## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan** 

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

2016

OMB Nos. 1210-0110

1210-0089

This Form is Open to Public Inspection

ř	arti Annuai Kepor	t identification information							
For	calendar plan year 2016 or	fiscal plan year beginning 01/01/2	2016 and ending 1	2/31/20	016				
A	This return/report is for:  a single-employer plan  a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)  a one-participant plan								
Вт	his return/report is	<ul> <li>the first return/report</li> <li>an amended return/report</li> </ul>	a foreign plan  the final return/report  a short plan year return/report (less than 12 months)						
С	Check box if filing under:	Form 5558  special extension (enter description)	automatic extension DFVC program						
D.	wt II Decis Dies Inf	<u>'</u>	· /						
		ormation—enter all requested in	formation	46	<b>T</b> 1 11 14				
	Name of plan VO PARTS, INC. 401(K) PLA	AN			Three-digit plan number (PN) ▶	001			
				1c	Effective date of 01/01	plan /2016			
2a	Mailing address (include ro	oyer, if for a single-employer plan) om, apt., suite no. and street, or P.C ice, country, and ZIP or foreign post				53547			
NUOVO PARTS, INC.				2c Sponsor's telephone number 360-736-1888					
1465 FERN	SLATER ROAD IDALE, WA 98248-8919			2d	Business code (	,			
3a	Plan administrator's name a	and address 🛛 Same as Plan Spor	nsor.	3b	Administrator's E	EIN			
				3с	Administrator's t	elephone number			
4		ne plan sponsor has changed since umber from the last return/report.	the last return/report filed for this plan, enter the	4b	EIN				
а	Sponsor's name			4c	PN				
5a	Total number of participant	s at the beginning of the plan year		58	a				
b	Total number of participant	s at the end of the plan year		5k	b	1			
С	C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)			5c					
d(1) Total number of active participants at the beginning of the plan year			5d(1)						
d(	(2) Total number of active p	articipants at the end of the plan year	ar	5d(	(2)	1			
е	Number of participants that than 100% vested	at terminated employment during the	e plan year with accrued benefits that were less		5e				
		<u> </u>	n/report will be assessed unless reasonable ca						
			ctions, I declare that I have examined this return/reports well as the electronic version of this return/reports						

helief it is true correct and complete

Deliel, it is	true, correct, and complete.		-				
SIGN	Filed with authorized/valid electronic signature.	07/17/2017 NANCY THOMPSON					
HERE	Signature of plan administrator Date		Enter name of individual signing as plan administrator				
SIGN	Filed with authorized/valid electronic signature.	07/17/2017	NANCY THOMPSON				
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor				
	Signature of employer/plan sponsor name (including firm name, if applicable) and address (include						

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d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	6a	Were all of the plan's assets during the plan year invested in eligib	le assets?	? (See instructions.)						X Ye	s No		
C if the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)?	b	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)							X Yes	s No			
Part III   Financial Information   (a) Beginning of Year   (b) End of Year   (b) End of Year   (a) Teach Assats and Liabilities   (a) Beginning of Year   (b) End of Year   (b) End of Year   (c) End of Year   (c) End of Year   (d) End of Year   (e) End of Year	С						_	-	_	Not det	ermined		
7 Plan Ássots and Liabilities		<u>_</u>	•										
a Total plan issets	7			(a) Beginning	of Year				(b) End	of Year			
8 Income, Expenses, and Transfers for this Plan Year  8 Income, Expenses, and Transfers for this Plan Year  8 Contributions received or receivable from: (1) Employers (2) Participants (3) Other income (loss) (3) Other (including rollovers) (4) Employers (5) Other income (loss) (6) Other income (loss) (7) Employers (8) Other income (loss) (8) Other expenses (8) Other expenses (9) Other expenses (9) Other expenses (10) Other income (loss) (subtract line 8 from line 8c) (11) Net income (loss) (subtract line 8 from line 8c) (12) Income (loss) (subtract line 8 from line 8c) (13) Other expenses (14) Income (loss) (subtract line 8 from line 8c) (15) In Net income (loss) (subtract line 8 from line 8c) (16) In Net income (loss) (subtract line 8 from line 8c) (17) Income loss) (subtract line 8 from line 8c) (18) Interpretation (loss) (subtract line 8 from line 8c) (18) Interpretation (loss) (subtract line 8 from line 8c) (19) Interpretation (loss) (subtract line 8 from line 8c) (10) Interpretation (loss) (subtract line 8 from line 8c) (10) Interpretation (loss) (subtract line 8 from line 8c) (10) Interpretation (loss) (subtract line 8 from line 8c) (10) Interpretation (loss) (subtract line 8 from line 8c) (10) Interpretation (loss) (loss interpretation (loss interpretation (loss) (loss interpretation (loss interpre	а	Total plan assets	7a	(1)				,	· · /		2		
8 Income, Expenses, and Transfers for this Plan Year a Contributions received or receivable from: (1) Employers. 8a(1) 32595 (2) Participants. (3) Others (including rollovers). 8a(2) 47520 (3) Others (including rollovers). 8a(3) 0  5 Differ income (loss). 8a(3) 0  6 Differ income (loss). 8a(4) 3472  C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	b	Total plan liabilities	7b		0			0					
a Contributions received or receivable from: (1) Employers. (2) Participants. (3) Others (including rollovers). (3) Others (including rollovers). (4) Employers. (5) Others (including rollovers). (6) Other income (loss). (7) Other income (loss). (8) Other income (loss). (9) Other income (loss). (9) Other income (loss). (1) Other income (loss). (1) Other income (loss). (1) Other income (loss). (1) Other income (loss). (2) Other income (loss). (3) Other income (loss). (4) Other income (loss). (5) Other income (loss). (6) Other income (loss). (8) Other expenses. (8) Other expenses. (9) Other expenses. (9) Other expenses. (1) Other expenses. (1) Other expenses. (2) Other expenses. (3) Other expenses. (4) Other expenses. (5) Other expenses. (6) Other expenses. (8) Other expenses. (9) Other expenses. (1) Other expenses. (1) Other expenses. (2) Other expenses. (3) Other expenses. (4) Other expenses. (5) Other expenses. (6) Other expenses. (8) Other expenses. (9) Other expenses. (1) Other expenses. (1) Other expenses. (2) Other expenses. (3) Other expenses. (4) Other expenses. (5) Other expenses. (6) Other expenses. (8) Other expenses. (9) Other expenses. (1) Other expenses. (1) Other expenses. (1) Other expenses. (2) Other expenses. (3) Other expenses. (4) Other expenses. (5) Other expenses. (6) Other expenses. (8) Other expenses. (9) Other expenses. (1) Other expenses. (1) Other expenses. (1) Other expenses. (1) Other expenses. (2) Other expenses. (3) Other expenses. (4) Other expenses. (5) Other expenses. (6) Other expenses. (8) Other expenses. (8) Other expenses. (8) Other expenses. (9) Other expenses. (1) Other expenses. (2) Other expenses. (3) Other expenses. (4) Other expenses. (5) Other expenses. (6) Other expenses. (8) Other expenses. (9) Other expenses. (1) Other expens	С	Net plan assets (subtract line 7b from line 7a)	7c		0				83022				
(1) Employers 8a(1) 33995 (2) Participants 8a(2) 47520 (3) Others (including rollovers) 8a(3) 0 (b) Others (including rollovers) 8a(3) 0 (c) Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) 8b 3472 (c) Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) 8c 85397 (d) Benefits paid (including direct rollovers and insurance premiums to provide benefits) 8d 0 (e) Certain deemed and/or corrective distributions (see instructions) 8d 0 (e) Certain deemed and/or corrective distributions (see instructions) 8e 0 (f) Administrative service providers (salaries, fees, commissions) 8f 1 (g) Other expenses 8d 0 (g) Other expenses 8d 0 (g) Other expenses 8d 0 (g) Other expenses 8d 1 (g) Other expenses 8d	8	Income, Expenses, and Transfers for this Plan Year		(a) Amour	nt		(b) Total						
(2) Participants	а				32505								
(a) Others (including rollovers)													
b Other income (loss).  C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)		` ' '				_							
C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)		· · · · · · · · · · · · · · · · · · ·											
d Benefits paid (including direct rollovers and insurance premiums to provide benefits)		· ,			5472	-				0250	7		
e Certain deemed and/or corrective distributions (see instructions).  e Certain deemed and/or corrective distributions (see instructions).  f Administrative service providers (salaries, fees, commissions)			8c				83387						
f Administrative service providers (salaries, fees, commissions)	u	· · · ·	8d		0								
g Other expenses	е	· · · · · · · · · · · · · · · · · · ·	8e		0	)							
Total expenses (add lines 8d, 8e, 8f, and 8g)	f	Administrative service providers (salaries, fees, commissions)	8f		565								
h Total expenses (add lines 8d, 8e, 8f, and 8g)	g	Other expenses	8g		0								
Transfers to (from )the plan (see instructions)	h				56					5			
Part IV Plan Characteristics  9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:  b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:  Part V Compliance Questions  10 During the plan year:  a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)  b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)		= = = = = = = = = = = = = = = = = = = =	8i							8302	2		
Part IV   Plan Characteristics	j	i Transferr to (form) the plan (see instructions)				)							
9a	Pa												
Part V Compliance Questions  10 During the plan year:  a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)		If the plan provides pension benefits, enter the applicable pension	feature co	odes from the List of Pl	an Cha	racteri	stic Co	odes in	the instr	uctions:			
10 During the plan year:  a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)  b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)  c Was the plan covered by a fidelity bond?  d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?  e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)  f Has the plan failed to provide any benefit when due under the plan?  g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)  10	b	If the plan provides welfare benefits, enter the applicable welfare f	eature cod	des from the List of Pla	n Chara	acterist	tic Cod	des in t	he instru	ctions:			
10 During the plan year:  a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)  b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)  c Was the plan covered by a fidelity bond?  d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?  e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)  f Has the plan failed to provide any benefit when due under the plan?  g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)  10	Par	t V Compliance Questions											
described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program).  b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	10					Yes	No	N/A		Amount			
b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	а	described in 29 CFR 2510.3-102? (See instructions and DOL's V	oluntary F	Fiduciary Correction	10a		X						
d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	b	Were there any nonexempt transactions with any party-in-interest	t? (Do not	include transactions			X						
d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	С	C Was the plan covered by a fidelity bond?			10c	X					30000		
Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)      Has the plan failed to provide any benefit when due under the plan?      Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)      Hif this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)      If 10h was answered "Yes," check the box if you either provided the required notice or one of the	d		•				X						
g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)	е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under			10e	X					13		
h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	f	Has the plan failed to provide any benefit when due under the plan?			10f		X						
2520.101-3.)	<u>_</u>	Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)					X						
	_ h	·					X						
	i				10i								

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Part	VI	Pension Funding Compliance						
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)							es No
11a	Ente	er the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40			11a			
12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?						f 		es X No
		Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) vaiver of the minimum funding standard for a prior year is being amortized in this plan year, see inst	ruotior	20.000	d ontor t	ho data	of the letter	ruling
	gran	ting the waiver	onth _	15, and	_ Day		Year _	
		ompleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 1			406			
<u> </u>	Enter	the minimum required contribution for this plan year			12b			
С	Enter	the amount contributed by the employer to the plan for this plan year			12c			
d		ract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the leastive amount)			12d			_
<u>e</u>	Will	the minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A
Part '	VII	Plan Terminations and Transfers of Assets						
13a	Has	a resolution to terminate the plan been adopted in any plan year?				Yes	s X No	)
	If "Y	es," enter the amount of any plan assets that reverted to the employer this year			13a			
b		e all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brouging of the PBGC?					Yes X	No
С		uring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identifich assets or liabilities were transferred. (See instructions.)	y the p	plan(s)	) to			
1	3c(1)	Name of plan(s):		13c(2)	EIN(s)		13c(3)	PN(s)
Part	VIII	Trust Information						
14a	Name	of trust			14b <sup>-</sup>	Trust's E	EIN	
14c	Name	e of trustee or custodian			<b>14d</b> Trustee's or custodian's telephone number			
Part	: IX	IRS Compliance Questions						
15a	Is the	plan a 401(k) plan? If "No," skip b		Yes		[	No	
		did the plan satisfy the nondiscrimination requirements for employee deferrals under section )(3) for the plan year? Check all that apply:		·	gn-based "Prior year" ADF harbor test			ar" ADP
	40 (k)(3) for the plan year? Check all that apply.				rent year" N/A test			
16a What testing method was used to satisfy the coverage requirements under section 410(b) for the plan year? Check all that apply:				ntage Average N/A benefit test N/A				
<b>16b</b> Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?					No No			
17a If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS opinion letter or advisory letter, enter the date of the letter/ and the serial number								
	17b If the plan is an individually-designed plan that received a favorable determination letter from the IRS, enter the date of the most recent determination letter/							
18 Defined Benefit Plan or Money Purchase Pension Plan Only:  Were any distributions made during the plan year to an employee who attained age 62 and had not separated from service?				Ye	Yes No			
19	Was	any plan participant a 5% owner who had attained at least age 70 $^{1\!\!/}_{2}$ during the prior plan year?			Ye	s [	No	