Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

0046

2016

OMB Nos. 1210-0110

1210-0089

This Form is Open to Public Inspection

Part I	Annual Report	Identification Information									
For calen	dar plan year 2016 or fi	scal plan year beginning 01/01/2	2016		and ending 1	2/31/2	016				
A This re	eturn/report is for:	a single-employer plan			an (not multiemployer) on the state of the s		-				
		a one-participant plan	_	oreign plan	,			,			
B This re	turn/report is	the first return/report	H	final return/report							
		an amended return/report	a s	hort plan year retu	n/report (less than 12 m	nonths)				
C Check	box if filing under:	Form 5558	ш	tomatic extension		DF	VC program				
		special extension (enter descr	· ·								
Part II	Basic Plan Info	ormation—enter all requested in	formatio	n							
1a Name						1b	Three-digit				
TECPLOT,	INC. 401(K) PLAN						plan number	001			
						1c	(PN) FEFFECTIVE date of				
							07/01	•			
Mailir	ng address (include roo	oyer, if for a single-employer plan) m, apt., suite no. and street, or P.C				2b Employer Identification Number (EIN) 91-1146639					
City of TECPLOT,		ce, country, and ZIP or foreign post	al code	(if foreign, see ins	ructions)	2c Sponsor's telephone number 425-653-1200					
						2d	Business code (s	see instructions)			
PO BOX 52						541511					
BELLEVUE	, WA 98015										
3a Plan	administrator's name a	nd address X Same as Plan Spor	nsor.			3b	Administrator's E	EIN			
						3c	Administrator's to	elephone number			
		e plan sponsor has changed since mber from the last return/report.	the last	return/report filed	or this plan, enter the	4b	EIN				
	sor's name	'				4c	PN				
5a Total	number of participants	at the beginning of the plan year				5		56			
b Total	number of participants	at the end of the plan year				5	b				
	· ·	account balances as of the end of		, , ,	•	5	С	(
d(1) To	tal number of active pa	articipants at the beginning of the pl	lan year			5d	(1)				
d(2) To	otal number of active pa	articipants at the end of the plan yea	ar			5d	(2)				
	• •	terminated employment during the	. ,			5	е				
		or incomplete filing of this return									
SB or Sch	. , ,	ther penalties set forth in the instruction and signed by an enrolled actuary, a plete.	,				O, 11	,			
SIGN		valid electronic signature.		07/18/2017	ELISA SIMMONDS						
HERE	Signature of plan a	administrator		Date	Enter name of individ	Enter name of individual signing as plan administrator					

Date

Signature of employer/plan sponsor

Preparer's name (including firm name, if applicable) and address (include room or suite number)

SIGN HERE

Enter name of individual signing as employer or plan sponsor

Preparer's telephone number

Form 5500-SF 2016 Page **2**

	Were all of the plan's assets during the plan year invested in eligib		` ,						X Ye	s No
b	Are you claiming a waiver of the annual examination and report of under 29 CFR 2520.104-46? (See instructions on waiver eligibility			X Ye	s 🗌 No					
c	If you answered "No" to either line 6a or line 6b, the plan cann If the plan is a defined benefit plan, is it covered under the PBGC in					_	-	Пио	Not det	termined
	rt III Financial Information	100101100 p	riogram (000 Errio, roc	2011011 1	021).	····· L	1 .00	□.,	1101 00	
7	Plan Assets and Liabilities		(a) Beginning	of Voor				(b) End o	of Voor	
a	Total plan assets	7a		991856				b) Ella (0
_	Total plan liabilities	7b								
	Net plan assets (subtract line 7b from line 7a)	7c	8	991856						0
8	Income, Expenses, and Transfers for this Plan Year		(a) Amour	nt				(b) To	otal	
	Contributions received or receivable from:		(4) 1 1110 111					(,		
	(1) Employers	8a(1)		37429						
	(2) Participants	8a(2)		430293						
	(3) Others (including rollovers)	8a(3)								
<u>b</u>	Other income (loss)	8b		617983						
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							108570	5
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	2	647276						
	Certain deemed and/or corrective distributions (see instructions).	8e								
f	Administrative service providers (salaries, fees, commissions)	8f		36892						
_ <u>'</u>	Other expenses	8g								
	Total expenses (add lines 8d, 8e, 8f, and 8g)							268416	i8	
<u>;;</u>									-159846	
÷	Net income (loss) (subtract line 8h from line 8c)									
Da										
9a	rt IV Plan Characteristics If the plan provides pension benefits, enter the applicable pension	feature co	ndes from the List of Pl	an Cha	racteri	stic Co	ndes in	the instr	uctions:	
Ja	2E 2F 2G 2J 2K 3D	icature oc	des nom the List of th	an Ona	iactori	Silo Oc	Juca III	uic iiisu	actions.	
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	les from the List of Pla	n Chara	acterist	ic Cod	des in t	he instru	ctions:	
Par	t V Compliance Questions									
10	During the plan year:				Yes	No	N/A		Amount	
а	Was there a failure to transmit to the plan any participant contributed described in 29 CFR 2510.3-102? (See instructions and DOL's Verogram)	oluntary F	iduciary Correction	10a		X				
b	,	t? (Do not	include transactions	10b		X				
	· · · · · · · · · · · · · · · · · · ·				Χ					500000
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?					X				
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)					X				
f	Has the plan failed to provide any benefit when due under the pla	ın?	·····	10f		X				
g		-		10g	X					0
h	2520.101-3.)			10h	X					
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i	X					

Form 5500-SF 2016	
-------------------	--

Page 3-	1	
---------	---	--

Part '	/I Pension Funding Compliance						
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and co (Form 5500) and line 11a below)					Yes 🗶 N	No
	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40		11a				
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Control ERISA?		tion 302 o	f 		Yes X 1	No
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see inst granting the waiver.		and enter		of the lette	er ruling	
lf y	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 1	3.					
b	Enter the minimum required contribution for this plan year		12b				
C	Enter the amount contributed by the employer to the plan for this plan year		12c				
	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the lengative amount)	eft of a	12d				
<u>e</u>	Will the minimum funding amount reported on line 12d be met by the funding deadline?			Yes	No	N/A	
Part \	/II Plan Terminations and Transfers of Assets						
13a	Has a resolution to terminate the plan been adopted in any plan year?			X Yes	1 <u> </u>	No	
	If "Yes," enter the amount of any plan assets that reverted to the employer this year		13a				0
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or broug control of the PBGC?				X Yes [No	
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify which assets or liabilities were transferred. (See instructions.)	fy the plar	n(s) to				
1	3c(1) Name of plan(s):	130	(2) EIN(s)		13c(3) PN(s)	
CONST	ELLATION SOFTWARE (U.S.A.) INC. RETIREMENT SAVINGS PLAN	98-03581	75		001		
Part	VIII Trust Information						
14a i	lame of trust		14b	Trust's E	EIN		
14c	Name of trustee or custodian				s or custoone number		
Part	IX IRS Compliance Questions						
	s the plan a 401(k) plan? If "No," skip b	Ye	es		No		
	How did the plan satisfy the nondiscrimination requirements for employee deferrals under section 101(k)(3) for the plan year? Check all that apply:	∐ saf	sign-based e harbor	L	"Prior y test	ear" ADP	
		111	urrent year P test	,"	N/A		
16a	What testing method was used to satisfy the coverage requirements under section 410(b) for the plan year? Check all that apply:		atio ercentage st		verage enefit test	N//	4
	Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?	Ye	es		No		
17a	If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS of the letter/ and the serial number	opinion le	tter or adv	isory lett	ter, enter t	he date of	
	If the plan is an individually-designed plan that received a favorable determination letter from the IRS, en letter/	nter the da	ate of the n	nost rece	ent determ	ination	
	Defined Benefit Plan or Money Purchase Pension Plan Only: Were any distributions made during the plan year to an employee who attained age 62 and had not sepa service?		n Ye	s [No		
19	Was any plan participant a 5% owner who had attained at least age 70 ½ during the prior plan year?		Ye	s	No		

Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Annual Report Identification Information

For calendar plan year 2016 or fiscal plan year beginning

Part I

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2016

This Form is Open to Public Inspection

For calendar plan year 2016 o	r fiscal plan year beginning	01/01/2016	and ending	12/31/201	.6		
A This return/report is for:	a single-employer plan a one-participant plan		plan (not multiemployer) employer information in a	(Filers checking this bo	ox must attach a		
B This return/report is	the first return/report	the final return/report	urn/report (less than 12 r	months)			
C Check box if filing under:	Form 5558	automatic extension		DFVC program			
	special extension (enter des	cription)					
Part II Basic Plan In	formation—enter all requested i	nformation					
1a Name of plan Tecplot, Inc. 401()				1b Three-digit plan number (PN)	001		
				1c Effective date of 07/01/199	f plan		
2a Plan sponsor's name (em Mailing address (include n	etructions)	2b Employer Identi (EIN) 91-11					
Tecplot, Inc.	ince, country, and ZIP or foreign pos	siai code (il loreign, see ins	uctions)	2c Sponsor's telep (425) 653-	1200		
PO Box 52708				2d Business code (see instructions) 541511			
Bellevue		W	A 98015				
3a Plan administrator's name	and address K Same as Plan Spo	onsor.		3b Administrator's	EIN		
name, EIN, and the plan	the plan sponsor has changed since number from the last return/report.	e the last return/report filed	for this plan, enter the	4b EIN			
a Sponsor's name				4c PN			
	nts at the beginning of the plan year			100	5		
	nts at the end of the plan year			. 5b			
	th account balances as of the end o			5c			
	participants at the beginning of the p			5d(1)			
	participants at the end of the plan ye			5d(2)			
 Number of participants the than 100% vested 	at terminated employment during th	e plan year with accrued b	enefits that were less	5e			
Under penalties of perjury and	te or incomplete filing of this return other penalties set forth in the instru- and signed by an enrolled actuary, implete	ictions, I declare that I hav	e examined this return/re	eport, including, if applie			
SIGN X 2 June	Ama	× 7/14/12	Elisa Simmond	ls			
HERE Signature of plan	administrator	Date	Enter name of individ	idual signing as plan administrator			
SIGN							
HERE Signature of emp	oloyer/plan sponsor	Date	Enter name of individ	dual signing as employe	er or plan sponsor		
Preparer's name (including firm	n name, if applicable) and address (i	nclude room or suite numb	er)	Preparer's telephone			

	2401	21 10 10 100 100					П. П.
6a Were all of the plan's assets during the plan year invested in eli							X Yes No
	Are you claiming a waiver of the annual examination and report of an independent qualified public ac under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)						X Yes No
If you answered "No" to either line 6a or line 6b, the plan ca	nnot use Forn	n 5500-SF and mus	t instea	ad use	Forn	1 5500.	
c If the plan is a defined benefit plan, is it covered under the PBG0	insurance pro	gram (see ERISA se	ection 4	021)?		Yes N	No Not determined
Part III Financial Information							
7 Plan Assets and Liabilities		(a) Beginning	of Year			(b) E	nd of Year
a Total plan assets	7a	8,	991,	856			C
b Total plan liabilities	7b						
C Net plan assets (subtract line 7b from line 7a)	7с	8,	991,	856			0
8 Income, Expenses, and Transfers for this Plan Year		(a) Amour	t			(b) Total
Contributions received or receivable from: (1) Employers	8a(1)		37,	429			
(2) Participants	8a(2)		430,	293			
(3) Others (including rollovers)	8a(3)						
b Other income (loss)	8b		617,	983			
C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c		LA CO				1,085,705
d Benefits paid (including direct rollovers and insurance premiums to provide benefits)		2,	647,	276			
e Certain deemed and/or corrective distributions (see instructions)	8e						
f Administrative service providers (salaries, fees, commissions)	8f		36,	892	100		
g Other expenses	8g						
h Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						2,684,168
i Net income (loss) (subtract line 8h from line 8c)	8i						-1,598,463
j Transfers to (from) the plan (see instructions)	8j	-7,	393,	393	1988		
Part IV Plan Characteristics							
9a If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 3D	on feature code	es from the List of Pl	an Cha	racteri	stic C	odes in the	instructions:
b If the plan provides welfare benefits, enter the applicable welfare	e feature codes	s from the List of Pla	n Chara	acteris	tic Co	des in the ir	nstructions:
Part V Compliance Questions							
10 During the plan year:				Yes	No	N/A	Amount
Was there a failure to transmit to the plan any participant contri- described in 29 CFR 2510.3-102? (See instructions and DOL's	s Voluntary Fid	uciary Correction	100		х		
b Were there any nonexempt transactions with any party-in-interest reported on line 10a.)	est? (Do not inc	clude transactions	10a		X		
C Was the plan covered by a fidelity bond?			10b	Х	Λ		500,000
- The the plan octoined by a habity bolia!			100	Λ			300,000

10d

10e

10f

10g

10h

X

X

X

Χ

d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?.....

Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)....

h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)

If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.....

Has the plan failed to provide any benefit when due under the plan?

g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)

Form		

Dogo	2	F	
Page	J-		

Part	VI Pension Funding Compliance					
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and cor (Form 5500) and line 11a below)				Yes	⊠ No
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40					
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Cod				Yes	X No
	ERISA?(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)					
	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instrugranting the waiver.	nth	nd enter Da		e of the letter ru Year	ling
If	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13	i	_			
b	Enter the minimum required contribution for this plan year		12b			
С	Enter the amount contributed by the employer to the plan for this plan year		12c			
	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left negative amount)	t of a	12d			
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?			Yes	No	N/A
Part	VII Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?			X Ye	s No	
	If "Yes," enter the amount of any plan assets that reverted to the employer this year					0
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brough control of the PBGC?				X Yes N	0
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify which assets or liabilities were transferred. (See instructions.)	the plan	(s) to			
	13c(1) Name of plan(s):	13c(2) EIN(s)		13c(3) Pt	N(s)
Cons	stellation Software (U.S.A.) Inc. Retirement Savings Plan	98-0	35817	5	001	
Part	VIII Trust Information					
14a	Name of trust		14b	Trust's	EIN	
14c	Name of trustee or custodian				's or custodian'	S
Par	t IX IRS Compliance Questions		-			
	Is the plan a 401(k) plan? If "No," skip b.	Yes			☐ No	
	How did the plan satisfy the nondiscrimination requirements for employee deferrals under section 401(k)(3) for the plan year? Check all that apply:	☐ safe	ign-base harbor	d	Prior year" test	ADP
	40 (K)(3) for the plan year? Official that apply.	□ "Cui	rent year test	,,	□ N/A	
16a	What testing method was used to satisfy the coverage requirements under section 410(b) for the plan year? Check all that apply:	Rat per test	centage		verage enefit test] N/A
16b	Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?	Yes	1.		☐ No	
	If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS of the letter and the serial number					
	If the plan is an individually-designed plan that received a favorable determination letter from the IRS, enter the letter	er the dat	e of the n	nost rec	ent determinati	on
18	Defined Benefit Plan or Money Purchase Pension Plan Only: Were any distributions made during the plan year to an employee who attained age 62 and had not separa service?	ated from	Ye	s	□ No	
19	Was any plan participant a 5% owner who had attained at least age 70 ½ during the prior plan year?		Ye	s	No	