Form 5500

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), 6057(b), and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500.**

OMB Nos. 1210-0110
1210-0089

2015

This Form is Open to Public Inspection

Part I Annual Report Identification Information

For calendar plan year 2015 or fiscal plan year beginning 10/01/2015 and ending 09/30/2016

A This return/report is for:
- [ ] a multiemployer plan;
- [ ] a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions); or
- [X] a single-employer plan;
- [ ] a DFE (specify) ____

B This return/report is:
- [ ] the first return/report;
- [ ] the final return/report;
- [ ] an amended return/report;
- [ ] a short plan year return/report (less than 12 months).

C If the plan is a collectively-bargained plan, check here. . . . . ▶ [ ]

D Check box if filing under:
- [X] Form 5558;
- [ ] automatic extension;
- [ ] the DFVC program;
- [ ] special extension (enter description)

Part II Basic Plan Information—enter all requested information

1a Name of plan
THE TREMONT GROUP, INC. 401(K) PROFIT SHARING PLAN

1b Three-digit plan number (PN) ▶ 001

1c Effective date of plan 10/24/1990

2a Plan sponsor's name (employer, if for a single-employer plan)
Mailing address (include room, apt., suite no. and street, or P.O. Box)
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions)
THE TREMONT GROUP, INC.

201 EAST STREET
WOODLAND, CA 95776

2b Employer Identification Number (EIN)
68-0230147

2c Plan Sponsor's telephone number
530-662-5442

2d Business code (see instructions)
325300

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	07/14/2017	WARREN HUTSON
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

Preparer's name (including firm name, if applicable) and address (include room or suite number)

Preparer's telephone number

3a Plan administrator's name and address [X] Same as Plan Sponsor	**3b** Administrator's EIN	
	3c Administrator's telephone number	
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report:	**4b** EIN	
a Sponsor's name	**4c** PN	
5 Total number of participants at the beginning of the plan year	**5**	144
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines **6a(1), 6a(2), 6b, 6c,** and **6d**).		
a(1) Total number of active participants at the beginning of the plan year	**6a(1)**	117
a(2) Total number of active participants at the end of the plan year	**6a(2)**	122
b Retired or separated participants receiving benefits	**6b**	0
c Other retired or separated participants entitled to future benefits	**6c**	20
d Subtotal. Add lines **6a(2)**, **6b**, and **6c**.	**6d**	142
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.	**6e**	1
f Total. Add lines **6d** and **6e**.	**6f**	143
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	**6g**	143
h Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested	**6h**	4
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	**7**	

8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:
2E 2J 2K 3D

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

9a Plan funding arrangement (check all that apply)		**9b** Plan benefit arrangement (check all that apply)	
(1)	[ ] Insurance	**(1)**	[ ] Insurance
(2)	[ ] Code section 412(e)(3) insurance contracts	**(2)**	[ ] Code section 412(e)(3) insurance contracts
(3)	[X] Trust	**(3)**	[X] Trust
(4)	[ ] General assets of the sponsor	**(4)**	[ ] General assets of the sponsor

10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

(1) [X] **R** (Retirement Plan Information)

(2) [ ] **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary

(3) [ ] **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

b General Schedules

(1) [X] **H** (Financial Information)

(2) [ ] **I** (Financial Information – Small Plan)

(3) [ ] ___ **A** (Insurance Information)

(4) [X] **C** (Service Provider Information)

(5) [ ] **D** (DFE/Participating Plan Information)

(6) [ ] **G** (Financial Transaction Schedules)

Part III **Form M-1 Compliance Information (to be completed by welfare benefit plans)**

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ……..... ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2015 Form M-1 annual report. If the plan was not required to file the 2015 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code_____

SCHEDULE C (Form 5500)	Service Provider Information	OMB No. 1210-0110
Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ **File as an attachment to Form 5500.**	**2015** **This Form is Open to Public Inspection.**

For calendar plan year 2015 or fiscal plan year beginning 10/01/2015 and ending 09/30/2016

A Name of plan
THE TREMONT GROUP, INC. 401(K) PROFIT SHARING PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
THE TREMONT GROUP, INC.

D Employer Identification Number (EIN)
68-0230147

Part I Service Provider Information (see instructions)

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions).. . . . . ☐ Yes ☒ No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

MORGAN STANLEY SMITH BARNEY

26-4310632

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
27 33 51 52 72	NONE	0	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes [ ] No [ ]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes [ ] No [ ]	Yes [ ] No [ ]		Yes [ ] No [ ]

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)

(complete as many entries as needed)

a	Name:	**b** EIN:
c	Position:	
d	Address:	**e** Telephone:

Explanation:

a	Name:	**b** EIN:
c	Position:	
d	Address:	**e** Telephone:

Explanation:

a	Name:	**b** EIN:
c	Position:	
d	Address:	**e** Telephone:

Explanation:

a	Name:	**b** EIN:
c	Position:	
d	Address:	**e** Telephone:

Explanation:

a	Name:	**b** EIN:
c	Position:	
d	Address:	**e** Telephone:

Explanation:

SCHEDULE H (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Financial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2015

This Form is Open to Public Inspection

For calendar plan year 2015 or fiscal plan year beginning 10/01/2015 and ending 09/30/2016

A Name of plan
THE TREMONT GROUP, INC. 401(K) PROFIT SHARING PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
THE TREMONT GROUP, INC.

D Employer Identification Number (EIN)
68-0230147

Part I Asset and Liability Statement

1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a	0	0
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)	726136	748009
(2) Participant contributions	1b(2)	6789	0
(3) Other	1b(3)	0	320
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)	518328	609178
(2) U.S. Government securities	1c(2)		
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		
(B) All other	1c(3)(B)		
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)		
(B) Common	1c(4)(B)	8779887	12584194
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans	1c(8)		
(9) Value of interest in common/collective trusts	1c(9)		
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	2669668	1331329
(14) Value of funds held in insurance company general account (unallocated contracts)	1c(14)		
(15) Other	1c(15)		

		(a) Beginning of Year	(b) End of Year
1d Employer-related investments:			
(1) Employer securities	1d(1)		
(2) Employer real property	1d(2)		
e Buildings and other property used in plan operation	1e		
f Total assets (add all amounts in lines 1a through 1e)	1f	12700808	15273030
Liabilities			
g Benefit claims payable	1g		
h Operating payables	1h		
i Acquisition indebtedness	1i		
j Other liabilities	1j		
k Total liabilities (add all amounts in lines 1g through1j)	1k	0	0
Net Assets			
l Net assets (subtract line 1k from line 1f)	1l	12700808	15273030

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income		(a) Amount	(b) Total
a Contributions:			
(1) Received or receivable in cash from: **(A)** Employers	2a(1)(A)	768219	
(B) Participants	2a(1)(B)	701940	
(C) Others (including rollovers)	2a(1)(C)	0	
(2) Noncash contributions	2a(2)	0	
(3) Total contributions. Add lines **2a(1)(A), (B), (C),** and line **2a(2)**	2a(3)		1470159
b Earnings on investments:			
(1) Interest:			
(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)	17	
(B) U.S. Government securities	2b(1)(B)		
(C) Corporate debt instruments	2b(1)(C)		
(D) Loans (other than to participants)	2b(1)(D)		
(E) Participant loans	2b(1)(E)		
(F) Other	2b(1)(F)	320	
(G) Total interest. Add lines **2b(1)(A)** through **(F)**	2b(1)(G)		337
(2) Dividends: **(A)** Preferred stock	2b(2)(A)		
(B) Common stock	2b(2)(B)		
(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	262063	
(D) Total dividends. Add lines **2b(2)(A)**, **(B)**, and **(C)**	2b(2)(D)		262063
(3) Rents	2b(3)		
(4) Net gain (loss) on sale of assets: **(A)** Aggregate proceeds	2b(4)(A)	6329734	
(B) Aggregate carrying amount (see instructions)	2b(4)(B)	5633209	
(C) Subtract line **2b(4)(B)** from line **2b(4)(A)** and enter result	2b(4)(C)		696525
(5) Unrealized appreciation (depreciation) of assets: **(A)** Real estate	2b(5)(A)		
(B) Other	2b(5)(B)	454099	
(C) Total unrealized appreciation of assets. Add lines **2b(5)(A)** and **(B)**	2b(5)(C)		454099

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		74811
c Other income	2c		55604
d Total income. Add all **income** amounts in column (b) and enter total	2d		3013598
Expenses			
e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	275668	
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other	2e(3)		
(4) Total benefit payments. Add lines **2e(1)** through **(3)**	2e(4)		275668
f Corrective distributions (see instructions)	2f		
g Certain deemed distributions of participant loans (see instructions)	2g		
h Interest expense	2h		
i Administrative expenses: **(1)** Professional fees	2i(1)		
(2) Contract administrator fees	2i(2)		
(3) Investment advisory and management fees	2i(3)	165708	
(4) Other	2i(4)		
(5) Total administrative expenses. Add lines **2i(1)** through **(4)**	2i(5)		165708
j Total expenses. Add all **expense** amounts in column (b) and enter total	2j		441376
Net Income and Reconciliation			
k Net income (loss). Subtract line **2j** from line **2d**	2k		2572222
l Transfers of assets:			
(1) To this plan	2l(1)		
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) [X] Unqualified **(2)** [ ] Qualified **(3)** [ ] Disclaimer **(4)** [ ] Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)? [ ] Yes [X] No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: KCOE ISOM, LLP **(2)** EIN: 48-0567703

d The opinion of an independent qualified public accountant is **not attached** because:

(1) [ ] This form is filed for a CCT, PSA, or MTIA. **(2)** [ ] It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

		Yes	No	N/A	Amount
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	4a	X			22280
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)	4b		X		

		Yes	No	N/A	Amount
c	Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)	4c		X		
d	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)	4d		X		
e	Was this plan covered by a fidelity bond?	4e	X			1000000
f	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		X		
g	Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	4g		X		
h	Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	4h		X		
i	Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	4i	X			
j	Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)	4j		X		
k	Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4k		X		
l	Has the plan failed to provide any benefit when due under the plan?	4l		X		
m	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m		X		
n	If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.	4n				
o	Did the plan trust incur unrelated business taxable income?	4o				
p	Were in-service distributions made during the plan year?	4p				

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? If "Yes," enter the amount of any plan assets that reverted to the employer this year ☐ **Yes** ☒ **No** **Amount:**

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	**5b(2)** EIN(s)	**5b(3)** PN(s)

5c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? ..... ☐ Yes ☐ No ☐ Not determined

Part V Trust Information

6a Name of trust	**6b** Trust's EIN

6c Name of trustee or custodian	**6d** Trustee's or custodian's telephone number

SCHEDULE R (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Retirement Plan Information

This schedule is required to be filed under section 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2015

This Form is Open to Public Inspection.

For calendar plan year 2015 or fiscal plan year beginning 10/01/2015 and ending 09/30/2016

A Name of plan
THE TREMONT GROUP, INC. 401(K) PROFIT SHARING PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
THE TREMONT GROUP, INC.

D Employer Identification Number (EIN)
68-0230147

Part I Distributions

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions **1** 0

2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits):

EIN(s): 68-0468835

Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.

3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year **3**

Part II Funding Information (If the plan is not subject to the minimum funding requirements of section of 412 of the Internal Revenue Code or ERISA section 302, skip this Part)

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

If the plan is a defined benefit plan, go to line 8.

5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. **Date:** Month _____ Day _____ Year _____

If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.

6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived) **6a**

b Enter the amount contributed by the employer to the plan for this plan year **6b**

c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount) **6c**

If you completed line 6c, skip lines 8 and 9.

7 Will the minimum funding amount reported on line 6c be met by the funding deadline? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

Part III Amendments

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box. ..... ☐ **Increase** ☐ **Decrease** ☐ **Both** ☐ **No**

Part IV ESOPs (see instructions). If this is not a plan described under Section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ..... ☐ **Yes** ☐ **No**

11 a Does the ESOP hold any preferred stock? ..... ☐ **Yes** ☐ **No**

b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ..... ☐ **Yes** ☐ **No**

12 Does the ESOP hold any stock that is not readily tradable on an established securities market? ..... ☐ **Yes** ☐ **No**

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

14 Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

a The current year	**14a**	
b The plan year immediately preceding the current plan year	**14b**	
c The second preceding plan year	**14c**	

15 Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year	**15a**	
b The corresponding number for the second preceding plan year	**15b**	

16 Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year	**16a**	
b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	**16b**	

17 If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

18 If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

19 If the total number of participants is 1,000 or more, complete lines (a) through (c)

a Enter the percentage of plan assets held as:
Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

b Provide the average duration of the combined investment-grade and high-yield debt:
☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate line 19(b)?
☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify):

Part VII IRS Compliance Questions

20a Is the plan a 401(k) plan?	☐ Yes	☐ No
20b If "Yes," how does the 401(k) plan satisfy the nondiscrimination requirements for employee deferrals and employer matching contributions (as applicable) under sections 401(k)(3) and 401(m)(2)?	☐ Design-based safe harbor method	☐ ADP/ACP test
20c If the ADP/ACP test is used, did the 401(k) plan perform ADP/ACP testing for the plan year using the "current year testing method" for nonhighly compensated employees (Treas. Reg sections 1.401(k)-2(a)(2)(ii) and 1.401(m)-2(a)(2)(ii))?	☐ Yes	☐ No
21a Check the box to indicate the method used by the plan to satisfy the coverage requirements under section 410(b):	☐ Ratio percentage test	☐ Average benefit test
21b Does the plan satisfy the coverage and nondiscrimination tests of sections 410(b) and 401(a)(4) by combining this plan with any other plans under the permissive aggregation rules?	☐ Yes	☐ No
22a Has the plan been timely amended for all required tax law changes?	☐ Yes	☐ No ☐ N/A

22b Date the last plan amendment/restatement for the required tax law changes was adopted ____/____/____. Enter the applicable code _____ (See instructions for tax law changes and codes).

22c If the plan sponsor is an adopter of a pre-approved master and prototype (M&P) or volume submitter plan that is subject to a favorable IRS opinion or advisory letter, enter the date of that favorable letter _____/_____/_____ and the letter's serial number _____.

22d If the plan is an individually-designed plan and received a favorable determination letter from the IRS, enter the date of the plan's last favorable determination letter _____/_____/_____.

23 Is the Plan maintained in a U.S. territory (i.e., Puerto Rico (if no election under ERISA section 1022(i)(2) has been made), American Samoa, Guam, the Commonwealth of the Northern Mariana Islands or the U.S. Virgin Islands)?	☐ Yes	☐ No

The Tremont Group, Inc.
401(k) Profit Sharing Plan

Woodland, California

FINANCIAL STATEMENTS AND SUPPLEMENTARY INFORMATION
WITH INDEPENDENT AUDITORS' REPORT

September 30, 2016 and 2015



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The Tremont Group, Inc. 401(k) Profit Sharing Plan

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September 30, 2016 and 2015

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INDEPENDENT AUDITORS' REPORT

To the Plan Trustees
The Tremont Group, Inc.
401(k) Profit Sharing Plan
Woodland, California

We have audited the accompanying financial statements of The Tremont Group, Inc. 401(k) Profit Sharing Plan (the Plan), which comprise the statements of net assets available for benefits as of September 30, 2016 and 2015; the related statements of changes in net assets available for benefits for the years then ended; and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audits to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditors' judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the Plan's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Plan's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

INDEPENDENT AUDITORS' REPORT
(Continued)

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the net assets available for benefits of the Plan as of September 30, 2016 and 2015, and the changes in net assets available for benefits for the years then ended in accordance with accounting principles generally accepted in the United States of America.

Report on Supplementary Information

Our audits were conducted for the purpose of forming an opinion on the financial statements as a whole. The supplemental schedule of assets held at end of year as of September 30, 2016, schedule of assets acquired and disposed of within the plan year for the year ended September 30, 2016, and schedule of delinquent participant contributions for the year ended September 30, 2016, are presented for the purpose of additional analysis and are not a required part of the financial statements, but are supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974. Such information is the responsibility of the Plan's management and was derived from, and relates directly to, the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audits of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements, or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated in all material respects in relation to the financial statements as a whole.

KCoe Isom, LLP

July 13, 2017
Chico, California

FINANCIAL SECTION

The Tremont Group, Inc. 401(k) Profit Sharing Plan

STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS

September 30	2016	2015
ASSETS		
Investments at Fair Value	$ 14,524,702	$ 11,967,883
Receivables		
Employer matching contributions	333,083	319,829
Employer profit sharing contributions	414,926	406,308
Participant contributions	-	6,789
Other	320	-
Total Receivables	748,329	732,926
NET ASSETS AVAILABLE FOR BENEFITS	$ 15,273,031	$ 12,700,809

The accompanying notes are an integral part of these financial statements.

The Tremont Group, Inc. 401(k) Profit Sharing Plan

STATEMENTS OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS

Years Ended September 30	2016	2015
ADDITIONS TO NET ASSETS ATTRIBUTED TO		
Investment Income		
Net appreciation (depreciation) in fair value of investments	$ 1,059,303	$ (970,328)
Interest and dividends	318,428	323,199
Total Investment Income (Loss)	1,377,731	(647,129)
Contributions		
Employer matching contributions	353,293	319,829
Employer discretionary nonelective contributions	414,926	406,308
Participant salary deferrals	701,940	705,790
Participant rollover contributions	-	97,778
Total Contributions	1,470,159	1,529,705
TOTAL ADDITIONS	2,847,890	882,576
DEDUCTIONS FROM NET ASSETS ATTRIBUTED TO		
Benefits paid	(275,668)	(1,668,449)
Net Increase (Decrease)	2,572,222	(785,873)
Net Assets Available for Benefits - Beginning of Year	12,700,809	13,486,682
Net Assets Available for Benefits - End of Year	$ 15,273,031	$ 12,700,809

The accompanying notes are an integral part of these financial statements.

1. DESCRIPTION OF PLAN

The following description of The Tremont Group, Inc. 401(k) Profit Sharing Plan (the Plan) provides only general information. Participants should refer to the Plan agreement for a complete description of the Plan's provisions.

General The Plan is a defined contribution plan covering substantially all employees who are age 21 or older and have one year of service with The Tremont Group, Inc. (the Company). The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA). The Plan was originally established as of October 24, 1990, and has been subsequently amended and restated. The Plan trustees are responsible for oversight of the Plan, including the appropriateness of the Plan's investment offerings and investment performance.

Contributions Participants may elect to contribute to the Plan, in the form of employee 401(k) salary deferral contributions, up to the lesser of 100% of their eligible compensation or the maximum amount allowable by law.

The Company makes safe harbor matching contributions of 100% of each participant's deferral up to 3% of the participant's eligible compensation and 50% of the next 2% of eligible compensation. The Company made safe harbor matching contributions of $353,293 and $319,829, respectively, for the years ended September 30, 2016 and 2015.

Additionally, the Company may make discretionary non-safe harbor matching contributions and discretionary nonelective contributions to the Plan. No discretionary non-safe harbor matching contributions were made for the years ended September 30, 2016 and 2015. The Company made discretionary nonelective contributions of $414,926 and $406,308, respectively, for the years ended September 30, 2016 and 2015.

All contributions are invested in a portfolio of investments as directed by the Plan trustees.

Participant Accounts Each participant's account is credited with the participant's salary deferral contributions, employer contributions, an allocation of Plan earnings, and may be charged with an allocation of administrative expenses. The Company's discretionary nonelective contribution is allocated in the same ratio that each participant's eligible compensation for the Plan year bears to the total eligible compensation of all participants for the year. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

Vesting Participants are immediately vested in their salary deferral contributions, employer safe harbor matching contributions, and earnings thereon. Discretionary non-safe harbor matching contributions and discretionary nonelective contributions to the Plan are subject to vesting. A participant is 100% vested after six years of credited service. Participants are credited with one year of service for each plan year in which they worked at least 1,000 hours.

Payment of Benefits Withdrawals are allowed in the event of termination, disability, death, retirement, or attainment of age 59 ½. Depending on the event, the withdrawal may be subject to early withdrawal penalties imposed by the *Internal Revenue Code* (IRC) and the *California Revenue and Taxation Code*. Payments are made in the form of a lump-sum distribution or annual installments over a period of time of not more than the participant's life expectancy.

Forfeitures Forfeitures attributable to discretionary non-safe harbor matching and discretionary nonelective contributions may be used to pay for administrative expenses or reduce future employer safe harbor matching contributions. Forfeited nonvested account balances totaling $4,652 and $1,663 were used to reduce employer safe harbor matching contributions for the years ended September 30, 2016 and 2015, respectively. At September 30, 2016 and 2015, the remaining forfeited nonvested accounts totaled $-0-.

Plan Termination Although it has not expressed any intent to do so, the Company has the right, under the Plan, to discontinue contributions at any time and to terminate the Plan subject to the provisions of ERISA. In the event of Plan termination, participants would become 100% vested in their accounts.

2. SIGNIFICANT ACCOUNTING POLICIES

Basis of Accounting The financial statements of the Plan are prepared under the accrual method of accounting.

Use of Estimates The preparation of financial statements, in conformity with accounting principles generally accepted in the United States of America, requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities, changes therein, and disclosure of contingent assets and liabilities. Actual results could differ from those estimates.

Investment Valuation and Income Recognition Investments are reported at fair value. Fair value is the price that would be received to sell an asset, or paid to transfer a liability, in an orderly transaction between market participants at the measurement date. The Plan trustees determine the Plan's valuation policies utilizing information provided by the investment advisers and custodian. Fair value measurements are discussed below.

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation (depreciation) in fair value of investments includes the Plan's gains and losses on investments purchased and sold as well as held during the year.

Fair Value Measurements The framework for measuring fair value provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (level 1) and the lowest priority to unobservable inputs (level 3). The three levels of the fair value hierarchy are described below:

Level 1: Inputs to the valuation methodology are unadjusted, quoted prices for identical assets or liabilities in active markets that the Plan has the ability to access.

Level 2: Inputs to the valuation methodology include quoted prices for similar assets or liabilities in active markets; quoted prices for identical or similar assets or liabilities in inactive markets; inputs other than quoted prices that are observable for the asset or liability; or inputs that are derived principally from, or corroborated by, observable market data by correlation or other means.

If the asset or liability has a specified (contractual) term, the level 2 input must be observable for substantially the full term of the asset or liability.

Level 3: Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The asset or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques maximize the use of observable inputs and minimize the use of unobservable inputs.

Following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at September 30, 2016 and 2015.

Interest-Bearing Cash: Assets are bank deposits that are insured by the Federal Deposit Insurance Corporation.

Money Market Funds: Assets are valued at the net asset value (NAV), generally $1 per share, and are reported on the active market on which securities are traded.

Corporate Stocks: Assets are valued at the closing price reported on the active market on which the individual securities are traded.

Mutual Funds: Assets are valued at the daily closing price as reported by the fund. Mutual funds held by the Plan are open-ended mutual funds that are registered with the U.S. Securities and Exchange Commission. These funds are required to publish their daily NAV and to transact at that price. The mutual funds held by the Plan are deemed to be actively traded.

Exchange Traded Funds: Assets are valued at the NAV reported on the active market on which the securities are traded. The valuation occurs throughout the day based on the market value of the underlying securities as well as the market supply and demand for the particular exchange traded fund.

See note 3 for the classification of these assets within the fair value hierarchy.

The methods described above may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, while the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

Payment of Benefits Benefits are recorded when paid.

Expenses Certain operating expenses of the Plan are deducted from participant accounts and the remaining expenses are paid by the Company. Investment-related expenses are included in net appreciation (depreciation) in fair value of investments.

Subsequent Events The Plan has evaluated subsequent events through July 13, 2017, the date the financial statements were available to be issued.

3. INVESTMENTS

The following tables set forth by level, within the fair value hierarchy, the Plan's investments at fair value.

September 30, 2016	Level 1	Level 2	Level 3	Total
Interest-bearing cash	$ 609,178	$ -	$ -	$ 609,178
Corporate stocks	10,530,918	-	-	10,530,918
Mutual funds	1,331,330	-	-	1,331,330
Exchange traded funds	2,053,276	-	-	2,053,276
Total Assets at Fair Value	$ 14,524,702	$ -	$ -	$ 14,524,702

September 30, 2015	Level 1	Level 2	Level 3	Total
Interest-bearing cash	$ 11,921	$ -	$ -	$ 11,921
Money market funds	506,407	-	-	506,407
Corporate stocks	7,039,159	-	-	7,039,159
Mutual funds	2,669,668	-	-	2,669,668
Exchange traded funds	1,740,728	-	-	1,740,728
Total Assets at Fair Value	$ 11,967,883	$ -	$ -	$ 11,967,883

4. RELATED-PARTY DISCLOSURE

The Company is the administrator of the Plan. The Plan's administrator may designate other persons to carry out the day-to-day operations of the Plan. The Plan has an investment contract with Morgan Stanley Smith Barney. Morgan Stanley Smith Barney, as the Plan's broker, qualifies as a party in interest with respect to the investments managed by them; however, they are exempt from the prohibited transaction rules under ERISA. Fees paid by the Plan for investment management services are included in net appreciation (depreciation) in fair value of investments and amounted to $166,133 and $198,506 for the years ended September 30, 2016 and 2015, respectively.

5. TAX STATUS

The Plan has adopted a prototype, non-standardized profit sharing plan established by Capital Pension Services, Inc. (Sponsor). The Sponsor's prototype plan obtained a determination letter on March 31, 2014, in which the Internal Revenue Service stated that the form of the prototype plan, as then designed, is acceptable under Section 401 of the IRC. The Plan administrator believes that the Plan is currently designed and being operated in compliance with the applicable requirements of the IRC and, therefore, believes that the Plan is qualified, and the related trust is tax-exempt.

6. RISKS AND UNCERTAINTIES

The Plan invests in various investment securities. Investment securities are exposed to various risks such as interest rate, market, and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term, and that such changes could materially affect participants' account balances and the amounts reported in the statements of net assets available for benefits.

SUPPLEMENTARY INFORMATION SECTION

The Tremont Group, Inc. 401(k) Profit Sharing Plan

FORM 5500, SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS HELD AT END OF YEAR
EIN: 68-0230147, PLAN: 001
September 30, 2016

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment (Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value)	(d) Cost	(e) Current Value
	3M Company	Corporate stock	$ 13,567	$ 21,500
	AAC Technologies Holdings Inc.	Corporate stock	24,135	36,540
	AAON, Inc.	Corporate stock	4,075	4,784
	Abercrombie & Fitch Co.	Corporate stock	1,571	1,446
	ABIOMED, Inc.	Corporate stock	10,247	15,430
	ACCO Brands Corporation	Corporate stock	4,768	5,736
	Actelion Ltd	Corporate stock	8,790	12,132
	Adidas AG	Corporate stock	30,197	33,457
	Adobe Systems Incorporated	Corporate stock	24,243	43,416
	Advanced Micro Devices, Inc.	Corporate stock	2,985	3,144
	Advanced Semiconductor Engineering, Inc.	Corporate stock	9,767	11,554
	AerCap Holdings N.V.	Corporate stock	7,503	13,279
	Aetna Inc.	Corporate stock	35,734	37,521
	Affiliated Managers Group, Inc.	Corporate stock	7,876	7,235
	Akbank T.A.S.	Corporate stock	14,108	16,192
	Akzo Nobel N.V.	Corporate stock	11,774	11,973
	Alaska Air Group, Inc.	Corporate stock	5,921	5,401
	Albemarle Corporation	Corporate stock	8,365	8,891
	Alexion Pharmaceuticals, Inc.	Corporate stock	29,942	29,655
	Alibaba Group Holding Limited	Corporate stock	66,259	79,871
	Align Technology, Inc.	Corporate stock	4,575	5,813
	Alleghany Corporation	Corporate stock	7,538	7,875
	Allegiant Travel Company	Corporate stock	10,895	8,320
	Allegion plc	Corporate stock	2,657	2,825
	Allergan plc	Corporate stock	127,222	148,550
	Alliant Energy Corporation	Corporate stock	5,520	6,972
	Allianz SE	Corporate stock	9,295	10,470
	Alphabet Inc. Class A	Corporate stock	27,345	43,419
	Alphabet Inc. Class C	Corporate stock	30,588	42,751
	Amazon.com, Inc.	Corporate stock	51,131	132,295
	Ambev S.A.	Corporate stock	25,458	32,825
	AMC Networks Inc.	Corporate stock	29,309	35,006
	Amdocs Limited	Corporate stock	2,688	2,777
	América Móvil, S.A.B. de C.V.	Corporate stock	18,236	14,986
	American Express Company	Corporate stock	6,768	6,660
	American International Group, Inc.	Corporate stock	36,265	38,274
	American Tower Corporation	Corporate stock	15,435	19,493
	America's Car-Mart, Inc.	Corporate stock	1,836	3,020
	AmerisourceBergen Corporation	Corporate stock	2,903	2,747
	AMETEK, Inc.	Corporate stock	7,694	7,071
	Amphenol Corporation	Corporate stock	8,862	10,647
	Anadarko Petroleum Corporation	Corporate stock	157,406	162,518
Balance Forward			$ 911,453	$ 1,144,471

** Party in interest.*

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment (Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value)	(d) Cost	(e) Current Value
	Balance Brought Forward		$ 911,453	$ 1,144,471
	Analog Devices, Inc.	Corporate stock	6,886	7,476
	Anheuser-Busch InBev SA/NV	Corporate stock	17,665	27,070
	Annaly Capital Management, Inc.	Corporate stock	8,850	9,870
	Antero Resources Corporation	Corporate stock	1,387	1,401
	Anthem, Inc.	Corporate stock	37,391	33,834
	Aon plc	Corporate stock	12,440	14,399
	Apple Inc.	Corporate stock	44,062	60,482
	Aramark	Corporate stock	3,900	4,411
	Archrock, Inc.	Corporate stock	3,046	5,991
	Arkema S.A.	Corporate stock	12,161	13,877
	ARRIS International plc	Corporate stock	6,593	6,913
	Ashtead Group plc	Corporate stock	4,621	4,875
	ASSA ABLOY AB	Corporate stock	8,166	15,930
	Associated British Foods plc	Corporate stock	3,812	5,408
	Assured Guaranty Ltd.	Corporate stock	2,550	2,831
	AstraZeneca PLC	Corporate stock	29,373	33,189
	Atlantica Yield plc	Corporate stock	2,439	2,866
	Atlas Air Worldwide Holdings, Inc.	Corporate stock	2,873	3,212
	Atwood Oceanics, Inc.	Corporate stock	1,821	2,433
	Autodesk, Inc.	Corporate stock	29,829	74,789
	AutoZone, Inc.	Corporate stock	7,118	6,915
	Avista Corporation	Corporate stock	8,385	8,692
	Avnet, Inc.	Corporate stock	5,439	5,584
	Axalta Coating Systems Ltd.	Corporate stock	5,476	5,597
	B/E Aerospace, Inc.	Corporate stock	1,431	1,446
	Baidu, Inc.	Corporate stock	52,695	46,610
	Balchem Corporation	Corporate stock	9,389	11,087
	Banca Mediolanum S.p.A.	Corporate stock	6,691	5,320
	Banco do Brasil S.A.	Corporate stock	18,664	29,449
	Banco Macro S.A.	Corporate stock	28,405	39,282
	Bank of the Ozarks, Inc.	Corporate stock	15,661	12,288
	Barnes Group Inc.	Corporate stock	6,586	7,299
	BB Seguridade Participações S.A.	Corporate stock	16,163	21,497
	Beacon Roofing Supply, Inc.	Corporate stock	5,837	6,605
	Becton, Dickinson and Company	Corporate stock	18,503	18,692
	Bed Bath & Beyond Inc.	Corporate stock	6,916	5,949
	Berkshire Hills Bancorp, Inc.	Corporate stock	5,885	5,708
	Bezeq The Israel Telecommunication Corporation Limited	Corporate stock	10,780	9,588
	BHP Billiton Limited	Corporate stock	28,051	16,632
	Balance Forward		$ 1,409,393	$ 1,739,968

** Party in interest.*

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment (Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value)	(d) Cost	(e) Current Value
	Balance Brought Forward		$ 1,409,393	$ 1,739,968
	Biogen Inc.	Corporate stock	96,368	145,559
	BioMarin Pharmaceutical Inc.	Corporate stock	25,766	28,219
	Bio-Rad Laboratories, Inc.	Corporate stock	5,261	6,225
	Bio-Techne Corporation	Corporate stock	6,574	9,746
	Blackbaud, Inc.	Corporate stock	10,797	11,211
	BofI Holding, Inc.	Corporate stock	5,273	6,250
	Bottomline Technologies (de), Inc.	Corporate stock	5,330	4,149
	Bridgestone Corporation	Corporate stock	11,406	12,393
	Briggs & Stratton Corporation	Corporate stock	3,665	3,469
	Bright Horizons Family Solutions Inc.	Corporate stock	10,593	10,836
	Bristol-Myers Squibb Company	Corporate stock	28,677	23,994
	British American Tobacco p.l.c.	Corporate stock	17,919	24,509
	Broadcom Limited	Corporate stock	50,940	117,141
	Brown & Brown, Inc.	Corporate stock	2,649	2,866
	Burlington Stores, Inc.	Corporate stock	3,998	7,049
	CA, Inc.	Corporate stock	2,354	2,713
	California Resources Corporation	Corporate stock	1,467	1,975
	Canadian National Railway Company	Corporate stock	19,629	23,544
	Cantel Medical Corp.	Corporate stock	13,314	15,986
	Cap Gemini S.A.	Corporate stock	16,740	22,508
	Cardinal Health, Inc.	Corporate stock	7,293	6,838
	Carlisle Companies Incorporated	Corporate stock	3,543	3,898
	Carlsberg A/S	Corporate stock	12,069	12,365
	Carnival plc	Corporate stock	11,696	10,307
	Casey's General Stores, Inc.	Corporate stock	2,728	2,884
	Cathay General Bancorp	Corporate stock	2,853	2,893
	CBRE Group, Inc.	Corporate stock	8,446	6,827
	CDW Corporation	Corporate stock	2,684	2,927
	Celgene Corporation	Corporate stock	50,590	54,878
	Celldex Therapeutics, Inc.	Corporate stock	2,728	2,464
	Centene Corporation	Corporate stock	7,527	8,705
	CenterState Banks, Inc.	Corporate stock	2,721	3,050
	Central Pacific Financial Corp.	Corporate stock	1,320	1,411
	Check Point Software Technologies Ltd.	Corporate stock	18,066	17,850
	Chemical Financial Corporation	Corporate stock	1,180	1,412
	Chevron Corporation	Corporate stock	38,110	40,139
	China Construction Bank Corporation	Corporate stock	49,454	55,362
	China Eastern Airlines Corporation Limited	Corporate stock	12,245	9,731
	Balance Forward		$ 1,983,366	$ 2,464,251

** Party in interest.*

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment (Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value)	(d) Cost	(e) Current Value
	Balance Brought Forward		$ 1,983,366	$ 2,464,251
	China Lodging Group, Limited	Corporate stock	12,191	14,654
	China Mobile Limited	Corporate stock	49,942	55,368
	China Shenhua Energy Company Ltd	Corporate stock	12,117	15,539
	Church & Dwight Co., Inc.	Corporate stock	4,789	5,271
	Chuy's Holdings, Inc.	Corporate stock	6,662	5,560
	Cielo S.A.	Corporate stock	22,871	29,768
	CIT Group Inc.	Corporate stock	8,051	7,913
	Citi Trends, Inc.	Corporate stock	1,327	1,315
	Citigroup Inc.	Corporate stock	79,305	69,900
	Citrix Systems, Inc.	Corporate stock	34,681	46,445
	Clicks Group Limited	Corporate stock	20,069	29,737
	CME Group Inc.	Corporate stock	17,052	23,622
	CMS Energy Corporation	Corporate stock	21,564	25,416
	CNOOC Limited	Corporate stock	13,731	16,444
	Cobalt International Energy, Inc.	Corporate stock	1,477	1,116
	Cognex Corporation	Corporate stock	6,655	9,568
	Colliers International Group Inc.	Corporate stock	11,697	13,252
	Comcast Corporation	Corporate stock	77,794	202,470
	Commercial International Bank (Egypt) S.A.E.	Corporate stock	18,590	18,168
	Commercial Metals Company	Corporate stock	5,722	6,314
	Communications Sales & Leasing, Inc.	Corporate stock	2,956	3,078
	Community Trust Bancorp, Inc.	Corporate stock	1,383	1,447
	Compagnie Financière Richemont SA	Corporate stock	7,409	4,991
	Compass Group PLC	Corporate stock	12,645	14,363
	Conagra Brands, Inc.	Corporate stock	3,825	4,287
	Concho Resources Inc.	Corporate stock	18,814	23,624
	ConocoPhillips	Corporate stock	16,339	13,780
	Constellation Brands, Inc.	Corporate stock	11,888	12,986
	Continental Aktiengesellschaft	Corporate stock	6,635	6,139
	Controladora Vuela Compañía de Aviación, S.A.B. de C.V.	Corporate stock	11,132	10,956
	Cooper Tire & Rubber Company	Corporate stock	3,475	3,308
	Cooper-Standard Holdings Inc.	Corporate stock	3,792	4,742
	Copart, Inc.	Corporate stock	5,126	7,284
	Costco Wholesale Corporation	Corporate stock	18,295	25,317
	CPI Card Group Inc.	Corporate stock	2,958	3,835
	Cree, Inc.	Corporate stock	60,582	49,562
	Crown Castle International Corp.	Corporate stock	6,179	7,066
	Daiwa House Industry Co.,Ltd.	Corporate stock	14,651	20,687
	Balance Forward		$ 2,617,737	$ 3,279,543

** Party in interest.*

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	Balance Brought Forward		$ 2,617,737	$ 3,279,543
	DBS Group Holdings Ltd	Corporate stock	14,669	11,532
	Dell Technologies Inc.	Corporate stock	4,330	4,302
	Delphi Automotive PLC	Corporate stock	7,157	6,134
	DENTSPLY SIRONA Inc.	Corporate stock	5,638	5,586
	DexCom, Inc.	Corporate stock	3,116	4,120
	Diageo plc	Corporate stock	23,891	23,208
	Discovery Communications, Inc. Series A	Corporate stock	32,177	28,804
	Discovery Communications, Inc. Series C	Corporate stock	6,325	5,999
	Dolby Laboratories, Inc.	Corporate stock	20,604	34,637
	Dollar General Corporation	Corporate stock	50,645	47,593
	Dollar Tree, Inc.	Corporate stock	11,969	11,682
	Dominion Resources, Inc.	Corporate stock	24,911	35,798
	Donaldson Company, Inc.	Corporate stock	5,312	7,093
	Dorman Products, Inc.	Corporate stock	6,331	7,093
	Dril-Quip, Inc.	Corporate stock	3,976	3,735
	Ducommun Incorporated	Corporate stock	1,320	1,964
	E. I. du Pont de Nemours and Company	Corporate stock	33,493	43,129
	EchoStar Corporation	Corporate stock	4,146	4,821
	Electronic Arts Inc.	Corporate stock	9,985	11,956
	Electronics for Imaging, Inc.	Corporate stock	5,251	6,262
	Ellie Mae, Inc.	Corporate stock	5,752	6,423
	Eni SpA	Corporate stock	11,857	10,971
	Ennis, Inc.	Corporate stock	2,822	2,528
	Enterprise Financial Services Corp	Corporate stock	5,328	5,656
	Envestnet, Inc.	Corporate stock	5,290	6,124
	Envision Healthcare Corporation	Corporate stock	3,405	2,940
	ePlus inc.	Corporate stock	5,545	6,325
	Equinix, Inc.	Corporate stock	5,125	5,764
	Ethan Allen Interiors Inc.	Corporate stock	2,554	2,595
	Exelon Corporation	Corporate stock	17,530	19,508
	Exlservice Holdings, Inc.	Corporate stock	7,537	7,875
	Expedia, Inc.	Corporate stock	2,878	2,918
	Exponent, Inc.	Corporate stock	6,606	6,434
	Exxon Mobil Corporation	Corporate stock	56,744	61,794
	Facebook, Inc.	Corporate stock	25,188	80,169
	FactSet Research Systems Inc.	Corporate stock	6,553	6,160
	Fair Isaac Corporation	Corporate stock	6,629	8,970
	Balance Forward		$ 3,070,326	$ 3,828,145

** Party in interest.*

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	Balance Brought Forward		$ 3,070,326	$ 3,828,145
	Fairfax Financial Holdings Limited	Corporate stock	6,433	8,206
	Fanuc Corporation	Corporate stock	7,406	7,868
	FedEx Corporation	Corporate stock	5,598	5,590
	Fidelity National Financial, Inc.	Corporate stock	7,311	7,751
	Fidelity National Information Services, Inc.	Corporate stock	4,129	4,314
	Fidelity Southern Corporation	Corporate stock	1,886	1,563
	First Business Financial Services, Inc.	Corporate stock	4,110	3,854
	First Defiance Financial Corp.	Corporate stock	5,658	6,250
	First Financial Corporation	Corporate stock	2,662	3,092
	First Interstate BancSystem, Inc.	Corporate stock	3,866	4,222
	First Midwest Bancorp, Inc.	Corporate stock	1,456	1,452
	First NBC Bank Holding Company	Corporate stock	5,508	2,596
	First Republic Bank	Corporate stock	7,449	8,636
	First Solar, Inc.	Corporate stock	3,486	3,199
	FirstEnergy Corp.	Corporate stock	4,414	4,367
	FleetCor Technologies, Inc.	Corporate stock	24,146	30,924
	Fluor Corporation	Corporate stock	70,744	68,923
	Flushing Financial Corporation	Corporate stock	3,844	4,364
	FMC Corp	Corporate stock	5,150	5,994
	Fossil Group, Inc.	Corporate stock	3,323	2,583
	Freeport-McMoRan Inc.	Corporate stock	89,792	70,699
	Fresenius SE & Co. KGaA	Corporate stock	7,954	11,962
	Fulton Financial Corporation	Corporate stock	1,425	1,510
	GEA Group Aktiengesellschaft	Corporate stock	5,756	7,220
	General Cable Corporation	Corporate stock	1,198	1,393
	General Electric Company	Corporate stock	65,190	75,827
	Genesee & Wyoming Inc.	Corporate stock	3,904	4,827
	G-III Apparel Group, Ltd.	Corporate stock	6,718	3,877
	Global Payments Inc.	Corporate stock	3,750	4,299
	Globus Medical, Inc.	Corporate stock	6,647	5,710
	Great Southern Bancorp, Inc.	Corporate stock	3,621	2,971
	Great Western Bancorp, Inc.	Corporate stock	2,379	2,899
	Green Dot Corporation	Corporate stock	2,815	2,906
	Grupo Financiero Banorte, S.A.B. de C.V.	Corporate stock	11,901	11,262
	H&R Block, Inc.	Corporate stock	7,237	6,135
	Halliburton Company	Corporate stock	19,165	19,074
	Hancock Holding Company	Corporate stock	1,435	1,459
	HCI Group, Inc.	Corporate stock	3,058	2,520
	Balance Forward		$ 3,492,850	$ 4,250,443

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	Balance Brought Forward		$ 3,492,850	$ 4,250,443
	Healthcare Services Group, Inc.	Corporate stock	7,638	10,132
	Heartland Financial USA, Inc.	Corporate stock	4,046	4,184
	Hecla Mining Company	Corporate stock	4,679	6,242
	Heineken N.V.	Corporate stock	12,108	12,018
	Henry Schein, Inc.	Corporate stock	9,616	9,942
	Hess Corporation	Corporate stock	15,699	20,644
	Hhgregg, Inc.	Corporate stock	1,405	646
	Hibbett Sports, Inc.	Corporate stock	2,690	3,392
	Hilton, Inc.	Corporate stock	6,850	7,223
	Honeywell International Inc.	Corporate stock	24,754	38,242
	Horizon Bancorp	Corporate stock	1,321	1,410
	IBERIABANK Corporation	Corporate stock	1,203	1,410
	ICON Public Limited Company	Corporate stock	9,624	12,921
	Iconix Brand Group, Inc.	Corporate stock	2,027	2,639
	IHS Markit Ltd.	Corporate stock	6,773	7,735
	Illumina, Inc.	Corporate stock	5,751	17,803
	ImmunoGen, Inc.	Corporate stock	23,372	5,893
	Imperial Holdings Limited	Corporate stock	12,094	16,467
	Infineon Technologies AG	Corporate stock	12,673	13,602
	Informa plc	Corporate stock	7,778	11,247
	Ingles Markets, Incorporated	Corporate stock	2,995	2,966
	Insight Enterprises, Inc.	Corporate stock	6,305	7,324
	Intel Corporation	Corporate stock	23,267	28,313
	Intelsat S.A.	Corporate stock	5,916	5,393
	Intercontinental Exchange, Inc.	Corporate stock	3,924	4,310
	Interest-bearing cash	Interest-bearing cash	24,572	24,572
	International Paper Company	Corporate stock	14,600	14,394
	Intuit Inc.	Corporate stock	2,463	2,860
	Invesco Floating Rate Y	Mutual fund	550,807	520,605
	Invesco Ltd.	Corporate stock	18,750	18,762
	Ionis Pharmaceuticals, Inc.	Corporate stock	12,159	30,411
	Ishares Msci Eafe Etf	Exchange traded fund	316,908	315,163
	Ishares Msci Emerging Mkts Etf	Exchange traded fund	300,246	287,691
	Ishares Russell 1000 Grw Etf	Exchange traded fund	423,203	434,806
	Ishares Russell 1000 Value Etf	Exchange traded fund	579,906	620,094
	Ishares Russell 2000 Grwth Etf	Exchange traded fund	105,755	109,590
	Ishares Russell 2000 Value Etf	Exchange traded fund	78,655	87,466
	Ishares Russell Midcap G Etf	Exchange traded fund	64,098	112,560
	Ishares Russell Midcap V Etf	Exchange traded fund	51,910	85,906
	J&J Snack Foods Corp.	Corporate stock	7,989	8,100
	Balance Forward		$ 6,259,379	$ 7,175,521

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	Balance Brought Forward		$ 6,259,379	$ 7,175,521
	J.B. Hunt Transport Services, Inc.	Corporate stock	2,877	2,759
	Japan Tobacco Inc.	Corporate stock	13,064	15,872
	Jazz Pharmaceuticals Public Limited Company	Corporate stock	14,853	12,877
	Johnson & Johnson	Corporate stock	26,953	40,164
	Johnson Controls International plc	Corporate stock	58,325	75,518
	JPMorgan Chase & Co.	Corporate stock	70,285	95,890
	Kasikornbank Public Company Limited	Corporate stock	29,841	31,074
	Kate Spade & Company	Corporate stock	2,885	2,535
	KB Financial Group Inc.	Corporate stock	27,901	33,252
	KBC Group NV	Corporate stock	7,125	6,776
	KDDI Corporation	Corporate stock	22,293	31,673
	Kellogg Company	Corporate stock	3,611	3,951
	KeyCorp	Corporate stock	18,815	18,864
	Kimberly-Clark de México, S. A. B. de C. V.	Corporate stock	21,478	21,195
	KLA-Tencor Corporation	Corporate stock	2,875	2,928
	Koç Holding Anonim Sirketi	Corporate stock	21,578	23,145
	Komatsu Ltd.	Corporate stock	8,989	7,909
	Koninklijke Ahold Delhaize N.V.	Corporate stock	12,517	11,891
	Koninklijke KPN N.V.	Corporate stock	5,898	6,019
	Korea Electric Power Corporation	Corporate stock	11,808	13,750
	L Brands, Inc.	Corporate stock	3,676	3,043
	L3 Technologies, Inc.	Corporate stock	55,108	106,265
	Laboratory Corporation of America Holdings	Corporate stock	13,061	14,298
	Lam Research Corporation	Corporate stock	2,612	3,315
	LegacyTexas Financial Group, Inc.	Corporate stock	2,806	2,942
	Liberty Broadband Corp. Series A	Corporate stock	560	3,648
	Liberty Broadband Corp. Series C	Corporate stock	2,586	9,650
	Liberty Media Corporation Series A	Corporate stock	665	2,063
	Liberty Media Corporation Series C	Corporate stock	2,178	2,927
	Liberty Ventures	Corporate stock	8,942	14,752
	Life Healthcare Group Holdings Limited	Corporate stock	13,299	13,875
	LKQ Corporation	Corporate stock	3,996	4,823
	Localiza Rent a Car S.A.	Corporate stock	18,722	36,056
	Lockheed Martin Corporation	Corporate stock	24,121	31,164
	Loews Corporation	Corporate stock	6,681	7,201
	Lululemon Athletica Inc.	Corporate stock	11,936	11,098
	Balance Forward		$ 6,814,299	$ 7,900,683

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	Balance Brought Forward		$ 6,814,299	$ 7,900,683
	Magna International Inc.	Corporate stock	16,312	14,603
	MainSource Financial Group, Inc.	Corporate stock	4,116	4,641
	Makita Corporation	Corporate stock	12,103	15,086
	Manulife Financial Corporation	Corporate stock	13,528	10,484
	Marathon Oil Corporation	Corporate stock	10,928	7,178
	Marathon Petroleum Corporation	Corporate stock	26,030	30,240
	MarketAxess Holdings Inc.	Corporate stock	11,965	17,553
	Marlin Business Services Corp.	Corporate stock	1,359	1,531
	Marriott International, Inc.	Corporate stock	28,363	31,645
	Marsh & McLennan Companies, Inc.	Corporate stock	18,856	18,830
	Marten Transport, Ltd.	Corporate stock	4,447	5,460
	Masimo Corporation	Corporate stock	9,620	13,742
	Mastercard Incorporated	Corporate stock	28,514	56,991
	McDonald's Corporation	Corporate stock	18,372	18,688
	Mead Johnson Nutrition Company	Corporate stock	5,468	5,373
	Medidata Solutions, Inc.	Corporate stock	6,689	8,643
	MEDNAX, Inc.	Corporate stock	4,528	5,565
	Medtronic plc	Corporate stock	36,013	40,435
	Mercantile Bank Corporation	Corporate stock	1,316	1,477
	Merck & Co., Inc.	Corporate stock	46,447	55,482
	Meta Financial Group, Inc.	Corporate stock	2,488	3,212
	MetLife, Inc.	Corporate stock	28,899	23,903
	MFA Financial, Inc.	Corporate stock	3,827	4,189
	Michael Kors Holdings Limited	Corporate stock	2,651	2,948
	Micron Technology, Inc.	Corporate stock	2,579	3,129
	Microsoft Corporation	Corporate stock	95,884	115,200
	MidSouth Bancorp, Inc.	Corporate stock	1,314	1,342
	Mitsubishi Electric Corporation	Corporate stock	14,303	14,337
	Mobileye N.V.	Corporate stock	5,877	5,279
	Mondelez International, Inc.	Corporate stock	14,036	17,516
	Monro Muffler Brake, Inc.	Corporate stock	9,280	7,830
	Monster Beverage Corporation	Corporate stock	27,096	28,775
*	Morgan Stanley	Corporate stock	51,498	53,701
	Motorola Solutions, Inc.	Corporate stock	17,359	21,358
*	Morgan Stanley Private Bank N.A.	Interest-bearing cash	584,606	584,606
	MSG Networks Inc.	Corporate stock	12,750	13,176
	Murata Manufacturing Co., Ltd.	Corporate stock	11,200	10,063
	National Oilwell Varco, Inc.	Corporate stock	55,818	46,550
	Natus Medical Incorporated	Corporate stock	8,356	6,994
	Nautilus, Inc.	Corporate stock	5,636	6,134
	Balance Forward		$ 8,074,730	$ 9,234,572

** Party in interest.*

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment (Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value)	(d) Cost	(e) Current Value
	Balance Brought Forward		$ 8,074,730	$ 9,234,572
	Nedbank Group Limited	Corporate stock	16,939	20,984
	Nelnet, Inc.	Corporate stock	5,407	6,701
	Neogen Corporation	Corporate stock	6,392	10,405
	NetApp, Inc.	Corporate stock	6,045	7,092
	NetEase, Inc.	Corporate stock	47,749	63,807
	Netflix, Inc.	Corporate stock	22,072	30,551
	NETGEAR, Inc.	Corporate stock	3,508	5,263
	News Corporation Class A	Corporate stock	1,347	1,650
	News Corporation Class B	Corporate stock	4,214	4,337
	NextEra Energy, Inc.	Corporate stock	23,208	41,711
	NIKE, Inc.	Corporate stock	19,661	34,486
	Nippon Telegraph and Telephone Corporation	Corporate stock	6,389	11,086
	Noble Energy, Inc.	Corporate stock	4,012	4,432
	Northrop Grumman Corporation	Corporate stock	13,328	35,944
	Northwestern Corporation	Corporate stock	7,099	6,904
	Norwegian Cruise Line Holdings Ltd.	Corporate stock	6,316	4,675
	Novartis AG	Corporate stock	24,876	28,426
	Novo Nordisk A/S	Corporate stock	9,796	7,237
	NOW Inc.	Corporate stock	31,270	27,645
	Nuance Communications, Inc.	Corporate stock	22,360	19,967
	Nucor Corporation	Corporate stock	67,541	77,043
	NVIDIA Corporation	Corporate stock	21,395	34,945
	NXP Semiconductors N.V.	Corporate stock	44,457	49,985
	Occidental Petroleum Corporation	Corporate stock	57,919	53,596
	Oclaro, Inc.	Corporate stock	1,350	2,309
	Ocwen Financial Corporation	Corporate stock	1,304	1,505
	Office Depot, Inc.	Corporate stock	2,907	2,838
	OFG Bancorp	Corporate stock	2,157	3,134
	Omnicell, Inc.	Corporate stock	4,171	5,171
	Oracle Corporation	Corporate stock	48,173	49,100
	O'Reilly Automotive, Inc.	Corporate stock	26,026	38,095
	ORIX Corporation	Corporate stock	13,684	12,830
	Palo Alto Networks, Inc.	Corporate stock	30,323	30,910
	Pandora A/S	Corporate stock	7,271	12,743
	Panera Bread Company	Corporate stock	10,287	10,125
	PAREXEL International Corporation	Corporate stock	10,826	11,181
	Paycom Software, Inc.	Corporate stock	4,999	6,016
	PC Connection, Inc.	Corporate stock	5,381	6,024
	PDL BioPharma, Inc.	Corporate stock	8,594	8,375
	Balance Forward		$ 8,725,483	$ 10,023,800

** Party in interest.*

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment (Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value)	(d) Cost	(e) Current Value
	Balance Brought Forward		$ 8,725,483	$ 10,023,800
	Pentair plc	Corporate stock	29,317	36,745
	Peoples Bancorp Inc.	Corporate stock	1,369	1,574
	Persimmon plc	Corporate stock	15,544	13,627
	Pfizer Inc.	Corporate stock	71,359	83,828
	Philip Morris International Inc.	Corporate stock	16,041	18,083
	Pioneer Floating Rate Y	Mutual fund	553,923	542,159
	Pioneer Multi Ast Ult Sht Inc Y	Mutual fund	270,349	268,566
	PJSC LUKOIL	Corporate stock	22,728	32,357
	PLDT Inc.	Corporate stock	22,530	17,942
	PPC Ltd	Corporate stock	6,773	4,645
	PPL Corporation	Corporate stock	9,695	9,852
	Praxair, Inc.	Corporate stock	12,399	12,808
	Primerica, Inc.	Corporate stock	4,519	5,091
	Proto Labs, Inc.	Corporate stock	3,824	4,913
	Provident Financial Holdings, Inc.	Corporate stock	4,198	4,381
	Prudential Financial, Inc.	Corporate stock	30,023	33,477
	Prudential plc	Corporate stock	16,215	20,890
	PT Astra International Tbk	Corporate stock	25,924	37,925
	PT Bank Mandiri (Persero) Tbk	Corporate stock	22,697	30,681
	PT Semen Indonesia (Persero) Tbk	Corporate stock	20,808	20,850
	PT Telekomunikasi Indonesia Tbk	Corporate stock	24,062	37,335
	PT United Tractors Tbk	Corporate stock	12,088	15,232
	Public Joint Stock Company Gazprom	Corporate stock	15,988	17,715
	Public Joint Stock Company Mobile TeleSystems	Corporate stock	20,605	21,669
	Public Service Enterprise Group Incorporated	Corporate stock	16,912	17,418
	Quad/Graphics, Inc.	Corporate stock	1,724	4,489
	QUALCOMM Incorporated	Corporate stock	78,141	82,543
	Quanta Services, Inc.	Corporate stock	3,482	4,814
	Quest Diagnostics Incorporated	Corporate stock	23,453	26,828
	Quintiles IMS Holdings, Inc.	Corporate stock	4,799	5,836
	QVC Group	Corporate stock	24,460	33,057
	Range Resources Corporation	Corporate stock	2,892	2,713
	Rayonier Advanced Materials Inc.	Corporate stock	2,282	3,075
	Raytheon Company	Corporate stock	22,250	43,562
	RBC Bearings Incorporated	Corporate stock	7,995	8,719
	Red Eléctrica Corporación, S.A.	Corporate stock	7,716	9,718
	Red Hat, Inc.	Corporate stock	26,834	35,969
	Regeneron Pharmaceuticals, Inc.	Corporate stock	30,346	25,327
	Balance Forward		$ 10,211,747	$ 11,620,213

** Party in interest.*

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment (Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value)	(d) Cost	(e) Current Value
	Balance Brought Forward		$ 10,211,747	$ 11,620,213
	RELX PLC	Corporate stock	10,569	11,592
	Renault SA	Corporate stock	11,413	10,321
	Rent-A-Center, Inc.	Corporate stock	5,187	4,234
	REX American Resources Corporation	Corporate stock	2,137	3,645
	Reynolds American Inc.	Corporate stock	11,510	12,731
	Rocket Fuel Inc.	Corporate stock	1,352	1,616
	Roper Technologies, Inc.	Corporate stock	9,223	9,124
	Ross Stores, Inc.	Corporate stock	5,152	6,109
	Royal Dutch Shell plc	Corporate stock	15,407	13,269
	Ryanair Holdings plc	Corporate stock	16,603	18,758
	Ryohin Keikaku Co., Ltd.	Corporate stock	6,978	9,718
	S&P Global Inc.	Corporate stock	14,485	18,225
	Salesforce.com, inc.	Corporate stock	27,509	38,732
	Sampo Oyj	Corporate stock	11,439	14,078
	Sanlam Limited	Corporate stock	14,102	17,475
	Sanmina Corporation	Corporate stock	4,728	5,893
	SAP SE	Corporate stock	10,991	11,518
	SBA Communications Corporation	Corporate stock	12,679	13,235
	Sberbank of Russia OJSC	Corporate stock	29,531	46,441
	SCANA Corporation	Corporate stock	4,828	5,790
	Schlumberger Limited	Corporate stock	22,179	20,840
	Scripps Networks Interactive, Inc.	Corporate stock	2,686	2,921
	Seagate Technology plc	Corporate stock	62,312	97,339
	Sealed Air Corporation	Corporate stock	4,334	4,215
	Selective Insurance Group, Inc.	Corporate stock	5,139	5,660
	Sensient Technologies Corporation	Corporate stock	3,489	9,399
	ServiceNow, Inc.	Corporate stock	6,732	6,332
	Seven & i Holdings Co., Ltd.	Corporate stock	8,775	11,655
	Shinhan Financial Group Co., Ltd.	Corporate stock	27,980	29,808
	Shire plc	Corporate stock	82,236	78,513
	Shoprite Holdings Limited	Corporate stock	11,980	18,098
	Signet Jewelers Limited	Corporate stock	16,774	12,074
	SK Telecom Co., Ltd.	Corporate stock	9,756	12,340
	SLM Corporation	Corporate stock	5,984	6,872
	SMC Corporation	Corporate stock	12,109	13,188
	SoftBank Group Corp.	Corporate stock	14,550	12,926
	Sony Corporation	Corporate stock	8,527	10,627
	SpartanNash Company	Corporate stock	5,742	5,784
	Spirit Airlines, Inc.	Corporate stock	5,735	6,039
	Splunk Inc.	Corporate stock	19,113	25,819
	Balance Forward		$ 10,773,702	$ 12,283,166

** Party in interest.*

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment (Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value)	(d) Cost	(e) Current Value
	Balance Brought Forward		$ 10,773,702	$ 12,283,166
	Sprouts Farmers Market, Inc.	Corporate stock	6,877	6,092
	SPX Corporation	Corporate stock	6,597	7,250
	Standard Bank Group Limited	Corporate stock	18,560	24,032
	Starbucks Corporation	Corporate stock	27,419	34,379
	Starwood Property Trust, Inc.	Corporate stock	6,423	6,981
	Starz Series A	Corporate stock	5,099	10,605
	Stericycle, Inc.	Corporate stock	6,325	4,488
	Stoneridge, Inc.	Corporate stock	1,196	1,509
	Sumitomo Mitsui Financial Group, Inc.	Corporate stock	15,779	14,648
	Suncor Energy Inc.	Corporate stock	24,308	24,169
	SunTrust Banks, Inc.	Corporate stock	43,064	54,750
	SUPERVALU Inc.	Corporate stock	4,301	3,593
	Swedbank AB	Corporate stock	10,227	10,422
	Sykes Enterprises, Incorporated	Corporate stock	4,535	4,332
	Synopsys, Inc.	Corporate stock	2,308	2,968
	Sysco Corporation	Corporate stock	1,113	1,323
	Taiwan Semiconductor Manufacturing Company Limited	Corporate stock	56,156	76,475
	Tata Motors Limited	Corporate stock	11,253	16,272
	TD Ameritrade Holding Corporation	Corporate stock	5,049	5,145
	TE Connectivity Ltd.	Corporate stock	44,156	87,879
	Teekay Corporation	Corporate stock	2,665	2,891
	Telenor ASA	Corporate stock	10,238	7,905
	Territorial Bancorp Inc.	Corporate stock	3,987	3,955
	Tesla, Inc.	Corporate stock	13,940	17,547
	Tetraphase Pharmaceuticals, Inc.	Corporate stock	1,472	1,379
	Teva Pharmaceutical Industries Ltd	Corporate stock	25,596	20,705
	Texas Capital Bancshares, Inc.	Corporate stock	7,775	8,238
	Texas Roadhouse, Inc.	Corporate stock	5,776	5,230
	The AES Corporation	Corporate stock	1,451	1,439
	The Allstate Corporation	Corporate stock	6,259	6,780
	The Bidvest Group Limited	Corporate stock	13,357	7,208
	The Boeing Company	Corporate stock	15,607	23,977
	The Coca-Cola Company	Corporate stock	28,313	29,624
	The Cooper Companies, Inc.	Corporate stock	3,521	4,302
	The Dow Chemical Company	Corporate stock	18,572	23,634
	The Gap, Inc.	Corporate stock	19,704	14,345
	The Goldman Sachs Group, Inc.	Corporate stock	42,274	39,350
	The Hain Celestial Group, Inc.	Corporate stock	6,524	5,977
	The Home Depot, Inc.	Corporate stock	53,269	65,627
	Balance Forward		$ 11,354,747	$ 12,970,591

** Party in interest.*

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment (Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value)	(d) Cost	(e) Current Value
	Balance Brought Forward		$ 11,354,747	$ 12,970,591
	The J. M. Smucker Company	Corporate stock	3,906	4,202
	The Kroger Co.	Corporate stock	43,219	36,061
	The Liberty Braves Group Series A	Corporate stock	327	506
	The Liberty Braves Group Series C	Corporate stock	1,985	2,120
	The Liberty SiriusXM Group Series A	Corporate stock	3,628	9,854
	The Liberty SiriusXM Group Series C	Corporate stock	16,289	29,067
	The Madison Square Garden Company	Corporate stock	4,878	5,252
	The Manitowoc Company, Inc.	Corporate stock	8,575	7,808
	The Middleby Corporation	Corporate stock	9,618	10,879
	The Navigators Group, Inc.	Corporate stock	2,611	2,908
	The Priceline Group Inc.	Corporate stock	18,594	38,259
	The Procter & Gamble Company	Corporate stock	26,640	30,515
	The Progressive Corporation	Corporate stock	6,653	6,773
	The TJX Companies, Inc.	Corporate stock	16,860	21,312
	The Travelers Companies, Inc.	Corporate stock	18,640	27,263
	The Ultimate Software Group, Inc.	Corporate stock	6,703	13,490
	Time Warner Inc.	Corporate stock	20,780	19,584
	Toray Industries, Inc.	Corporate stock	11,215	12,850
	TOTAL S.A.	Corporate stock	39,224	36,491
	Transocean Ltd.	Corporate stock	3,168	3,305
	Triple-S Management Corporation	Corporate stock	2,611	2,325
	Türk Telekomünikasyon A.S.	Corporate stock	4,127	3,749
	Turkcell Iletisim Hizmetleri A.S.	Corporate stock	17,305	15,016
	Turkiye Garanti Bankasi A.S.	Corporate stock	13,008	12,866
	Twitter, Inc.	Corporate stock	90,295	102,803
	Tyler Technologies, Inc.	Corporate stock	14,864	14,212
	U.S. Bancorp	Corporate stock	29,372	39,244
	Ulta Beauty, Inc.	Corporate stock	20,650	21,656
	UMB Financial Corporation	Corporate stock	1,399	1,486
	Under Armour, Inc.	Corporate stock	1,647	1,470
	Unibail-Rodamco SE	Corporate stock	12,532	12,727
	Unilever N.V.	Corporate stock	24,250	25,816
	Unilever PLC	Corporate stock	23,964	28,298
	Union Bankshares Corporation	Corporate stock	2,877	2,838
	Union Pacific Corporation	Corporate stock	18,082	20,091
	United Parcel Service, Inc.	Corporate stock	19,815	24,278
	United Therapeutics Corporation	Corporate stock	5,550	5,904
	UnitedHealth Group Incorporated	Corporate stock	76,209	187,567
	Universal Health Services, Inc.	Corporate stock	7,075	7,270
	Valeo SA	Corporate stock	12,396	26,650
	Valero Energy Corporation	Corporate stock	1,304	1,431
	Balance Forward		$ 12,017,592	$ 13,846,787

** Party in interest.*

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment (Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value)	(d) Cost	(e) Current Value
	Balance Brought Forward		$ 12,017,592	$ 13,846,787
	Validus Holdings, Ltd.	Corporate stock	9,598	13,950
	Vantiv, Inc.	Corporate stock	7,529	8,215
	Vascular Solutions, Inc.	Corporate stock	2,675	3,038
	Verizon Communications Inc.	Corporate stock	32,749	38,725
	Vertex Pharmaceuticals Incorporated	Corporate stock	50,781	56,250
	Vestas Wind Systems A/S	Corporate stock	10,072	15,475
	VINCI SA	Corporate stock	9,433	11,941
	Visa Inc.	Corporate stock	32,829	64,920
	Vivendi SA	Corporate stock	7,682	6,650
	Vodacom Group Limited	Corporate stock	13,396	15,197
	Vulcan Materials Company	Corporate stock	2,909	2,957
	W. R. Grace & Co.	Corporate stock	2,550	2,509
	Washington Federal, Inc.	Corporate stock	5,237	5,816
	Weatherford International	Corporate stock	158,004	83,260
	Wec Energy Group Inc Com	Corporate stock	4,429	5,269
	Weichai Pwr Co Ltd Unspon Adr	Corporate stock	18,599	25,533
	Wells Fargo & Co New	Corporate stock	56,131	66,863
	Wesco Aircraft Holdings Inc	Corporate stock	4,682	4,365
	Wesco International, Inc.	Corporate stock	2,077	2,952
	West Corporation	Corporate stock	8,972	8,390
	Western Alliance Bancorporation	Corporate stock	1,500	1,539
	Western Digital Corporation	Corporate stock	41,421	54,085
	Western Un Co	Corporate stock	4,006	4,372
	Westlake Chemical Corporation	Corporate stock	1,399	1,659
	Weyerhaeuser Co	Corporate stock	13,534	17,567
	Wh Group Adr	Corporate stock	8,216	12,931
	Whole Foods Markets Inc	Corporate stock	7,765	7,088
	Willis Towers Watson Pub Ltd	Corporate stock	6,571	7,568
	Wintrust Fin Corp	Corporate stock	1,401	1,445
	Wipro Ltd Ads	Corporate stock	12,390	9,914
	Wolseley Plc Jersey Spnsrd Adr	Corporate stock	10,711	13,591
	Wolters Kluwer Nv Spon Adr	Corporate stock	9,066	13,542
	Woolworths Hldgs Ltd	Corporate stock	21,540	18,067
	Workday Inc Cl A	Corporate stock	19,532	25,673
	Worldpay Group Plc Adr	Corporate stock	8,159	7,653
	Wsfs Financial Corp	Corporate stock	2,759	2,956
	Xcel Energy Inc	Corporate stock	4,904	5,184
	Ypf Sociedad Ads Rep 1 Cl-D Sh	Corporate stock	19,837	21,591
	Yrc Worldwide Inc Com	Corporate stock	1,319	1,947
	Zafgen Inc	Corporate stock	1,434	1,443
	Zoetis Inc Class-A	Corporate stock	5,363	5,825
	Total Assets Held at End of Year		$ 12,660,753	$ 14,524,702

** Party in interest.*

The Tremont Group, Inc. 401(k) Profit Sharing Plan

FORM 5500, SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS ACQUIRED AND DISPOSED OF WITHIN THE PLAN YEAR

EIN: 68-0230147, PLAN: 001

Year Ended September 30, 2016

(a) Identity of Issue, Borrower, Lessor, or Similar Party	(b) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	(c) Costs of Acquisitions	(d) Proceeds of Dispositions
A A R Corporation	Corporate stock	$ 729	$ 698
Actuant Corp Cl A New	Corporate stock	3,981	4,034
Acuity Brands Inc	Corporate stock	13,386	14,705
Adecco Sa Unspon Adr	Corporate stock	2,528	2,407
Adt Corporation Com	Corporate stock	8,832	10,482
Aegion Corp Com	Corporate stock	3,948	3,642
Affymetrix Inc	Corporate stock	1,324	1,306
Airgas Inc	Corporate stock	3,987	4,010
Albany Intl A New	Corporate stock	758	735
Alexander & Baldwin Inc	Corporate stock	4,722	4,852
Alnylam Pharmaceuticals Inc	Corporate stock	2,653	1,546
Altisource Residential Corp B	Corporate stock	1,061	976
American Eq Invt Life Hldg C	Corporate stock	9,893	7,940
American Water Works Co	Corporate stock	5,319	5,918
Amerisafe Inc	Corporate stock	1,298	1,225
Amn Healthcare Svcs Inc	Corporate stock	2,736	3,141
Amsurg Inc Common	Corporate stock	4,410	4,005
Argan Inc	Corporate stock	2,667	2,532
Argo Group Intl Hldgs Ltd	Corporate stock	3,826	3,809
Artisan Mid Cap Value Adv	Mutual fund	109,557	104,765
Athenahealth Inc Com	Corporate stock	1,154	1,143
Atlantic Tele-Network Inc New	Corporate stock	1,753	1,758
B & G Foods Inc	Corporate stock	518	496
Babcock & Wilcox Enterpris Inc	Corporate stock	2,656	2,797
Ball Corporation	Corporate stock	3,103	3,543
Bayer Ag Spon Adr	Corporate stock	787	580
Bemis Co Inc	Corporate stock	3,986	4,466
Benchmark Electronics	Corporate stock	2,695	2,726
Bill Barrett Corporation	Corporate stock	1,348	1,025
Bnp Paribas Sp Adr Repstg	Corporate stock	852	675
Bob Evans Farms Inc	Corporate stock	2,230	2,265
Brookdale Senior Living Inc	Corporate stock	1,010	848
Bwx Technologies Inc Com	Corporate stock	2,648	3,337
Caci International Inc Cl A	Corporate stock	7,148	6,681
Cash Amer International Inc	Corporate stock	8,242	9,873
Cass Info Sys Inc	Corporate stock	156	153
Cepheid Inc	Corporate stock	107	100
Channeladvisor Corp Com	Corporate stock	159	160
Chart Industries,Inc.	Corporate stock	2,764	4,551
Cheese Cake Factory Inc	Corporate stock	992	984
Balance Forward		$ 231,923	$ 230,889

(a) Identity of Issue, Borrower, Lessor, or Similar Party	(b) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	(c) Costs of Acquisitions	(d) Proceeds of Dispositions
Balance Brought Forward		$ 231,923	$ 230,889
Chemed Corporation	Corporate stock	1,075	1,103
Chemtura Corp Com New	Corporate stock	2,206	2,109
Chesapeake Energy Corp	Corporate stock	1,311	735
Chipotle Mexican Grill Inc Com	Corporate stock	570	580
Church & Dwight Co Inc	Corporate stock	522	585
Clearwater Paper Corp	Corporate stock	1,964	1,896
Cno Finl Group Inc Com	Corporate stock	4,428	4,440
Commercehub Inc-Series A	Corporate stock	224	258
Commercehub Inc-Series C	Corporate stock	462	543
Companhia Energy De Min Sp Adr	Corporate stock	6,584	6,266
Computer Sciences Cp	Corporate stock	2,622	4,228
Convergys Corporation	Corporate stock	5,272	5,419
Copa Holdings,S.A. Cla A	Corporate stock	4,036	6,144
Core Mark Holding Co Inc	Corporate stock	1,112	1,135
Costar Group Inc	Corporate stock	825	828
Credit Suisse Group	Corporate stock	6,384	2,791
Csg Systems Intl Inc	Corporate stock	4,007	4,198
Csra Inc	Corporate stock	1,283	1,323
Cvent Inc Com	Corporate stock	1,056	1,100
Darling Ingredients Inc	Corporate stock	931	1,045
Deluxe Corporation	Corporate stock	7,718	8,510
Digi Intl Inc	Corporate stock	779	796
Digitalglobe New	Corporate stock	4,826	6,430
Diplomat Pharmacy,Inc.	Corporate stock	4,041	3,934
Dst Systems Inc	Corporate stock	1,309	1,248
Dxp Enterprises Inc New	Corporate stock	2,568	2,964
Echo Global Logistics Inc	Corporate stock	481	424
Emcor Group Inc	Corporate stock	2,614	2,500
Encana Corp	Corporate stock	1,198	508
Endo Intl Plc	Corporate stock	7,798	2,403
Envision Healthcare Hldgs Inc	Corporate stock	2,322	2,061
Eros International Plc	Corporate stock	2,852	4,890
Esterline Technologies Cp	Corporate stock	7,890	8,558
Eversource Energy Com	Corporate stock	101	114
Examworks Group Inc	Corporate stock	567	594
Expeditors Intl Wash Inc	Corporate stock	2,753	2,845
Fbl Financial Group Inc	Corporate stock	2,635	2,368
Financial Engines	Corporate stock	734	716
Flowserve Corp	Corporate stock	5,064	4,977
Gcp Applied Technologies Inc	Corporate stock	516	433
Balance Forward		$ 337,563	$ 334,888

(a) Identity of Issue, Borrower, Lessor, or Similar Party	(b) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	(c) Costs of Acquisitions	(d) Proceeds of Dispositions
Balance Brought Forward		$ 337,563	$ 334,888
Gentex Corp	Corporate stock	932	913
Gentherm Inc Com	Corporate stock	2,612	1,720
Gopro Inc Cl A	Corporate stock	4,014	5,219
Grand Canyon Ed Inc Com	Corporate stock	634	606
Greenbrier Cos Inc	Corporate stock	1,322	1,314
Gulfport Energy Corp New	Corporate stock	2,680	3,110
H&E Equipment Svcs Inc	Corporate stock	964	808
Healthstream Inc	Corporate stock	3,483	3,340
Helen Of Troy	Corporate stock	5,822	5,653
Hub Group Inc Cl A	Corporate stock	2,643	2,245
Iberia Bk Corp	Corporate stock	1,332	1,207
Idacorp Inc	Corporate stock	17,369	18,533
Ihs Inc Cla A Com	Corporate stock	6,848	6,783
Incyte Corp	Corporate stock	2,743	1,885
Innophos Hldgs Inc	Corporate stock	7,612	6,456
Innospec Inc	Corporate stock	2,834	2,521
Interval Leisure Group Inc	Corporate stock	180	203
Intl Business Machines Corp	Corporate stock	278	244
Invacare Corp	Corporate stock	1,318	1,298
Invt Technology Gp Inc	Corporate stock	2,617	2,495
Isle Of Capris Casinos Inc	Corporate stock	2,707	4,054
Itv Plc Unspons Adr	Corporate stock	588	328
Jetblue Airways Corp	Corporate stock	3,997	2,750
Kansas Cy Southn Ind New	Corporate stock	2,619	2,341
Keppel Corp Ltd Adr Sponsored	Corporate stock	4,330	3,083
Kulicke & Soffa Inds	Corporate stock	830	816
Lazard Emerging Mkts Eq I	Corporate stock	157,239	150,128
Linkedin Corp-A	Corporate stock	7,913	3,846
Lloyds Banking Group Plc	Corporate stock	3,997	2,302
Lumber Liquidators Hldgs Inc	Corporate stock	3,945	4,335
Maiden Holdings Ltd Shs	Corporate stock	2,663	2,099
Masonite Intl Corp New Com	Corporate stock	2,281	2,392
Maximus Inc	Corporate stock	54	57
Mc Cormick And Co Non Voting	Corporate stock	1,297	1,295
Mediolanum Spa Unspon Adr	Corporate stock	1,223	1,028
Meritor Inc Com	Corporate stock	5,545	5,398
Mgic Invt Corp	Corporate stock	4,010	2,898
Middleby Corp Del	Corporate stock	984	971
Molson Coors Brewing Co Cl B	Corporate stock	96	1,246
Monsanto Co/New	Corporate stock	287	311
Balance Forward		$ 612,405	$ 593,119

(a) Identity of Issue, Borrower, Lessor, or Similar Party	(b) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	(c) Costs of Acquisitions	(d) Proceeds of Dispositions
Balance Brought Forward		$ 612,405	$ 593,119
Nabors Industries Ltd New	Corporate stock	3,941	4,649
Navient Corp Com	Corporate stock	6,161	7,150
Navistar Intl Corp	Corporate stock	3,909	5,645
Noodles & Company Class A	Corporate stock	104	108
Northwest Bancshares Inc	Corporate stock	979	964
Novadaq Tech Inc	Corporate stock	898	864
Old Natl Bancorp Ind	Corporate stock	10,628	10,411
Owens Illinois Com New	Corporate stock	176	163
Peabody Energy Corp	Corporate stock	2,759	1,100
Polycom Inc	Corporate stock	3,155	3,052
Portland General Elec Co	Corporate stock	257	257
Power Integrations Inc	Corporate stock	557	554
Pra Group Inc	Corporate stock	358	347
Prestige Brands Holdings Inc	Corporate stock	1,483	1,467
Private Bancorp Inc Del	Corporate stock	1,289	1,365
Pros Hldg Inc	Corporate stock	368	384
Prosperity Bancshares	Corporate stock	1,293	1,531
Pvh Corporation	Corporate stock	2,630	2,467
Qlogic Corp	Corporate stock	4,360	4,983
Red Robin Gourmet Burgers Inc	Corporate stock	3,947	2,875
Redwood Trust Inc	Corporate stock	1,321	1,375
Reliance Steel & Alum Co	Corporate stock	3,559	3,854
Roche Holdings Adr	Corporate stock	1,614	1,542
Rollins Inc	Corporate stock	889	891
Rovi Corporation	Corporate stock	5,717	8,672
Sanderson Farms	Corporate stock	8,720	8,912
Schweitzer Mauduit Int Inc	Corporate stock	5,009	3,632
Sciquest Inc New Com	Corporate stock	563	599
Shake Shack Inc Cl A	Corporate stock	1,928	1,358
Shaw Communic Inc Cl B Nv	Corporate stock	6,599	5,975
Shenandoah Telecommunications	Corporate stock	2,593	2,561
Skechers U S A Inc Cl A	Corporate stock	1,329	1,353
Sps Commerce Inc Com	Corporate stock	435	427
Stratasys Ltd Shs	Corporate stock	1,080	1,138
Svb Fncl Grp	Corporate stock	1,361	1,164
Talen Energy Corp Com	Corporate stock	5,675	8,994
Team Inc	Corporate stock	4,006	2,576
Tech Data Corp	Corporate stock	10,915	12,555
Techtronic Ind Ltd Spons Adr	Corporate stock	11,455	11,775
The Advisory Board Co	Corporate stock	156	157
Balance Forward		$ 736,581	$ 722,965

The Tremont Group, Inc. 401(k) Profit Sharing Plan

FORM 5500, SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS ACQUIRED AND DISPOSED OF WITHIN THE PLAN YEAR
EIN: 68-0230147, PLAN: 001
Year Ended September 30, 2016
(Continued)

(a) Identity of Issue, Borrower, Lessor, or Similar Party	(b) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	(c) Costs of Acquisitions	(d) Proceeds of Dispositions
Balance Brought Forward		$ 736,581	$ 722,965
Tidewater Inc	Corporate stock	462	345
Tiffany & Company New	Corporate stock	719	547
Tile Shop Hldgs Inc Com	Corporate stock	1,672	1,683
Total System Svcs	Corporate stock	1,291	1,237
Townebank Va	Corporate stock	1,336	1,439
Toyota Motor Cp Adr New	Corporate stock	496	442
Trustmark Cp	Corporate stock	3,971	4,234
Tutor Perini Corp Com	Corporate stock	1,723	1,713
Umpqua Holdings Corp	Corporate stock	5,400	5,502
Under Armour Inc Class C	Corporate stock	1,452	1,144
Unifirst Cp	Corporate stock	1,189	1,168
United Continental Hldgs Inc	Corporate stock	1,294	988
United Natural Foods Inc	Corporate stock	530	440
Univest Cp Pa Com Stk	Corporate stock	1,318	1,407
Valero Energy Cp Dela New	Corporate stock	1,281	1,296
Vanguard Ftse Developed Mkts E	Corporate stock	19,096	18,688
Vanguard Growth Etf	Corporate stock	1,428	1,415
Vanguard Sm Cap Growth Etf	Corporate stock	1,882	1,851
Vanguard Sm Cap Value Etf	Corporate stock	3,958	3,852
Vanguard Value Etf Index	Corporate stock	18,135	17,902
Virtus Insight Emerg Mkts I	Corporate stock	75,802	73,439
Virtusa Corp	Corporate stock	6,329	2,898
Wabco Hldgs Inc	Corporate stock	1,590	1,565
Westar Energy Inc	Corporate stock	3,981	4,473
Wynn Macau Ltd Unspon Adr	Corporate stock	8,016	11,507
Xilinx Inc	Corporate stock	2,762	2,556
Yy Inc Ads	Corporate stock	2,252	1,934
Zeltiq Aesthetics Inc Com	Corporate stock	843	818
Zurich Insurance Grp Ltd Adr	Corporate stock	1,894	1,651
Total Assets Acquired and Disposed of Within the Plan Year		$ 908,683	$ 891,099

The Tremont Group, Inc. 401(k) Profit Sharing Plan

FORM 5500, SCHEDULE H, LINE 4a – SCHEDULE OF DELINQUENT PARTICIPANT CONTRIBUTIONS
EIN: 68-0230147, PLAN: 001
Year Ended September 30, 2016

<table>
<tr><td>Participant Contributions Transferred Late to Plan

$22,280</td><td colspan="3">Total That Constitutes Nonexempt Prohibited Transactions

$22,280</td><td rowspan="2">Total Fully Corrected Under VFCP and PTE 2002-51

$0</td></tr>
<tr><td>Check here if late participant loan repayments are included:
☐</td><td>Contributions Not Corrected

$0</td><td>Contributions Corrected Outside VFCP

$22,280</td><td>Contributions Pending Correction in VFCP

$0</td></tr>
</table>

Form 5500

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), 6057(b), and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500.**

OMB Nos. 1210-0110
1210-0089

2015

This Form is Open to Public Inspection

Part I Annual Report Identification Information

For calendar plan year 2015 or fiscal plan year beginning 10/01/2015 and ending 09/30/2016

A This return/report is for:
- ☐ a multiemployer plan;
- ☐ a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions); or
- ☒ a single-employer plan;
- ☐ a DFE (specify) ____

B This return/report is:
- ☐ the first return/report;
- ☐ the final return/report;
- ☐ an amended return/report;
- ☐ a short plan year return/report (less than 12 months).

C If the plan is a collectively-bargained plan, check here. . . . . ▶ ☐

D Check box if filing under:
- ☒ Form 5558;
- ☐ automatic extension;
- ☐ the DFVC program;
- ☐ special extension (enter description)

Part II Basic Plan Information—enter all requested information

1a Name of plan
THE TREMONT GROUP, INC. 401(K) PROFIT SHARING PLAN

1b Three-digit plan number (PN) ▶ 001

1c Effective date of plan 10/24/1990

2a Plan sponsor's name (employer, if for a single-employer plan)
Mailing address (include room, apt., suite no. and street, or P.O. Box)
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions)
THE TREMONT GROUP, INC.

201 EAST STREET

WOODLAND CA 95776

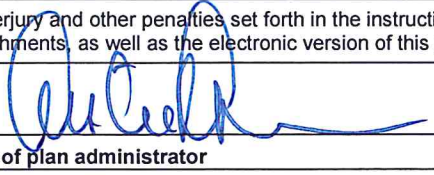
2b Employer Identification Number (EIN) 68-0230147

2c Plan Sponsor's telephone number (530) 662-5442

2d Business code (see instructions) 325300

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE		1/12/17	LESLIE LYMAN
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

Preparer's name (including firm name, if applicable) and address (include room or suite number)

Preparer's telephone number

3a Plan administrator's name and address ☒ Same as Plan Sponsor	**3b** Administrator's EIN	
	3c Administrator's telephone number	
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report:	**4b** EIN	
a Sponsor's name	**4c** PN	
5 Total number of participants at the beginning of the plan year	**5**	144
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines **6a(1)**, **6a(2)**, **6b**, **6c**, and **6d**).		
a(1) Total number of active participants at the beginning of the plan year	**6a(1)**	117
a(2) Total number of active participants at the end of the plan year	**6a(2)**	122
b Retired or separated participants receiving benefits	**6b**	0
c Other retired or separated participants entitled to future benefits	**6c**	20
d Subtotal. Add lines **6a(2)**, **6b**, and **6c**.	**6d**	142
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.	**6e**	1
f Total. Add lines **6d** and **6e**.	**6f**	143
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	**6g**	143
h Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested	**6h**	4
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	**7**	

8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:

2E 2J 2K 3D

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

9a Plan funding arrangement (check all that apply)		**9b** Plan benefit arrangement (check all that apply)	
(1) ☐	Insurance	(1) ☐	Insurance
(2) ☐	Code section 412(e)(3) insurance contracts	(2) ☐	Code section 412(e)(3) insurance contracts
(3) ☒	Trust	(3) ☒	Trust
(4) ☐	General assets of the sponsor	(4) ☐	General assets of the sponsor

10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules		**b General Schedules**	
(1) ☒	**R** (Retirement Plan Information)	(1) ☒	**H** (Financial Information)
(2) ☐	**MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary	(2) ☐	**I** (Financial Information – Small Plan)
		(3) ☐	**A** (Insurance Information)
		(4) ☒	**C** (Service Provider Information)
(3) ☐	**SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary	(5) ☐	**D** (DFE/Participating Plan Information)
		(6) ☐	**G** (Financial Transaction Schedules)

ATTACHMENT TO 2014 FORM 5500
SCHEDULEH

Plan Name: THE TREMONT GROUP, INC. 40l(K) PROFIT SHARING PLAN **EIN:** 94-2045832

Plan Sponsor's Name: THE TREMONT GROUP, INC. **PN:** 001

The required schedule of assets and/or schedule of reportable transactions is included in the accountant's audit report attachment.

ATTACHMENT TO 2014 FORM 5500
SCHEDULEH

Plan Name: THE TREMONT GROUP, INC. 40l(K) PROFIT SHARING PLAN **EIN:** 94-2045832

Plan Sponsor's Name: THE TREMONT GROUP, INC. **PN:** 001

The required schedule of assets and/or schedule of reportable transactions is included in the accountant's audit report attachment.

ATTACHMENT TO 2014 FORM 5500
SCHEDULEH

Plan Name: THE TREMONT GROUP, INC. 40l(K) PROFIT SHARING PLAN **EIN:** 94-2045832

Plan Sponsor's Name: THE TREMONT GROUP, INC. **PN:** 001

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ATTACHMENT TO 2014 FORM 5500
SCHEDULEH

Plan Name: THE TREMONT GROUP, INC. 40l(K) PROFIT SHARING PLAN **EIN:** 94-2045832

Plan Sponsor's Name: THE TREMONT GROUP, INC. **PN:** 001

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ATTACHMENT TO 2014 FORM 5500
SCHEDULEH

Plan Name: THE TREMONT GROUP, INC. 40l(K) PROFIT SHARING PLAN **EIN:** 94-2045832

Plan Sponsor's Name: THE TREMONT GROUP, INC. **PN:** 001

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