## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

**Annual Report Identification Information** 

For calendar plan year 2016 or fiscal plan year beginning

**Short Form Annual Return/Report of Small Employee Benefit Plan** 

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

2016

OMB Nos. 1210-0110

1210-0089

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

and ending

12/31/2016

This Form is Open to **Public Inspection** 

A		X a single-employer plan		plan (not multiemployer)					
A This re	turn/report is for:	a one-participant plan	a foreign plan	employer information in a	m instructions.)				
		— —							
<b>B</b> This ret	urn/report is	the first return/report	the final return/repo						
		an amended return/report	☐ a snort plan year re	turn/report (less than 12 n	nontns)				
C Check	box if filing under:	Form 5558	automatic extensio	n	DFVC program				
- · · ·		special extension (enter desc							
Part II		formation—enter all requested in	nformation		46				
1a Name MOUNTAIN	of plan VIEW VETERINAR'	Y 401(K) PLAN			<b>1b</b> Three-digit plan number (PN) ▶	001			
					1c Effective date of plan 01/01/2016				
Mailin	g address (include ro	ployer, if for a single-employer plan) oom, apt., suite no. and street, or P.	O. Box)		2b Employer Identification Number (EIN) 91-1503707				
•	r town, state or provi VIEW VETERINARY	ince, country, and ZIP or foreign pos Y HOSPITAL	stal code (if foreign, see ir	nstructions)	<b>2c</b> Sponsor's telephone number 360-574-7290				
					2d Business code	(see instructions)			
13914 NE 16 VANCOUVE	6TH AVE FR, WA 98685				541940				
<b>3a</b> Dlan a	administrator's namo	and address V Same as Blan Say	oncor		<b>3b</b> Administrator's	EIN			
Ja Plan a	idministrator's name	and address 🛚 Same as Plan Spo	onsor.		3D Administrators	EIIN			
				<b>3c</b> Administrator's telephone number					
		the plan sponsor has changed since	e the last return/report file	d for this plan, enter the	4b EIN				
name	e, EIN, and the plan r	the plan sponsor has changed since number from the last return/report.	e the last return/report file	d for this plan, enter the	<b>4b</b> EIN <b>4c</b> PN				
name <b>a</b> Spons	e, EIN, and the plan r sor's name	number from the last return/report.		·		22			
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<ul> <li>Were all of the plan's assets during the plan year invested in elig</li> <li>Are you claiming a waiver of the annual examination and report o under 29 CFR 2520.104-46? (See instructions on waiver eligibility</li> <li>If you answered "No" to either line 6a or line 6b, the plan can</li> </ul>	f an indepe y and condit	ndent qualified public a	account	ant (IC	(PA)		<u> </u>			
C If the plan is a defined benefit plan, is it covered under the PBGC						_	No Not det	ermined		
Part III Financial Information										
7 Plan Assets and Liabilities		(a) Beginning	of Year			(	b) End of Year			
a Total plan assets	7a		0				3142	5		
<b>b</b> Total plan liabilities	7b		0	)				0		
C Net plan assets (subtract line 7b from line 7a)	7c		0	)			3142	5		
8 Income, Expenses, and Transfers for this Plan Year		(a) Amour	(a) Amount			(b) Total				
Contributions received or receivable from:     Contributions received or receivable from:	0-(4)									
(1) Employers	8a(1)		30190							
(2) Participants	` ` `		30190							
(3) Others (including rollovers)	<u> </u>		1235							
C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)						31425				
d Benefits paid (including direct rollovers and insurance premiums	1 00									
to provide benefits)	8d									
<b>e</b> Certain deemed and/or corrective distributions (see instructions).	8e		0							
<b>f</b> Administrative service providers (salaries, fees, commissions)	8f		0							
g Other expenses	8g									
h Total expenses (add lines 8d, 8e, 8f, and 8g)								0		
Net income (loss) (subtract line 8h from line 8c)			0				3142	5		
	j Transfers to (from) the plan (see instructions)									
Part IV Plan Characteristics										
9a If the plan provides pension benefits, enter the applicable pensio 2E 2F 2G 2T 3D 2J 2K	n feature co	odes from the List of Pl	an Cha	racteri	stic Co	des in	the instructions:			
<b>b</b> If the plan provides welfare benefits, enter the applicable welfare	feature cod	les from the List of Pla	n Chara	acteris	tic Coc	les in t	he instructions:			
Part V Compliance Questions										
10 During the plan year:				Yes	No	N/A	Amount			
Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X					
<b>b</b> Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X					
C Was the plan covered by a fidelity bond?			10c		Χ					
d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X					
Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X					
f Has the plan failed to provide any benefit when due under the plan?			10f		X					
g Did the plan have any participant loans? (If "Yes," enter amount	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)				X					
	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			X						
i If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3				X						

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Part	VI P	ension Funding Compliance							
11		a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and of 5500) and line 11a below)						Yes	X No
11a	Enter t	he unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40			11a				
12									X No
а	If a wa	iver of the minimum funding standard for a prior year is being amortized in this plan year, see ins		ns, and	_				ng
	_	g the waiver			Day	/	Yea	ar	
					12b				
	Enter tr	e minimum required contribution for this plan year							
		e amount contributed by the employer to the plan for this plan year			12c				
a		ct the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the /e amount)			12d				
		e minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	L N	/A
Part	VII P	Plan Terminations and Transfers of Assets							
13a	Has a ı	resolution to terminate the plan been adopted in any plan year?				X Ye	s	No	
	If "Yes	," enter the amount of any plan assets that reverted to the employer this year			13a				0
b		all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought the PBGC?		er the			Yes	X No	ı
С		ng this plan year, any assets or liabilities were transferred from this plan to another plan(s), ident assets or liabilities were transferred. (See instructions.)	ify the p	olan(s)	) to				
	<b>13c(1)</b> N	ame of plan(s):		13c(2)	EIN(s)		13	<b>c(3)</b> PN	(s)
Part		Trust Information			4.41.				
14a	Name o	f trust			146	Trust's I	EIN		
14c	Name o	f trustee or custodian					's or cust ne numb		
Par	t IX	IRS Compliance Questions							
15a	Is the p	lan a 401(k) plan? If "No," skip b		Yes			No		
		the plan satisfy the nondiscrimination requirements for employee deferrals under section by for the plan year? Check all that apply:	IШ		n-based narbor	d [	"Prio	r year" <i>F</i>	NDP
	()(.	,		"Curre	ent year test	,,	N/A		
16a		esting method was used to satisfy the coverage requirements under section 410(b) for the plan Check all that apply:		Ratio perce test	entage		verage enefit tes	st 🗌	N/A
16b		plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) plan year by combining this plan with any other plan under the permissive aggregation rules?		Yes			No		
17a		an is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS		lette	r or advi	sory let	ter, ente	r the dat	e of
17b	If the pletter _	lan is an individually-designed plan that received a favorable determination letter from the IRS, e	nter the	date	of the n	nost rec	ent dete	rminatio	n
18	Were a	Benefit Plan or Money Purchase Pension Plan Only: ny distributions made during the plan year to an employee who attained age 62 and had not sep ?		from	Ye	s	No		
19	Was ar	ly plan participant a 5% owner who had attained at least age 70 $^{\prime\prime}$ during the prior plan year?			Ye	s	No		