctions:		
Part V Compliance Que	stions										
10 During the plan year:					Yes	No	N/A		Amount		
described in 29 CFR 2510.3	nit to the plan any participant contr 3-102? (See instructions and DOL	's Voluntary F	Fiduciary Correction	10a		X					
	<b>b</b> Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X					
<b>c</b> Was the plan covered by a	C Was the plan covered by a fidelity bond?			10c		X					
	<b>d</b> Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X					
Were any fees or commission carrier, insurance service, or				10e		Х					
f Has the plan failed to provide	<b>f</b> Has the plan failed to provide any benefit when due under the plan?			10f		X					
g Did the plan have any partic	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g		Χ					
	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X					
	check the box if you either provide otice applied under 29 CFR 2520.			10i							

Form	5500	-SF	201	6

Page <b>3</b> -	1	
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Part	VI	Pension Funding Compliance						
11		s a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and con 5500) and line 11a below)						Yes X No
		the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40			11a			
12	12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or secti ERISA?						<b>│</b>	Yes X No
	(lf "\	es," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)						
	grant	aiver of the minimum funding standard for a prior year is being amortized in this plan year, see ins ing the waiver	/lonth _	s, and	d enter t Day		of the lette Year _	er ruling
If	you co	empleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line	13.	1		T		
<u>b</u>	Enter	the minimum required contribution for this plan year			12b			
С	Enter	he amount contributed by the employer to the plan for this plan year			12c			
d		act the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the l ive amount)			12d			
		ne minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A
Part	VII	Plan Terminations and Transfers of Assets						
13a	Has a	resolution to terminate the plan been adopted in any plan year?				Yes	s X N	lo
	If "Ye	s," enter the amount of any plan assets that reverted to the employer this year			13a			
b		all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brougol of the PBGC?		er the			Yes	No
С		ring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identi n assets or liabilities were transferred. (See instructions.)	ify the p	olan(s)	) to			
	13c(1)	Name of plan(s):	1	3c(2)	EIN(s)		13c(3	<b>3)</b> PN(s)
Part	VIII	Trust Information						
14a	Name	of trust			14b <sup>-</sup>	Trust's E	EIN	
14c Name of trustee or custodian				<b>14d</b> Trustee's or custodian's telephone number				
Par	t IX	IRS Compliance Questions						
15a	Is the	plan a 401(k) plan? If "No," skip b		Yes			No	
			ign-based "Prior year" ADI harbor test			ear" ADP		
				"Curre	ent year test	"	N/A	
16a What testing method was used to satisfy the coverage requirements under section 410(b) for the plan year? Check all that apply:			entage	ntage Average N/A benefit test N/A				
<b>16b</b> Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?								
17a If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS opinion letter or advisory letter, enter the date of the letter/ and the serial number								
	letter	plan is an individually-designed plan that received a favorable determination letter from the IRS, en	nter the	date	of the m	nost rece	ent determi	nation
18	Were	ed Benefit Plan or Money Purchase Pension Plan Only: any distributions made during the plan year to an employee who attained age 62 and had not sepa e?		rom	Ye	s [	No	
19	Was a	any plan participant a 5% owner who had attained at least age 70 $^{1\!\!/}$ during the prior plan year?			Ye	s [	No	