

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), 6057(b), and 6058(a) of the Internal Revenue Code (the Code). <p style="text-align: center;">▶ Complete all entries in accordance with the instructions to the Form 5500.</p>	OMB Nos. 1210-0110 1210-0089 <div style="text-align: center; font-size: 1.5em; font-weight: bold;">2015</div> This Form is Open to Public Inspection
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Part I	Annual Report Identification Information		
For calendar plan year 2015 or fiscal plan year beginning <u>10/01/2015</u> and ending <u>09/30/2016</u>			
A	This return/report is for:	☐ a multiemployer plan; ☐ a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions); or	
	☒ a single-employer plan; ☐ a DFE (specify) ____		
B	This return/report is:	☐ the first return/report; ☐ the final return/report;	
	☐ an amended return/report; ☐ a short plan year return/report (less than 12 months).		
C	If the plan is a collectively-bargained plan, check here. ▶ ☐		
D	Check box if filing under:	☒ Form 5558; ☐ automatic extension; ☐ the DFVC program;	
	☐ special extension (enter description)		

Part II	Basic Plan Information —enter all requested information		
1a	Name of plan <u>ALLISON TRANSMISSION RETIREMENT PROGRAM FOR SALARIED EMPLOYEES</u>	1b	Three-digit plan number (PN) ▶ <u>003</u>
		1c	Effective date of plan <u>10/01/2007</u>
2a	Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) <u>ALLISON TRANSMISSION, INC.</u> <u>ONE ALLISON WAY</u> <u>INDIANAPOLIS, IN 46222-3271</u>	2b	Employer Identification Number (EIN) <u>26-0413897</u>
		2c	Plan Sponsor's telephone number <u>317-242-3561</u>
		2d	Business code (see instructions) <u>336300</u>

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	07/14/2017	DOUG R. BOLTON
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE
Preparer's name (including firm name, if applicable) and address (include room or suite number)			Preparer's telephone number

3a Plan administrator's name and address ☒ Same as Plan Sponsor	3b Administrator's EIN 3c Administrator's telephone number <div style="background-color: #cccccc; height: 40px; width: 100%;"></div>
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report: a Sponsor's name	4b EIN 4c PN
5 Total number of participants at the beginning of the plan year	5 888
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1) , 6a(2) , 6b , 6c , and 6d).	
a(1) Total number of active participants at the beginning of the plan year.....	6a(1) 507
a(2) Total number of active participants at the end of the plan year	6a(2) 475
b Retired or separated participants receiving benefits.....	6b 262
c Other retired or separated participants entitled to future benefits.....	6c 130
d Subtotal. Add lines 6a(2) , 6b , and 6c	6d 867
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.....	6e 5
f Total. Add lines 6d and 6e	6f 872
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	6g
h Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested	6h 0
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	7
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: 1A 1C 3H	
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:	
9a Plan funding arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	9b Plan benefit arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor
10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)	
a Pension Schedules (1) ☒ R (Retirement Plan Information) (2) ☐ MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary (3) ☒ SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary	b General Schedules (1) ☒ H (Financial Information) (2) ☐ I (Financial Information – Small Plan) (3) ☐ <u>0</u> A (Insurance Information) (4) ☒ C (Service Provider Information) (5) ☐ D (DFE/Participating Plan Information) (6) ☐ G (Financial Transaction Schedules)

Part III	Form M-1 Compliance Information (to be completed by welfare benefit plans)
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11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2015 Form M-1 annual report. If the plan was not required to file the 2015 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code _____

<div>SCHEDULE SB (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation</div>	<div>Single-Employer Defined Benefit Plan Actuarial Information</div> <div>This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code).</div> <div>File as an attachment to Form 5500 or 5500-SF.</div>	<div>OMB No. 1210-0110</div> <div>2015</div> <div>This Form is Open to Public Inspection</div>
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For calendar plan year 2015 or fiscal plan year beginning 10/01/2015 and ending 09/30/2016

Round off amounts to nearest dollar.
Caution: A penalty of \$1,000 will be assessed for late filing of this report unless reasonable cause is established.

<div>A Name of plan ALLISON TRANSMISSION RETIREMENT PROGRAM FOR SALARIED EMPLOYEES</div>	<div>B Three-digit plan number (PN) 003</div>
<div>C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF ALLISON TRANSMISSION, INC.</div>	<div>D Employer Identification Number (EIN) 26-0413897</div>

E Type of plan: ☒ Single ☐ Multiple-A ☐ Multiple-B F Prior year plan size: ☐ 100 or fewer ☐ 101-500 ☒ More than 500

Part I Basic Information

1 Enter the valuation date: Month 10 Day 01 Year 2015			
2 Assets:			
a Market value	2a	68288747	
b Actuarial value	2b	68288747	
3 Funding target/participant count breakdown	(1) Number of participants	(2) Vested Funding Target	(3) Total Funding Target
a For retired participants and beneficiaries receiving payment	254	12789004	12789004
b For terminated vested participants	127	2540911	2540911
c For active participants	507	34089158	34296782
d Total	888	49419073	49626697
4 If the plan is in at-risk status, check the box and complete lines (a) and (b)			
a Funding target disregarding prescribed at-risk assumptions	4a		
b Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been in at-risk status for fewer than five consecutive years and disregarding loading factor	4b		
5 Effective interest rate	5	6.38%	
6 Target normal cost	6	4051217	

Statement by Enrolled Actuary
To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

<div>SIGN HERE</div>	<div>06/29/2017</div>
<div>Signature of actuary</div>	<div>Date</div>
<div>GARY D. DICKSON, FSA, EA, MAAA</div>	<div>17-03764</div>
<div>Type or print name of actuary</div>	<div>Most recent enrollment number</div>
<div>MERCER</div>	<div>513-632-2614</div>
<div>Firm name</div>	<div>Telephone number (including area code)</div>
<div>525 VINE STREET, SUITE 1600 CINCINNATI, OH 45202-3124</div>	
<div>Address of the firm</div>	

If the actuary has not fully reflected any regulation or ruling promulgated under the statute in completing this schedule, check the box and see instructions

Part II Beginning of Year Carryover and Prefunding Balances		
	(a) Carryover balance	(b) Prefunding balance
7 Balance at beginning of prior year after applicable adjustments (line 13 from prior year)	0	0
8 Portion elected for use to offset prior year's funding requirement (line 35 from prior year)		
9 Amount remaining (line 7 minus line 8)	0	0
10 Interest on line 9 using prior year's actual return of <u>8.25</u> %	0	0
11 Prior year's excess contributions to be added to prefunding balance:		
a Present value of excess contributions (line 38a from prior year)		2322509
b(1) Interest on the excess, if any, of line 38a over line 38b from prior year Schedule SB, using prior year's effective interest rate of <u>6.61</u> %		153518
b(2) Interest on line 38b from prior year Schedule SB, using prior year's actual return		0
c Total available at beginning of current plan year to add to prefunding balance.....		2476027
d Portion of (c) to be added to prefunding balance		0
12 Other reductions in balances due to elections or deemed elections		
13 Balance at beginning of current year (line 9 + line 10 + line 11d – line 12).....	0	0

Part III Funding Percentages		
14 Funding target attainment percentage.....	14	137.60 %
15 Adjusted funding target attainment percentage	15	137.60 %
16 Prior year's funding percentage for purposes of determining whether carryover/prefunding balances may be used to reduce current year's funding requirement.....	16	145.27 %
17 If the current value of the assets of the plan is less than 70 percent of the funding target, enter such percentage.....	17	%

Part IV Contributions and Liquidity Shortfalls					
18 Contributions made to the plan for the plan year by employer(s) and employees:					
(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees	(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees
01/19/2016	1000000	0			
04/15/2016	3000000	0			
07/15/2016	3000000	0			
10/14/2016	3000000	0			
			Totals ►	18(b)	10000000
				18(c)	0

19 Discounted employer contributions – see instructions for small plan with a valuation date after the beginning of the year:		
a Contributions allocated toward unpaid minimum required contributions from prior years.	19a	0
b Contributions made to avoid restrictions adjusted to valuation date.....	19b	0
c Contributions allocated toward minimum required contribution for current year adjusted to valuation date	19c	9554729
20 Quarterly contributions and liquidity shortfalls:		
a Did the plan have a "funding shortfall" for the prior year? ☐ Yes ☒ No		
b If line 20a is "Yes," were required quarterly installments for the current year made in a timely manner?..... ☐ Yes ☐ No		
c If line 20a is "Yes," see instructions and complete the following table as applicable:		
Liquidity shortfall as of end of quarter of this plan year		
(1) 1st	(2) 2nd	(3) 3rd

Part V Assumptions Used to Determine Funding Target and Target Normal Cost

21 Discount rate:				
a Segment rates:	1st segment: 4.72 %	2nd segment: 6.11 %	3rd segment: 6.81 %	☐ N/A, full yield curve used
b Applicable month (enter code).....				21b 0
22 Weighted average retirement age				22 62
23 Mortality table(s) (see instructions) ☐ Prescribed - combined ☒ Prescribed - separate ☐ Substitute				

Part VI Miscellaneous Items

24 Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment.	☒ Yes ☐ No
25 Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment.	☐ Yes ☒ No
26 Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment.....	☒ Yes ☐ No
27 If the plan is subject to alternative funding rules, enter applicable code and see instructions regarding attachment.....	27

Part VII Reconciliation of Unpaid Minimum Required Contributions For Prior Years

28 Unpaid minimum required contributions for all prior years	28	0
29 Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (line 19a).....	29	0
30 Remaining amount of unpaid minimum required contributions (line 28 minus line 29)	30	0

Part VIII Minimum Required Contribution For Current Year

31 Target normal cost and excess assets (see instructions):			
a Target normal cost (line 6)	31a	4051217	
b Excess assets, if applicable, but not greater than line 31a	31b	4051217	
32 Amortization installments:	Outstanding Balance	Installment	
a Net shortfall amortization installment	0	0	
b Waiver amortization installment	0	0	
33 If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month _____ Day _____ Year _____) and the waived amount	33		
34 Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b - 33)...	34	0	
	Carryover balance	Prefunding balance	Total balance
35 Balances elected for use to offset funding requirement.....			0
36 Additional cash requirement (line 34 minus line 35)	36	0	
37 Contributions allocated toward minimum required contribution for current year adjusted to valuation date (line 19c)	37	9554729	
38 Present value of excess contributions for current year (see instructions)			
a Total (excess, if any, of line 37 over line 36)	38a	9554729	
b Portion included in line 38a attributable to use of prefunding and funding standard carryover balances	38b	0	
39 Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37)	39	0	
40 Unpaid minimum required contributions for all years.....	40	0	

Part IX Pension Funding Relief Under Pension Relief Act of 2010 (See Instructions)

41 If an election was made to use PRA 2010 funding relief for this plan:			
a Schedule elected	☐ 2 plus 7 years ☐ 15 years		
b Eligible plan year(s) for which the election in line 41a was made	☐ 2008 ☐ 2009 ☐ 2010 ☐ 2011		
42 Amount of acceleration adjustment	42		
43 Excess installment acceleration amount to be carried over to future plan years	43		

<div>SCHEDULE C</div> <div>(Form 5500)</div> <div>Department of the Treasury</div> <div>Internal Revenue Service</div> <div>Department of Labor</div> <div>Employee Benefits Security Administration</div> <div>Pension Benefit Guaranty Corporation</div>	<div>Service Provider Information</div> <div>This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).</div> <div>▶ File as an attachment to Form 5500.</div>	OMB No. 1210-0110
		2015
		This Form is Open to Public Inspection.

For calendar plan year 2015 or fiscal plan year beginning 10/01/2015 and ending 09/30/2016		
<div>A</div> Name of plan ALLISON TRANSMISSION RETIREMENT PROGRAM FOR SALARIED EMPLOYEES	<div>B</div> Three-digit plan number (PN) ▶	003
<div>C</div> Plan sponsor's name as shown on line 2a of Form 5500 ALLISON TRANSMISSION, INC.	<div>D</div> Employer Identification Number (EIN) 26-0413897	

Part I	Service Provider Information (see instructions)
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You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

- a** Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☒ Yes ☐ No
- b** If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
DIMENSIONAL FUND ADVISORS LP
30-0447847

(b) Enter name and EIN or address of person who provided you disclosure on eligible indirect compensation
EATON VANCE MANAGEMENT
TWO INTERNATIONAL PLACE BOSTON, MA 02110

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
PIMCO
33-0629048

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
THE VANGUARD GROUP, INC.
23-1945930

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

RYAN LABS, INC.

47-3503928

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
51 49 18	NONE	142417	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

WELLS FARGO BANK NA

94-1347393

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
21 15	NONE	48790	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

CAPFINANCIAL PARTNERS, LLC

26-0058143

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
51	NONE	38803	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

WELLS FARGO FUNDS MANAGEMENT, LLC

71-0828842

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
52	NONE	0	Yes ☒ No ☐	Yes ☐ No ☒	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

HARTFORD FUNDS MANAGEMENT GROUP

PO BOX 55022
BOSTON, MA 02205-5022

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
52	NONE	0	Yes ☒ No ☐	Yes ☐ No ☒	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

PRINCIPAL GLOBAL INVESTORS

711 HIGH STREET
DES MOINES, IA 50392

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
52	NONE	0	Yes ☒ No ☐	Yes ☐ No ☒	0	Yes ☒ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

BLACKSTONE ALTERNATIVE INV ADVISORS

345 PARK AVENUE, 28TH FLOOR
NEW YORK, NY 10154

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
52	NONE	0	Yes ☒ No ☐	Yes ☐ No ☒	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
WELLS FARGO FUNDS MANAGEMENT, INC.	52	0
<div style="display: flex; justify-content: space-between;"> <div style="width: 60%;"> <p>(d) Enter name and EIN (address) of source of indirect compensation</p> <p>WELLS FARGO TREASURY PLUS MONEY MKT</p> <p>71-0828842</p> </div> <div style="width: 40%;"> <p>(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.</p> <p>0.20% INVESTMENT MANAGEMENT FEE</p> </div> </div>		
HARTFORD FUNDS MANAGEMENT GROUP	52	0
<div style="display: flex; justify-content: space-between;"> <div style="width: 60%;"> <p>(d) Enter name and EIN (address) of source of indirect compensation</p> <p>HARTFORD INTERNATIONAL OPPS FUND PO BOX 55022 BOSTON, MA 02205-5022</p> </div> <div style="width: 40%;"> <p>(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.</p> <p>0.79% INVESTMENT MANAGEMENT FEE</p> </div> </div>		
PRINCIPAL GLOBAL INVESTORS	52	0
<div style="display: flex; justify-content: space-between;"> <div style="width: 60%;"> <p>(d) Enter name and EIN (address) of source of indirect compensation</p> <p>PRINCIPAL REAL ESTATE SECURITIES 711 HIGH STREET DES MOINES, IA 50392</p> </div> <div style="width: 40%;"> <p>(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.</p> <p>0.88% INVESTMENT MANAGEMENT FEE</p> </div> </div>		

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
BLACKSTONE ALTERNATIVE INV ADVISORS	52	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BLACKSTONE ALTERNATIVE MULTI-STRAT 345 PARK AVENUE, 28TH FLOOR NEW YORK, NY 10154	2.40% INVESTMENT MANAGEMENT FEE	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III **Termination Information on Accountants and Enrolled Actuaries (see instructions)**
(complete as many entries as needed)

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

SCHEDULE H (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	OMB No. 1210-0110
		2015
		This Form is Open to Public Inspection

For calendar plan year 2015 or fiscal plan year beginning 10/01/2015 and ending 09/30/2016		
A Name of plan ALLISON TRANSMISSION RETIREMENT PROGRAM FOR SALARIED EMPLOYEES	B Three-digit plan number (PN)	003
C Plan sponsor's name as shown on line 2a of Form 5500 ALLISON TRANSMISSION, INC.	D Employer Identification Number (EIN) 26-0413897	

Part I	Asset and Liability Statement
---------------	--------------------------------------

1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a	-156035	50094
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)	0	3000000
(2) Participant contributions	1b(2)		
(3) Other.....	1b(3)	362080	358022
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)	1425194	1524910
(2) U.S. Government securities.....	1c(2)	16007707	26078823
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)	30109093	29827008
(B) All other.....	1c(3)(B)	9622033	8235280
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)		
(B) Common	1c(4)(B)		
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans	1c(8)		
(9) Value of interest in common/collective trusts.....	1c(9)		
(10) Value of interest in pooled separate accounts.....	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds).....	1c(13)	9826950	11861393
(14) Value of funds held in insurance company general account (unallocated contracts).....	1c(14)		
(15) Other	1c(15)	1091725	559003

1d Employer-related investments:		(a) Beginning of Year	(b) End of Year
(1) Employer securities	1d(1)		
(2) Employer real property	1d(2)		
e Buildings and other property used in plan operation	1e		
f Total assets (add all amounts in lines 1a through 1e)	1f	68288747	81494533

Liabilities

g Benefit claims payable	1g		
h Operating payables	1h		
i Acquisition indebtedness	1i		
j Other liabilities	1j		
k Total liabilities (add all amounts in lines 1g through 1j)	1k	0	0

Net Assets

l Net assets (subtract line 1k from line 1f)	1l	68288747	81494533
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Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income

		(a) Amount	(b) Total
a Contributions:			
(1) Received or receivable in cash from: (A) Employers	2a(1)(A)	10000000	
(B) Participants	2a(1)(B)		
(C) Others (including rollovers)	2a(1)(C)		
(2) Noncash contributions	2a(2)		
(3) Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)		10000000
b Earnings on investments:			
(1) Interest:			
(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)	2383	
(B) U.S. Government securities	2b(1)(B)	89430	
(C) Corporate debt instruments	2b(1)(C)	1452879	
(D) Loans (other than to participants)	2b(1)(D)		
(E) Participant loans	2b(1)(E)		
(F) Other	2b(1)(F)	102948	
(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)		1647640
(2) Dividends: (A) Preferred stock	2b(2)(A)		
(B) Common stock	2b(2)(B)		
(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	134605	
(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)		134605
(3) Rents	2b(3)		
(4) Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)	59576617	
(B) Aggregate carrying amount (see instructions)	2b(4)(B)	58734226	
(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)		842391
(5) Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)		
(B) Other	2b(5)(B)	4285606	
(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)		4285606

	(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)	
(7) Net investment gain (loss) from pooled separate accounts	2b(7)	
(8) Net investment gain (loss) from master trust investment accounts	2b(8)	
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)	
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)	1101538
c Other income	2c	3946
d Total income. Add all income amounts in column (b) and enter total	2d	18015726

Expenses**e** Benefit payment and payments to provide benefits:

(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	4529314	
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3)	2e(4)		4529314
f Corrective distributions (see instructions)	2f		
g Certain deemed distributions of participant loans (see instructions)	2g		
h Interest expense	2h		
i Administrative expenses: (1) Professional fees	2i(1)	0	
(2) Contract administrator fees	2i(2)	48790	
(3) Investment advisory and management fees	2i(3)	181220	
(4) Other	2i(4)	50616	
(5) Total administrative expenses. Add lines 2i(1) through (4)	2i(5)		280626
j Total expenses. Add all expense amounts in column (b) and enter total	2j		4809940

Net Income and Reconciliation

k Net income (loss). Subtract line 2j from line 2d	2k		13205786
l Transfers of assets:			
(1) To this plan	2l(1)		
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☐ Unqualified (2) ☐ Qualified (3) ☒ Disclaimer (4) ☐ Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)?

☒ Yes ☐ No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: **BKD, LLP**

(2) EIN: **44-0160260**

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

	Yes	No	N/A	Amount
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)		☒		
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)		☒		

	Yes	No	N/A	Amount
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)		X		
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)		X		
e Was this plan covered by a fidelity bond?	X			500000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		X		
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?		X		
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?		X		
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	X			
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)	X			
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?		X		
l Has the plan failed to provide any benefit when due under the plan?		X		
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)				
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.				
o Did the plan trust incur unrelated business taxable income?				
p Were in-service distributions made during the plan year?				

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?
 If "Yes," enter the amount of any plan assets that reverted to the employer this year. ☐ Yes ☒ No Amount:

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)

5c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? ☒ Yes ☐ No ☐ Not determined

Part V Trust Information

6a Name of trust	6b Trust's EIN
6c Name of trustee or custodian	6d Trustee's or custodian's telephone number

SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Retirement Plan Information This schedule is required to be filed under section 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110 2015 This Form is Open to Public Inspection.
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For calendar plan year 2015 or fiscal plan year beginning 10/01/2015 and ending 09/30/2016

A Name of plan <u>ALLISON TRANSMISSION RETIREMENT PROGRAM FOR SALARIED EMPLOYEES</u>	B Three-digit plan number (PN) ▶ <u>003</u>
C Plan sponsor's name as shown on line 2a of Form 5500 <u>ALLISON TRANSMISSION, INC.</u>	D Employer Identification Number (EIN) <u>26-0413897</u>

Part I	Distributions
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All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions.....	1	<u>0</u>
2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): <u>26-6103167</u>		
Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.		
3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year.....	3	<u>19</u>

Part II	Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part)
----------------	--

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)?	☐ Yes	☐ No	☒ N/A
If the plan is a defined benefit plan, go to line 8.			
5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____	If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.		
6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived)	6a		
b Enter the amount contributed by the employer to the plan for this plan year	6b		
c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount).....	6c		
If you completed line 6c, skip lines 8 and 9.			
7 Will the minimum funding amount reported on line 6c be met by the funding deadline?	☐ Yes	☐ No	☐ N/A
8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change?	☐ Yes	☐ No	☒ N/A

Part III	Amendments
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9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box.	☐ Increase	☒ Decrease	☐ Both	☐ No
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Part IV	ESOPs (see instructions). If this is not a plan described under Section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.
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10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan?	☐ Yes	☐ No
11 a Does the ESOP hold any preferred stock?	☐ Yes	☐ No
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.)	☐ Yes	☐ No
12 Does the ESOP hold any stock that is not readily tradable on an established securities market?	☐ Yes	☐ No

For Paperwork Reduction Act Notice and OMB Control Numbers, see the instructions for Form 5500.

Schedule R (Form 5500) 2015
v. 150123

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

14	Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:		
	a The current year	14a	
	b The plan year immediately preceding the current plan year	14b	
	c The second preceding plan year	14c	
15	Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:		
	a The corresponding number for the plan year immediately preceding the current plan year	15a	
	b The corresponding number for the second preceding plan year	15b	
16	Information with respect to any employers who withdrew from the plan during the preceding plan year:		
	a Enter the number of employers who withdrew during the preceding plan year	16a	
	b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	16b	
17	If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐		

Part VI	Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans
----------------	---

18	If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐
19	If the total number of participants is 1,000 or more, complete lines (a) through (c)
	a Enter the percentage of plan assets held as: Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%
	b Provide the average duration of the combined investment-grade and high-yield debt: ☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more
	c What duration measure was used to calculate line 19(b)? ☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify): _____

Part VII	IRS Compliance Questions
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20a Is the plan a 401(k) plan?	☐ Yes	☐ No
20b If "Yes," how does the 401(k) plan satisfy the nondiscrimination requirements for employee deferrals and employer matching contributions (as applicable) under sections 401(k)(3) and 401(m)(2)?	☐ Design-based safe harbor method	☐ ADP/ACP test
20c If the ADP/ACP test is used, did the 401(k) plan perform ADP/ACP testing for the plan year using the "current year testing method" for nonhighly compensated employees (Treas. Reg sections 1.401(k)-2(a)(2)(ii) and 1.401(m)-2(a)(2)(ii))?	☐ Yes	☐ No
21a Check the box to indicate the method used by the plan to satisfy the coverage requirements under section 410(b):	☐ Ratio percentage test	☐ Average benefit test
21b Does the plan satisfy the coverage and nondiscrimination tests of sections 410(b) and 401(a)(4) by combining this plan with any other plans under the permissive aggregation rules?	☐ Yes	☐ No
22a Has the plan been timely amended for all required tax law changes?	☐ Yes	☐ No ☐ N/A
22b Date the last plan amendment/restatement for the required tax law changes was adopted ____/____/____. Enter the applicable code _____. (See instructions for tax law changes and codes).		
22c If the plan sponsor is an adopter of a pre-approved master and prototype (M&P) or volume submitter plan that is subject to a favorable IRS opinion or advisory letter, enter the date of that favorable letter ____/____/____ and the letter's serial number _____.		
22d If the plan is an individually-designed plan and received a favorable determination letter from the IRS, enter the date of the plan's last favorable determination letter ____/____/____.		
23 Is the Plan maintained in a U.S. territory (i.e., Puerto Rico (if no election under ERISA section 1022(i)(2) has been made), American Samoa, Guam, the Commonwealth of the Northern Mariana Islands or the U.S. Virgin Islands)?	☐ Yes	☐ No

**Allison Transmission Retirement Program for
Salaried Employees**

EIN 26-0413897 PN 003

Independent Auditor's Report and Financial Statements

September 30, 2016 and 2015

**Allison Transmission Retirement Program for
Salaried Employees
September 30, 2016 and 2015**

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Financial Statements

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Independent Auditor's Report

Plan Administrator
Allison Transmission Retirement Program for
Salaried Employees
Indianapolis, Indiana

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of Allison Transmission Retirement Program for Salaried Employees, which comprise the statements of net assets available for benefits as of September 30, 2016 and 2015, and the related statements of changes in net assets available for benefits for the years then ended, and the statements of accumulated plan benefits and the related statements of changes in accumulated plan benefits as of October 1, 2015 and 2014, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting our audits in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the *Basis for Disclaimer of Opinion* paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the *Employee Retirement Income Security Act of 1974*, the Plan Administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 3, which was certified by Wells Fargo Bank, N.A., the trustee of the Plan, except for comparing such information with the related information included in the financial statements. We have been informed by the Plan Administrator that the trustee holds the Plan's investment assets and executes investment transactions. The Plan Administrator has obtained certifications from the trustee as of and for the years ended September 30, 2016 and 2015, that the information provided to the Plan Administrator by the trustee is complete and accurate.

Disclaimer of Opinion

Because of the significance of the matter described in the *Basis for Disclaimer of Opinion* paragraph, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Other Matter

The supplemental schedules listed in the table of contents are required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the *Employee Retirement Income Security Act of 1974* and are presented for the purpose of additional analysis and are not a required part of the financial statements. Because of the significance of the matter described in the *Basis for Disclaimer of Opinion* paragraph, we do not express an opinion on these supplemental schedules.

Report on Form and Content in Compliance With DOL Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedules, other than that derived from the information certified by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the *Employee Retirement Income Security Act of 1974*.

BKD, LLP

Indianapolis, Indiana
July 11, 2017

Federal Employer Identification Number: 44-0160260

Allison Transmission Retirement Program for Salaried Employees

Statements of Net Assets Available for Benefits September 30, 2016 and 2015

Assets

	<u>2016</u>	<u>2015</u>
Investments , at fair value	<u>\$ 78,086,417</u>	<u>\$ 68,082,702</u>
Receivables		
Employer contributions	3,000,000	-
Accrued income	358,022	362,080
Due from broker for securities sold	<u>123,540</u>	<u>857,386</u>
	<u>3,481,562</u>	<u>1,219,466</u>
 Total assets	 81,567,979	 69,302,168

Liabilities

Due to broker for securities purchased	<u>73,446</u>	<u>1,013,421</u>
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Net Assets Available for Benefits

	<u><u>\$ 81,494,533</u></u>	<u><u>\$ 68,288,747</u></u>
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Allison Transmission Retirement Program for Salaried Employees

Statements of Changes in Net Assets Available for Benefits Years Ended September 30, 2016 and 2015

	<u>2016</u>	<u>2015</u>
Additions		
Investment Income		
Net appreciation in fair value of investments	\$ 6,229,535	\$ 3,269,014
Interest and dividends	1,782,245	1,853,029
Other income	<u>3,946</u>	<u>26,793</u>
Net investment income	8,015,726	5,148,836
Employer Contributions	<u>10,000,000</u>	<u>2,400,000</u>
Total additions	<u>18,015,726</u>	<u>7,548,836</u>
Deductions		
Benefits paid to participants	4,529,314	965,002
Administrative expenses	230,010	213,290
Pension Benefit Guaranty Corporation premiums	<u>50,616</u>	<u>43,491</u>
Total deductions	<u>4,809,940</u>	<u>1,221,783</u>
Net Increase	13,205,786	6,327,053
Net Assets Available for Benefits, Beginning of Year	<u>68,288,747</u>	<u>61,961,694</u>
Net Assets Available for Benefits, End of Year	<u><u>\$ 81,494,533</u></u>	<u><u>\$ 68,288,747</u></u>

Allison Transmission Retirement Program for Salaried Employees

Statements of Accumulated Plan Benefits and Changes in Accumulated Plan Benefits October 1, 2015 and 2014

Statements of Accumulated Plan Benefits

	October 1,	
	2015	2014
Vested benefits		
Participants and beneficiaries currently receiving payments	\$ 15,119,486	\$ 10,442,284
Other participants	52,500,635	33,511,577
	67,620,121	43,953,861
Nonvested benefits	38,580	285,639
	\$ 67,658,701	\$ 44,239,500

Statements of Changes in Accumulated Plan Benefits

	Years Ended October 1,	
	2015	2014
Actuarial present value of accumulated plan benefits, beginning of year	\$ 44,239,500	\$ 36,811,914
Increase (decrease) during the year and period attributable to		
Benefits accumulated	5,105,720	4,687,479
Increase for interest due to the decrease in the discount period	2,841,591	2,551,198
Benefits paid	(965,002)	(676,122)
Change in actuarial assumptions (interest and demographic assumptions)	15,526,854	865,031
Change in plan provisions (lump-sum benefit option)	910,038	-
Net increase	23,419,201	7,427,586
Actuarial present value of accumulated plan benefits, end of year	\$ 67,658,701	\$ 44,239,500

Allison Transmission Retirement Program for Salaried Employees

Notes to Financial Statements September 30, 2016 and 2015

Note 1: Description of the Plan

The following description of the Plan provides only general information. Additional information about the vesting and benefit provisions and the Pension Benefit Guarantee Corporation's (PBGC) benefit guarantee is contained in the Plan Document and *Summary Plan Description*, which are available from the Plan Administrator. Any inconsistencies between the Plan Document and the *Summary Plan Description* would be governed by the Plan Document.

General

The Plan was established October 1, 2007 (Effective Date) as a result of the spin-off of Allison Transmission, Inc. (Company) from General Motors Corporation (GM). The Plan is frozen as to participation as of the Effective Date, and no employee other than a participant on the Effective Date shall be eligible to be covered under the Plan.

The Plan defines two types of participants, Part A and Part C. Part A participants are those participants who had a hire date with GM prior to January 1, 2001, who became a salaried employee of the Company on August 7, 2007, were an active participant under the General Motors Retirement Program for Salaried Employees before August 7, 2007, and are a salaried employee immediately prior to retirement or termination. Part C participants are those participants who had a hire date with GM on or after January 1, 2001 and before January 1, 2007, were an active participant under the General Motors Retirement Program for Salaried Employees before August 7, 2007, and who, prior to January 1, 2007, accrued a benefit under Part C of the General Motors Retirement Program for Salaried Employees (GM Plan) and were not vested in such benefits as of August 7, 2007 and became a salaried employee of the Company on August 7, 2007, and are a salaried employee. It was the intent of the Company that the Plan generally contain the same provisions as the GM Plan, at the time of spin-off from GM, such that employees who transferred from GM to the Company would maintain the same benefit, in aggregate, that would have been provided had the spin-off not occurred and the employees had continued working for GM.

The Plan is a noncontributory defined-benefit retirement plan for eligible salaried employees. The Plan is subject to provisions of the *Employee Retirement Income Security Act of 1974* (ERISA). Wells Fargo Bank, N.A. (Wells Fargo) is the trustee of the Plan.

Contributions

The Company has agreed to contribute such additional amounts as are necessary to provide assets sufficient to meet the benefits to be paid to Plan participants. The Company's present intention is to make contributions in amounts sufficient to annually fund the Plan's current service cost and the initial past-service costs plus interest on them over a period of seven years. The Plan has met the minimum funding requirements established by the federal government.

Allison Transmission Retirement Program for Salaried Employees

Notes to Financial Statements September 30, 2016 and 2015

Pension Benefits

The monthly benefit under the Plan for Part A participants is equal to (1) 1.25% multiplied by the employee's average monthly base salary for each year of active participation during which the Part A participant's "home country" under the Company's Global Expatriate Program is the United States, plus (2)(a) for Part A participants and Part A participants, Addendum B: 1.25% multiplied by the employee's base salary from August 7, 2007 through October 1, 2007, divided by twelve, or (b) for Part A Addendum A participants - 1.25% multiplied by the employee's base salary from August 7, 2007 through September 30, 2007 divided by the number of months worked in that period. Effective January 1, 2008, enhanced variable pay (iComp) is included in the calculation of base salary. Participants are entitled to annual pension benefits beginning at the later of (1) normal retirement age (65) or (2) the 5th anniversary of the date the participant began participation in the GM Plan.

The Plan permits the following early retirement options: (1) an employee who has attained age 55 but not age 65, and who has 10 or more years of credited service, or (2) an employee who has a hire date with GM prior to January 1, 1988, who has not attained age 55, and has 30 or more years of credited service (including service with GM). In addition, participants who are totally and permanently disabled prior to attaining age 65 and who have at least 10 years of credited service (including service with GM) are eligible for a disability pension, payable without an age reduction for early commencement. Late retirement is also allowed.

Several optional forms of benefit payments are available to participants including a life annuity, a joint and 50% survivor annuity, a joint and 65% survivor annuity, or a contingent annuity in 5% increments up to 100% of the participant's monthly basic benefit. Payments made in any form other than a life annuity are actuarially adjusted for the option selected.

Effective January 1, 2016, a Part A participant or surviving spouse who has commenced benefits under the Plan on or after January 1, 2016 may elect, in lieu of the monthly basic benefit payment, to receive a lump-sum benefit payment equal to the present actuarial value as defined by the Plan.

Cash Balance Benefits

As of October 2, 2007, an account balance was established for Part C participants which equaled the sum of pay and interest credits from Part C of the GM Plan as of July 31, 2007, plus interest credits as of August 31, 2007 and September 30, 2007 and monthly interest credits as of the end of each month thereafter (starting on October 31, 2007). Interest credits equal the account balance at the end of the previous month times the monthly interest credit percentage (or 0.2669% monthly). Interest credits for a month are determined by applying the applicable interest credit percentage for the month to the participant's account balance as of the beginning of the month. The applicable interest credit percentage for a month during a Plan year is the monthly equivalent of the annual interest credit percentage for the Plan year.

Allison Transmission Retirement Program for Salaried Employees

Notes to Financial Statements September 30, 2016 and 2015

Participants are entitled to monthly pension benefits beginning on the earlier of (1) the first day of the month on or after the participant's attainment of normal retirement age (the later of age 65 or the 5th anniversary of beginning participation in the GM Plan) or (2) the first day of the month following participant's termination of employment and the Plan Administrator's receipt of a request from the Part C participant. Late retirement is also allowed. The Plan allows for a life annuity, a joint and 50% survivor annuity, a joint and 75% survivor annuity, or a lump-sum distribution. If the value of a participant's account is at or below \$5,000, the benefit will be paid as a lump-sum. If the account value is between \$1,000 - \$5,000 and the participant does not request a direct payment or rollover, it will be automatically rolled over to an IRA.

Death Benefits

If a participant is vested and dies before benefits begin and has been married for at least one year, a participant's spouse may elect to receive (1) a monthly benefit for life with the same actuarial value as the participant's account or (2) a single lump-sum equal to the participant's vested account balance.

Vesting

A participant is vested upon the earlier of (1) age 65 (or for Part C benefits - the later of age 65 or a participant's 5th anniversary in the GM Plan) or (2) completion of three years of vesting service.

Plan Termination

In the event the Plan terminates, the net assets of the Plan will be allocated, as prescribed by ERISA and its related regulations, generally to provide the following benefits in the order indicated:

- a. Annuity benefits that former employees or their beneficiaries have been receiving for at least three years, or that employees eligible to retire for that three-year period would have been receiving if they had retired with benefits in the normal form of annuity under the Plan. The priority amount is limited to the lowest benefit that was payable (or would have been payable) during those three years. The amount is further limited to the lowest benefit that would be payable under plan provisions in effect at any time during the five years preceding plan termination.
- b. Other vested benefits insured by the Pension Benefit Guaranty Corporation (PBGC) up to the applicable limitations discussed below.
- c. Vested benefits not insured by the PBGC.
- d. All nonvested benefits.

Allison Transmission Retirement Program for Salaried Employees

Notes to Financial Statements September 30, 2016 and 2015

Certain benefits under the Plan are insured by the PBGC if the Plan terminates. Generally, the PBGC guarantees most vested normal age retirement benefits, early retirement benefits and certain disability and survivor's pensions. However, the PBGC does not guarantee all types of benefits under the Plan, and the amount of benefit protection is subject to certain limitations. Vested benefits under the Plan are guaranteed at the level in effect on the date of the Plan's termination. However, there is a statutory ceiling, which is adjusted periodically, on the amount of an individual's monthly benefit that the PBGC guarantees.

Whether all participants receive their benefits should the Plan terminate at some future time will depend on the sufficiency, at that time, of the Plan's net assets to provide for accumulated benefit obligations and may also depend on the financial condition of the Plan Sponsor and the level of benefits guaranteed by the PBGC. Any residual assets of the Plan will be distributed to the Company if all liabilities of the Plan to its participants and beneficiaries have been satisfied and the distribution does not contravene any provision of law.

Subsequent Events

Subsequent events have been evaluated through the date of the Independent Auditor's Report, which is the date the financial statements were available to be issued.

Note 2: Summary of Significant Accounting Policies

Method of Accounting

The accompanying financial statements are prepared on the accrual method of accounting.

Use of Estimates

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of net assets and changes in net assets available for benefits, disclosure of contingent assets and liabilities and the actuarial present value of accumulated plan benefits at the date of the financial statements. Actual results could differ from those estimates.

Allison Transmission Retirement Program for Salaried Employees

Notes to Financial Statements September 30, 2016 and 2015

Valuation of Investments and Income Recognition

The money market fund is reported at fair value, which approximates its cost basis. Bonds and notes are stated at fair value. Securities, such as common stocks and U.S. government securities, traded on a national securities exchange are valued at the last reported sales price on the last business day of the Plan year. Corporate bonds and notes are valued on the basis of yields currently available on comparable securities of issuers with similar credit ratings. Mutual funds are valued at the net asset value (NAV) of shares held by the Plan at year end. Purchases and sale of securities are recorded on a trade-date basis. Interest income is recorded on an accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation includes the Plan's gains and losses on investments bought and sold as well as held during the year.

Payment of Benefits

Benefit payments to participants are recorded upon distribution.

Administrative Expenses

Administrative expenses may be paid by the employer or the Plan, at the employer's discretion.

Accumulated Plan Benefits

Accumulated plan benefits (see Statements of Accumulated Plan Benefits, Statements of Changes in Accumulated Plan Benefits and Note 4) are those estimated future periodic payments, including lump-sum distributions, which are attributable under the Plan's provisions to the service employees have rendered. Accumulated plan benefits include benefits expected to be paid to:

- a. Retired or terminated employees or their beneficiaries
- b. Present employees or their beneficiaries

Benefits under the Plan are based on employees' credited service. Benefits payable under all circumstances — retirement, death, disability and termination of employment — are included, to the extent they are deemed attributable to employee service rendered to the valuation date. Benefits to be provided via annuity contracts excluded from Plan assets are excluded from accumulated plan benefits.

Plan Tax Status

The Plan obtained its latest determination letter on April 16, 2014, in which the Internal Revenue Service (IRS) stated that the Plan and related trust, as then designed, were in compliance with the applicable requirements of the Internal Revenue Code and therefore not subject to tax. The Plan has been amended since receiving the determination letter. However, the Plan Administrator believes that the Plan and related trust are currently designed and being operated in compliance with the applicable requirements of the Internal Revenue Code. The current determination letter expires January 31, 2018.

Allison Transmission Retirement Program for Salaried Employees

Notes to Financial Statements September 30, 2016 and 2015

Note 3: Certification of Plan Trustee

The Plan Administrator has elected the method of annual reporting compliance permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Accordingly, Wells Fargo, the trustee of the Plan, has certified the following information included in the accompanying financial statements and supplemental schedules is complete and accurate:

- Investments and accrued interest income as shown in the statements of net assets available for benefits as of September 30, 2016 and 2015
- Investment income as shown in the statements of changes in net assets available for benefits for the years ended September 30, 2016 and 2015
- Due from broker for securities sold and due to broker for securities purchased as of September 30, 2016 and 2015
- Investment information included in the accompanying schedule of assets (held at year end) as of September 30, 2016 (and schedule of reportable transactions for the year ended September 30, 2016)

The Plan's independent auditors did not perform auditing procedures with respect to this information, except for comparing such information to the related information included in the financial statements and supplemental schedules.

Note 4: Accumulated Plan Benefits

An actuary from Mercer determines the actuarial present value of accumulated plan benefits as of the beginning of the Plan year, which is the amount that results from applying actuarial assumptions to adjust the accumulated plan benefits to reflect the time value of money (through discounts for interest) and the probability of payment (by means of decrements such as for death, disability, withdrawal or retirement) between the valuation date and the expected date of payment.

Significant assumptions underlying the actuarial computations are:

Assumed rate of return	
of investments	4.7 percent, compounded annually (6.5 percent for 2014)
Mortality basis	Mercer MRP-2007 Mortality Table for employees and annuitants with no adjustment for collar and projected generational mortality improvements according to MSS-2007 for healthy and disabled lives
Retirement age	Varies by age and service Age 65 for deferred vested participants
	Average age of retirees is age 62
Asset valuation	Market

Allison Transmission Retirement Program for Salaried Employees

Notes to Financial Statements September 30, 2016 and 2015

The demographic assumptions were updated as of October 1, 2014 to reflect changes in withdrawal, disability incidence, retirement age and marriage rate based on an experience study undertaken in 2014 using data from 2009 through 2013 and the expectation that this period will be representative of anticipated future experience.

Plan contributions and the actuarial present value of accumulated plan benefits are prepared based on certain assumptions pertaining to interest rates, inflation rates and employee demographics, all of which are subject to change. Due to uncertainties inherent in the estimation and assumption process, it is at least reasonably possible that changes in these estimates and assumptions in the near term could be material to the Plan's financial statements. The foregoing actuarial assumptions are based on the presumption that the Plan will continue. Were the Plan to terminate, different actuarial assumptions and other factors might be applicable in determining the actuarial present value of accumulated plan benefits.

Note 5: Disclosures About Fair Value of Assets

Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. Fair value measurements must maximize the use of observable inputs and minimize the use of unobservable inputs. There is a hierarchy of three levels of inputs that may be used to measure fair value:

- Level 1** Quoted prices in active markets for identical assets or liabilities
- Level 2** Observable inputs other than Level 1 prices, such as quoted prices for similar assets or liabilities; quoted prices in markets that are not active; or other inputs that are observable or can be corroborated by observable market data for substantially the full term of the assets or liabilities
- Level 3** Unobservable inputs supported by little or no market activity and are significant to the fair value of the assets or liabilities

Allison Transmission Retirement Program for Salaried Employees

Notes to Financial Statements September 30, 2016 and 2015

Recurring Measurements

The following tables present the fair value measurements of assets recognized in the accompanying statements of net assets available for benefits measured at fair value on a recurring basis and the level within the fair value hierarchy in which the fair value measurements fall at September 30, 2016 and 2015:

2016				
Fair Value Measurements Using				
	Fair Value	Quoted Prices in Active Markets for Identical Assets (Level 1)	Significant Other Observable Inputs (Level 2)	Significant Unobservable Inputs (Level 3)
Money market fund	\$ 1,524,910	\$ 1,524,910	\$ -	\$ -
Bonds and notes	64,700,114	6,297,374	58,402,740	-
Mutual funds	11,861,393	11,861,393	-	-
	<u>\$ 78,086,417</u>	<u>\$ 19,683,677</u>	<u>\$ 58,402,740</u>	<u>\$ -</u>

2015				
Fair Value Measurements Using				
	Fair Value	Quoted Prices in Active Markets for Identical Assets (Level 1)	Significant Other Observable Inputs (Level 2)	Significant Unobservable Inputs (Level 3)
Money market fund	\$ 1,425,194	\$ 1,425,194	\$ -	\$ -
Bonds and notes	56,830,558	10,369,208	46,461,350	-
Mutual funds	9,826,950	9,826,950	-	-
	<u>\$ 68,082,702</u>	<u>\$ 21,621,352</u>	<u>\$ 46,461,350</u>	<u>\$ -</u>

Allison Transmission Retirement Program for Salaried Employees

Notes to Financial Statements September 30, 2016 and 2015

Following is a description of the valuation methodologies and inputs used for assets measured at fair value on a recurring basis and recognized in the accompanying statements of net assets available for benefits, as well as the general classification of such assets pursuant to the valuation hierarchy. There have been no significant changes in the valuation techniques during the year ended September 30, 2016. The Plan had no liabilities measured at fair value on a recurring basis. In addition, the Plan had no assets or liabilities measured at fair value on a nonrecurring basis.

Investments

Where quoted market prices are available in an active market, securities are classified within Level 1 of the valuation hierarchy. Level 1 securities include a money market fund, bonds, notes and mutual funds. If quoted market prices are not available, then fair values are estimated by using quoted prices of securities with similar characteristics or independent asset pricing services and pricing models, the inputs of which are market-based or independently sourced market parameters, including, but not limited to, yield curves, interest rates, volatilities, prepayments, defaults, cumulative loss projections and cash flows. Such securities are classified in Level 2 of the valuation hierarchy. Level 2 securities included certain bonds and notes. In certain cases where Level 1 or Level 2 inputs are not available, securities are classified within Level 3 of the hierarchy. There are no Level 3 investments in the Plan.

Note 6: Party-in-Interest Transactions

Party-in-interest transactions include those with fiduciaries or employees of the Plan, any person who provides services to the Plan, an employer whose employees are covered by the Plan, an employee organization whose members are covered by the Plan, a person who owns 50 percent or more of such an employer or employee association, or relatives of such persons.

The Plan invests in various bonds and notes of the Plan trustee.

The Plan paid PBGC premiums totaling \$50,616 and \$43,491 during 2016 and 2015, respectively. The Plan paid \$48,790 and \$41,500 of administrative fees to the trustee during 2016 and 2015, respectively. The Plan paid \$181,220 and \$171,790 of investment management fees during 2016 and 2015, respectively. The Company provides certain administrative services at no cost to the Plan.

Note 7: Risks and Uncertainties

The Plan invests in various investment securities. Investment securities are exposed to various risks such as interest rate, market and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect the amounts reported in the statements of net assets available for benefits.

Supplemental Schedules

Allison Transmission Retirement Program for Salaried Employees

EIN 26-0413897 PN 003

Schedule H, Line 4i - Schedule of Assets (Held at End of Year) September 30, 2016

(a)(b) Identity of Issuer	(c) Description of Investment	(d) Cost	(e) Current Value
Money Market Fund			
*Wells Fargo Advantage Treasury Plus Money Market Fund	1,524,910 shares	\$ 1,524,910	\$ 1,524,910
Bonds and Notes			
US Treasury Bond 05/15/2044	3,500,000 par value	1,442,245	1,780,170
US Treasury Bond 11/15/2038	3,175,000 par value	1,463,643	1,893,634
US Treasury Bond 11/15/2042	2,250,000 par value	897,638	1,192,860
US Treasury Bond 11/15/2043	2,100,000 par value	811,314	1,083,558
US Treasury Bond 11/15/2044	3,350,000 par value	1,300,549	1,685,854
US Treasury Bond 05/15/2045	275,000 par value	273,722	313,544
US Treasury Bond 11/15/2045	1,210,000 par value	1,283,541	1,380,634
US Treasury Bond 02/15/2046	595,000 par value	613,693	614,986
US Treasury Bond 05/15/2046	430,000 par value	441,433	445,050
US Treasury Note 08/31/2021	235,000 par value	234,486	234,760
US Treasury Note 08/15/2026	150,000 par value	148,740	148,541
US Treasury Note 02/15/2026	1,700,000 par value	1,662,812	1,702,924
US Treasury Note 05/15/2026	1,455,000 par value	1,464,264	1,456,935
US Treasury SEC Stripped 11/15/2034	2,500,000 par value	1,558,875	1,676,825
US Treas SEC Stripped 02/15/2035	800,000 par value	450,480	532,656
US Treasury Bond/Note Stripped Zero	8,025,000 par value	3,651,879	4,724,441
US Treasury Bond Stripped Zero	2,400,000 par value	1,550,088	1,659,960
US Treas SEC Stripped 11/15/2037	2,900,000 par value	1,390,405	1,777,555
US Treasury Bond Zero CPN	3,175,000 par value	1,385,023	1,773,936
Activist Funding SCS	500,000 par value	517,990	516,260
Alibaba Group Holding	135,000 par value	137,078	137,707
America Movil SAB DE CV	465,000 par value	485,700	476,086
American Express Credit 05/26/2020	295,000 par value	293,159	301,714
Ameriprise Financial, Inc.	235,000 par value	236,567	235,555
Anheuser-Busch INBEV FIN 02/01/2026	435,000 par value	452,996	467,189
Anheuser-Busch INBEV FIN 02/01/2036	415,000 par value	410,800	477,155
Apple, Inc.	405,000 par value	407,722	446,877
Applied Materials, Inc.	140,000 par value	158,117	173,481
AT&T, Inc. 12/01/2022	425,000 par value	398,782	428,370
AT&T, Inc. 06/15/2045	410,000 par value	349,496	403,871
Bank of America Corp.	695,000 par value	792,793	797,068
Bank of New York Mellon	205,000 par value	206,312	206,390
Bear Stearns Co., Inc.	350,000 par value	397,628	375,865
Berkshire Hathaway, Inc.	395,000 par value	394,992	415,793
Berkshire Hathaway FIN	365,000 par value	399,598	403,851
BNP Paribas Med Term Note	400,000 par value	404,396	406,088
BP Capital Markets PLC 05/10/2023	30,000 par value	28,737	30,501
BP Capital Markets PLC 05/04/2026	355,000 par value	364,017	362,778
Burlington Resour Finan	235,000 par value	304,555	306,362
Capital One NA	235,000 par value	235,096	234,993
Caterpillar, Inc.	225,000 par value	222,892	230,605
Celgene Corp.	410,000 par value	434,522	441,357
Chevron Corp.	200,000 par value	200,538	213,030

Allison Transmission Retirement Program for Salaried Employees

EIN 26-0413897 PN 003

Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (Continued) September 30, 2016

(a)(b) Identity of Issuer	(c) Description of Investment	(d) Cost	(e) Current Value
Cisco Systems, Inc.	230,000 par value	\$ 230,658	\$ 232,684
Citigroup, Inc.	465,000 par value	472,812	475,091
CNOOC Finance 2013 LTD	525,000 par value	511,943	531,174
Comcast, Corp.	310,000 par value	307,988	344,311
Commonwealth Edison, Co.	465,000 par value	420,704	480,187
Cons Edison Co. of NY	235,000 par value	235,362	269,243
CR Bard, Inc.	245,000 par value	262,620	269,346
Danaher Corp.	500,000 par value	498,920	590,810
DTE Electric Co.	450,000 par value	454,365	476,325
Duke Energy Corp.	400,000 par value	404,612	404,600
Ecolab, Inc.	250,000 par value	248,035	250,475
Energy Transfer Partners	200,000 par value	194,836	202,530
Exelon Generation Co., LLC	240,000 par value	264,926	267,067
Florida Power & Light Co. 06/01/2024	500,000 par value	507,400	535,700
Florida Power & Light Co. 02/01/2041	345,000 par value	428,331	442,331
Ford Motor Credit Co., LLC	460,000 par value	468,441	466,748
France Telecom	185,000 par value	198,322	204,930
Franklin Resources, Inc.	125,000 par value	123,598	130,461
General Elec Capital Intl Funding	299,000 par value	282,711	335,589
General Elec Cap Corp. 01/10/2039	85,000 par value	114,807	128,033
General Electric Co. 03/11/2044	725,000 par value	787,419	834,642
Gilead Sciences, Inc.	310,000 par value	313,906	316,113
Goldman Sachs Group, Inc.	350,000 par value	399,175	406,539
Home Depot, Inc.	380,000 par value	394,543	420,941
HSBC BK USA N A Global	270,000 par value	318,114	326,900
Huntington Bancshares	220,000 par value	223,718	223,566
Intel Corp.	170,000 par value	169,747	188,601
John Deere Capital Corp.	230,000 par value	231,247	235,674
JPMorgan Chase & Co.	405,000 par value	403,817	424,796
KeyCorp	285,000 par value	316,381	321,734
Kinder Morgan Ener Part	160,000 par value	160,685	172,269
Loews Corp.	215,000 par value	220,586	228,366
Lowe's Companies, Inc.	175,000 par value	200,123	221,100
Lyondellbasell Ind NV	385,000 par value	416,155	413,213
MasterCard, Inc.	395,000 par value	402,090	425,834
Medtronic, Inc.	380,000 par value	417,865	430,608
MetLife, Inc.	195,000 par value	203,005	216,029
Microsoft Corp.	430,000 par value	454,936	457,413
MidAmerican Energy, Co. 10/15/2024	500,000 par value	513,640	545,255
MidAmerican Energy, Co. 09/15/2043	280,000 par value	302,778	341,558
Morgan Stanley	180,000 par value	202,390	201,713
NBC Universal Meda, LLC	185,000 par value	201,570	205,848
Omnicom Group, Inc.	360,000 par value	365,695	385,639

Allison Transmission Retirement Program for Salaried Employees

EIN 26-0413897 PN 003

Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (Continued) September 30, 2016

(a)(b) Identity of Issuer	(c) Description of Investment	(d) Cost	(e) Current Value
Oracle Group 04/15/2038	320,000 par value	\$ 402,547	\$ 442,838
PepsiCo, Inc.	400,000 par value	446,972	473,608
Shell International Fin	240,000 par value	236,088	235,966
Southwestern Public Serv	390,000 par value	399,820	453,172
Statoil ASA	405,000 par value	379,922	417,575
StatoilHydro ASA	320,000 par value	357,376	350,320
Trans-Canada Pipelines	70,000 par value	71,959	72,031
Travelers Cos., Inc.	225,000 par value	330,064	325,343
TJX COS, Inc.	210,000 par value	203,375	216,357
Toyota Motor Credit Corp.	390,000 par value	405,046	419,593
United Technologies Corp. 06/01/2042	200,000 par value	204,474	231,643
United Health Group, Inc.	160,000 par value	159,981	185,803
US Bancorp	200,000 par value	200,666	211,888
Virginia Electric Power	360,000 par value	457,866	498,071
Visa, Inc.	205,000 par value	205,070	216,548
Walt Disney Company	130,000 par value	127,266	124,488
*Wells Fargo & Company 02/13/2023	405,000 par value	401,809	417,632
*Wells Fargo & Company 03/08/2022	475,000 par value	491,720	503,975
*Wells Fargo & Company 07/22/2027	190,000 par value	201,852	204,771
Wyeth	280,000 par value	345,999	354,452
Americredit Automobile	510,000 par value	508,068	519,053
Avis Budget Rental Car Funding	550,000 par value	551,074	544,033
Santander Drive Auto 04/15/2021	495,000 par value	497,243	506,217
Comm 2015-LC21 MTG TR	330,000 par value	321,331	310,335
Credit Suisse Mortgage Trust	450,000 par value	444,620	435,964
Csail Commercial Mortgage Trust 06/15/2057	735,000 par value	652,140	545,723
Comm Mortgage Trust 08/10/2047	470,000 par value	435,044	399,984
Comm Mortgage Trust 03/10/2047	600,000 par value	569,063	518,484
DBUBS Mortgage Trust	250,000 par value	267,412	263,408
JPMorgan Chase Commercial Mortgage	450,000 par value	459,229	461,466
JPMBB Commercial Mortgage Sec	590,000 par value	572,761	546,629
SFAVE Commercial Mortgage Sec	390,000 par value	379,397	384,723
UBS - Citigroup Commercial Mortgage	500,000 par value	543,125	547,330
California St Build America Bonds	350,000 par value	502,999	559,003
Electricite DE France SA	450,000 par value	453,726	473,171
FMR LLC	390,000 par value	481,417	518,790
MetLife Glob Funding	340,000 par value	334,413	350,642
Roche Holding, Inc.	425,000 par value	452,353	459,446
Teachers Insur & Annuity	400,000 par value	442,936	449,884
		59,408,512	64,700,114

Allison Transmission Retirement Program for Salaried Employees

EIN 26-0413897 PN 003

Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (Continued) September 30, 2016

(a)(b) Identity of Issuer	(c) Description of Investment	(d) Cost	(e) Current Value
Mutual Funds			
Blackstone Alternative Multi-Strategy Fund Class I	155,999 shares	\$ 1,605,896	\$ 1,589,626
DFA Emerging Markets Core Equity Fund	43,747 shares	789,377	804,070
Eaton Vance Atlanta Capital Smid-Cap Fund	83,167 shares	2,219,144	2,344,474
Hartford International Opportunities Fund	157,888 shares	2,485,938	2,451,994
PIMCO Commodity Real Return Strategy Fund	105,476 shares	847,962	739,388
Principal Investors Real Estate Securities INSTL Fund	31,876 shares	696,068	769,495
Vanguard Institutional Index Fund	15,963 shares	3,016,773	3,162,346
		<u>11,661,158</u>	<u>11,861,393</u>
		<u>\$ 72,594,580</u>	<u>\$ 78,086,417</u>

* Parties-in-interest

**Allison Transmission Retirement Program for
Salaried Employees
EIN 26-0413897 PN 003
Schedule H, Line 4j - Schedule of Reportable Transactions
Year Ended September 30, 2016**

Identity	Description	Purchase Price	Selling Price	Lease Rental	Expenses Incurred With Transaction	Cost of Asset	Current Value of Asset on Transaction Date	Net Gain
Issuer	Wells Fargo Advantage Treasury Plus Money Market Fund							
	Purchases	\$ 25,187,923				\$ 25,187,923	\$ 25,187,923	
	Sales		\$ 25,088,207			25,088,207	25,088,207	\$ -
Issuer	US Treasury Bond 3% 11/15/2045							
	Purchases	3,233,726				3,223,726	3,223,726	
	Sales		1,974,947			1,940,185	1,974,947	34,762
Issuer	US Treasury Bond 1.625% 2/15/2026							
	Purchases	3,213,138				3,213,138	3,213,138	
	Sales		1,589,986			1,550,326	1,589,986	39,660

Schedule SB, line 26 — Schedule of Active Participant Data

Attained age	Years of credited service										
	Under 1	1–4	5–9	10–14	15–19	20–24	25–29	30–34	35–39	40 & up	Total
Under 25											
25–29											
30–34			2	2							4
35–39			2	2	16	1					21
40–44			2	2	25	1	2				32
45–49			1	3	30	26	13	4			77
50–54			4		33	19	16	15	8		95
55–59					22	14	11	46	58	13	164
60–64					12	12	7	11	34	18	94
65–69					2	3	1	1	5	7	19
70 & up					1						1
Total			11	9	141	76	50	77	105	38	507

In each cell, the top number is the count of active participants for each age/service combination.

Schedule SB, Part V — Statement of Actuarial Assumptions/Methods**Actuarial Assumptions for October 1, 2015**

Discount rate sponsor elections	<u>10/1/2015</u>	<u>10/1/2015</u>
• Segment rates or full yield curve	Segment rates	Segment rates
• Look-back months	0	0
	<u>Stabilized rates</u>	<u>Nonstabilized rates</u>
• First 5 years	4.72%	1.35%
• Next 15 years	6.11%	4.01%
• Over 20 years	6.81%	5.04%
Rationale: Interest rate prescribed by IRS.		
<hr/>		
Mortality sponsor elections		
• Healthy participants	Section 430(h)(3) prescribed separate generational annuitant and nonannuitant mortality tables. These tables are based on the RP-2000 mortality tables projected with mortality improvement.	
• Disabled participants	Section 430(h)(3) prescribed separate generational annuitant and nonannuitant mortality tables. These tables are based on the RP-2000 mortality tables projected with mortality improvement.	
Rationale: Mortality prescribed by IRS.		
<hr/>		
Other economic assumptions		
• Salary increases (base pay)	3.00% per year Rationale: Based on future expectations and discussions with the plan sponsor.	
• iComp amount	In any given year, iComp may or may not be paid based on the company's and each individual employee's performance. For service cost calculation purposes, iComp has been estimated based on employee level as follows: <ul style="list-style-type: none">• Level 5 and below – 3.00% of base pay• Level 6 – 4.00% of base pay• Level 7 – 5.00% of base pay• Level 8 and above – 8.00% of base pay It is further assumed that 25% of participants will receive an additional 10% iComp payment above the amounts listed above (or 2.50% of total base pay). Rationale: Based on future expectations and discussions with the plan sponsor.	
• Inflation	2.50% per year Rationale: Based on the inflation information periodically published by Mercer Investment Consulting's <i>Capital Markets Outlook</i> .	

Schedule SB, Part V — Statement of Actuarial Assumptions/Methods

- Expenses \$260,000 added to current year normal cost
Rationale: Expenses are assumed to be equal to the prior Plan Year administration expenses plus expected PBGC Premiums for the current Plan Year rounded to the nearest \$10,000.
- Part C account balance interest credit rate 3.25% per year
Rationale: Based on prior July 30-Year Treasury Rate (minimum of 3.25% per plan provisions).

Demographic assumptions

- Withdrawal ATI ultimate rates for salaried employees. See table of sample rates.
Rationale: Based on an ATI experience study undertaken in 2014 using data from 2009 through 2013 and the expectation that this period will be representative of anticipated future experience.
- Disability incidence None assumed.
- Retirement age ATI retirement rates for salaried employees. See table of sample rates.
Rationale: Based on an ATI experience study undertaken in 2014 using data from 2009 through 2013 and the expectation that this period will be representative of anticipated future experience.
- Benefit commencement age for
 - Future vested deferred 65
 - Current vested deferred 65
- Spouse assumptions

	<u>Male participants</u>	<u>Female participants</u>
— Percentage married	85%	70%
— Spouse age difference	3 years younger	3 years older

Form of payment (Part A participants)	<u>Single life</u>	<u>65% J&S</u>	<u>50% J&S</u>	<u>Lump sum</u>
• Active retirements	25% of unmarried	25% of married	N/A	75%
• Future vested deferred	25%	0%	N/A	75%
• Future disabilities	N/A	N/A	N/A	N/A
• Future deaths	N/A	100% of married retirement eligible	100% of married not eligible	N/A
• Current vested deferred	25%	0%	N/A	75%

Rationale: Based on ATI experience; reviewed annually

Schedule SB, Part V — Statement of Actuarial Assumptions/Methods

Form of payment (Part C participants)	Life annuity (account balance converted based on applicable 417(e) mortality and interest rates)
Unpredictable contingent event assumptions	Not applicable

Schedule SB, Part V — Statement of Actuarial Assumptions/Methods***Table of Sample Rates***

Attained age	Withdrawal rates
25	
30	5.00%
35	5.00%
40	4.00%
45	3.00%
50	2.00%
55	

Attained age	Retirement rates
48	2.00%
49	2.00%
50	2.00%
51	2.00%
52	2.00%
53	2.00%
54	2.00%
55	2.00%
56	2.00%
57	5.00%
58	5.00%
59	5.00%
60	10.00%
61	10.00%
62	15.00%
63	15.00%
64	15.00%
65	30.00%
66	30.00%
67	30.00%
68	30.00%
69	30.00%
70+	100.00%

Schedule SB, Part V — Statement of Actuarial Assumptions/Methods

Actuarial Methods

Asset Methods

The asset valuation method is the fair market value, plus any discounted receivable contributions.

Participant Methods

Participants or former participants are included or excluded from the valuation as described below:

- **Participants included:** The plan sponsor provides us with data on all participants as of the valuation date.
- **Participants excluded:** No actuarial liability is included for nonvested participants who terminated prior to the valuation date. For this purpose, participants with a break in service on the valuation date are treated as terminated participants.
- **Insurance contracts:** The plan does not have any insurance contracts.

Minimum Funding Methods

The funding target for minimum funding calculations is computed using the traditional unit credit method of funding. The objective under this method is to fund each participant's benefits under the plan as they accrue. Thus, the total pension to which each participant is expected to become entitled at retirement is broken down into units, each associated with a year of past or future credited service.

A detailed description of the calculation follows:

- The plan's valuation date is the beginning of the plan year.
- An individual's **funding target** is the present value of future benefits based on credited service and average pay as of the beginning of the plan year, and an individual's **target normal cost** is the present value of the benefit expected to accrue in the plan year. If multiple decrements are used, the funding target and the target normal cost for an individual is the sum of the component funding targets and target normal costs associated with the various anticipated separation dates.
- The plan's **target normal cost** is the sum of the individual target normal costs, and the plan's **funding target** is the sum of the individual funding targets for all participants under the plan.

REPORTABLE TRANSACTIONS - SINGLE / BY ISSUE
 FOR THE PERIOD OCTOBER 1, 2015 THROUGH SEPTEMBER 30, 2016

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
-----	-----	-----	-----	-----	-----	-----	-----
		BEGINNING MARKET VALUE		68,288,747.18			
		COMPARATIVE VALUE (5%)		3,414,437.36			
		-----		-----			
22831205	ISSUE: VP7000061 - WF TREAS PLUS MM FD-INST #793 04/28/16 S	3,518,765	1.000	0	3,518,765 *	3,518,765	0
	GRAND TOTAL			0	3,518,765	3,518,765	0

FOOTNOTES

* = SINGLE TRANSACTION IS 5% REPORTABLE
 B = BUY TRANSACTION
 S = SELL TRANSACTION
 R = REINVESTMENT TRANSACTION

REPORTABLE TRANSACTIONS - SERIES / BY BROKER
 FOR THE PERIOD OCTOBER 1, 2015 THROUGH SEPTEMBER 30, 2016

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
BEGINNING MARKET VALUE			68,288,747.18				
COMPARATIVE VALUE (5%)			3,414,437.36				
BROKER: BANC OF AMERICA SECURITIES LLC							
22831205	ISSUE: 912828K74 - US TREASURY NOTE 2% 8/15/25 11/30/15 S	325,000	0.981	0	318,754	323,286	-4,532
22831205	ISSUE: 912810RNO - US TREASURY BOND 2.875% 8/15/45 12/07/15 B	230,000	0.970	0	223,127-	223,127	
22831205	ISSUE: 912828M56 - US TREASURY NOTE 2.25% 11/15/25 12/17/15 S	185,000	1.001	0	185,181	185,029	152
22831205	ISSUE: 369604BH5 - GENERAL ELECTRIC CO 4.5% 3/11/44 01/08/16 S	180,000	1.046	0	188,311	182,171	6,140
22831205	ISSUE: 912810RNO - US TREASURY BOND 2.875% 8/15/45 01/08/16 B	155,000	0.992	0	153,692-	153,692	
22831205	ISSUE: 912828M56 - US TREASURY NOTE 2.25% 11/15/25 01/11/16 B	105,000	1.008	0	105,870-	105,870	
22831205	ISSUE: 30288BAE5 - VR FREMF MORTGAGE 3.6806% 11/25/47 03/11/16 B	30,000	0.869	0	26,067-	26,067	
22831205	ISSUE: 30288BAE5 - VR FREMF MORTGAGE 3.6806% 11/25/47 04/08/16 B	60,000	0.910	0	54,572-	54,572	
22831205	ISSUE: 912810RNO - US TREASURY BOND 2.875% 8/15/45 04/15/16 S	215,000	1.066	0	229,244	218,334	10,910
22831205	ISSUE: 912828D56 - US TREASURY NOTE 2.375% 8/15/24 04/19/16 B	370,000	1.053	0	389,584-	389,584	
22831205	ISSUE: 30293HAE5 - VR FREMF MORTGAGE 3.76025% 6/25/25 04/19/16 S	430,000	0.900	0	387,168	388,335	-1,167
22831205	ISSUE: 912828M56 - US TREASURY NOTE 2.25% 11/15/25 04/26/16 B	600,000	1.029	0	617,461-	617,461	
22831205	ISSUE: 912810RP5 - US TREASURY BOND 3% 11/15/45 04/26/16 B	1,740,000	1.052	0	1,831,010-	1,831,010	
22831205	ISSUE: 912834NP9 - US TREASURY BOND 5/15/44 04/27/16 S	2,350,000	0.448	0	1,053,482	968,365	85,117
22831205	ISSUE: 912810RM2 - US TREASURY BOND 3% 5/15/45 04/27/16 S	245,000	1.056	0	258,800	243,862	14,939
22831205	ISSUE: 30288BAE5 - VR FREMF MORTGAGE 3.6806% 11/25/47 04/27/16 S	390,000	0.933	0	363,782	345,127	18,655
22831205	ISSUE: 912828P79 - US TREASURY NOTE 1.5% 2/28/23 05/03/16 S	390,000	0.996	0	388,446	386,618	1,828
22831205	ISSUE: 912810RP5 - US TREASURY BOND 3% 11/15/45 05/13/16 S	125,000	1.092	0	136,528	132,597	3,931

REPORTABLE TRANSACTIONS - SERIES / BY BROKER
 FOR THE PERIOD OCTOBER 1, 2015 THROUGH SEPTEMBER 30, 2016

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831205	ISSUE: 912828M56 - US TREASURY NOTE 2.25% 11/15/25 06/01/16 S	430,000	1.035	0	445,151	439,653	5,498
22831205	ISSUE: 912810RP5 - US TREASURY BOND 3% 11/15/45 06/07/16 S	400,000	1.098	0	439,172	424,311	14,861
22831205	ISSUE: 912828R36 - US TREASURY NOTE 1.625% 5/15/26 06/30/16 S	15,000	1.013	0	15,194	14,695	499
22831205	ISSUE: 25468PDM5 - WALT DISNEY COMPANY/ 1.85% 7/30/26 07/07/16 B	130,000	0.979	0	127,266-	127,266	
22831205	ISSUE: 172967KK6 - CITIGROUP INC 2.7% 3/30/21 07/26/16 B	465,000	1.017	0	472,812-	472,812	
22831205	ISSUE: 912828S27 - US TREASURY NOTE 1.125% 6/30/21 07/29/16 S	365,000	1.004	0	366,597	364,658	1,939
22831205	ISSUE: 912828A7 - US TREASURY NOTE 1.5% 8/15/26 08/19/16 B	600,000	0.992	0	594,961-	594,961	
22831205	ISSUE: 912828TY6 - US TREASURY NOTE 1.625% 11/15/22 08/23/16 S	50,000	1.018	0	50,904	50,811	94
22831205	ISSUE: 912828TY6 - US TREASURY NOTE 1.625% 11/15/22 08/23/16 S	250,000	1.018	0	254,541	254,053	488
22831205	ISSUE: 912810RP5 - US TREASURY BOND 3% 11/15/45 09/20/16 S	200,000	1.119	0	223,867	212,156	11,712
SUB-TOTAL				0	9,901,544	9,730,483	171,062

BROKER: CITIGROUP GBL MKTS/SALOMON BRO

22831205	ISSUE: 912828K74 - US TREASURY NOTE 2% 8/15/25 10/09/15 S	200,000	0.991	0	198,141	198,968	-827
22831205	ISSUE: 912810RM2 - US TREASURY BOND 3% 5/15/45 10/22/15 B	375,000	1.030	0	386,235-	386,235	
22831205	ISSUE: 912828K74 - US TREASURY NOTE 2% 8/15/25 10/26/15 B	325,000	0.994	0	323,121-	323,121	
22831205	ISSUE: 594918AW4 - MICROSOFT CORP 3.625% 12/15/23 10/26/15 S	385,000	1.074	0	413,375	402,775	10,599
22831205	ISSUE: 912834PB8 - US TREASURY BOND 11/15/44 11/30/15 B	1,400,000	0.400	0	560,504-	560,504	
22831205	ISSUE: 912828M56 - US TREASURY NOTE 2.25% 11/15/25 12/17/15 B	185,000	1.000	0	185,029-	185,029	
22831205	ISSUE: 654106AC7 - NIKE INC 2.25% 5/01/23 12/17/15 S	250,000	0.980	0	245,110	241,715	3,395
22831205	ISSUE: 151020AE4 - CELGENE CORP 3.95% 10/15/20 12/21/15 B	100,000	1.046	0	104,614-	104,614	
22831205	ISSUE: 38259PAD4 - GOOGLE INC 3.375% 2/25/24 02/04/16 S	70,000	1.067	0	74,706	72,243	2,463
22831205	ISSUE: 912810RNO - US TREASURY BOND 2.875% 8/15/45 02/12/16 S	165,000	1.056	0	174,230	167,558	6,671

**REPORTABLE TRANSACTIONS - SERIES / BY BROKER
 FOR THE PERIOD OCTOBER 1, 2015 THROUGH SEPTEMBER 30, 2016**

**ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831205	ISSUE: 912828P46 - US TREASURY NOTE 1.625% 2/15/26 04/25/16 B	315,000	0.976	0	307,334-	307,334	
22831205	ISSUE: 912828P46 - US TREASURY NOTE 1.625% 2/15/26 04/25/16 B	2,000,000	0.975	0	1,950,625-	1,950,625	
22831205	ISSUE: 912828Q52 - US TREASURY NOTE 0.75% 4/15/19 04/29/16 S	100,000	0.998	0	99,840	99,613	227
22831205	ISSUE: 912810RM2 - US TREASURY BOND 3% 5/15/45 05/03/16 S	325,000	1.071	0	348,144	323,490	24,653
22831205	ISSUE: 912810RP5 - US TREASURY BOND 3% 11/15/45 05/03/16 B	160,000	1.072	0	171,575-	171,575	
22831205	ISSUE: 912828Q52 - US TREASURY NOTE 0.75% 4/15/19 05/03/16 S	335,000	0.999	0	334,712	333,704	1,008
22831205	ISSUE: 887317AL9 - TIME WARNER INC 6.25% 3/29/41 05/03/16 S	200,000	1.230	0	246,044	228,444	17,600
22831205	ISSUE: 912810RP5 - US TREASURY BOND 3% 11/15/45 05/04/16 B	105,000	1.078	0	113,154-	113,154	
22831205	ISSUE: 887317AL9 - TIME WARNER INC 6.25% 3/29/41 05/04/16 S	150,000	1.233	0	184,884	171,333	13,551
22831205	ISSUE: 035242AP1 - ANHEUSER-BUSCH INBEV 3.65% 2/01/26 05/18/16 B	435,000	1.041	0	452,996-	452,996	
22831205	ISSUE: 912828R77 - US TREASURY NOTE 1.375% 5/31/21 07/29/16 S	100,000	1.017	0	101,664	100,012	1,652
22831205	ISSUE: 912810RQ3 - US TREASURY BOND 2.5% 2/15/46 08/03/16 B	345,000	1.041	0	359,070-	359,070	
22831205	ISSUE: 125720AF2 - CME GROUP INC 5.3% 9/15/43 08/03/16 S	360,000	1.327	0	477,670	407,617	70,052
22831205	ISSUE: 912828A7 - US TREASURY NOTE 1.5% 8/15/26 09/12/16 S	300,000	0.985	0	295,441	297,480	-2,039
22831205	ISSUE: 912828TY6 - US TREASURY NOTE 1.625% 11/15/22 09/12/16 S	50,000	1.012	0	50,604	50,811	-207
22831205	ISSUE: 172967EW7 - CITIGROUP INC 8.125% 7/15/39 09/28/16 S	78,000	1.584	0	123,540	112,173	11,366
22831205	ISSUE: 912810RS9 - US TREASURY BOND 2.5% 5/15/46 09/28/16 B	70,000	1.049	0	73,445-	73,445	
SUB-TOTAL				0	8,355,807	8,195,638	160,165
BROKER: CHASE SECURITIES INC							
22831205	ISSUE: 30288BAE5 - VR FREMF MORTGAGE 3.6806% 11/25/47 12/04/15 B	300,000	0.882	0	264,488-	264,488	
22831205	ISSUE: 46640JAC1 - VR JP MORGAN CHASE 4.18864% 1/15/46 02/11/16 B	370,000	0.847	0	313,286-	313,286	
22831205	ISSUE: 912828P46 - US TREASURY NOTE 1.625% 2/15/26 05/05/16 B	610,000	0.987	0	602,327-	602,327	

**REPORTABLE TRANSACTIONS - SERIES / BY BROKER
 FOR THE PERIOD OCTOBER 1, 2015 THROUGH SEPTEMBER 30, 2016**

**ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831205	ISSUE: 912810RNO - US TREASURY BOND 2.875% 8/15/45 05/16/16 S	450,000	1.058	0	475,910	456,977	18,933
22831205	ISSUE: 912828R77 - US TREASURY NOTE 1.375% 5/31/21 05/31/16 B	100,000	1.000	0	100,012-	100,012	
22831205	ISSUE: 30161MAG8 - EXELON GENERATION CO 6.25% 10/01/39 06/17/16 S	75,000	1.107	0	83,010	82,790	221
22831205	ISSUE: 912810RQ3 - US TREASURY BOND 2.5% 2/15/46 06/17/16 B	50,000	1.014	0	50,680-	50,680	
22831205	ISSUE: 05565QDB1 - BP CAPITAL MARKETS 3.119% 5/04/26 06/30/16 B	175,000	1.023	0	178,980-	178,980	
22831205	ISSUE: 46635TBA9 - VR JP MORGAN CHASE 5.61416% 2/15/46 07/25/16 S	550,000	1.081	0	594,301	600,273	-5,973
22831205	ISSUE: 46640JAC1 - VR JP MORGAN CHASE 4.18864% 1/15/46 08/17/16 S	370,000	0.944	0	349,289	313,286	36,003
22831205	ISSUE: 912828S76 - US TREASURY NOTE 1.125% 7/31/21 08/17/16 S	300,000	0.998	0	299,520	300,153	-633
22831205	ISSUE: 912810RS9 - US TREASURY BOND 2.5% 5/15/46 09/12/16 B	360,000	1.022	0	367,988-	367,988	
22831205	ISSUE: 46646GAA5 - VR JP MORGAN CHASE 2.8541% 10/06/38 09/12/16 B	450,000	1.020	0	459,229-	459,229	
22831205	ISSUE: 46625HJM3 - JPMORGAN CHASE & CO 5.625% 8/16/43 09/12/16 S	435,000	1.193	0	518,946	465,019	53,927
SUB-TOTAL				0	4,657,966	4,555,488	102,477

BROKER: DEUTSCHE BANK SECURITIES

22831205	ISSUE: 912834NP9 - US TREASURY BOND 5/15/44 04/25/16 S	2,700,000	0.446	0	1,204,119	1,112,589	91,530
22831205	ISSUE: 80284BAG9 - SANTANDER DRIVE AUTO 3.02% 4/15/21 04/25/16 S	435,000	1.005	0	437,345	432,910	4,435
22831205	ISSUE: 02666AADO - AMERICAN HOMES 4 REN 4.407% 4/17/52 04/25/16 S	300,000	0.982	0	294,563	297,012	-2,449
22831205	ISSUE: 912828P87 - US TREASURY NOTE 1.125% 2/28/21 04/25/16 S	525,000	0.990	0	519,545	517,740	1,805
22831205	ISSUE: 912828Q52 - US TREASURY NOTE 0.75% 4/15/19 04/25/16 B	435,000	0.996	0	433,318-	433,318	
22831205	ISSUE: 9128337U8 - US TREASURY BOND STRIPPED 04/25/16 B	2,400,000	0.646	0	1,550,088-	1,550,088	
22831205	ISSUE: 912828P79 - US TREASURY NOTE 1.5% 2/28/23 04/27/16 B	390,000	0.991	0	386,618-	386,618	
22831205	ISSUE: 912828D56 - US TREASURY NOTE 2.375% 8/15/24 05/03/16 S	370,000	1.052	0	389,411	389,584	-173
22831205	ISSUE: 912828M56 - US TREASURY NOTE 2.25% 11/15/25 05/18/16 S	430,000	1.032	0	443,807	439,653	4,154

REPORTABLE TRANSACTIONS - SERIES / BY BROKER
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ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831205	ISSUE: 912810RP5 - US TREASURY BOND 3% 11/15/45 05/18/16 S	140,000	1.072	0	150,139	148,509	1,630
22831205	ISSUE: 912810RNO - US TREASURY BOND 2.875% 8/15/45 05/25/16 S	85,000	1.044	0	88,705	86,318	2,388
22831205	ISSUE: 912810RP5 - US TREASURY BOND 3% 11/15/45 05/25/16 S	340,000	1.070	0	363,680	360,664	3,016
22831205	ISSUE: 912810RM2 - US TREASURY BOND 3% 5/15/45 06/22/16 S	50,000	1.106	0	55,285	49,768	5,517
22831205	ISSUE: 912828P46 - US TREASURY NOTE 1.625% 2/15/26 06/30/16 S	140,000	1.012	0	141,679	136,937	4,741
22831205	ISSUE: 912828P46 - US TREASURY NOTE 1.625% 2/15/26 06/30/16 S	80,000	1.013	0	81,022	78,250	2,772
22831205	ISSUE: 912828R36 - US TREASURY NOTE 1.625% 5/15/26 07/25/16 B	1,455,000	1.006	0	1,464,264-	1,464,264	
22831205	ISSUE: 912828S76 - US TREASURY NOTE 1.125% 7/31/21 07/28/16 B	125,000	1.002	0	125,186-	125,186	
22831205	ISSUE: 912828S76 - US TREASURY NOTE 1.125% 7/31/21 07/28/16 B	150,000	1.002	0	150,223-	150,223	
22831205	ISSUE: 912828S27 - US TREASURY NOTE 1.125% 6/30/21 08/09/16 S	185,000	1.001	0	185,130	184,827	304
22831205	ISSUE: 912828TY6 - US TREASURY NOTE 1.625% 11/15/22 08/17/16 B	350,000	1.016	0	355,674-	355,674	
22831205	ISSUE: 912828S76 - US TREASURY NOTE 1.125% 7/31/21 08/19/16 S	580,000	0.998	0	578,641	580,295	-1,654
22831205	ISSUE: 912828P46 - US TREASURY NOTE 1.625% 2/15/26 09/07/16 S	500,000	1.006	0	503,125	489,062	14,063
22831205	ISSUE: 912828P46 - US TREASURY NOTE 1.625% 2/15/26 09/08/16 S	230,000	1.000	0	229,946	224,969	4,977
SUB-TOTAL				0	10,131,513	9,994,458	137,054

BROKER: CANTOR FITZGERALD & CO.

22831205	ISSUE: 912834JH2 - US TREASURY BOND 11/15/40 10/01/15 B	650,000	0.471	0	306,066-	306,066	
22831205	ISSUE: 268317AS3 - P/P ELECTRICITE DE 3.625% 10/13/25 10/09/15 B	405,000	1.000	0	405,134-	405,134	
22831205	ISSUE: 9128334Z0 - US TREASURY SEC STRIPPED 2/15/35 12/04/15 B	800,000	0.563	0	450,480-	450,480	
22831205	ISSUE: 92826CAD4 - VISA INC 3.15% 12/14/25 12/17/15 B	205,000	1.000	0	205,070-	205,070	
22831205	ISSUE: 035242AM8 - ANHEUSER-BUSCH INBEV 4.7% 2/01/36 01/14/16 B	415,000	0.990	0	410,800-	410,800	
22831205	ISSUE: 912834NP9 - US TREASURY BOND 5/15/44 01/26/16 S	1,000,000	0.434	0	434,410	412,070	22,340

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ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831205	ISSUE: 35671DBD6 - FREEPORT-MCMORAN C 2.375% 3/15/18 02/04/16 S	85,000	0.683	0	58,013	84,469	-26,456
22831205	ISSUE: 35671DBD6 - FREEPORT-MCMORAN C 2.375% 3/15/18 02/12/16 S	50,000	0.668	0	33,375	49,688	-16,313
22831205	ISSUE: 35671DBD6 - FREEPORT-MCMORAN C 2.375% 3/15/18 02/18/16 S	120,000	0.768	0	92,100	119,250	-27,150
22831205	ISSUE: 35671DBD6 - FREEPORT-MCMORAN C 2.375% 3/15/18 02/19/16 S	55,000	0.755	0	41,525	54,656	-13,131
22831205	ISSUE: 35671DBD6 - FREEPORT-MCMORAN C 2.375% 3/15/18 02/22/16 S	10,000	0.770	0	7,700	9,938	-2,238
22831205	ISSUE: 35671DBD6 - FREEPORT-MCMORAN C 2.375% 3/15/18 02/23/16 S	10,000	0.788	0	7,875	9,938	-2,063
22831205	ISSUE: 35671DBD6 - FREEPORT-MCMORAN C 2.375% 3/15/18 02/24/16 S	10,000	0.780	0	7,800	9,938	-2,138
22831205	ISSUE: 35671DBD6 - FREEPORT-MCMORAN C 2.375% 3/15/18 02/25/16 S	15,000	0.793	0	11,888	14,906	-3,019
22831205	ISSUE: 35671DBD6 - FREEPORT-MCMORAN C 2.375% 3/15/18 02/26/16 S	20,000	0.815	0	16,300	19,875	-3,575
22831205	ISSUE: 9128337W4 - US TREAS SEC STIPPED 11/15/34 04/25/16 B	2,500,000	0.624	0	1,558,875-	1,558,875	
22831205	ISSUE: 89352HAQ2 - TRANS-CANADA PIPELIN 1.625% 11/09/17 05/11/16 S	100,000	1.000	0	99,998	99,434	564
22831205	ISSUE: 89352HAS8 - TRANS-CANADA PIPELIN 3.125% 1/15/19 05/11/16 B	70,000	1.028	0	71,959-	71,959	
22831205	ISSUE: 24422ETH2 - JOHN DEERE CAPITAL 2.65% 6/10/26 06/09/16 B	230,000	1.005	0	231,247-	231,247	
22831205	ISSUE: 912810RQ3 - US TREASURY BOND 2.5% 2/15/46 06/17/16 B	130,000	1.015	0	131,909-	131,909	
22831205	ISSUE: 670346AN5 - NUCOR CORP 5.2% 8/01/43 06/17/16 S	170,000	1.074	0	182,553	172,849	9,704
22831205	ISSUE: 149123CB5 - CATERPILLAR INC 3.803% 8/15/42 06/22/16 B	225,000	0.991	0	222,892-	222,892	
22831205	ISSUE: 05565QDB1 - BP CAPITAL MARKETS 3.119% 5/04/26 06/30/16 B	90,000	1.023	0	92,093-	92,093	
22831205	ISSUE: 05565QDB1 - BP CAPITAL MARKETS 3.119% 5/04/26 07/06/16 B	90,000	1.033	0	92,945-	92,945	
22831205	ISSUE: 03076CAH9 - AMERIPRISE FINANCIAL 2.875% 9/15/26 08/09/16 B	235,000	1.007	0	236,567-	236,567	
22831205	ISSUE: 822582BX9 - SHELL INTERNATIONAL 2.5% 9/12/26 09/08/16 B	240,000	0.984	0	236,088-	236,088	
22831205	ISSUE: 14042RBS9 - CAPITAL ONE NA 1.85% 9/13/19 09/09/16 B	100,000	1.000	0	100,041-	100,041	
22831205	ISSUE: 14042RBS9 - CAPITAL ONE NA 1.85% 9/13/19 09/12/16 B	135,000	1.000	0	135,055-	135,055	
22831205	ISSUE: 17275RBL5 - CISCO SYSTEMS INC 2.5% 9/20/26 09/14/16 B	230,000	1.003	0	230,658-	230,658	

REPORTABLE TRANSACTIONS - SERIES / BY BROKER
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ALLISON SALARIED CONSOLIDATED
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TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831205	ISSUE: 375558BJ1 - GILEAD SCIENCES INC 4% 9/01/36 09/20/16 B	310,000	1.013	0	313,906-	313,906	
	SUB-TOTAL			0	6,425,322	6,488,796	-63,474
BROKER: JEFFERIES & COMPANY, INC.							
22831205	ISSUE: 912810RNO - US TREASURY BOND 2.875% 8/15/45 01/07/16 B	205,000	0.989	0	202,726-	202,726	
22831205	ISSUE: 666807BH4 - NORTHROP GRUMMAN COR 4.75% 6/01/43 01/07/16 S	245,000	1.045	0	256,121	249,337	6,784
22831205	ISSUE: 912810RNO - US TREASURY BOND 2.875% 8/15/45 01/12/16 B	60,000	0.991	0	59,475-	59,475	
22831205	ISSUE: 20030NBH3 - COMCAST CORP 4.25% 1/15/33 01/12/16 S	100,000	1.004	0	100,373	99,351	1,022
22831205	ISSUE: 92343VCQ5 - VERIZON COMMUNICATIO 4.4% 11/01/34 03/14/16 S	425,000	0.977	0	415,412	400,584	14,828
22831205	ISSUE: 912810RP5 - US TREASURY BOND 3% 11/15/45 03/14/16 B	250,000	1.057	0	264,141-	264,141	
22831205	ISSUE: 912810RP5 - US TREASURY BOND 3% 11/15/45 04/20/16 B	115,000	1.072	0	123,266-	123,266	
22831205	ISSUE: 912810RP5 - US TREASURY BOND 3% 11/15/45 04/20/16 B	80,000	1.075	0	85,988-	85,988	
22831205	ISSUE: 912810RP5 - US TREASURY BOND 3% 11/15/45 04/20/16 B	140,000	1.085	0	151,856-	151,856	
22831205	ISSUE: 373334JW2 - GEORGIA POWER COMPAN 4.3% 3/15/42 04/20/16 S	145,000	1.068	0	154,814	139,051	15,763
22831205	ISSUE: 373334JW2 - GEORGIA POWER COMPAN 4.3% 3/15/42 04/20/16 S	100,000	1.070	0	107,006	95,897	11,109
22831205	ISSUE: 373334JW2 - GEORGIA POWER COMPAN 4.3% 3/15/42 04/20/16 S	175,000	1.077	0	188,487	167,820	20,668
22831205	ISSUE: 369604BH5 - GENERAL ELECTRIC CO 4.5% 3/11/44 04/26/16 B	300,000	1.109	0	332,751-	332,751	
22831205	ISSUE: 912810RP5 - US TREASURY BOND 3% 11/15/45 04/26/16 B	110,000	1.052	0	115,758-	115,758	
22831205	ISSUE: 912810RP5 - US TREASURY BOND 3% 11/15/45 04/26/16 S	265,000	1.051	0	278,498	280,675	-2,176
22831205	ISSUE: 670346AN5 - NUCOR CORP 5.2% 8/01/43 04/26/16 S	150,000	1.061	0	159,210	152,514	6,696
22831205	ISSUE: 713448DD7 - PEPSICO INC 4.45% 4/14/46 04/28/16 B	400,000	1.117	0	446,972-	446,972	
22831205	ISSUE: 912810RP5 - US TREASURY BOND 3% 11/15/45 04/28/16 S	360,000	1.064	0	383,063	381,273	1,789
22831205	ISSUE: 94973VBA4 - WELLPOINT INC 3.3% 1/15/23 05/12/16 S	515,000	1.023	0	527,036	499,370	27,666

REPORTABLE TRANSACTIONS - SERIES / BY BROKER
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ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831205	ISSUE: 23338VAF3 - DTE ELECTRIC CO 3.7% 6/01/46 05/16/16 B	450,000	1.010	0	454,365-	454,365	
22831205	ISSUE: 341081FD4 - FLORIDA POWER & LIGH 5.25% 2/01/41 05/25/16 B	345,000	1.242	0	428,331-	428,331	
22831205	ISSUE: 771196BE1 - P/P ROCHE HOLDING IN 3.35% 9/30/24 05/31/16 B	425,000	1.064	0	452,353-	452,353	
22831205	ISSUE: 878091BD8 - P/P TEACHERS INSUR 4.9% 9/15/44 06/07/16 B	400,000	1.107	0	442,936-	442,936	
22831205	ISSUE: 30161MAG8 - EXELON GENERATION CO 6.25% 10/01/39 07/12/16 S	50,000	1.159	0	57,970	55,193	2,777
22831205	ISSUE: 912810RQ3 - US TREASURY BOND 2.5% 2/15/46 07/12/16 B	35,000	1.060	0	37,095-	37,095	
	SUB-TOTAL			0	6,226,003	6,119,078	106,925
	GRAND TOTAL			0	45,698,155	45,083,941	614,210

FOOTNOTES

* = SINGLE TRANSACTION IS 5% REPORTABLE
 B = BUY TRANSACTION
 S = SELL TRANSACTION
 R = REINVESTMENT TRANSACTION

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
 FOR THE PERIOD OCTOBER 1, 2015 THROUGH SEPTEMBER 30, 2016

ALLISON SALARIED CONSOLIDATED
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TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
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BEGINNING MARKET VALUE	68,288,747.18
COMPARATIVE VALUE (5%)	3,414,437.36

ISSUE: VP7000061 - WF ADV TREAS PLUS MM FD-INST #793

22831200	10/30/15 B	63	1.000	0	63-	63	
22831200	01/19/16 B	1,000,000	1.000	0	1,000,000-	1,000,000	
22831200	02/05/16 B	64	1.000	0	64-	64	
22831200	02/19/16 B	252	1.000	0	252-	252	
22831200	04/15/16 B	3,000,000	1.000	0	3,000,000-	3,000,000	
22831200	04/29/16 B	50,000	1.000	0	50,000-	50,000	
22831200	05/03/16 B	360,000	1.000	0	360,000-	360,000	
22831200	05/05/16 B	1,530,000	1.000	0	1,530,000-	1,530,000	
22831200	05/10/16 B	368,742	1.000	0	368,742-	368,742	
22831200	05/10/16 B	629	1.000	0	629-	629	
22831200	06/28/16 B	64	1.000	0	64-	64	
22831200	07/11/16 B	755	1.000	0	755-	755	
22831200	07/18/16 B	3,000,000	1.000	0	3,000,000-	3,000,000	
22831204	10/01/15 B	1	1.000	0	1-	1	
22831204	11/02/15 B	0	1.000	0	0-	0	
22831204	12/01/15 B	0	1.000	0	0-	0	
22831204	01/04/16 B	1	1.000	0	1-	1	
22831204	01/27/16 B	299	1.000	0	299-	299	
22831204	02/01/16 B	2	1.000	0	2-	2	
22831204	03/01/16 B	0	1.000	0	0-	0	
22831204	04/01/16 B	0	1.000	0	0-	0	
22831204	04/20/16 B	300,000	1.000	0	300,000-	300,000	
22831204	05/02/16 B	0	1.000	0	0-	0	
22831204	05/03/16 B	360,000	1.000	0	360,000-	360,000	
22831204	06/01/16 B	0	1.000	0	0-	0	
22831204	07/05/16 B	0	1.000	0	0-	0	
22831204	07/19/16 B	480,000	1.000	0	480,000-	480,000	
22831204	08/01/16 B	2	1.000	0	2-	2	
22831204	09/01/16 B	0	1.000	0	0-	0	
22831205	10/01/15 B	53,575	1.000	0	53,575-	53,575	
22831205	10/01/15 B	399,873	1.000	0	399,873-	399,873	
22831205	10/08/15 B	1,275	1.000	0	1,275-	1,275	
22831205	10/09/15 B	23,773	1.000	0	23,773-	23,773	
22831205	10/13/15 B	76,435	1.000	0	76,435-	76,435	
22831205	10/13/15 B	5,288	1.000	0	5,288-	5,288	
22831205	10/14/15 B	8,292	1.000	0	8,292-	8,292	
22831205	10/16/15 B	9,775	1.000	0	9,775-	9,775	

**REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
 FOR THE PERIOD OCTOBER 1, 2015 THROUGH SEPTEMBER 30, 2016**

**ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
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22831205	10/19/15 B	1,640	1.000	0	1,640-	1,640	
22831205	10/19/15 B	3,802	1.000	0	3,802-	3,802	
22831205	10/20/15 B	8,927	1.000	0	8,927-	8,927	
22831205	10/20/15 B	352	1.000	0	352-	352	
22831205	10/23/15 B	69,697	1.000	0	69,697-	69,697	
22831205	10/28/15 B	1,303	1.000	0	1,303-	1,303	
22831205	10/29/15 B	94,124	1.000	0	94,124-	94,124	
22831205	10/30/15 B	55,074	1.000	0	55,074-	55,074	
22831205	11/02/15 B	26,620	1.000	0	26,620-	26,620	
22831205	11/09/15 B	1,275	1.000	0	1,275-	1,275	
22831205	11/12/15 B	5,775	1.000	0	5,775-	5,775	
22831205	11/12/15 B	3,963	1.000	0	3,963-	3,963	
22831205	11/12/15 B	2,467	1.000	0	2,467-	2,467	
22831205	11/13/15 B	4,753	1.000	0	4,753-	4,753	
22831205	11/16/15 B	43,002	1.000	0	43,002-	43,002	
22831205	11/17/15 B	7,999	1.000	0	7,999-	7,999	
22831205	11/18/15 B	1,640	1.000	0	1,640-	1,640	
22831205	11/18/15 B	7,551	1.000	0	7,551-	7,551	
22831205	11/19/15 B	3,770	1.000	0	3,770-	3,770	
22831205	11/20/15 B	1,719	1.000	0	1,719-	1,719	
22831205	11/23/15 B	8,907	1.000	0	8,907-	8,907	
22831205	11/24/15 B	7,800	1.000	0	7,800-	7,800	
22831205	11/25/15 B	1,347	1.000	0	1,347-	1,347	
22831205	11/27/15 B	3,503	1.000	0	3,503-	3,503	
22831205	11/30/15 B	11,430	1.000	0	11,430-	11,430	
22831205	12/01/15 B	39,908	1.000	0	39,908-	39,908	
22831205	12/04/15 B	405,079	1.000	0	405,079-	405,079	
22831205	12/08/15 B	3,088	1.000	0	3,088-	3,088	
22831205	12/10/15 B	368,794	1.000	0	368,794-	368,794	
22831205	12/11/15 B	194,579	1.000	0	194,579-	194,579	
22831205	12/11/15 B	4,356	1.000	0	4,356-	4,356	
22831205	12/14/15 B	19,027	1.000	0	19,027-	19,027	
22831205	12/15/15 B	30,536	1.000	0	30,536-	30,536	
22831205	12/18/15 B	12,181	1.000	0	12,181-	12,181	
22831205	12/21/15 B	1,719	1.000	0	1,719-	1,719	
22831205	12/22/15 B	40,845	1.000	0	40,845-	40,845	
22831205	01/04/16 B	12	1.000	0	12-	12	
22831205	01/05/16 B	20,416	1.000	0	20,416-	20,416	
22831205	01/08/16 B	1,275	1.000	0	1,275-	1,275	
22831205	01/11/16 B	10,546	1.000	0	10,546-	10,546	
22831205	01/12/16 B	58,753	1.000	0	58,753-	58,753	
22831205	01/13/16 B	46,265	1.000	0	46,265-	46,265	
22831205	01/14/16 B	47,230	1.000	0	47,230-	47,230	
22831205	01/15/16 B	12,644	1.000	0	12,644-	12,644	
22831205	01/15/16 B	2,154	1.000	0	2,154-	2,154	
22831205	01/19/16 B	25,124	1.000	0	25,124-	25,124	

**REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
 FOR THE PERIOD OCTOBER 1, 2015 THROUGH SEPTEMBER 30, 2016**

**ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
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22831205	01/20/16 B	305,381	1.000	0	305,381-	305,381	
22831205	01/21/16 B	2,789	1.000	0	2,789-	2,789	
22831205	01/25/16 B	2,125	1.000	0	2,125-	2,125	
22831205	01/26/16 B	920	1.000	0	920-	920	
22831205	01/27/16 B	17,804	1.000	0	17,804-	17,804	
22831205	01/27/16 B	434,410	1.000	0	434,410-	434,410	
22831205	01/29/16 B	24,769	1.000	0	24,769-	24,769	
22831205	02/02/16 B	14,688	1.000	0	14,688-	14,688	
22831205	02/03/16 B	22,369	1.000	0	22,369-	22,369	
22831205	02/04/16 B	119,253	1.000	0	119,253-	119,253	
22831205	02/05/16 B	1,426	1.000	0	1,426-	1,426	
22831205	02/08/16 B	1,275	1.000	0	1,275-	1,275	
22831205	02/09/16 B	61,865	1.000	0	61,865-	61,865	
22831205	02/12/16 B	4,903	1.000	0	4,903-	4,903	
22831205	02/12/16 B	4,629	1.000	0	4,629-	4,629	
22831205	02/16/16 B	145,567	1.000	0	145,567-	145,567	
22831205	02/18/16 B	33,880	1.000	0	33,880-	33,880	
22831205	02/18/16 B	1,640	1.000	0	1,640-	1,640	
22831205	02/19/16 B	9,413	1.000	0	9,413-	9,413	
22831205	02/22/16 B	3,335	1.000	0	3,335-	3,335	
22831205	02/23/16 B	94,680	1.000	0	94,680-	94,680	
22831205	02/24/16 B	42,102	1.000	0	42,102-	42,102	
22831205	02/25/16 B	10,000	1.000	0	10,000-	10,000	
22831205	02/26/16 B	7,981	1.000	0	7,981-	7,981	
22831205	02/29/16 B	7,908	1.000	0	7,908-	7,908	
22831205	03/01/16 B	34,947	1.000	0	34,947-	34,947	
22831205	03/02/16 B	23,945	1.000	0	23,945-	23,945	
22831205	03/07/16 B	1,426	1.000	0	1,426-	1,426	
22831205	03/08/16 B	9,588	1.000	0	9,588-	9,588	
22831205	03/09/16 B	2,672	1.000	0	2,672-	2,672	
22831205	03/10/16 B	39,620	1.000	0	39,620-	39,620	
22831205	03/11/16 B	12,669	1.000	0	12,669-	12,669	
22831205	03/11/16 B	2,780	1.000	0	2,780-	2,780	
22831205	03/15/16 B	53,486	1.000	0	53,486-	53,486	
22831205	03/17/16 B	155,801	1.000	0	155,801-	155,801	
22831205	03/17/16 B	5,904	1.000	0	5,904-	5,904	
22831205	03/18/16 B	9,351	1.000	0	9,351-	9,351	
22831205	03/21/16 B	1,719	1.000	0	1,719-	1,719	
22831205	03/23/16 B	6,581	1.000	0	6,581-	6,581	
22831205	03/24/16 B	7,268	1.000	0	7,268-	7,268	
22831205	03/28/16 B	2,194	1.000	0	2,194-	2,194	
22831205	03/29/16 B	10,938	1.000	0	10,938-	10,938	
22831205	04/01/16 B	53,644	1.000	0	53,644-	53,644	
22831205	04/04/16 B	391,178	1.000	0	391,178-	391,178	
22831205	04/05/16 B	71,067	1.000	0	71,067-	71,067	
22831205	04/08/16 B	1,275	1.000	0	1,275-	1,275	

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
 FOR THE PERIOD OCTOBER 1, 2015 THROUGH SEPTEMBER 30, 2016

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
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22831205	04/08/16 B	1,474	1.000	0	1,474-	1,474	
22831205	04/11/16 B	50,994	1.000	0	50,994-	50,994	
22831205	04/12/16 B	3,707	1.000	0	3,707-	3,707	
22831205	04/12/16 B	2,591	1.000	0	2,591-	2,591	
22831205	04/14/16 B	7,341	1.000	0	7,341-	7,341	
22831205	04/15/16 B	35,525	1.000	0	35,525-	35,525	
22831205	04/15/16 B	2,666	1.000	0	2,666-	2,666	
22831205	04/15/16 B	455,187	1.000	0	455,187-	455,187	
22831205	04/18/16 B	19,772	1.000	0	19,772-	19,772	
22831205	04/19/16 B	124,334	1.000	0	124,334-	124,334	
22831205	04/19/16 B	1,329	1.000	0	1,329-	1,329	
22831205	04/20/16 B	2,185,000	1.000	0	2,185,000-	2,185,000	
22831205	04/25/16 B	88,079	1.000	0	88,079-	88,079	
22831205	04/26/16 B	43,925	1.000	0	43,925-	43,925	
22831205	04/27/16 B	64,268	1.000	0	64,268-	64,268	
22831205	04/28/16 B	520,154	1.000	0	520,154-	520,154	
22831205	05/02/16 B	112,696	1.000	0	112,696-	112,696	
22831205	05/05/16 B	1,468,460	1.000	0	1,468,460-	1,468,460	
22831205	05/06/16 B	74,899	1.000	0	74,899-	74,899	
22831205	05/09/16 B	72,524	1.000	0	72,524-	72,524	
22831205	05/10/16 B	534	1.000	0	534-	534	
22831205	05/11/16 B	756	1.000	0	756-	756	
22831205	05/12/16 B	5,442	1.000	0	5,442-	5,442	
22831205	05/12/16 B	2,508	1.000	0	2,508-	2,508	
22831205	05/13/16 B	31,510	1.000	0	31,510-	31,510	
22831205	05/16/16 B	77,019	1.000	0	77,019-	77,019	
22831205	05/16/16 B	14,456	1.000	0	14,456-	14,456	
22831205	05/17/16 B	84,639	1.000	0	84,639-	84,639	
22831205	05/17/16 B	5,076	1.000	0	5,076-	5,076	
22831205	05/19/16 B	32,792	1.000	0	32,792-	32,792	
22831205	05/20/16 B	1,719	1.000	0	1,719-	1,719	
22831205	05/24/16 B	17,706	1.000	0	17,706-	17,706	
22831205	05/26/16 B	3,503	1.000	0	3,503-	3,503	
22831205	05/31/16 B	30,602	1.000	0	30,602-	30,602	
22831205	06/01/16 B	27,379	1.000	0	27,379-	27,379	
22831205	06/06/16 B	291,302	1.000	0	291,302-	291,302	
22831205	06/06/16 B	1,159	1.000	0	1,159-	1,159	
22831205	06/07/16 B	1,474	1.000	0	1,474-	1,474	
22831205	06/08/16 B	3,088	1.000	0	3,088-	3,088	
22831205	06/10/16 B	2,873	1.000	0	2,873-	2,873	
22831205	06/13/16 B	1,328	1.000	0	1,328-	1,328	
22831205	06/14/16 B	9,356	1.000	0	9,356-	9,356	
22831205	06/15/16 B	20,670	1.000	0	20,670-	20,670	
22831205	06/15/16 B	312,999	1.000	0	312,999-	312,999	
22831205	06/17/16 B	4,299	1.000	0	4,299-	4,299	
22831205	06/17/16 B	2,666	1.000	0	2,666-	2,666	

**REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
 FOR THE PERIOD OCTOBER 1, 2015 THROUGH SEPTEMBER 30, 2016**

**ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
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22831205	06/17/16 B	1,291	1.000	0	1,291-	1,291	
22831205	06/20/16 B	3,873	1.000	0	3,873-	3,873	
22831205	06/22/16 B	85,908	1.000	0	85,908-	85,908	
22831205	06/22/16 B	411,648	1.000	0	411,648-	411,648	
22831205	06/24/16 B	15,329	1.000	0	15,329-	15,329	
22831205	07/05/16 B	28,449	1.000	0	28,449-	28,449	
22831205	07/08/16 B	2,701	1.000	0	2,701-	2,701	
22831205	07/11/16 B	7,125	1.000	0	7,125-	7,125	
22831205	07/12/16 B	2,661	1.000	0	2,661-	2,661	
22831205	07/12/16 B	5,288	1.000	0	5,288-	5,288	
22831205	07/13/16 B	1,186	1.000	0	1,186-	1,186	
22831205	07/15/16 B	51,927	1.000	0	51,927-	51,927	
22831205	07/15/16 B	2,580	1.000	0	2,580-	2,580	
22831205	07/15/16 B	1,640	1.000	0	1,640-	1,640	
22831205	07/18/16 B	4,355	1.000	0	4,355-	4,355	
22831205	07/19/16 B	1,429,673	1.000	0	1,429,673-	1,429,673	
22831205	07/20/16 B	1,719	1.000	0	1,719-	1,719	
22831205	07/22/16 B	4,085	1.000	0	4,085-	4,085	
22831205	07/25/16 B	15,013	1.000	0	15,013-	15,013	
22831205	08/01/16 B	49,221	1.000	0	49,221-	49,221	
22831205	08/02/16 B	14,248	1.000	0	14,248-	14,248	
22831205	08/05/16 B	1,474	1.000	0	1,474-	1,474	
22831205	08/08/16 B	123,307	1.000	0	123,307-	123,307	
22831205	08/11/16 B	5,925	1.000	0	5,925-	5,925	
22831205	08/15/16 B	61,222	1.000	0	61,222-	61,222	
22831205	08/16/16 B	20,694	1.000	0	20,694-	20,694	
22831205	08/17/16 B	1,640	1.000	0	1,640-	1,640	
22831205	08/18/16 B	6,111	1.000	0	6,111-	6,111	
22831205	08/18/16 B	1,312	1.000	0	1,312-	1,312	
22831205	08/22/16 B	126,457	1.000	0	126,457-	126,457	
22831205	09/01/16 B	13,835	1.000	0	13,835-	13,835	
22831205	09/08/16 B	234,588	1.000	0	234,588-	234,588	
22831205	09/09/16 B	2,672	1.000	0	2,672-	2,672	
22831205	09/12/16 B	188,442	1.000	0	188,442-	188,442	
22831205	09/12/16 B	3,784	1.000	0	3,784-	3,784	
22831205	09/13/16 B	5,820	1.000	0	5,820-	5,820	
22831205	09/15/16 B	512,246	1.000	0	512,246-	512,246	
22831205	09/15/16 B	1,474	1.000	0	1,474-	1,474	
22831205	09/16/16 B	4,819	1.000	0	4,819-	4,819	
22831205	09/19/16 B	371,712	1.000	0	371,712-	371,712	
22831205	09/30/16 B	13,396	1.000	0	13,396-	13,396	
SUB-TOTAL OF BUYS # 216				0	25,187,926	25,187,926	
22831200	10/01/15 S	88,246	1.000	0	88,246	88,246	0
22831200	10/20/15 S	10,671	1.000	0	10,671	10,671	0

**REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
 FOR THE PERIOD OCTOBER 1, 2015 THROUGH SEPTEMBER 30, 2016**

**ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831200	10/26/15 S	42,509	1.000	0	42,509	42,509	0
22831200	11/02/15 S	88,395	1.000	0	88,395	88,395	0
22831200	11/03/15 S	63	1.000	0	63	63	0
22831200	11/05/15 S	370	1.000	0	370	370	0
22831200	11/10/15 S	816	1.000	0	816	816	0
22831200	12/01/15 S	89,457	1.000	0	89,457	89,457	0
22831200	12/10/15 S	573	1.000	0	573	573	0
22831200	12/10/15 S	7,614	1.000	0	7,614	7,614	0
22831200	12/22/15 S	805	1.000	0	805	805	0
22831200	01/04/16 S	90,038	1.000	0	90,038	90,038	0
22831200	01/06/16 S	599	1.000	0	599	599	0
22831200	01/08/16 S	1,355	1.000	0	1,355	1,355	0
22831200	01/19/16 S	77,050	1.000	0	77,050	77,050	0
22831200	01/20/16 S	10,592	1.000	0	10,592	10,592	0
22831200	01/27/16 S	397,800	1.000	0	397,800	397,800	0
22831200	01/28/16 S	341,449	1.000	0	341,449	341,449	0
22831200	01/28/16 S	34,580	1.000	0	34,580	34,580	0
22831200	02/01/16 S	91,891	1.000	0	91,891	91,891	0
22831200	02/09/16 S	64	1.000	0	64	64	0
22831200	03/01/16 S	91,918	1.000	0	91,918	91,918	0
22831200	03/14/16 S	7,610	1.000	0	7,610	7,610	0
22831200	03/15/16 S	172,052	1.000	0	172,052	172,052	0
22831200	03/24/16 S	132,011	1.000	0	132,011	132,011	0
22831200	04/01/16 S	91,922	1.000	0	91,922	91,922	0
22831200	04/08/16 S	35,586	1.000	0	35,586	35,586	0
22831200	04/20/16 S	11,994	1.000	0	11,994	11,994	0
22831200	04/20/16 S	1,885,000	1.000	0	1,885,000	1,885,000	0
22831200	04/22/16 S	1,032,897	1.000	0	1,032,897	1,032,897	0
22831200	04/27/16 S	387,689	1.000	0	387,689	387,689	0
22831200	05/02/16 S	93,590	1.000	0	93,590	93,590	0
22831200	05/12/16 S	368,742	1.000	0	368,742	368,742	0
22831200	05/23/16 S	778	1.000	0	778	778	0
22831200	05/25/16 S	457,346	1.000	0	457,346	457,346	0
22831200	06/01/16 S	92,910	1.000	0	92,910	92,910	0
22831200	06/02/16 S	1,827	1.000	0	1,827	1,827	0
22831200	06/10/16 S	20,071	1.000	0	20,071	20,071	0
22831200	06/29/16 S	58,509	1.000	0	58,509	58,509	0
22831200	06/30/16 S	64	1.000	0	64	64	0
22831200	07/01/16 S	93,556	1.000	0	93,556	93,556	0
22831200	07/05/16 S	1,291	1.000	0	1,291	1,291	0
22831200	07/14/16 S	37,349	1.000	0	37,349	37,349	0
22831200	07/19/16 S	1,910,000	1.000	0	1,910,000	1,910,000	0
22831200	07/20/16 S	11,588	1.000	0	11,588	11,588	0
22831200	07/22/16 S	1,113	1.000	0	1,113	1,113	0
22831200	08/01/16 S	95,156	1.000	0	95,156	95,156	0
22831200	08/09/16 S	2,325	1.000	0	2,325	2,325	0

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
 FOR THE PERIOD OCTOBER 1, 2015 THROUGH SEPTEMBER 30, 2016

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831200	08/24/16 S	623	1.000	0	623	623	0
22831200	08/26/16 S	664,548	1.000	0	664,548	664,548	0
22831200	09/01/16 S	97,415	1.000	0	97,415	97,415	0
22831200	09/09/16 S	77,224	1.000	0	77,224	77,224	0
22831200	09/12/16 S	50,195	1.000	0	50,195	50,195	0
22831200	09/20/16 S	8,079	1.000	0	8,079	8,079	0
22831204	01/26/16 S	27,799	1.000	0	27,799	27,799	0
22831204	04/20/16 S	300,000	1.000	0	300,000	300,000	0
22831204	05/03/16 S	360,000	1.000	0	360,000	360,000	0
22831204	07/20/16 S	480,000	1.000	0	480,000	480,000	0
22831205	10/02/15 S	55,385	1.000	0	55,385	55,385	0
22831205	10/05/15 S	98,345	1.000	0	98,345	98,345	0
22831205	10/06/15 S	306,066	1.000	0	306,066	306,066	0
22831205	10/15/15 S	172,862	1.000	0	172,862	172,862	0
22831205	10/27/15 S	1,320	1.000	0	1,320	1,320	0
22831205	10/28/15 S	327	1.000	0	327	327	0
22831205	11/20/15 S	338	1.000	0	338	338	0
22831205	12/03/15 S	201,121	1.000	0	201,121	201,121	0
22831205	12/04/15 S	279,613	1.000	0	279,613	279,613	0
22831205	12/09/15 S	408,167	1.000	0	408,167	408,167	0
22831205	12/17/15 S	263,283	1.000	0	263,283	263,283	0
22831205	12/17/15 S	327	1.000	0	327	327	0
22831205	12/24/15 S	203,542	1.000	0	203,542	203,542	0
22831205	12/28/15 S	98,352	1.000	0	98,352	98,352	0
22831205	01/25/16 S	394,440	1.000	0	394,440	394,440	0
22831205	01/26/16 S	2,154	1.000	0	2,154	2,154	0
22831205	01/27/16 S	553,000	1.000	0	553,000	553,000	0
22831205	02/01/16 S	44,671	1.000	0	44,671	44,671	0
22831205	02/11/16 S	120,962	1.000	0	120,962	120,962	0
22831205	02/12/16 S	39,532	1.000	0	39,532	39,532	0
22831205	02/17/16 S	131,259	1.000	0	131,259	131,259	0
22831205	02/29/16 S	327	1.000	0	327	327	0
22831205	03/16/16 S	26,112	1.000	0	26,112	26,112	0
22831205	03/21/16 S	327	1.000	0	327	327	0
22831205	03/22/16 S	156	1.000	0	156	156	0
22831205	04/13/16 S	49,860	1.000	0	49,860	49,860	0
22831205	04/20/16 S	9,501	1.000	0	9,501	9,501	0
22831205	04/22/16 S	3,122	1.000	0	3,122	3,122	0
22831205	04/28/16 S	3,518,765	1.000	0	3,518,765 *	3,518,765	0
22831205	04/29/16 S	1,254,888	1.000	0	1,254,888	1,254,888	0
22831205	04/29/16 S	50,000	1.000	0	50,000	50,000	0
22831205	05/03/16 S	59,805	1.000	0	59,805	59,805	0
22831205	05/05/16 S	1,530,000	1.000	0	1,530,000	1,530,000	0
22831205	05/18/16 S	68,180	1.000	0	68,180	68,180	0
22831205	05/18/16 S	327	1.000	0	327	327	0
22831205	05/23/16 S	65,131	1.000	0	65,131	65,131	0

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
 FOR THE PERIOD OCTOBER 1, 2015 THROUGH SEPTEMBER 30, 2016

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831205	06/03/16 S	11,021	1.000	0	11,021	11,021	0
22831205	06/06/16 S	513,125	1.000	0	513,125	513,125	0
22831205	06/10/16 S	1,818	1.000	0	1,818	1,818	0
22831205	06/16/16 S	338	1.000	0	338	338	0
22831205	06/27/16 S	170,569	1.000	0	170,569	170,569	0
22831205	07/06/16 S	33,172	1.000	0	33,172	33,172	0
22831205	07/12/16 S	118,983	1.000	0	118,983	118,983	0
22831205	07/21/16 S	137,575	1.000	0	137,575	137,575	0
22831205	07/26/16 S	472,059	1.000	0	472,059	472,059	0
22831205	07/28/16 S	1,422,357	1.000	0	1,422,357	1,422,357	0
22831205	07/29/16 S	74,526	1.000	0	74,526	74,526	0
22831205	08/03/16 S	17,505	1.000	0	17,505	17,505	0
22831205	08/12/16 S	34,901	1.000	0	34,901	34,901	0
22831205	08/18/16 S	341	1.000	0	341	341	0
22831205	08/22/16 S	356,685	1.000	0	356,685	356,685	0
22831205	08/24/16 S	16,115	1.000	0	16,115	16,115	0
22831205	08/26/16 S	55,733	1.000	0	55,733	55,733	0
22831205	09/14/16 S	100,046	1.000	0	100,046	100,046	0
22831205	09/16/16 S	222,777	1.000	0	222,777	222,777	0
22831205	09/19/16 S	348	1.000	0	348	348	0
22831205	09/20/16 S	228,939	1.000	0	228,939	228,939	0
22831205	09/23/16 S	81,425	1.000	0	81,425	81,425	0
22831205	09/26/16 S	452,853	1.000	0	452,853	452,853	0
22831205	09/28/16 S	17,715	1.000	0	17,715	17,715	0
SUB-TOTAL OF SALES # 118				0	25,088,207	25,088,207	0
SUB-TOTAL				0	50,276,133	50,276,133	0
ISSUE: 912810RP5 - US TREASURY BOND 3% 11/15/45							
22831205	03/14/16 B	250,000	1.057	0	264,141-	264,141	
22831205	04/14/16 B	285,000	1.084	0	308,869-	308,869	
22831205	04/20/16 B	115,000	1.072	0	123,266-	123,266	
22831205	04/20/16 B	80,000	1.075	0	85,988-	85,988	
22831205	04/20/16 B	140,000	1.085	0	151,856-	151,856	
22831205	04/26/16 B	1,740,000	1.052	0	1,831,010-	1,831,010	
22831205	04/26/16 B	110,000	1.052	0	115,758-	115,758	
22831205	04/27/16 B	55,000	1.057	0	58,111-	58,111	
22831205	05/03/16 B	160,000	1.072	0	171,575-	171,575	
22831205	05/04/16 B	105,000	1.078	0	113,154-	113,154	
SUB-TOTAL OF BUYS # 10				0	3,223,728	3,223,728	
22831205	04/26/16 S	265,000	1.051	0	278,498	280,675	-2,176

**REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
 FOR THE PERIOD OCTOBER 1, 2015 THROUGH SEPTEMBER 30, 2016**

**ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831205	04/28/16 S	360,000	1.064	0	383,063	381,273	1,789
22831205	05/13/16 S	125,000	1.092	0	136,528	132,597	3,931
22831205	05/18/16 S	140,000	1.072	0	150,139	148,509	1,630
22831205	05/25/16 S	340,000	1.070	0	363,680	360,664	3,016
22831205	06/07/16 S	400,000	1.098	0	439,172	424,311	14,861
22831205	09/20/16 S	200,000	1.119	0	223,867	212,156	11,712
SUB-TOTAL OF SALES # 7				0	1,974,947	1,940,185	34,762
SUB-TOTAL				0	5,198,675	5,163,913	34,762
ISSUE: 912828P46 - US TREASURY NOTE 1.625% 2/15/26							
22831205	04/21/16 B	110,000	0.978	0	107,594-	107,594	
22831205	04/22/16 B	155,000	0.976	0	151,343-	151,343	
22831205	04/25/16 B	315,000	0.976	0	307,334-	307,334	
22831205	04/25/16 B	2,000,000	0.975	0	1,950,625-	1,950,625	
22831205	05/05/16 B	610,000	0.987	0	602,327-	602,327	
22831205	05/10/16 B	95,000	0.989	0	93,916-	93,916	
SUB-TOTAL OF BUYS # 6				0	3,213,139	3,213,139	
22831205	06/09/16 S	220,000	0.995	0	218,788	215,187	3,601
22831205	06/30/16 S	140,000	1.012	0	141,679	136,937	4,741
22831205	06/30/16 S	80,000	1.013	0	81,022	78,250	2,772
22831205	07/06/16 S	90,000	1.022	0	91,979	88,031	3,948
22831205	09/07/16 S	500,000	1.006	0	503,125	489,062	14,063
22831205	09/08/16 S	230,000	1.000	0	229,946	224,969	4,977
22831205	09/12/16 S	100,000	0.997	0	99,660	97,812	1,848
22831205	09/14/16 S	225,000	0.995	0	223,787	220,078	3,709
SUB-TOTAL OF SALES # 8				0	1,589,986	1,550,326	39,659
SUB-TOTAL				0	4,803,125	4,763,465	39,659
GRAND TOTAL				0	60,277,933	60,203,511	74,422



REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
FOR THE PERIOD OCTOBER 1, 2015 THROUGH SEPTEMBER 30, 2016

ALLISON SALARIED CONSOLIDATED
ACCOUNT NUMBER 22831299

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
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F O O T N O T E S

* = SINGLE TRANSACTION IS 5% REPORTABLE
B = BUY TRANSACTION
S = SELL TRANSACTION
R = REINVESTMENT TRANSACTION



Allison Transmission Retirement Program for Salaried Employees (EIN/PN: 26-0413897/003)
Attachments to Schedule H (2015 Form 5500)
Schedule H, Line 4j - Schedule of Reportable Transactions

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REPORTABLE TRANSACTIONS - SINGLE / BY BROKER
FOR THE PERIOD OCTOBER 1, 2015 THROUGH SEPTEMBER 30, 2016

ALLISON SALARIED CONSOLIDATED
ACCOUNT NUMBER 22831299

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
-----	-----	-----	-----	-----	-----	-----	-----
		BEGINNING MARKET VALUE		68,288,747.18			
		COMPARATIVE VALUE (5%)		3,414,437.36			
		-----		-----			

*** NO TRANSACTIONS QUALIFIED FOR THIS REPORT ***

F O O T N O T E S

* = SINGLE TRANSACTION IS 5% REPORTABLE
B = BUY TRANSACTION
S = SELL TRANSACTION
R = REINVESTMENT TRANSACTION

SCHEDULE SB (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small> <small>Pension Benefit Guaranty Corporation</small>	Single-Employer Defined Benefit Plan Actuarial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500 or 5500-SF.	OMB No. 1210-0110 2015 This Form is Open to Public Inspection
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For calendar plan year 2015 or fiscal plan year beginning 10/01/2015 and ending 09/30/2016

► **Round off amounts to nearest dollar.**

► **Caution:** A penalty of \$1,000 will be assessed for late filing of this report unless reasonable cause is established.

A Name of plan ALLISON TRANSMISSION RETIREMENT PROGRAM FOR SALARIED EMPLOYEES	B Three-digit plan number (PN) ►	003
C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF ALLISON TRANSMISSION, INC.	D Employer Identification Number (EIN) 26-0413897	
E Type of plan: ☒ Single ☐ Multiple-A ☐ Multiple-B		
F Prior year plan size: ☐ 100 or fewer ☐ 101-500 ☒ More than 500		

Part I Basic Information			
1 Enter the valuation date: Month <u>10</u> Day <u>01</u> Year <u>2015</u>			
2 Assets:			
a Market value.....	2a	68,288,747	
b Actuarial value.....	2b	68,288,747	
3 Funding target/participant count breakdown	(1) Number of participants	(2) Vested Funding Target	(3) Total Funding Target
a For retired participants and beneficiaries receiving payment.....	254	12,789,004	12,789,004
b For terminated vested participants.....	127	2,540,911	2,540,911
c For active participants.....	507	34,089,158	34,296,782
d Total.....	888	49,419,073	49,626,697
4 If the plan is in at-risk status, check the box and complete lines (a) and (b) ☐			
a Funding target disregarding prescribed at-risk assumptions	4a		
b Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been in at-risk status for fewer than five consecutive years and disregarding loading factor	4b		
5 Effective interest rate.....	5	6.38%	
6 Target normal cost.....	6	4,051,217	

Statement by Enrolled Actuary

To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

SIGN HERE	 Signature of actuary GARY D. DICKSON, FSA, EA, MAAA Type or print name of actuary	<u>6-29-17</u> Date 1703764 Most recent enrollment number 513-632-2614 Telephone number (including area code)
Firm name 525 VINE STREET, SUITE 1600 CINCINNATI OH 45202-3124 Address of the firm		

If the actuary has not fully reflected any regulation or ruling promulgated under the statute in completing this schedule, check the box and see instructions ☐

For Paperwork Reduction Act Notice and OMB Control Numbers, see the instructions for Form 5500 or 5500-SF.

Schedule SB (Form 5500) 2015
v. 150123

Part II Beginning of Year Carryover and Prefunding Balances

	(a) Carryover balance	(b) Prefunding balance
7 Balance at beginning of prior year after applicable adjustments (line 13 from prior year)	0	0
8 Portion elected for use to offset prior year's funding requirement (line 35 from prior year)		
9 Amount remaining (line 7 minus line 8)	0	0
10 Interest on line 9 using prior year's actual return of <u>8.25%</u>	0	0
11 Prior year's excess contributions to be added to prefunding balance:		
a Present value of excess contributions (line 38a from prior year)		2,322,509
b(1) Interest on the excess, if any, of line 38a over line 38b from prior year Schedule SB, using prior year's effective interest rate of <u>6.61%</u>		153,518
b(2) Interest on line 38b from prior year Schedule SB, using prior year's actual return		0
c Total available at beginning of current plan year to add to prefunding balance		2,476,027
d Portion of (c) to be added to prefunding balance		0
12 Other reductions in balances due to elections or deemed elections		
13 Balance at beginning of current year (line 9 + line 10 + line 11d – line 12)	0	0

Part III Funding Percentages

14 Funding target attainment percentage	14	137.60%
15 Adjusted funding target attainment percentage	15	137.60%
16 Prior year's funding percentage for purposes of determining whether carryover/prefunding balances may be used to reduce current year's funding requirement	16	145.27%
17 If the current value of the assets of the plan is less than 70 percent of the funding target, enter such percentage.	17	%

Part IV Contributions and Liquidity Shortfalls**18** Contributions made to the plan for the plan year by employer(s) and employees:

(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees	(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees
01/19/2016	1,000,000	0			
04/15/2016	3,000,000	0			
07/15/2016	3,000,000	0			
10/14/2016	3,000,000	0			
Totals ▶			18(b)	10,000,000	18(c) 0

19 Discounted employer contributions – see instructions for small plan with a valuation date after the beginning of the year:

a Contributions allocated toward unpaid minimum required contributions from prior years	19a	0
b Contributions made to avoid restrictions adjusted to valuation date	19b	0
c Contributions allocated toward minimum required contribution for current year adjusted to valuation date	19c	9,554,729

20 Quarterly contributions and liquidity shortfalls:

- a** Did the plan have a "funding shortfall" for the prior year? ☐ Yes ☒ No
- b** If line 20a is "Yes," were required quarterly installments for the current year made in a timely manner? ☐ Yes ☐ No
- c** If line 20a is "Yes," see instructions and complete the following table as applicable:

Liquidity shortfall as of end of quarter of this plan year

(1) 1st	(2) 2nd	(3) 3rd	(4) 4th

Part V Assumptions Used to Determine Funding Target and Target Normal Cost**21** Discount rate:**a** Segment rates:1st segment:
4.72%2nd segment:
6.11%3rd segment:
6.81%☐ N/A, full yield curve used**b** Applicable month (enter code)**21b**

0

22 Weighted average retirement age**22**

62

23 Mortality table(s) (see instructions) ☐ Prescribed - combined☒ Prescribed - separate☐ Substitute**Part VI Miscellaneous Items****24** Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment. ☒ Yes ☐ No**25** Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment. ☐ Yes ☒ No**26** Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment. ☒ Yes ☐ No**27** If the plan is subject to alternative funding rules, enter applicable code and see instructions regarding attachment**27****Part VII Reconciliation of Unpaid Minimum Required Contributions For Prior Years****28** Unpaid minimum required contributions for all prior years**28**

0

29 Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (line 19a)**29**

0

30 Remaining amount of unpaid minimum required contributions (line 28 minus line 29)**30**

0

Part VIII Minimum Required Contribution For Current Year**31** Target normal cost and excess assets (see instructions):**a** Target normal cost (line 6)**31a**

4,051,217

b Excess assets, if applicable, but not greater than line 31a**31b**

4,051,217

32 Amortization installments:**a** Net shortfall amortization installment

0

Outstanding Balance

Installment

0

b Waiver amortization installment

0

0

33 If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month _____ Day _____ Year _____) and the waived amount**33****34** Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b - 33)**34**

0

Carryover balance

Prefunding balance

Total balance

35 Balances elected for use to offset funding requirement

0

36 Additional cash requirement (line 34 minus line 35)**36**

0

37 Contributions allocated toward minimum required contribution for current year adjusted to valuation date (line 19c)**37**

9,554,729

38 Present value of excess contributions for current year (see instructions)**a** Total (excess, if any, of line 37 over line 36)**38a**

9,554,729

b Portion included in line 38a attributable to use of prefunding and funding standard carryover balances**38b**

0

39 Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37)**39**

0

40 Unpaid minimum required contributions for all years**40**

0

Part IX Pension Funding Relief Under Pension Relief Act of 2010 (See Instructions)**41** If an election was made to use PRA 2010 funding relief for this plan:**a** Schedule elected ☐ 2 plus 7 years ☐ 15 years**b** Eligible plan year(s) for which the election in line 41a was made ☐ 2008 ☐ 2009 ☐ 2010 ☐ 2011**42** Amount of acceleration adjustment**42****43** Excess installment acceleration amount to be carried over to future plan years**43**

Schedule SB, line 22 — Description of Weighted Average Retirement Age

Each employee is assumed to retire in accordance with the table of retirement rates. The proportion of employees expected to retire at each potential retirement age is shown below. The average retirement age is 62.

(A) Retirement age	(B) Retirement Percent	(C) Lx	(D) Number of employees expected to retire (B) x (C)	(E) (A) x (D)
48	2.00%	10,000	200	9,600
49	2.00%	9,800	196	9,604
50	2.00%	9,604	192	9,604
51	2.00%	9,412	188	9,600
52	2.00%	9,224	184	9,593
53	2.00%	9,039	181	9,582
54	2.00%	8,858	177	9,567
55	2.00%	8,681	174	9,549
56	2.00%	8,508	170	9,529
57	5.00%	8,337	417	23,762
58	5.00%	7,921	396	22,970
59	5.00%	7,525	376	22,197
60	10.00%	7,148	715	42,890
61	10.00%	6,434	643	39,244
62	15.00%	5,790	869	53,848
63	15.00%	4,922	738	46,509
64	15.00%	4,183	628	40,161
65	30.00%	3,556	1,067	69,340
66	30.00%	2,489	747	49,285
67	30.00%	1,742	523	35,022
68	30.00%	1,220	366	24,881
69	30.00%	854	256	17,673
70	100.00%	598	598	41,835
Total			10,000	615,844
Average				61.58

Schedule SB, Part V — Summary of Plan Provisions**Summary of Major Plan Provisions**

Effective date and plan year	Original plan: October 1, 2007 Restated: October 1, 2012 Plan year: October 1 – September 30
Most recent amendment	Amendment Number One (executed September 29, 2015)
Type of Plan	Defined benefit Part A: Career Average Plan Part C: Account Balance Plan
Miscellaneous	
Maximum benefits	Annual benefits may not exceed the limits in IRC Section 415. This limit is indexed annually. For 2015, the limit is \$210,000.

Separate descriptions follow for Part A: Career Average Plan and Part C: Account Balance Plan.

Schedule SB, Part V — Summary of Plan Provisions***Part A: Career Average Plan*****Definitions**

• Covered employees	Salaried employees who are Participants with a Length of Service date with GM prior to January 1, 2001 and who were accruing a benefit under Part D of the GM Plan immediately prior to August 7, 2007.
• Vesting service	Participants become vested after 3 years of Credited Service (including Credited Service prior to August 7, 2007 with GM).
• Credited service	Number of years (to the nearest 1/12) beginning August 7, 2007 during which an employee in regular employment receives compensation. Credited Service prior to August 7, 2007 with GM counts toward eligibility and vesting, but not benefit accrual.
• Compensation considered	Base Salary (including elective 401(k) and 401(m) deferrals and employee elections). Incentive compensation pay (iComp Program) is included effective January 1, 2008.

Normal retirement

• Eligibility	Later of age 65 or the fifth anniversary of date the employee commenced participation in the GM Salaried Retirement Program.
• Benefit	1.25% multiplied by Average Monthly Base Salary for each Plan Year.
• Pre-Effective Date Benefit	Effective as of October 2, 2007, all Part A Participants shall be entitled to a monthly benefit (in addition to the normal benefit) equal to 1.25% multiplied by the Part A Participant's Base Salary from August 7, 2007 through October 1, 2007, divided by 12.

Schedule SB, Part V — Summary of Plan Provisions**Early retirement**

- **Eligibility** Age 55 with 10 years of service
(or 30 or more years of service and hired prior to January 1, 1988)
- **Benefit** Normal Retirement Benefit reduced for retirement prior to age 62 if :
 1. Age 60
 2. Age 55 with 85 age and service points (and hired prior to 1/1/1988)
 3. 30 or more years of service (and hired prior to 1/1/1988)
 Normal Retirement Benefit reduced for retirement prior to age 65 if:
 1. Age 55 but not age 60 with less than 85 age and service points
 2. Less than age 60 (and hired on or after 1/1/1988)

Early retirement factors are as follows:

Age	Age 60 or Hired before 1/1/1988 with Age 55/85 Points or 30 Years	Age 55 with less than 85 Points of Less than age 60 (hired on or after 1/1/1988)
42	21.0%	N/A
43	22.6%	N/A
44	24.3%	N/A
45	26.1%	N/A
46	28.2%	N/A
47	30.4%	N/A
48	32.8%	N/A
49	35.4%	N/A
50	38.3%	N/A
51	41.5%	N/A
52	45.0%	N/A
53	48.9%	N/A
54	53.2%	N/A
55	57.9%	46.0%
56	63.5%	49.6%
57	69.4%	53.2%
58	75.2%	56.8%
59	80.8%	60.4%
60	86.7%	64.0%
61	93.3%	71.2%
62	100.0%	78.4%

Schedule SB, Part V — Summary of Plan Provisions

63	100.0%	85.6%
64	100.0%	92.8%
65	100.0%	100.0%

Early retirement factors are prorated for intermediate ages computed on the basis of the number of complete calendar months by which the participant is under the age attained at the participant's next birthday.

Deferred vested

- Eligibility Vested upon termination
- Benefit Accrued benefit as of the Termination Date is payable at the Normal Retirement Age (or a reduced benefit is payable after age 25).

Early retirement factors are as follows:

Age	Percentage
25	3.5%
30	5.1%
35	7.5%
40	11.0%
45	16.4%
50	24.8%
51	27.0%
52	29.4%
53	32.1%
54	35.0%
55	42.8%
56	46.8%
57	51.2%
58	55.5%
59	59.6%
60	64.0%
61	71.2%
62	78.4%
63	85.6%
64	92.8%
65	100.0%

Early retirement factors are prorated for intermediate ages computed on the basis of the number of complete calendar months by which the participant is under the age attained at the participant's next birthday.

Schedule SB, Part V — Summary of Plan Provisions

Disability	
• Eligibility	Disabled prior to age 65 with 10 years of service or more
• Benefit	The Normal Retirement Benefit as of the date of disability is payable immediately (without reduction).
Pre-retirement death	
• Eligibility	Vested upon Participant's death
• Benefit prior to early retirement	50% of the deferred benefit otherwise payable under the plan is paid to the surviving spouse.
• Benefit after early but before normal retirement	65% of the benefit otherwise payable at the Participant's Early Retirement Benefit date is paid to the surviving spouse.
Form of benefits	
• Automatic form for unmarried participants	Life annuity
• Automatic form for married participants	65% joint and survivor annuity (immediate commencements) 50% joint and survivor annuity (deferred commencements)
	Benefit is 95% of the monthly basic benefit if the spouse's age is within 5 years of the participant's age. Such percentage is increased by one half of one percent (1/2%) (up to a maximum of 100%) for each 12 months in excess of 5 years that the spouse's age exceeds the participant's age and decreased by one-half of one percent (1/2%) for each 12 months in excess of 5 years that the spouse's age is less than the participant's age.
• Optional forms	Contingent Annuitant annuity in any designated percentage (in 5% increments) up to 100% Lump sum
• Optional form conversion factors	Contingent Annuitant: Fixed factors based on the age difference between the participant and contingent beneficiary are used to convert the accrued benefit to the optional form Lump sum: Convert the accrued benefit to the optional form based on Actuarial Value

Schedule SB, Part V — Summary of Plan Provisions

Addendum A enhancements	(Effective 12/1/2008)
• Eligibility	Part A Participants (named in the plan document) who, as of early 2009, had: (1) retired and terminated and requested a benefit calculation; or (2) retired or terminated and are in pay status
• Benefit	Normal retirement benefit, but if the Addendum A Participant retires voluntarily with a Length of Service Date prior to January 1, 1988 with either 30 years of Credited Service or whose combined years of age and years of Credited Service (to the nearest 1/12 in each case) at retirement total 85 or more, the monthly basic benefit otherwise payable to such Employee after he attains Age 62 and One Month shall be redetermined without reduction for commencement prior to age 62. In addition, in lieu of the Pre-Effective Date Benefit to which participants in general are entitled, Addendum A Participants shall be entitled to a monthly benefit equal to 1.25% multiplied by the Addendum A Participant's Base Salary from August 7, 2007 through September 30, 2007, divided by the number of months worked by the Addendum A Participant during this period
Addendum B enhancements	(Effective 10/1/2010)
§ Eligibility	Part A Participants who, (1) retire voluntarily with a Length of Service Date on or before January 1, 1988, with 30 or more years of Credited Service or combined years of age and years of Credited Service (to the nearest 1/12th in each case) at retirement totaling 85 or more; (2) retire and begin receiving benefits on or before November 1, 2009; and (3) are not listed on Addendum A as Addendum A Participants.
§ Benefit	Normal retirement benefit, but the monthly basic benefit otherwise payable to such Employee after he attains Age 62 and One Month shall be redetermined without reduction for commencement prior to age 62.

Schedule SB, Part V — Summary of Plan Provisions**Part C: Account Balance Plan**

Definitions	
§ Covered employees	A salaried employee who is a participant and whose Length of Service Date with GM is on or after January 1, 2001 and before January 1, 2007, and who, prior to January 1, 2007, accrued a benefit under Part C of the GM Plan and was not vested in such benefits as of August 7, 2007.
§ Vesting service	Participants become vested after 3 years of Credited Service (including Credited Service prior to August 7, 2007 with GM).
§ Notional account balance	As of October 2, 2007 an account balance equal to the sum of the participant's pay credits and interest credits earned under Part C of the GM Salaried Retirement Program as of July 31, 2007 plus interest credits as of August 31, 2007 and for each month thereafter until October 1, 2007 based on the interest credit definition in the plan, using October 1, 2006 as the first day of the Plan Year under the GM Plan for which these interest credits are being calculated.
§ Pay credits	No "pay credits" shall be credited to a Part C Participant's account balance under the Plan.
§ Interest credits	Each Part C Participant's account balance is credited with interest credits as of the end of each month beginning October 31, 2007. Interest credits equal the account balance as of the end of the previous month times the monthly interest credit percentage. The account balance as of the end of the previous month shall be determined after any interest credits have been credited for such previous months. The monthly interest credit percentage is equal to $[(1 + \text{the annual interest rate})^{\frac{1}{12}} - 1]$. (The annual interest rate is the greater of 3.25% or the annual rate of interest on 30-year Treasury securities for the third full month prior to the first day of the Plan Year during which interest credits are being credited.)
§ Account balance after separation	If vested, a Part C Participant's account balance continues to be credited with interest credits until the end of the month preceding the benefit commencement date.
§ Actuarial value	Calculated based on the "applicable mortality table" and the "applicable interest rate" for the third full month prior to the first day of the Plan Year preceding the determination date as described in Code Section 417(e).
§ Normal retirement age	Later of age 65 or the fifth anniversary of date the employee commenced participation in the GM Salaried Retirement Program.

Schedule SB, Part V — Summary of Plan Provisions**Benefit commencement**

§ Eligibility	Earlier of : 1. Normal Retirement Age 2. First of the month following separation from service following a request to commence benefits.
§ Benefit	Either of the following (depending on the elected form of benefit): 1. A monthly annuity benefits beginning on the benefit commencement date that has the same Actuarial Value of the vested account balance. 2. A lump sum payment as of the benefit commencement date equal to the vested account balance as of that date.

Pre-retirement death

§ Eligibility	If account is vested, then upon the Participant's death
§ Benefit prior to benefit commencement (if married for at least one year at the time of death)	Either of the following (depending on the elected form of benefit): 1. A monthly annuity benefit beginning on the surviving spouse's benefit commencement date that has the same Actuarial Value of the vested account balance. 2. A lump sum payment as of the surviving spouse's benefit commencement date equal to the vested account balance as of that date.
§ Benefit prior to benefit commencement (if not married or married for less than one year at the time of death)	The designated beneficiary or designated beneficiaries or estate shall receive a lump-sum payment payable as of the first day of the month following the date of death. The lump-sum payment shall equal the vested account balance as of that date.

Form of benefits

§ Automatic form for unmarried participants	Life annuity
§ Automatic form for married participants	50% joint and survivor annuity
§ Optional forms	75% joint and survivor annuity (for married participants) Lump sum payment
§ Optional form conversion factors	Calculated based on the Actuarial Value

Schedule SB, Part V — Summary of Plan Provisions***Benefits Included or Excluded***

Unless noted below, all benefits provided by the plan, as restated and amended through the first amendment, are included in this valuation.

- **Most recent plan amendments included:** Lump sum was added as an optional form of benefit for Part A participants effective January 1, 2016.
- **Plan amendments excluded:** None
- **Late retirement increases:**
 - *Active participants:* The plan provides benefit suspension notices to participants who work beyond normal retirement; therefore, late retirement actuarial increases only apply to participants who defer retirement beyond age 70½. This valuation includes increases for current participants over age 70.
 - *Deferred vested participants:* Current deferred vested participants over normal retirement age are valued including the late retirement actuarial increase.
- **Internal Revenue Code limitations:** The limitations of Internal Revenue Code Section 415(b) and 401(a)(17) have been incorporated into our calculations.
- **IRC Section 416 rules for top-heavy plans:** We did not test whether this plan is top-heavy (when the present value of benefits for key employees equals or exceeds 60% of the present value for all participants). However, we expect that the plan is not top-heavy due to the large number of rank-and-file participants; therefore, the funding target and target normal cost do not reflect any liability for top-heavy benefit accruals.

Additional Benefits Included or Excluded

- **IRC Section 436 benefit restrictions:**
 - *Unpredictable contingent event benefits:* This valuation excludes restricted contingent event benefits that occurred before the valuation date but includes contingent event benefits which are expected to occur on or after the valuation date regardless of anticipated funding-based limitations.
 - *Plan amendments:* See above.
 - *Prohibited payments:* Limitations on prohibited benefits (if any) are reflected for annuity starting dates before the valuation date but are ignored for annuity starting dates on or after the valuation date.
 - *Benefit accruals:* The plan's funding target does not reflect any limitation on benefit accruals. The target normal cost does not reflect any limitation on benefit accruals.
- **Unpredictable contingent event benefits:** The plan does not have any unpredictable contingent event benefits.

Plan Provision Changes Since Prior Valuation

- Maximum compensation amounts and maximum benefit amounts under IRS rules were updated from 2014 to 2015.
- A lump sum was added as an optional form of benefit for Part A participants effective January 1, 2016.

ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2016

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
CASH EQUIVALENTS						
PROPRIETARY FUNDS						
	WELLS FARGO TREASURY PLUS MONEY MARKET INST - #793 CUSIP VP7000061					
303.650	ALLISON SALARIED MUTUAL FUNDS	100.0000	303.65	100.0000	303.65	0.00
303,294.170	ALLISON SALARIED-RYAN LABS	100.0000	303,294.17	100.0000	303,294.17	0.00
1,221,311.820	ALLISON TRANSMISSION SALARIED DB	100.0000	1,221,311.82	100.0000	1,221,311.82	0.00
1,524,909.640	TOTAL WF TREAS PLUS MM FD-INST #793		1,524,909.64		1,524,909.64	0.00
TOTAL PROPRIETARY FUNDS			1,524,909.64		1,524,909.64	0.00
TOTAL CASH EQUIVALENTS			1,524,909.64		1,524,909.64	0.00
BONDS & NOTES						
US TREASURY NOTES AND BONDS						
	US TREASURY BOND DTD 02/16/16 2.500 02/15/2046 CUSIP 912810R03					
595,000.000	ALLISON SALARIED-RYAN LABS	103.1417	613,692.97	103.3590	614,986.05	1,293.08
595,000.000	TOTAL US TREASURY BOND 2.500% 2/15/46		613,692.97		614,986.05	1,293.08
	US TREASURY BOND DTD 05/16/16 2.500 05/15/2046 CUSIP 912810RS9					
430,000.000	ALLISON SALARIED-RYAN LABS	102.6588	441,432.81	103.5000	445,050.00	3,617.19
430,000.000	TOTAL US TREASURY BOND 2.500% 5/15/46		441,432.81		445,050.00	3,617.19
	US TREASURY BOND DTD 05/15/15 3.000 05/15/2045 CUSIP 912810RM2					
275,000.000	ALLISON SALARIED-RYAN LABS	102.5485	282,008.30	114.0160	313,544.00	31,535.70
275,000.000	TOTAL US TREASURY BOND 3.000% 5/15/45		282,008.30		313,544.00	31,535.70

ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2016

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	US TREASURY BOND DTD 11/16/15 3.000 11/15/2045 CUSIP 912810RP5					
<u>1,210,000.000</u>	ALLISON SALARIED-RYAN LABS	106.0778	<u>1,283,541.22</u>	114.1020	<u>1,380,634.20</u>	<u>97,092.98</u>
1,210,000.000	TOTAL US TREASURY BOND 3.000% 11/15/45		1,283,541.22		1,380,634.20	97,092.98
	US TREASURY NOTE DTD 08/31/16 1.125 08/31/2021 CUSIP 9128282F6					
<u>235,000.000</u>	ALLISON SALARIED-RYAN LABS	99.7813	<u>234,485.94</u>	99.8980	<u>234,760.30</u>	<u>274.36</u>
235,000.000	TOTAL US TREASURY NOTE 1.125% 8/31/21		234,485.94		234,760.30	274.36
	US TREASURY NOTE DTD 08/15/16 1.500 08/15/2026 CUSIP 9128282A7					
<u>150,000.000</u>	ALLISON SALARIED-RYAN LABS	99.1602	<u>148,740.23</u>	99.0270	<u>148,540.50</u>	<u>199.73-</u>
150,000.000	TOTAL US TREASURY NOTE 1.500% 8/15/26		148,740.23		148,540.50	199.73-
	US TREASURY NOTE DTD 02/16/16 1.625 02/15/2026 CUSIP 912828P46					
<u>1,700,000.000</u>	ALLISON SALARIED-RYAN LABS	97.8125	<u>1,662,812.00</u>	100.1720	<u>1,702,924.00</u>	<u>40,112.00</u>
1,700,000.000	TOTAL US TREASURY NOTE 1.625% 2/15/26		1,662,812.00		1,702,924.00	40,112.00
	US TREASURY NOTE DTD 05/16/16 1.625 05/15/2026 CUSIP 912828R36					
<u>1,455,000.000</u>	ALLISON SALARIED-RYAN LABS	100.6367	<u>1,464,264.26</u>	100.1330	<u>1,456,935.15</u>	<u>7,329.11-</u>
1,455,000.000	TOTAL US TREASURY NOTE 1.625% 5/15/26		1,464,264.26		1,456,935.15	7,329.11-
	TOTAL US TREASURY NOTES AND BONDS		6,130,977.73		6,297,374.20	166,396.47
	GOVT STRIPPED AND ZERO-COUPON					

**ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2016**

**ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299**

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	US TREAS SEC STRIPPED DTD 08/15/07 11/15/2034 CUSIP 9128337W4					
<u>2,500,000.000</u>	ALLISON SALARIED-RYAN LABS	62.3550	<u>1,558,875.00</u>	67.0730	<u>1,676,825.00</u>	<u>117,950.00</u>
2,500,000.000	TOTAL US TREAS SEC STRIPPED	11/15/34	1,558,875.00		1,676,825.00	117,950.00
	US TREASURY BOND/NOTE STRIPPED ZERO DTD 11/16/09 11/15/2039 CUSIP 912834EV6					
2,650,000.000	ALLISON SALARIED-RYAN LABS	48.5270	1,285,965.50	57.7400	1,530,110.00	244,144.50
2,375,000.000	ALLISON SALARIED-RYAN LABS	45.2470	1,074,616.25	54.4670	1,293,591.25	218,975.00
<u>3,000,000.000</u>	ALLISON SALARIED-RYAN LABS	54.8170	<u>1,644,510.00</u>	63.3580	<u>1,900,740.00</u>	<u>256,230.00</u>
8,025,000.000	TOTAL US TREAS SEC STRIPPED		4,005,091.75		4,724,441.25	719,349.50
	US TREAS SEC STRIPPED DTD 08/15/08 11/15/2037 CUSIP 912834AD0					
<u>2,900,000.000</u>	ALLISON SALARIED-RYAN LABS	52.7070	<u>1,528,503.00</u>	61.2950	<u>1,777,555.00</u>	<u>249,052.00</u>
2,900,000.000	TOTAL US TREAS SEC STRIPPED	11/15/37	1,528,503.00		1,777,555.00	249,052.00
	US TREASURY BOND DTD 05/15/14 0.000 05/15/2044 CUSIP 912834NP9					
<u>3,500,000.000</u>	ALLISON SALARIED-RYAN LABS	41.6870	<u>1,459,045.00</u>	50.8620	<u>1,780,170.00</u>	<u>321,125.00</u>
3,500,000.000	TOTAL US TREASURY BOND	5/15/44	1,459,045.00		1,780,170.00	321,125.00
	US TREASURY BOND DTD 05/15/09 0.000 11/15/2038 CUSIP 912834DU9					
<u>3,175,000.000</u>	ALLISON SALARIED-RYAN LABS	50.6630	<u>1,608,550.25</u>	59.6420	<u>1,893,633.50</u>	<u>285,083.25</u>
3,175,000.000	TOTAL US TREASURY BOND	11/15/38	1,608,550.25		1,893,633.50	285,083.25
	US TREASURY BOND ZERO CPN DTD 11/15/10 11/15/2040 CUSIP 912834JH2					
<u>3,175,000.000</u>	ALLISON SALARIED-RYAN LABS	46.8015	<u>1,485,947.50</u>	55.8720	<u>1,773,936.00</u>	<u>287,988.50</u>
3,175,000.000	TOTAL US TREASURY BOND	11/15/40	1,485,947.50		1,773,936.00	287,988.50

**ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2016**

**ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299**

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	US TREASURY BOND DTD 11/15/12 0.000 11/15/2042 CUSIP 912834LX4					
<u>2,250,000.000</u>	ALLISON SALARIED-RYAN LABS	43.3930	<u>976,342.50</u>	53.0160	<u>1,192,860.00</u>	<u>216,517.50</u>
2,250,000.000	TOTAL US TREASURY BOND	11/15/42	976,342.50		1,192,860.00	216,517.50
	US TREASURY BOND DTD 11/15/13 0.000 11/15/2043 CUSIP 912834MZ8					
<u>2,100,000.000</u>	ALLISON SALARIED-RYAN LABS	42.2700	<u>887,670.00</u>	51.5980	<u>1,083,558.00</u>	<u>195,888.00</u>
2,100,000.000	TOTAL US TREASURY BOND	11/15/43	887,670.00		1,083,558.00	195,888.00
	US TREASURY BOND DTD 11/17/14 0.000 11/15/2044 CUSIP 912834PB8					
<u>3,350,000.000</u>	ALLISON SALARIED-RYAN LABS	40.8597	<u>1,368,798.50</u>	50.3240	<u>1,685,854.00</u>	<u>317,055.50</u>
3,350,000.000	TOTAL US TREASURY BOND	11/15/44	1,368,798.50		1,685,854.00	317,055.50
	US TREASURY BOND STRIPPED ZERO DTD 08/15/07 11/15/2033 CUSIP 9128337U8					
<u>2,400,000.000</u>	ALLISON SALARIED-RYAN LABS	64.5870	<u>1,550,088.00</u>	69.1650	<u>1,659,960.00</u>	<u>109,872.00</u>
2,400,000.000	TOTAL US TREASURY BOND STRIPPED		1,550,088.00		1,659,960.00	109,872.00
	US TREASURY SEC STRIPPED DTD 02/15/06 0.000 02/15/2035 CUSIP 9128334Z0					
<u>800,000.000</u>	ALLISON SALARIED-RYAN LABS	56.3100	<u>450,480.00</u>	66.5820	<u>532,656.00</u>	<u>82,176.00</u>
800,000.000	TOTAL US TREASURY SEC STRIPPED	2/15/35	450,480.00		532,656.00	82,176.00
	TOTAL GOVT STRIPPED AND ZERO-COUPON		16,879,391.50		19,781,448.75	2,902,057.25
	MUNICIPAL TAXABLE BONDS					

ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2016

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	CALIFORNIA ST BUILD AMERICA BONDS-TAXABLE-VA DTD 04/28/09 7.550 04/01/2039 CUSIP 13063A5G5					
350,000.000	ALLISON SALARIED-RYAN LABS	144.5250	505,837.50	159.7150	559,002.50	53,165.00
350,000.000	TOTAL CALIFORNIA ST 7.550% 4/01/39		505,837.50		559,002.50	53,165.00
	TOTAL MUNICIPAL TAXABLE BONDS		505,837.50		559,002.50	53,165.00
	CORPORATE BONDS					
	ACTAVIS FUNDING SCS DTD 03/12/15 3.000 03/12/2020 CUSIP 00507UAP6					
500,000.000	ALLISON SALARIED-RYAN LABS	103.5980	517,990.00	103.2520	516,260.00	1,730.00-
500,000.000	TOTAL ACTAVIS FUNDING SCS 3.000% 3/12/20		517,990.00		516,260.00	1,730.00-
	ALIBABA GROUP HOLDING DTD 05/28/15 2.500 11/28/2019 CUSIP 01609WAC6					
135,000.000	ALLISON SALARIED-RYAN LABS	101.5390	137,077.65	102.0060	137,708.10	630.45
135,000.000	TOTAL ALIBABA GROUP HOLDIN 2.500% 11/28/19		137,077.65		137,708.10	630.45
	AMERICA MOVIL SAB DE CV DTD 07/16/12 3.125 07/16/2022 CUSIP 02364WBD6					
465,000.000	ALLISON SALARIED-RYAN LABS	104.4515	485,699.55	102.3840	476,085.60	9,613.95-
465,000.000	TOTAL AMERICA MOVIL SAB DE 3.125% 7/16/22		485,699.55		476,085.60	9,613.95-
	AMERICAN EXPRESS CREDIT MED TERM NOTE DTD 05/26/15 2.375 05/26/2020 CUSIP 0258MODT3					
295,000.000	ALLISON SALARIED-RYAN LABS	99.9490	294,849.55	102.2760	301,714.20	6,864.65
295,000.000	TOTAL AMERICAN EXPRESS CRE 2.375% 5/26/20		294,849.55		301,714.20	6,864.65

ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2016

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	AMERIPRISE FINANCIAL INC DTD 08/11/16 2.875 09/15/2026 CUSIP 03076CAH9					
<u>235,000.000</u>	ALLISON SALARIED-RYAN LABS	100.6670	<u>236,567.45</u>	100.2360	<u>235,554.60</u>	<u>1,012.85-</u>
235,000.000	TOTAL AMERIPRISE FINANCIAL 2.875% 9/15/26		236,567.45		235,554.60	1,012.85-
	ANHEUSER-BUSCH INBEV FIN DTD 01/25/16 3.650 02/01/2026 CUSIP 035242AP1					
<u>435,000.000</u>	ALLISON SALARIED-RYAN LABS	104.1370	<u>452,995.95</u>	107.4000	<u>467,190.00</u>	<u>14,194.05</u>
435,000.000	TOTAL ANHEUSER-BUSCH INBEV 3.650% 2/01/26		452,995.95		467,190.00	14,194.05
	ANHEUSER-BUSCH INBEV FIN DTD 01/25/16 4.700 02/01/2036 CUSIP 035242AM8					
<u>415,000.000</u>	ALLISON SALARIED-RYAN LABS	98.9880	<u>410,800.20</u>	114.9770	<u>477,154.55</u>	<u>66,354.35</u>
415,000.000	TOTAL ANHEUSER-BUSCH INBEV 4.700% 2/01/36		410,800.20		477,154.55	66,354.35
	APPLE INC DTD 05/13/15 4.375 05/13/2045 CUSIP 037833BH2					
<u>405,000.000</u>	ALLISON SALARIED-RYAN LABS	100.6720	<u>407,721.60</u>	110.3400	<u>446,877.00</u>	<u>39,155.40</u>
405,000.000	TOTAL APPLE INC 4.375% 5/13/45		407,721.60		446,877.00	39,155.40
	APPLIED MATERIALS INC DTD 06/08/11 5.850 06/15/2041 CUSIP 038222AG0					
<u>140,000.000</u>	ALLISON SALARIED-RYAN LABS	111.3010	<u>155,821.40</u>	123.9150	<u>173,481.00</u>	<u>17,659.60</u>
140,000.000	TOTAL APPLIED MATERIALS IN 5.850% 6/15/41		155,821.40		173,481.00	17,659.60
	AT&T INC DTD 12/11/12 2.625 12/01/2022 CUSIP 00206RBN1					
<u>425,000.000</u>	ALLISON SALARIED-RYAN LABS	95.0570	<u>403,992.25</u>	100.7930	<u>428,370.25</u>	<u>24,378.00</u>
425,000.000	TOTAL AT&T INC 2.625% 12/01/22		403,992.25		428,370.25	24,378.00

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PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	AT&T INC DTD 06/15/13 4.350 06/15/2045 CUSIP 00206RBK7					
<u>410,000.000</u>	ALLISON SALARIED-RYAN LABS	85.8330	<u>351,915.30</u>	98.5050	<u>403,870.50</u>	<u>51,955.20</u>
410,000.000	TOTAL AT&T INC 4.350% 6/15/45		351,915.30		403,870.50	51,955.20
	BANK OF AMERICA CORP DTD 12/21/10 5.875 01/05/2021 CUSIP 06051GEE5					
<u>695,000.000</u>	ALLISON SALARIED-RYAN LABS	114.5230	<u>795,934.85</u>	114.6860	<u>797,067.70</u>	<u>1,132.85</u>
695,000.000	TOTAL BANK OF AMERICA CORP 5.875% 1/05/21		795,934.85		797,067.70	1,132.85
	BANK OF NY MELLON CORP MED TERM NOTE DTD 05/02/16 2.050 05/03/2021 CUSIP 06406FAB9					
<u>205,000.000</u>	ALLISON SALARIED-RYAN LABS	100.6400	<u>206,312.00</u>	100.6780	<u>206,389.90</u>	<u>77.90</u>
205,000.000	TOTAL BANK OF NY MELLON CO 2.050% 5/03/21		206,312.00		206,389.90	77.90
	BEAR STEARNS CO INC ACQUIRED BY JPMORGAN CO 05/30/2008 DTD 02/01/08 7.250 02/01/2018 CUSIP 073902RU4					
<u>350,000.000</u>	ALLISON SALARIED-RYAN LABS	111.9540	<u>391,839.00</u>	107.3900	<u>375,865.00</u>	<u>15,974.00-</u>
350,000.000	TOTAL BEAR STEARNS CO INC 7.250% 2/01/18		391,839.00		375,865.00	15,974.00-
	BERKSHIRE HATHAWAY INC DTD 02/11/13 3.000 02/11/2023 CUSIP 084670BJ6					
<u>395,000.000</u>	ALLISON SALARIED-RYAN LABS	101.0510	<u>399,151.45</u>	105.2640	<u>415,792.80</u>	<u>16,641.35</u>
395,000.000	TOTAL BERKSHIRE HATHAWAY 3.000% 2/11/23		399,151.45		415,792.80	16,641.35
	BERKSHIRE HATHAWAY FIN DTD 01/11/11 4.250 01/15/2021 CUSIP 084664BQ3					
<u>365,000.000</u>	ALLISON SALARIED-RYAN LABS	109.6190	<u>400,109.35</u>	110.6440	<u>403,850.60</u>	<u>3,741.25</u>
365,000.000	TOTAL BERKSHIRE HATHAWAY 4.250% 1/15/21		400,109.35		403,850.60	3,741.25

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		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	BNP PARIBAS MED TERM NOTE DTD 12/12/13 2.400 12/12/2018 CUSIP 05574LTX6					
400,000.000	ALLISON SALARIED-RYAN LABS	101.3430	405,372.00	101.5220	406,088.00	716.00
400,000.000	TOTAL BNP PARIBAS 2.400% 12/12/18		405,372.00		406,088.00	716.00
	BP CAPITAL MARKETS PLC DTD 05/10/13 2.750 05/10/2023 CUSIP 05565QCD8					
30,000.000	ALLISON SALARIED-RYAN LABS	96.0070	28,802.10	101.6710	30,501.30	1,699.20
30,000.000	TOTAL BP CAPITAL MARKETS 2.750% 5/10/23		28,802.10		30,501.30	1,699.20
	BP CAPITAL MARKETS PLC DTD 05/04/16 3.119 05/04/2026 CUSIP 05565QDB1					
355,000.000	ALLISON SALARIED-RYAN LABS	102.5399	364,016.80	102.1910	362,778.05	1,238.75-
355,000.000	TOTAL BP CAPITAL MARKETS 3.119% 5/04/26		364,016.80		362,778.05	1,238.75-
	BURLINGTON RESOUR FINAN DTD 08/24/01 7.200 08/15/2031 CUSIP 12201PAB2					
235,000.000	ALLISON SALARIED-RYAN LABS	127.5400	299,719.00	130.3670	306,362.45	6,643.45
235,000.000	TOTAL BURLINGTON RESOUR 7.200% 8/15/31		299,719.00		306,362.45	6,643.45
	CAPITAL ONE NA DTD 09/13/16 1.850 09/13/2019 CUSIP 14042RBS9					
235,000.000	ALLISON SALARIED-RYAN LABS	100.0410	235,096.35	99.9970	234,992.95	103.40-
235,000.000	TOTAL CAPITAL ONE NA 1.850% 9/13/19		235,096.35		234,992.95	103.40-
	CATERPILLAR INC DTD 08/15/12 3.803 08/15/2042 CUSIP 149123CB5					
225,000.000	ALLISON SALARIED-RYAN LABS	99.0630	222,891.75	102.4910	230,604.75	7,713.00
225,000.000	TOTAL CATERPILLAR INC 3.803% 8/15/42		222,891.75		230,604.75	7,713.00

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		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	CELGENE CORP DTD 10/07/10 3.950 10/15/2020 CUSIP 151020AE4					
<u>410,000.000</u>	ALLISON SALARIED-RYAN LABS	106.0203	<u>434,683.40</u>	107.6480	<u>441,356.80</u>	<u>6,673.40</u>
410,000.000	TOTAL CELGENE CORP 3.950% 10/15/20		434,683.40		441,356.80	6,673.40
	CHEVRON CORP DTD 06/24/13 3.191 06/24/2023 CUSIP 166764AH3					
<u>200,000.000</u>	ALLISON SALARIED-RYAN LABS	101.3410	<u>202,682.00</u>	106.5150	<u>213,030.00</u>	<u>10,348.00</u>
200,000.000	TOTAL CHEVRON CORP 3.191% 6/24/23		202,682.00		213,030.00	10,348.00
	CISCO SYSTEMS INC DTD 09/20/16 2.500 09/20/2026 CUSIP 17275RBL5					
<u>230,000.000</u>	ALLISON SALARIED-RYAN LABS	100.2860	<u>230,657.80</u>	101.1670	<u>232,684.10</u>	<u>2,026.30</u>
230,000.000	TOTAL CISCO SYSTEMS INC 2.500% 9/20/26		230,657.80		232,684.10	2,026.30
	CITIGROUP INC DTD 03/30/16 2.700 03/30/2021 CUSIP 172967KK6					
<u>465,000.000</u>	ALLISON SALARIED-RYAN LABS	101.6800	<u>472,812.00</u>	102.1700	<u>475,090.50</u>	<u>2,278.50</u>
465,000.000	TOTAL CITIGROUP INC 2.700% 3/30/21		472,812.00		475,090.50	2,278.50
	CITIGROUP INC DTD 07/23/09 8.125 07/15/2039 CUSIP 172967EW7					
<u>0.000</u>	ALLISON SALARIED-RYAN LABS	0.0000	<u>0.00</u>	157.2130	<u>0.00</u>	<u>0.00</u>
0.000	TOTAL CITIGROUP INC 8.125% 7/15/39		0.00		0.00	0.00
	CNOOC FINANCE 2013 LTD DTD 05/09/13 3.000 05/09/2023 CUSIP 12625GAC8					
<u>525,000.000</u>	ALLISON SALARIED-RYAN LABS	97.5130	<u>511,943.25</u>	101.1760	<u>531,174.00</u>	<u>19,230.75</u>
525,000.000	TOTAL CNOOC FINANCE 2013 3.000% 5/09/23		511,943.25		531,174.00	19,230.75

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		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	COMCAST CORP DTD 01/14/13 4.250 01/15/2033 CUSIP 20030NBH3					
<u>310,000.000</u>	ALLISON SALARIED-RYAN LABS	99.3860	<u>308,096.60</u>	111.0680	<u>344,310.80</u>	<u>36,214.20</u>
310,000.000	TOTAL COMCAST CORP 4.250% 1/15/33		308,096.60		344,310.80	36,214.20
	COMMONWEALTH EDISON CO DTD 03/02/15 3.700 03/01/2045 CUSIP 202795JF8					
<u>465,000.000</u>	ALLISON SALARIED-RYAN LABS	91.4970	<u>425,461.05</u>	103.2660	<u>480,186.90</u>	<u>54,725.85</u>
465,000.000	TOTAL COMMONWEALTH EDISON 3.700% 3/01/45		425,461.05		480,186.90	54,725.85
	CONS EDISON CO OF NY DTD 03/06/14 4.450 03/15/2044 CUSIP 209111F00					
<u>235,000.000</u>	ALLISON SALARIED-RYAN LABS	102.2820	<u>240,362.70</u>	114.5720	<u>269,244.20</u>	<u>28,881.50</u>
235,000.000	TOTAL CONS EDISON CO OF NY 4.450% 3/15/44		240,362.70		269,244.20	28,881.50
	CR BARD INC DTD 12/20/10 4.400 01/15/2021 CUSIP 067383AC3					
<u>245,000.000</u>	ALLISON SALARIED-RYAN LABS	107.6440	<u>263,727.80</u>	109.9370	<u>269,345.65</u>	<u>5,617.85</u>
245,000.000	TOTAL CR BARD INC 4.400% 1/15/21		263,727.80		269,345.65	5,617.85
	DANAHER CORP DTD 09/15/15 4.375 09/15/2045 CUSIP 235851AR3					
<u>500,000.000</u>	ALLISON SALARIED-RYAN LABS	103.4310	<u>517,155.00</u>	118.1620	<u>590,810.00</u>	<u>73,655.00</u>
500,000.000	TOTAL DANAHER CORP 4.375% 9/15/45		517,155.00		590,810.00	73,655.00
	DTE ELECTRIC CO DTD 05/17/16 3.700 06/01/2046 CUSIP 23338VAF3					
<u>450,000.000</u>	ALLISON SALARIED-RYAN LABS	100.9700	<u>454,365.00</u>	105.8500	<u>476,325.00</u>	<u>21,960.00</u>
450,000.000	TOTAL DTE ELECTRIC CO 3.700% 6/01/46		454,365.00		476,325.00	21,960.00

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PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	DUKE ENERGY CORP DTD 06/13/13 2.100 06/15/2018 CUSIP 26441CAK1					
<u>400,000.000</u>	ALLISON SALARIED-RYAN LABS	101.1730	<u>404,692.00</u>	101.1500	<u>404,600.00</u>	<u>92.00-</u>
400,000.000	TOTAL DUKE ENERGY CORP 2.100% 6/15/18		404,692.00		404,600.00	92.00-
	ECOLAB INC DTD 12/13/12 1.450 12/08/2017 CUSIP 278865AP5					
<u>250,000.000</u>	ALLISON SALARIED-RYAN LABS	99.5080	<u>248,770.00</u>	100.1900	<u>250,475.00</u>	<u>1,705.00</u>
250,000.000	TOTAL ECOLAB INC 1.450% 12/08/17		248,770.00		250,475.00	1,705.00
	ENERGY TRANSFER PARTNERS DTD 05/12/11 6.050 06/01/2041 CUSIP 29273RAP4					
<u>200,000.000</u>	ALLISON SALARIED-RYAN LABS	88.7170	<u>177,434.00</u>	101.2650	<u>202,530.00</u>	<u>25,096.00</u>
200,000.000	TOTAL ENERGY TRANSFER PART 6.050% 6/01/41		177,434.00		202,530.00	25,096.00
	EXELON GENERATION CO LLC DTD 09/23/09 6.250 10/01/2039 CUSIP 30161MAG8					
<u>240,000.000</u>	ALLISON SALARIED-RYAN LABS	106.2120	<u>254,908.80</u>	111.2780	<u>267,067.20</u>	<u>12,158.40</u>
240,000.000	TOTAL EXELON GENERATION CO 6.250% 10/01/39		254,908.80		267,067.20	12,158.40
	FLORIDA POWER & LIGHT CO DTD 05/15/14 3.250 06/01/2024 CUSIP 341081FK8					
<u>500,000.000</u>	ALLISON SALARIED-RYAN LABS	102.6620	<u>513,310.00</u>	107.1400	<u>535,700.00</u>	<u>22,390.00</u>
500,000.000	TOTAL FLORIDA POWER & LIGH 3.250% 6/01/24		513,310.00		535,700.00	22,390.00
	FLORIDA POWER & LIGHT DTD 12/09/10 5.250 02/01/2041 CUSIP 341081FD4					
<u>345,000.000</u>	ALLISON SALARIED-RYAN LABS	124.1540	<u>428,331.30</u>	128.2120	<u>442,331.40</u>	<u>14,000.10</u>
345,000.000	TOTAL FLORIDA POWER & LIGH 5.250% 2/01/41		428,331.30		442,331.40	14,000.10

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		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	FORD MOTOR CREDIT CO LLC DTD 10/08/15 2.551 10/05/2018 CUSIP 345397XN8					
<u>460,000.000</u>	ALLISON SALARIED-RYAN LABS	101.8350	<u>468,441.00</u>	101.4670	<u>466,748.20</u>	<u>1,692.80-</u>
460,000.000	TOTAL FORD MOTOR CREDIT CO 2.551% 10/05/18		468,441.00		466,748.20	1,692.80-
	FRANCE TELECOM DTD 09/14/11 4.125 09/14/2021 CUSIP 35177PAW7					
<u>185,000.000</u>	ALLISON SALARIED-RYAN LABS	107.1060	<u>198,146.10</u>	110.7730	<u>204,930.05</u>	<u>6,783.95</u>
185,000.000	TOTAL FRANCE TELECOM 4.125% 9/14/21		198,146.10		204,930.05	6,783.95
	FRANKLIN RESOURCES INC DTD 09/24/12 2.800 09/15/2022 CUSIP 354613AJ0					
<u>125,000.000</u>	ALLISON SALARIED-RYAN LABS	99.6890	<u>124,611.25</u>	104.3690	<u>130,461.25</u>	<u>5,850.00</u>
125,000.000	TOTAL FRANKLIN RESOURCES 2.800% 9/15/22		124,611.25		130,461.25	5,850.00
	GE CAPITAL INTL FUNDING DTD 05/15/16 4.418 11/15/2035 CUSIP 36164QNA2					
<u>299,000.000</u>	ALLISON SALARIED-RYAN LABS	113.3110	<u>338,799.89</u>	112.2370	<u>335,588.63</u>	<u>3,211.26-</u>
299,000.000	TOTAL GE CAPITAL INTL FUND 4.418% 11/15/35		338,799.89		335,588.63	3,211.26-
	GENERAL ELEC CAP CORP DTD 01/09/09 6.875 01/10/2039 CUSIP 36962G4B7					
<u>85,000.000</u>	ALLISON SALARIED-RYAN LABS	140.0120	<u>119,010.20</u>	150.6270	<u>128,032.95</u>	<u>9,022.75</u>
85,000.000	TOTAL GENERAL ELEC CAP COR 6.875% 1/10/39		119,010.20		128,032.95	9,022.75
	GENERAL ELECTRIC CO DTD 03/11/14 4.500 03/11/2044 CUSIP 369604BH5					
<u>725,000.000</u>	ALLISON SALARIED-RYAN LABS	109.3116	<u>792,509.15</u>	115.1230	<u>834,641.75</u>	<u>42,132.60</u>
725,000.000	TOTAL GENERAL ELECTRIC CO 4.500% 3/11/44		792,509.15		834,641.75	42,132.60

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		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	GILEAD SCIENCES INC DTD 09/20/16 4.000 09/01/2036 CUSIP 375558BJ1					
<u>310,000.000</u>	ALLISON SALARIED-RYAN LABS	101.2600	<u>313,906.00</u>	101.9720	<u>316,113.20</u>	<u>2,207.20</u>
310,000.000	TOTAL GILEAD SCIENCES INC 4.000% 9/01/36		313,906.00		316,113.20	2,207.20
	GOLDMAN SACHS GROUP INC DTD 01/24/12 5.750 01/24/2022 CUSIP 38141GGS7					
<u>350,000.000</u>	ALLISON SALARIED-RYAN LABS	114.7690	<u>401,691.50</u>	116.1540	<u>406,539.00</u>	<u>4,847.50</u>
350,000.000	TOTAL GOLDMAN SACHS GROUP 5.750% 1/24/22		401,691.50		406,539.00	4,847.50
	HOME DEPOT INC DTD 09/10/13 3.750 02/15/2024 CUSIP 437076BC5					
<u>380,000.000</u>	ALLISON SALARIED-RYAN LABS	106.1170	<u>403,244.60</u>	110.7740	<u>420,941.20</u>	<u>17,696.60</u>
380,000.000	TOTAL HOME DEPOT INC 3.750% 2/15/24		403,244.60		420,941.20	17,696.60
	HSBC BK USA N A GLOBAL DTD 10/25/04 5.875 11/01/2034 CUSIP 4042Q1AA5					
<u>270,000.000</u>	ALLISON SALARIED-RYAN LABS	116.2890	<u>313,980.30</u>	121.0740	<u>326,899.80</u>	<u>12,919.50</u>
270,000.000	TOTAL HSBC BK USA N A GLOB 5.875% 11/01/34		313,980.30		326,899.80	12,919.50
	HUNTINGTON BANCSHARES DTD 08/02/13 2.600 08/02/2018 CUSIP 446150AH7					
<u>220,000.000</u>	ALLISON SALARIED-RYAN LABS	101.6900	<u>223,718.00</u>	101.6210	<u>223,566.20</u>	<u>151.80-</u>
220,000.000	TOTAL HUNTINGTON BANCSHARE 2.600% 8/02/18		223,718.00		223,566.20	151.80-
	INTEL CORP DTD 07/29/15 3.700 07/29/2025 CUSIP 458140AS9					
<u>170,000.000</u>	ALLISON SALARIED-RYAN LABS	102.5960	<u>174,413.20</u>	110.9420	<u>188,601.40</u>	<u>14,188.20</u>
170,000.000	TOTAL INTEL CORP 3.700% 7/29/25		174,413.20		188,601.40	14,188.20

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		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	JOHN DEERE CAPITAL CORP MED TERM NOTE DTD 06/10/16 2.650 06/10/2026 CUSIP 24422ETH2					
230,000.000	ALLISON SALARIED-RYAN LABS	100.5420	231,246.60	102.4670	235,674.10	4,427.50
230,000.000	TOTAL JOHN DEERE CAPITAL 2.650% 6/10/26		231,246.60		235,674.10	4,427.50
	JPMORGAN CHASE & CO DTD 09/24/12 3.250 09/23/2022 CUSIP 46625HJE1					
405,000.000	ALLISON SALARIED-RYAN LABS	100.0720	405,291.60	104.8880	424,796.40	19,504.80
405,000.000	TOTAL JPMORGAN CHASE & CO 3.250% 9/23/22		405,291.60		424,796.40	19,504.80
	KEYCORP MED TERM NOTE DTD 03/24/11 5.100 03/24/2021 CUSIP 49326EED1					
285,000.000	ALLISON SALARIED-RYAN LABS	110.6480	315,346.80	112.8890	321,733.65	6,386.85
285,000.000	TOTAL KEYCORP 5.100% 3/24/21		315,346.80		321,733.65	6,386.85
	KINDER MORGAN ENER PART DTD 09/16/09 6.500 09/01/2039 CUSIP 494550BD7					
160,000.000	ALLISON SALARIED-RYAN LABS	94.9480	151,916.80	107.6680	172,268.80	20,352.00
160,000.000	TOTAL KINDER MORGAN ENER 6.500% 9/01/39		151,916.80		172,268.80	20,352.00
	LOEWS CORP DTD 03/22/16 3.750 04/01/2026 CUSIP 540424AS7					
215,000.000	ALLISON SALARIED-RYAN LABS	102.5980	220,585.70	106.2170	228,366.55	7,780.85
215,000.000	TOTAL LOEWS CORP 3.750% 4/01/26		220,585.70		228,366.55	7,780.85
	LOWE'S COMPANIES INC DTD 10/06/05 5.500 10/15/2035 CUSIP 548661CJ4					
175,000.000	ALLISON SALARIED-RYAN LABS	115.3720	201,901.00	126.3430	221,100.25	19,199.25
175,000.000	TOTAL LOWE'S COMPANIES INC 5.500% 10/15/35		201,901.00		221,100.25	19,199.25

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PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	LYONDELLBASELL IND NV DTD 04/09/12 5.000 04/15/2019 CUSIP 552081AG6					
385,000.000	ALLISON SALARIED-RYAN LABS	108.0103	415,839.60	107.3280	413,212.80	2,626.80-
385,000.000	TOTAL LYONDELLBASELL IND 5.000% 4/15/19		415,839.60		413,212.80	2,626.80-
	MASTERCARD INC DTD 03/31/14 3.375 04/01/2024 CUSIP 57636QAB0					
395,000.000	ALLISON SALARIED-RYAN LABS	102.2250	403,788.75	107.8060	425,833.70	22,044.95
395,000.000	TOTAL MASTERCARD INC 3.375% 4/01/24		403,788.75		425,833.70	22,044.95
	MEDTRONIC INC DTD 03/15/15 4.375 03/15/2035 CUSIP 585055BT2					
380,000.000	ALLISON SALARIED-RYAN LABS	109.9645	417,865.10	113.3180	430,608.40	12,743.30
380,000.000	TOTAL MEDTRONIC INC 4.375% 3/15/35		417,865.10		430,608.40	12,743.30
	METLIFE INC DTD 11/13/13 4.875 11/13/2043 CUSIP 59156RBG2					
195,000.000	ALLISON SALARIED-RYAN LABS	105.4140	205,557.30	110.7840	216,028.80	10,471.50
195,000.000	TOTAL METLIFE INC 4.875% 11/13/43		205,557.30		216,028.80	10,471.50
	MICROSOFT CORP DTD 11/03/15 3.125 11/03/2025 CUSIP 594918BJ2					
430,000.000	ALLISON SALARIED-RYAN LABS	105.7990	454,935.70	106.3750	457,412.50	2,476.80
430,000.000	TOTAL MICROSOFT CORP 3.125% 11/03/25		454,935.70		457,412.50	2,476.80
	MIDAMERICAN ENERGY CO DTD 04/03/14 3.500 10/15/2024 CUSIP 595620AM7					
500,000.000	ALLISON SALARIED-RYAN LABS	103.6650	518,325.00	109.0510	545,255.00	26,930.00
500,000.000	TOTAL MIDAMERICAN ENERGY 3.500% 10/15/24		518,325.00		545,255.00	26,930.00

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		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	MIDAMERICAN ENERGY CO DTD 09/19/13 4.800 09/15/2043 CUSIP 595620AL9					
<u>280,000.000</u>	ALLISON SALARIED-RYAN LABS	109.4740	<u>306,527.20</u>	121.9850	<u>341,558.00</u>	<u>35,030.80</u>
280,000.000	TOTAL MIDAMERICAN ENERGY 4.800% 9/15/43		306,527.20		341,558.00	35,030.80
	MORGAN STANLEY DTD 07/26/10 5.500 07/24/2020 CUSIP 6174467P8					
<u>180,000.000</u>	ALLISON SALARIED-RYAN LABS	112.2650	<u>202,077.00</u>	112.0630	<u>201,713.40</u>	<u>363.60-</u>
180,000.000	TOTAL MORGAN STANLEY 5.500% 7/24/20		202,077.00		201,713.40	363.60-
	NBCUNIVERSAL MEDIA LLC DTD 04/01/11 4.375 04/01/2021 CUSIP 63946BAE0					
<u>185,000.000</u>	ALLISON SALARIED-RYAN LABS	109.5570	<u>202,680.45</u>	111.2690	<u>205,847.65</u>	<u>3,167.20</u>
185,000.000	TOTAL NBCUNIVERSAL MEDIA 4.375% 4/01/21		202,680.45		205,847.65	3,167.20
	OMNICOM GROUP INC DTD 04/23/12 3.625 05/01/2022 CUSIP 681919AZ9					
<u>360,000.000</u>	ALLISON SALARIED-RYAN LABS	101.1830	<u>364,258.80</u>	107.1220	<u>385,639.20</u>	<u>21,380.40</u>
360,000.000	TOTAL OMNICOM GROUP INC 3.625% 5/01/22		364,258.80		385,639.20	21,380.40
	ORACLE CORP DTD 04/09/08 6.500 04/15/2038 CUSIP 68389XAE5					
<u>320,000.000</u>	ALLISON SALARIED-RYAN LABS	126.8200	<u>405,824.00</u>	138.3870	<u>442,838.40</u>	<u>37,014.40</u>
320,000.000	TOTAL ORACLE CORP 6.500% 4/15/38		405,824.00		442,838.40	37,014.40
	PEPSICO INC DTD 10/14/15 4.450 04/14/2046 CUSIP 713448DD7					
<u>400,000.000</u>	ALLISON SALARIED-RYAN LABS	111.7430	<u>446,972.00</u>	118.4020	<u>473,608.00</u>	<u>26,636.00</u>
400,000.000	TOTAL PEPSICO INC 4.450% 4/14/46		446,972.00		473,608.00	26,636.00

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		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	SHELL INTERNATIONAL FIN DTD 09/12/16 2.500 09/12/2026 CUSIP 822582BX9					
240,000.000	ALLISON SALARIED-RYAN LABS	98.3700	236,088.00	98.3190	235,965.60	122.40-
240,000.000	TOTAL SHELL INTERNATIONAL 2.500% 9/12/26		236,088.00		235,965.60	122.40-
	SOUTHWESTERN PUBLIC SERV DTD 08/10/11 4.500 08/15/2041 CUSIP 845743BN2					
390,000.000	ALLISON SALARIED-RYAN LABS	105.6930	412,202.70	116.1980	453,172.20	40,969.50
390,000.000	TOTAL SOUTHWESTERN PUBLIC 4.500% 8/15/41		412,202.70		453,172.20	40,969.50
	STATOIL ASA DTD 05/15/13 3.950 05/15/2043 CUSIP 85771PAL6					
405,000.000	ALLISON SALARIED-RYAN LABS	93.3610	378,112.05	103.1050	417,575.25	39,463.20
405,000.000	TOTAL STATOIL ASA 3.950% 5/15/43		378,112.05		417,575.25	39,463.20
	STATOILHYDRO ASA DTD 04/23/09 5.250 04/15/2019 CUSIP 85771SAA4					
320,000.000	ALLISON SALARIED-RYAN LABS	111.1060	355,539.20	109.4750	350,320.00	5,219.20-
320,000.000	TOTAL STATOILHYDRO ASA 5.250% 4/15/19		355,539.20		350,320.00	5,219.20-
	TJX COS INC DTD 05/02/13 2.500 05/15/2023 CUSIP 872540AP4					
210,000.000	ALLISON SALARIED-RYAN LABS	96.8340	203,351.40	103.0270	216,356.70	13,005.30
210,000.000	TOTAL TJX COS INC 2.500% 5/15/23		203,351.40		216,356.70	13,005.30
	TOYOTA MOTOR CREDIT CORP DTD 01/12/12 3.300 01/12/2022 CUSIP 89233P5T9					
390,000.000	ALLISON SALARIED-RYAN LABS	103.4980	403,642.20	107.5880	419,593.20	15,951.00
390,000.000	TOTAL TOYOTA MOTOR CREDIT 3.300% 1/12/22		403,642.20		419,593.20	15,951.00

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		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	TRANS-CANADA PIPELINES DTD 01/27/16 3.125 01/15/2019 CUSIP 89352HAS8					
<u>70,000.000</u>	ALLISON SALARIED-RYAN LABS	102.7980	<u>71,958.60</u>	102.9010	<u>72,030.70</u>	<u>72.10</u>
70,000.000	TOTAL TRANS-CANADA PIPELIN 3.125% 1/15/19		71,958.60		72,030.70	72.10
	TRAVELERS COS INC DTD 06/20/06 6.750 06/20/2036 CUSIP 792860AK4					
<u>225,000.000</u>	ALLISON SALARIED-RYAN LABS	146.6950	<u>330,063.75</u>	144.5970	<u>325,343.25</u>	<u>4,720.50-</u>
225,000.000	TOTAL TRAVELERS COS INC 6.750% 6/20/36		330,063.75		325,343.25	4,720.50-
	UNITED TECHNOLOGIES CORP DTD 06/01/12 4.500 06/01/2042 CUSIP 913017BT5					
<u>200,000.000</u>	ALLISON SALARIED-RYAN LABS	101.8290	<u>203,658.00</u>	115.8220	<u>231,644.00</u>	<u>27,986.00</u>
200,000.000	TOTAL UNITED TECHNOLOGIES 4.500% 6/01/42		203,658.00		231,644.00	27,986.00
	UNITEDHEALTH GROUP INC DTD 07/23/15 4.625 07/15/2035 CUSIP 91324PCQ3					
<u>160,000.000</u>	ALLISON SALARIED-RYAN LABS	105.5140	<u>168,822.40</u>	116.1270	<u>185,803.20</u>	<u>16,980.80</u>
160,000.000	TOTAL UNITEDHEALTH GROUP 4.625% 7/15/35		168,822.40		185,803.20	16,980.80
	US BANCORP MED TERM NOTE DTD 03/02/12 3.000 03/15/2022 CUSIP 91159HHC7					
<u>200,000.000</u>	ALLISON SALARIED-RYAN LABS	102.3650	<u>204,730.00</u>	105.9440	<u>211,888.00</u>	<u>7,158.00</u>
200,000.000	TOTAL US BANCORP 3.000% 3/15/22		204,730.00		211,888.00	7,158.00
	VIRGINIA ELECTRIC POWER DTD 12/04/07 6.350 11/30/2037 CUSIP 927804FE9					
<u>360,000.000</u>	ALLISON SALARIED-RYAN LABS	129.3890	<u>465,800.40</u>	138.3530	<u>498,070.80</u>	<u>32,270.40</u>
360,000.000	TOTAL VIRGINIA ELECTRIC PO 6.350% 11/30/37		465,800.40		498,070.80	32,270.40

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		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	VISA INC DTD 12/14/15 3.150 12/14/2025 CUSIP 92826CAD4					
<u>205,000.000</u>	ALLISON SALARIED-RYAN LABS	100.0340	<u>205,069.70</u>	105.6330	<u>216,547.65</u>	<u>11,477.95</u>
205,000.000	TOTAL VISA INC 3.150% 12/14/25		205,069.70		216,547.65	11,477.95
	WALT DISNEY COMPANY/THE DTD 07/12/16 1.850 07/30/2026 CUSIP 25468PDM5					
<u>130,000.000</u>	ALLISON SALARIED-RYAN LABS	97.8970	<u>127,266.10</u>	95.7600	<u>124,488.00</u>	<u>2,778.10-</u>
130,000.000	TOTAL WALT DISNEY COMPANY/ 1.850% 7/30/26		127,266.10		124,488.00	2,778.10-
	WELLS FARGO & COMPANY DTD 02/13/13 3.450 02/13/2023 CUSIP 94974BFJ4					
<u>405,000.000</u>	ALLISON SALARIED-RYAN LABS	99.5320	<u>403,104.60</u>	103.1190	<u>417,631.95</u>	<u>14,527.35</u>
405,000.000	TOTAL WELLS FARGO & COMPAN 3.450% 2/13/23		403,104.60		417,631.95	14,527.35
	WELLS FARGO & COMPANY DTD 03/08/12 3.500 03/08/2022 CUSIP 94974BFC9					
<u>475,000.000</u>	ALLISON SALARIED-RYAN LABS	103.3730	<u>491,021.75</u>	106.1000	<u>503,975.00</u>	<u>12,953.25</u>
475,000.000	TOTAL WELLS FARGO & COMPAN 3.500% 3/08/22		491,021.75		503,975.00	12,953.25
	WELLS FARGO & COMPANY MED TERM NOTE DTD 07/22/15 4.300 07/22/2027 CUSIP 94974BGL8					
<u>190,000.000</u>	ALLISON SALARIED-RYAN LABS	106.2380	<u>201,852.20</u>	107.7740	<u>204,770.60</u>	<u>2,918.40</u>
190,000.000	TOTAL WELLS FARGO & COMPAN 4.300% 7/22/27		201,852.20		204,770.60	2,918.40
	WYETH DTD 12/16/03 6.450 02/01/2024 CUSIP 983024AF7					
<u>280,000.000</u>	ALLISON SALARIED-RYAN LABS	123.9150	<u>346,962.00</u>	126.5900	<u>354,452.00</u>	<u>7,490.00</u>
280,000.000	TOTAL WYETH 6.450% 2/01/24		346,962.00		354,452.00	7,490.00
	TOTAL CORPORATE BONDS		28,646,723.89		29,827,008.18	1,180,284.29

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		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
CORPORATE BACKED OBLIGATION						
	AMERICREDIT AUTOMOBILE RECEIVA SER 2015-2 CL D *0 DAY DELAY* DTD 04/15/15 3.000 06/08/2021 CUSIP 03065LAG4					
510,000.000	ALLISON SALARIED-RYAN LABS	100.6090	513,105.90	101.7750	519,052.50	5,946.60
510,000.000	TOTAL AMERICREDIT AUTOMOBIL 3.000% 6/08/21		513,105.90		519,052.50	5,946.60
	AVIS BUDGET RENTAL CAR FUNDING SER 2014-1A CL C *0 DAY DELAY* 144A PRIV PLCMT 3.750 07/20/2020 CUSIP 05377RBQ6					
550,000.000	ALLISON SALARIED-RYAN LABS	101.2590	556,924.50	98.9150	544,032.50	12,892.00-
550,000.000	TOTAL AVIS BUDGET RENTAL 3.750% 7/20/20		556,924.50		544,032.50	12,892.00-
	SANTANDER DRIVE AUTO RECEIVABL SER 2015-1 CL D *0 DAY DELAY* DTD 02/25/15 3.240 04/15/2021 CUSIP 80284CAG7					
495,000.000	ALLISON SALARIED-RYAN LABS	101.0710	500,301.45	102.2660	506,216.70	5,915.25
495,000.000	TOTAL SANTANDER DRIVE AUTO 3.240% 4/15/21		500,301.45		506,216.70	5,915.25
	TOTAL CORPORATE BACKED OBLIGATION		1,570,331.85		1,569,301.70	1,030.15-
MORTGAGE BACKED OBLIGATION						
	COMM 2015-LC21 MTG TR MTG PASS THRU CTF CL C SER 2015-LC21 CL C *0 DAY DELAY* DTD 06/01/15 1.000 07/10/2048 CUSIP 12593FBH6					
330,000.000	ALLISON SALARIED-RYAN LABS	94.6490	312,341.70	94.0410	310,335.30	2,006.40-
330,000.000	TOTAL COMM 2015-LC21 /MTG 1.000% 7/10/48		312,341.70		310,335.30	2,006.40-

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		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	CREDIT SUISSE MORTGAGE TRUST SER 2014-USA CL D *14 DAY DELAY* 144A PRIV PLCMT 4.373 09/15/2037 CUSIP 12649AAN9					
450,000.000	ALLISON SALARIED-RYAN LABS	99.4200	447,390.00	96.8810	435,964.50	11,425.50-
450,000.000	TOTAL CREDIT SUISSE MORTG 4.3733% 9/15/37		447,390.00		435,964.50	11,425.50-
	CSAIL COMMERCIAL MORTGAGE TRUST SER 2015-C2 CL D *14 DAY DELAY* DTD 05/01/15 06/15/2057 CUSIP 12634NBA5					
735,000.000	ALLISON SALARIED-RYAN LABS	83.6080	614,518.80	74.2480	545,722.80	68,796.00-
735,000.000	TOTAL CSAIL COMMERCIAL MO 4.3536% 6/15/57		614,518.80		545,722.80	68,796.00-
	COMM MORTGAGE TRUST SER 2014-CR19 CL D *9 DAY DELAY* 144A PRIV PLCMT 08/10/2047 CUSIP 12592GAG8					
470,000.000	ALLISON SALARIED-RYAN LABS	89.7850	421,989.50	85.1030	399,984.10	22,005.40-
470,000.000	TOTAL VR COMM MORTGAGE 4.71879% 8/10/47		421,989.50		399,984.10	22,005.40-
	COMM MORTGAGE TRUST SER 2014-UBS2 CL D *9 DAY DELAY* 144A PRIV PLCMT 03/10/2047 CUSIP 12591UAP8					
600,000.000	ALLISON SALARIED-RYAN LABS	92.8890	557,334.00	86.4140	518,484.00	38,850.00-
600,000.000	TOTAL VR COMM MORTGAGE 5.01492% 3/10/47		557,334.00		518,484.00	38,850.00-
	DBUBS MORTGAGE TRUST SER 2011-LC2A CL D *9 DAY DELAY* 144A PRIV PLCMT 07/10/2044 CUSIP 23305XAJ0					
250,000.000	ALLISON SALARIED-RYAN LABS	106.7370	266,842.50	105.3630	263,407.50	3,435.00-
250,000.000	TOTAL VR DBUBS MORTGAGE 5.54249% 7/10/44		266,842.50		263,407.50	3,435.00-

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		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	JP MORGAN CHASE COMMERCIAL MOR SER 2016-NINE CL A *5 DAY DELAY* 144A PRIV PLCMT 10/06/2038 CUSIP 46646GAA5					
450,000.000	ALLISON SALARIED-RYAN LABS	102.0508	459,228.60	102.5480	461,466.00	2,237.40
450,000.000	TOTAL VR JP MORGAN CHASE 2.8541% 10/06/38		459,228.60		461,466.00	2,237.40
	JPMBB COMMERCIAL MORTGAGE SECU SER 2015-C28 CL C *14 DAY DELAY* DTD 04/01/15 10/15/2048 CUSIP 46644FAK7					
590,000.000	ALLISON SALARIED-RYAN LABS	92.7120	547,000.80	92.6490	546,629.10	371.70-
590,000.000	TOTAL VR JPMBB COMMERCIA 4.24056% 10/15/48		547,000.80		546,629.10	371.70-
	SFAVE COMMERCIAL MORTGAGE SECU SER 2015-5AVE CL B *4 DAY DELAY* 144A PRIV PLCMT 01/05/2035 CUSIP 78413MAL2					
390,000.000	ALLISON SALARIED-RYAN LABS	97.2813	379,396.88	98.6470	384,723.30	5,326.42
390,000.000	TOTAL VR SFAVE COMMERCIA 4.38801% 1/05/35		379,396.88		384,723.30	5,326.42
	UBS-CITIGROUP COMMERCIAL MORTG SER 2011-C1 CL E *9 DAY DELAY* 144A PRIV PLCMT 01/10/2045 CUSIP 90268TAS3					
500,000.000	ALLISON SALARIED-RYAN LABS	108.4210	542,105.00	109.4660	547,330.00	5,225.00
500,000.000	TOTAL VR UBS-CITIGROUP 6.08395% 1/10/45		542,105.00		547,330.00	5,225.00
	TOTAL MORTGAGE BACKED OBLIGATION		4,548,147.78		4,414,046.60	134,101.18-
	PRIVATE PLACEMENT					
	ELECTRICITE DE FRANCE SA 144A PRIV PLCMT 3.625 10/13/2025 CUSIP 268317AS3					
450,000.000	ALLISON SALARIED-RYAN LABS	100.8280	453,726.00	105.1490	473,170.50	19,444.50
450,000.000	TOTAL P/P ELECTRICITE DE 3.625% 10/13/25		453,726.00		473,170.50	19,444.50

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		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	FMR LLC 144A PRIV PLCMT 6.500 12/14/2040 CUSIP 30251BAC2					
<u>390,000.000</u>	ALLISON SALARIED-RYAN LABS	125.7170	<u>490,296.30</u>	133.0230	<u>518,789.70</u>	<u>28,493.40</u>
390,000.000	TOTAL P/P FMR LLC 6.500% 12/14/40		490,296.30		518,789.70	28,493.40
	MET LIFE GLOB FUNDING I 144A PRIV PLCMT 3.000 01/10/2023 CUSIP 59217GAX7					
<u>340,000.000</u>	ALLISON SALARIED-RYAN LABS	99.5780	<u>338,565.20</u>	103.1300	<u>350,642.00</u>	<u>12,076.80</u>
340,000.000	TOTAL P/P MET LIFE GLOB FU 3.000% 1/10/23		338,565.20		350,642.00	12,076.80
	ROCHE HOLDING INC 144A PRIV PLCMT 3.350 09/30/2024 CUSIP 771196BE1					
<u>425,000.000</u>	ALLISON SALARIED-RYAN LABS	106.4360	<u>452,353.00</u>	108.1050	<u>459,446.25</u>	<u>7,093.25</u>
425,000.000	TOTAL P/P ROCHE HOLDING IN 3.350% 9/30/24		452,353.00		459,446.25	7,093.25
	TEACHERS INSUR & ANNUITY 144A PRIV PLCMT 4.900 09/15/2044 CUSIP 878091BD8					
<u>400,000.000</u>	ALLISON SALARIED-RYAN LABS	110.7340	<u>442,936.00</u>	112.4710	<u>449,884.00</u>	<u>6,948.00</u>
400,000.000	TOTAL P/P TEACHERS INSUR 4.900% 9/15/44		442,936.00		449,884.00	6,948.00
	TOTAL PRIVATE PLACEMENT		2,177,876.50		2,251,932.45	74,055.95
	TOTAL BONDS & NOTES		60,459,286.75		64,700,114.38	4,240,827.63
	MUTUAL FUNDS					
	MUTUAL FUNDS- EQUITY					
	BLACKSTONE ALTERNATIVE MULTI-STRATEGY FUND CLASS I CUSIP 09257V201					
<u>155,998.638</u>	ALLISON SALARIED MUTUAL FUNDS	10.2864	<u>1,604,657.68</u>	10.1900	<u>1,589,626.12</u>	<u>15,031.56-</u>
155,998.638	TOTAL BLACKSTONE ALT MULTI-STRAT-I		1,604,657.68		1,589,626.12	15,031.56-

ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2016

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		UNIT PRICE	MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST		UNIT PRICE	TOTAL MARKET	
	DFA EMERGING MARKETS CORE EQUITY FUND CLASS I #5272 CUSIP 233203421						
<u>43,746.996</u>	ALLISON SALARIED MUTUAL FUNDS	15.7187	<u>687,647.17</u>	18.3800	<u>804,069.79</u>	<u>116,422.62</u>	
43,746.996	TOTAL DFA EMERG MKTS CORE EQTY FD I #5272		687,647.17		804,069.79	116,422.62	
	EATON VANCE ATLANTA CAPITAL SMID-CAP FUND CLASS R6 #1016 CUSIP 277902235						
<u>83,166.867</u>	ALLISON SALARIED MUTUAL FUNDS	25.7504	<u>2,141,579.86</u>	28.1900	<u>2,344,473.98</u>	<u>202,894.12</u>	
83,166.867	TOTAL EATON VANCE-ATLANTA SMID-R6 #1016		2,141,579.86		2,344,473.98	202,894.12	
	HARTFORD INTERNATIONAL OPPORTUNITIES FUND CLASS Y CUSIP 416645885						
<u>157,887.573</u>	ALLISON SALARIED MUTUAL FUNDS	14.8164	<u>2,339,319.78</u>	15.5300	<u>2,451,994.01</u>	<u>112,674.23</u>	
157,887.573	TOTAL HARTFORD INTL OPPORT-Y		2,339,319.78		2,451,994.01	112,674.23	
	PIMCO COMMODITY REAL RETURN STRATEGY FUND-CLASS I #45 CUSIP 722005667						
<u>105,476.128</u>	ALLISON SALARIED MUTUAL FUNDS	6.9702	<u>735,188.32</u>	7.0100	<u>739,387.66</u>	<u>4,199.34</u>	
105,476.128	TOTAL PIMCO COMMODITY REAL RET STRAT-I#45		735,188.32		739,387.66	4,199.34	
	PRINCIPAL INVESTORS REAL ESTATE SECURITIES INSTL FUND #4934 CUSIP 742530580						
<u>31,876.340</u>	ALLISON SALARIED MUTUAL FUNDS	22.0827	<u>703,915.95</u>	24.1400	<u>769,494.85</u>	<u>65,578.90</u>	
31,876.340	TOTAL PRINCIPAL INV R/E SEC-IS FUND 4934		703,915.95		769,494.85	65,578.90	
	VANGUARD INSTITUTIONAL INDEX FUND #94 CUSIP 922040100						
<u>15,963.383</u>	ALLISON SALARIED MUTUAL FUNDS	176.2841	<u>2,814,090.88</u>	198.1000	<u>3,162,346.17</u>	<u>348,255.29</u>	
15,963.383	TOTAL VANGUARD INSTL INDEX FD #94		2,814,090.88		3,162,346.17	348,255.29	
	TOTAL MUTUAL FUNDS- EQUITY		11,026,399.64		11,861,392.58	834,992.94	

ASSET DETAIL REVALUED COST
 AS OF SEPTEMBER 30, 2016

ALLISON SALARIED CONSOLIDATED
 ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST		MARKET VALUE		UNREALIZED GAIN/LOSS ON REVALUED COST
		UNIT COST	TOTAL COST	UNIT PRICE	TOTAL MARKET	
	TOTAL MUTUAL FUNDS		11,026,399.64		11,861,392.58	834,992.94
	TOTAL ASSETS AND LIABILITIES		73,010,596.03		78,086,416.60	5,075,820.57
	PENDING TRADES		50,094.21		50,094.21	
	ACCRUED INCOME		358,022.24		358,022.24	
	NET ADJUSTED ASSETS		73,418,712.48		78,494,533.05	5,075,820.57

Schedule SB, line 24 — Change in Actuarial Assumptions

Actuarial Assumption Changes Since Prior Valuation

- Expense normal cost changed from \$220,000 to \$260,000 to reflect our expectations for the current plan year. Interest discount rates were updated from 2014 to 2015 in accordance with PPA.
- Part C account balance interest credit rate from 3.33% to 3.25%.