Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

2016

OMB Nos. 1210-0110

1210-0089

This Form is Open to Public Inspection

Part i Annual Repo	ort identification informatio						
For calendar plan year 2016 o	or fiscal plan year beginning 01/01	<u>/2016</u>	and ending 1	2/31/2016			
_	X a single-employer plan		plan (not multiemployer)				
A This return/report is for:	a one-participant plan	list of participating a foreign plan	employer information in a	ccordance with the	form instructions.)		
B This return/report is	the first return/report	the final return/repo	ort				
D This retain/report is	an amended return/report	H	turn/report (less than 12 m	nonths)			
_			tum/report (1665 than 12 h				
C Check box if filing under:	Form 5558	automatic extension	n	DFVC program	n		
David II Dania Diamin	special extension (enter des	• /					
	nformation—enter all requested i	nformation		1 4 h . Thurst 18 18 1			
1a Name of plan STEVEN P. MCCLEAN, MD, PO	C PROFIT SHARING PLAN			1b Three-digit plan number			
				(PN)			
				1c Effective da	of plan 01/01/1992		
	ployer, if for a single-employer plan)				dentification Number 91-2181322		
City or town, state or prov	vince, country, and ZIP or foreign po-		nstructions)	2c Sponsor's telephone number			
STEVEN MCCLEAN, M.D., P.C	•			425-228-3110			
				2d Business co	ode (see instructions)		
4300 TALBOT ROAD S, SUITE RENTON, WA 98055	310				521111		
KENTON, WA 30033							
3a Plan administrator's name	e and address X Same as Plan Sp	oneor		3b Administrate	or's EIN		
Ja Fian auministrator s name	e and address A Same as Flan Sp	011501.		30 Administrati	OI 5 LIIN		
				3c Administrat	or's telephone number		
4 If the name and/or EIN of	the plan sponsor has changed since	e the last return/report file	d for this plan, enter the	4b EIN			
name, EIN, and the plan	number from the last return/report.			_			
a Sponsor's name				4c PN			
5a Total number of participa	nts at the beginning of the plan year			5a			
b Total number of participa	nts at the end of the plan year			5b			
	ith account balances as of the end c		· ·	5c	Ę		
d(1) Total number of active	participants at the beginning of the	olan year		5d(1)	ţ		
d(2) Total number of active	participants at the end of the plan y	ear		5d(2)	4		
e Number of participants t	hat terminated employment during th	e plan year with accrued	benefits that were less	5e			
	te or incomplete filing of this retu			use is establishe	d.		
Under penalties of perjury and	d other penalties set forth in the instr	uctions, I declare that I ha	ive examined this return/re	eport, including, if a	pplicable, a Schedule		
SB or Schedule MB completed belief, it is true, correct, and contents	d and signed by an enrolled actuary,	as well as the electronic	version of this return/repo	rt, and to the best of	of my knowledge and		
E3 1 30 d 1	ed/valid electronic signature.	07/20/2017	STEVEN P. MCCLEA	NMD			
HERE				•	a administrator		
Signature of pla	III administrator	Date	Enter name of individ	duai signing as piai	I auministrator		
SIGN HERE							
Signature of em	ployer/plan sponsor	Date			oloyer or plan sponsor		
Preparer's name (including fin	m name, if applicable) and address (include room or suite nui	nber)	Preparer's telepl	none number		
i .				L			

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6a Were all of the plan's assets during the plan year invested in eligi	ble assets?	(See instructions.)						X Ye	s No
	b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA)						X Ye	s Π No	
under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)									
c If the plan is a defined benefit plan, is it covered under the PBGC							No	Not de	termined
Part III Financial Information									
7 Plan Assets and Liabilities		(a) Beginning	of Year				(b) End	of Year	
a Total plan assets	7a		722135				. /	191205	58
b Total plan liabilities	7b								
C Net plan assets (subtract line 7b from line 7a)	7c	1	722135					191205	58
8 Income, Expenses, and Transfers for this Plan Year		(a) Amour	ıt		(b) Total				
a Contributions received or receivable from:	2 (1)		78998						
(1) Employers	8a(1)		. 0000						
(2) Participants	8a(2)								
(3) Others (including rollovers)	8a(3) 8b		211911						
C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c				290909				
d Benefits paid (including direct rollovers and insurance premiums									
to provide benefits)	8d		100739						
e Certain deemed and/or corrective distributions (see instructions).	8e								
f Administrative service providers (salaries, fees, commissions)	8f		247						
g Other expenses	8g		10000						
h Total expenses (add lines 8d, 8e, 8f, and 8g)	8h					100986 189923			
i Net income (loss) (subtract line 8h from line 8c)	8i						18992	23	
j Transfers to (from) the plan (see instructions)	8j								
Part IV Plan Characteristics									
9a If the plan provides pension benefits, enter the applicable pension 2E 2G 2R 2A 3D	n feature cod	des from the List of Pl	an Cha	racteri	stic Co	des in	the insti	ructions:	
b If the plan provides welfare benefits, enter the applicable welfare	feature code	es from the List of Pla	n Chara	acterist	tic Coc	les in t	he instru	ictions:	
Part V Compliance Questions									
10 During the plan year:				Yes	No	N/A		Amoun	t
a Was there a failure to transmit to the plan any participant contrib described in 29 CFR 2510.3-102? (See instructions and DOL's		•			X				
Program)			10a						
	b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)				X				
C Was the plan covered by a fidelity bond?			10c		X				
d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		10d		X					
Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)		10e		X					
f Has the plan failed to provide any benefit when due under the plan?			10f		X				
					X				
h If this is an individual account plan, was there a blackout period? 2520.101-3.)			10h		X				
i If 10h was answered "Yes," check the box if you either provided exceptions to providing the notice applied under 29 CFR 2520.1	the required	notice or one of the	10i						
The state of the s				<u> </u>					

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Part		Pension Funding Compliance							
11		s a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and on 5500) and line 11a below)						Yes No	
11a	Ente	r the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line $40\dots$			11a				
12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA? (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)					n 302 of		🗆	Yes X No	
а	If a v	vaiver of the minimum funding standard for a prior year is being amortized in this plan year, see insting the waiver.		and	l enter t		of the lett Year	er ruling	
If		ompleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line							
b	Enter	the minimum required contribution for this plan year			12b				
		the amount contributed by the employer to the plan for this plan year			12c				
d	Subt	ract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the tive amount)	left of a		12d				
е	Will t	he minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A	
Part	VII	Plan Terminations and Transfers of Assets		•					
13a	Has	a resolution to terminate the plan been adopted in any plan year?				Ye	s X	No	
	If "Ye	es," enter the amount of any plan assets that reverted to the employer this year			13a				
b		e all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brouton of the PBGC?				Yes X No			
С	If, du	rring this plan year, any assets or liabilities were transferred from this plan to another plan(s), ident h assets or liabilities were transferred. (See instructions.)	tify the pla	an(s)	to				
	13c(1)	Name of plan(s):	13	c(2)	EIN(s)		13c(3) PN(s)		
Part	VIII	Trust Information							
14a	Name	of trust			14b ⊺	Γrust's I	ΞIN		
14c	Name	of trustee or custodian					s or custo ne numbe		
Par	t IX	IRS Compliance Questions							
15a	Is the	plan a 401(k) plan? If "No," skip b	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	'es			X No		
		did the plan satisfy the nondiscrimination requirements for employee deferrals under section (3) for the plan year? Check all that apply:	U s	afe h	n-based arbor	Į	Test	ear" ADP	
				DP t	ent year est	"	N/A		
16a What testing method was used to satisfy the coverage requirements under section 410(b) for the plan year? Check all that apply:			erce	entage Average N/A benefit test N/A					
16b Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?				'es	☐ No				
17a If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS opinion letter or advisory letter, enter the date of the letter/									
17b	If the letter	plan is an individually-designed plan that received a favorable determination letter from the IRS, e/	nter the c	late	of the m	nost rec	ent determ	nination	
18	Were	ed Benefit Plan or Money Purchase Pension Plan Only: any distributions made during the plan year to an employee who attained age 62 and had not separe?		m	Yes	s [No		
19	Was	any plan participant a 5% owner who had attained at least age 70 $^{1\!\!/}_{2}$ during the prior plan year?			Yes	s [No		