Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Annual Report Identification Information

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

2016

This Form is Open to Public Inspection

OMB Nos. 1210-0110

1210-0089

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

For calend	ar plan year 2016 or	fiscal plan year beginning 01/01/	2016	and ending 1	2/31/2016				
A This re	turn/report is for:	a single-employer plan	a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)						
		a one-participant plan	a foreign plan						
B This retu	This return/report is the first return/report the final return/report								
		an amended return/report	a short plan year ret	urn/report (less than 12 m	nonths)				
C Check	box if filing under:	Form 5558	automatic extension	1	DFVC program				
Dant II	Desis Blander	special extension (enter desc	· · · ·						
Part II		ormation—enter all requested in	nformation		1h Thurs dist				
1a Name UMBRA CU	of plan SCINETTI, INC 401(F	1b Three-digit plan number (PN) ▶	001						
					1c Effective dat	e of plan I/01/1983			
Mailing	g address (include ro	oyer, if for a single-employer plan) om, apt., suite no. and street, or P.		atmustic no.)	2b Employer Identification Number (EIN) 91-1091579				
	SCINETTI, INC	ce, country, and ZIP or foreign pos	stal code (ir foreign, see in	structions)	2c Sponsor's telephone number 425-405-3500				
6707 UADD	ESON ROAD					de (see instructions)			
EVERETT, V					332700				
3a Plan a	dministrator's name a	and address X Same as Plan Spo	onsor.		3b Administrator's EIN				
					3c Administrator's telephone number				
1 If the a			. the close waterma /	d fan thia alon antan tha	Ab cu				
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN, and the plan number from the last return/report.					4b EIN				
a Spons	or's name				4c PN				
5a Total number of participants at the beginning of the plan year					5a	97			
b Total number of participants at the end of the plan year					5b	115			
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)				5c	106				
d(1) Tot	al number of active p	articipants at the beginning of the p	olan year		5d(1)	83			
		articipants at the end of the plan ye			5d(2)	100			
		t terminated employment during th		penefits that were less	5e				
Caution: A	A penalty for the late	or incomplete filing of this retu	rn/report will be assesse						
SB or Sche		other penalties set forth in the instru and signed by an enrolled actuary, anglete							
SIGN		d/valid electronic signature.	07/12/2017	RENATE BOWERS					
HERE	Signature of plan	administrator	Date	Enter name of individ	lual signing as plan	administrator			
SIGN									
HERE	Signature of empl	oyer/plan sponsor	Date	Enter name of individ	lual signing as empl	oyer or plan sponsor			
					Preparer's telepho				
		ica can the Instructions for Form FE				Earm EE00 SE (2016)			

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	 Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.) 							X Yes	☐ No ☐ No	
	If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.									
С	If the plan is a defined benefit plan, is it covered under the PBGC in	nsurance p	orogram (see ERISA se	ection 4	021)?		Yes	No	Not deter	mined
Pa	rt III Financial Information									
7	Plan Assets and Liabilities		(a) Beginning	of Year			((b) End c	f Year	
a	Total plan assets	7a	2	775124	ļ		3227973			
b	Total plan liabilities	7b		0)				0	
С	Net plan assets (subtract line 7b from line 7a)	7c	2	775124	ļ.				3227973	
8	Income, Expenses, and Transfers for this Plan Year		(a) Amour	nt		(b) Total				
а	Contributions received or receivable from:	0-(4)		122057	,					
	(1) Employers	8a(1)		386241						
	(2) Participants	8a(2)		7089	_					
	(3) Others (including rollovers)	8a(3)		206636						
	Other income (loss)	8b				722023				
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							122023	
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		252819						
е	Certain deemed and/or corrective distributions (see instructions).	8e		5985						
f	Administrative service providers (salaries, fees, commissions)	8f		10370)					
g	Other expenses	8g								
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							269174	
i	i Net income (loss) (subtract line 8h from line 8c)			45284					452849	
j	Transfers to (from) the plan (see instructions)	8i		C)					
Pai	Part IV Plan Characteristics									
9a										
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	les from the List of Pla	n Chara	acterist	ic Cod	des in t	he instrud	ctions:	
Par	t V Compliance Questions									
10	During the plan year:				Yes	No	N/A		Amount	
а	Was there a failure to transmit to the plan any participant contribu described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)	oluntary F	iduciary Correction	10a		X				
b	b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X				
С	C Was the plan covered by a fidelity bond?			10c	X					500000
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X				
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X				
f	f Has the plan failed to provide any benefit when due under the plan?					X				
<u>_</u>	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g	X					128411
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X				
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3									

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Part	VI	Pension Funding Compliance						
11		s a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and con 5500) and line 11a below)						Yes X No
		the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40			11a			
12	12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?						│	Yes X No
	(lf "\	es," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)						
	grant	aiver of the minimum funding standard for a prior year is being amortized in this plan year, see ins ing the waiver	/lonth _	s, and	d enter t Day		of the lette Year _	er ruling
If	you co	empleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line	13.	1		T		
<u>b</u>	Enter	the minimum required contribution for this plan year			12b			
С	Enter	he amount contributed by the employer to the plan for this plan year			12c			
d		act the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the l ive amount)			12d			
		ne minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A
Part	VII	Plan Terminations and Transfers of Assets						
13a	Has a	resolution to terminate the plan been adopted in any plan year?				Yes	s X N	lo
	If "Ye	s," enter the amount of any plan assets that reverted to the employer this year			13a			
b		all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brougol of the PBGC?		er the		Yes 🛚 No		
С		ring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identi n assets or liabilities were transferred. (See instructions.)	ify the p	olan(s)) to			
	13c(1)	Name of plan(s):	1	3c(2)	EIN(s)		13c(3	3) PN(s)
Part	VIII	Trust Information						
14a	Name	of trust			14b ⁻	Trust's E	EIN	
14c Name of trustee or custodian				14d Trustee's or custodian's telephone number				
Par	t IX	IRS Compliance Questions						
15a	Is the	plan a 401(k) plan? If "No," skip b		Yes			No	
			ign-based "Prior year" AI test			ear" ADP		
"Curr			"Curre	rrent year" N/A P test				
16a What testing method was used to satisfy the coverage requirements under section 410(b) for the plan year? Check all that apply:			entage	ntage Average N/A benefit test N/A				
16b Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?								
17a If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS opinion letter or advisory letter, enter the date of the letter/ and the serial number								
17b If the plan is an individually-designed plan that received a favorable determination letter from the IRS, enter the date of the most recent determination letter/								
18 Defined Benefit Plan or Money Purchase Pension Plan Only: Were any distributions made during the plan year to an employee who attained age 62 and had not separated from service?				Ye	Yes No			
19	Was a	any plan participant a 5% owner who had attained at least age 70 $^{1\!\!/}$ during the prior plan year?			Ye	s [No	