Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

2016

OMB Nos. 1210-0110

1210-0089

This Form is Open to Public Inspection

Part I		Identification Information							
For calend	lar plan year 2016 or f	iscal plan year beginning 01/01/2	201 <u>6</u>	and ending 12	2/31/2016				
A This re	turn/report is for:	X a single-employer plan	a multiple-employer plan (not multiemployer) (Filers checking this box multiple and in accordance with the form ins						
		a one-participant plan	a foreign plan	, ,		,			
B This ret	urn/report is	the first return/report	the final return/repor						
		an amended return/report	a short plan year ret	urn/report (less than 12 m	onths)				
C Check	box if filing under:	Form 5558 special extension (enter desc	automatic extension	1	DFVC program	1			
Don't II	Dania Dian Info	<u> </u>	. ,						
Part II		ormation—enter all requested in	formation		46				
1a Name		MPLOYEE 401(K) PLAN			1b Three-digit plan number	ır			
SLATTLE O	ILLATIVE DIVANDO E	WI LOTEL 401(IV)T LAIV			(PN) ▶	001			
					1c Effective date of plan				
		oyer, if for a single-employer plan)			2b Employer Identification Number				
		om, apt., suite no. and street, or P.C ce, country, and ZIP or foreign post		structions)	(EIN) 46-3712373				
	REATIVE BRANDS, II		(,	2c Sponsor's telephone number 425-429-4756				
					2d Business code (see instructions)				
600 NW 40T SEATTLE, V					423990				
O_/ , .									
3a Plan administrator's name and address ⊠ Same as Plan Sponsor.					3b Administrator's EIN				
					30 Administrat				
					3C Administrate	or's telephone number			
4 If the	name and/or FIN of th	ne plan sponsor has changed since	the last return/report filed	I for this plan, enter the	4b EIN				
		imber from the last return/report.	the last retain, report mot	a for tino plan, officer the	4D EIIV				
a Sponsor's name				4c PN					
5a Total number of participants at the beginning of the plan year				5a					
b Total	number of participants	s at the end of the plan year			5b	26			
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)			ed contribution plans	5c					
d(1) Tot	al number of active pa	articipants at the beginning of the p	lan year		5d(1)	19			
d(2) Total number of active participants at the end of the plan year				5d(2)	2′				
Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested			5e	1					
		or incomplete filing of this retur			use is established	d.			
SB or Scho		ther penalties set forth in the instru and signed by an enrolled actuary, a							
SIGN		/valid electronic signature.	07/19/2017	THEODORE SMITH					
HERE	Signature of plan	administrator	Date	Enter name of individ	administrator				
SIGN									
HERE	Signature of emplo	over/plan sponsor	Date	Enter name of individ	ual signing as emr	ployer or plan sponsor			
Preparer's	arer's name (including firm name, if applicable) and address (include room or suite number)				Preparer's telephone number				

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	 Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.) 									☐ No	
	If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.										
С	If the plan is a defined benefit plan, is it covered under the PBGC ir	nsurance p	orogram (see ERISA se	ection 4	021)?		Yes	No	Not dete	rmined	
Pa	rt III Financial Information										
7	Plan Assets and Liabilities		(a) Beginning	of Year	,		((b) End	of Year		
a	Total plan assets	7a		471443	3	549084					
b	Total plan liabilities	7b									
С	Net plan assets (subtract line 7b from line 7a)	7c		471443			549084				
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	nt		(b) Total					
а	Contributions received or receivable from:	90(4)									
	(1) Employers	8a(1)		47100							
	(2) Participants	8a(2)		19437	_						
<u>_</u>	(3) Others (including rollovers)	8a(3)		37698		+					
	Other income (loss)	8b			_			104235			
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							104200		
	to provide benefits)	8d		26394	ļ.						
е	Certain deemed and/or corrective distributions (see instructions) .	8e									
f	Administrative service providers (salaries, fees, commissions)	8f									
g	Other expenses	8g		200							
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						26594			
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i							77641		
j	Transfers to (from) the plan (see instructions)	8j									
Pai	t IV Plan Characteristics										
9a											
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	les from the List of Pla	n Chara	acterist	tic Cod	des in t	he instru	uctions:		
Par	t V Compliance Questions										
10	During the plan year:				Yes	No	N/A		Amount		
а	Was there a failure to transmit to the plan any participant contributed in 29 CFR 2510.3-102? (See instructions and DOL's Verogram)	oluntary F	iduciary Correction	10a		X					
b	b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X					
С	C Was the plan covered by a fidelity bond?			10c	X					750000	
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X					
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X					
f	f Has the plan failed to provide any benefit when due under the plan?			10f		X					
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g	X					17262	
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X					
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i						_	

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Part	VI	Pension Funding Compliance							
11		Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)						es No	
11a	Ente	er the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40			11a				
12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?						f 		es X No	
		Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) vaiver of the minimum funding standard for a prior year is being amortized in this plan year, see inst	ruotior	20.000	d ontor t	ho data	of the letter	ruling	
	gran	ting the waiver	onth _	15, and	_ Day		Year _		
		ompleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 1			406				
<u> </u>	Enter	the minimum required contribution for this plan year			12b				
С	Enter	the amount contributed by the employer to the plan for this plan year			12c				
d		ract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the leastive amount)			12d			-	
<u>e</u>	Will	the minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A	
Part '	VII	Plan Terminations and Transfers of Assets							
13a	Has	a resolution to terminate the plan been adopted in any plan year?				Yes	s X No)	
	If "Y	es," enter the amount of any plan assets that reverted to the employer this year			13a				
b		e all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brouging of the PBGC?					Yes X	No	
С		uring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identifich assets or liabilities were transferred. (See instructions.)	y the p	plan(s)) to				
1	3c(1)	Name of plan(s):		13c(2)	EIN(s)		13c(3)	PN(s)	
Part	VIII	Trust Information							
14a Name of trust					14b Trust's EIN				
14c Name of trustee or custodian					14d Trustee's or custodian's telephone number				
Part	: IX	IRS Compliance Questions							
15a	Is the	plan a 401(k) plan? If "No," skip b		Yes		[No		
401(k)(3) for the plan year? Check all that apply:			·	ign-based "Prior year" A test			ar" ADP		
			"Curre	rent year" N/A test					
				entage	tage Average N/A benefit test N/A				
16b Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?				Yes	☐ No				
17a If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS opinion letter or advisory letter, enter the date of the letter/									
17b If the plan is an individually-designed plan that received a favorable determination letter from the IRS, enter the date of the most recent determination letter/									
18 Defined Benefit Plan or Money Purchase Pension Plan Only: Were any distributions made during the plan year to an employee who attained age 62 and had not separated from service?				Ye	Yes No				
19	Was	any plan participant a 5% owner who had attained at least age 70 $^{1\!\!/}_{2}$ during the prior plan year?			Ye	s [No		