Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

2016

OMB Nos. 1210-0110

1210-0089

This Form is Open to **Public Inspection**

	Part I		t Identification Information								
_	For calenda	ar plan year 2016 or	fiscal plan year beginning 01/01/2			2/31/2016					
	A This ret	urn/report is for:	a single-employer plan	a multiple-employer p list of participating er							
			a one-participant plan	a foreign plan							
	B This retu	ırn/report is	the first return/report an amended return/report	the final return/report							
	C 21 11		nonths)								
	C Check b	oox if filing under:	Form 5558	automatic extension		DFVC progra	mı				
Γ	Part II Basic Plan Information—enter all requested information										
L	Part II 1a Name		enter all requested in	ormation		1b Three-dig	nit				
F		GROUP, LLC 401(F	<) PLAN			plan num					
						(PN) •	001				
						1c Effective date of plan 01/01/2013					
_		, ,	loyer, if for a single-employer plan) om, apt., suite no. and street, or P.O	. Box)		2b Employer Identification Number (EIN) 46-2019027					
	City or	town, state or provir	nce, country, and ZIP or foreign posta		tructions)	2c Sponsor's telephone number					
١	-LYNN LAVV	GROUP, LLC				206-801-0185					
_	ONE UNION	SOLIARE				2d Business code (see instructions)					
6	00 UNIVERS	SITY STE 2100				541110					
5	SEATTLE, W	A 98101									
_	3a Plan administrator's name and address X Same as Plan Sponsor.				3b Administrator's EIN						
	_			3c Administrator's telephone number							
						Administrator's telephone number					
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN, and the plan number from the last return/report.						4b EIN					
	a Sponso		umber from the last return/report.			4c PN					
	5a Total r	number of participant	ts at the beginning of the plan year			5a					
			ts at the end of the plan year			5b					
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)					d contribution plans	5c					
			participants at the beginning of the pla			5d(1)					
	d(2) Tota	al number of active p	participants at the end of the plan year	ar		5d(2)					
Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested						5e					
_	Caution: A	penalty for the late	e or incomplete filing of this return	/report will be assessed	l unless reasonable ca						
	SB or Sche		other penalties set forth in the instruction and signed by an enrolled actuary, a malete								
	SIGN		d/valid electronic signature.	07/12/2017	JENICA KNAPP						
ļ	HERE	Signature of plan	administrator	Date	Enter name of individual signing as plan administrator						
	SIGN										
ļ	HERE		Signature of employer/plan sponsor Date Enter name of individual room or suite number.				dual signing as employer or plan sponsor				
1	Pranarar's i	name uncluding firm	name it annlicable) and address (in	CILIDA FOOM OF SLIITA NUMB	or)	I Prenarer's tele	nnone number				

Form 5500-SF 2016 Page **2**

	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)							s No		
b	b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)								s No	
	If you answered "No" to either line 6a or line 6b, the plan cann		,							
С	If the plan is a defined benefit plan, is it covered under the PBGC in	nsurance p	orogram (see ERISA se	ection 4	021)?		Yes	☐ No ☐ Not de	termined	
Pa	rt III Financial Information									
7	Plan Assets and Liabilities		(a) Beginning	of Year			(b) End of Year		
a	Total plan assets	7a		306402				38697	75	
b	Total plan liabilities	7b								
C	Net plan assets (subtract line 7b from line 7a)	7c		306402			38697	75		
8	Income, Expenses, and Transfers for this Plan Year		(a) Amour	nt	(b) Total			(b) Total		
а	Contributions received or receivable from: (1) Employers	8a(1)		16946						
-	(2) Participants	8a(2)		32219						
	(3) Others (including rollovers)	8a(3)								
b	Other income (loss)	8b		31408						
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						8057	'3	
	Benefits paid (including direct rollovers and insurance premiums									
	to provide benefits)	8d								
<u>e</u>	Certain deemed and/or corrective distributions (see instructions).	8e		0						
_ <u>f</u>	Administrative service providers (salaries, fees, commissions)	8f								
<u>g</u>	Other expenses	8g		0					0	
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h								
-	Net income (loss) (subtract line 8h from line 8c)	8i				80573				
J	Transfers to (from) the plan (see instructions)									
	rt IV Plan Characteristics			01		0				
9a	If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2F 2G 2T 3D 2A 2E 2J 2K 2R									
b	If the plan provides welfare benefits, enter the applicable welfare f	e plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:								
Par	t V Compliance Questions									
10	During the plan year:				Yes	No	N/A	Amoun	t	
а	Was there a failure to transmit to the plan any participant contributions and DOL's No. 1000 instructions and DOL's No. 1000 i									
	described in 29 CFR 2510.3-102? (See instructions and DOL's \ Program)	-	-	10a		X				
b				10b		X				
	reported on line 10a.) C Was the plan covered by a fidelity bond?			10D	Χ				100000	
d				100						
	by fraud or dishonesty?	<u></u>		10d		X				
е	Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides son					.,				
	•	? (See instructions.)				X				
f	Has the plan failed to provide any benefit when due under the plan?					X				
g	Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)					X				
h	If this is an individual account plan, was there a blackout period? 2520.101-3.)	•		10h		X				
i	If 10h was answered "Yes," check the box if you either provided t	he require	d notice or one of the	10ii						
	exceptions to providing the notice applied under 29 CFR 2520.101-3									

Form 5500-SF 2016	Page	3- 1

Page 3-	1
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Part	VI	Pension Funding Compliance							
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete So (Form 5500) and line 11a below)							Yes X No	
		r the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40			11a				
12		is a defined contribution plan subject to the minimum funding requirements of section 412 of the Co						Yes X No	
	ERISA?(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)								
a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter rulin granting the waiver									
If	you c	ompleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 1	13.	- 1		1			
<u>b</u>	Enter	the minimum required contribution for this plan year			12b			0	
С	Enter	the amount contributed by the employer to the plan for this plan year			12c			0	
d		ract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the l tive amount)			12d				
		he minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A	
Part	VII	Plan Terminations and Transfers of Assets							
13a	Has a	a resolution to terminate the plan been adopted in any plan year?				Yes	1 X	Мо	
	If "Y€	es," enter the amount of any plan assets that reverted to the employer this year			13a				
b		e all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brougout of the PBGC?		r the			Yes	No	
С		ring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identinassets or liabilities were transferred. (See instructions.)	fy the p	lan(s)	to				
	13c(1)	Name of plan(s):	1	3c(2)	EIN(s)		13c(3) PN(s)	
Part	VIII	Trust Information		1					
14a	14a Name of trust				14b ⁻	Trust's EIN			
14c Name of trustee or custodian					Trustee's or custodian's telephone number				
Par	t IX	IRS Compliance Questions							
15a	Is the	plan a 401(k) plan? If "No," skip b		Yes			No		
401(k)(3) for the plan year? Check all that apply:				n-based arbor	ed "Prior year" ADP test				
				ent year est	ear" N/A				
16a What testing method was used to satisfy the coverage requirements under section 410(b) for the plan year? Check all that apply:						Average N/A benefit test			
16b Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?						☐ No			
	17a If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS opinion letter or advisory letter, enter the date of the letter/ and the serial number								
	17b If the plan is an individually-designed plan that received a favorable determination letter from the IRS, enter the date of the most recent determination letter/								
18 Defined Benefit Plan or Money Purchase Pension Plan Only: Were any distributions made during the plan year to an employee who attained age 62 and had not separated from service?					Ye	es No			
19	19 Was any plan participant a 5% owner who had attained at least age 70 ½ during the prior plan year?					s	No		