Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

Complete all entries in accordance with the instructions to the Form 5500-SF.

2016

OMB Nos. 1210-0110

1210-0089

This Form is Open to **Public Inspection**

Annual Report Identification Information For calendar plan year 2016 or fiscal plan year beginning and ending X a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.) **A** This return/report is for: a one-participant plan a foreign plan the final return/report B This return/report is the first return/report an amended return/report a short plan year return/report (less than 12 months) C Check box if filing under: DFVC program Form 5558 automatic extension special extension (enter description) Part II Basic Plan Information—enter all requested information 1a Name of plan 1b Three-digit NORTH CREEK CONTRACTORS INC 401 K PROFIT SHARING PLAN TRUST plan number 001 (PN) • 1c Effective date of plan 01/01/2016 2a Plan sponsor's name (employer, if for a single-employer plan) **2b** Employer Identification Number Mailing address (include room, apt., suite no. and street, or P.O. Box) 81-0851863 (EIN) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) Sponsor's telephone number NORTH CREEK CONTRACTORS INC 425-518-4233 2d Business code (see instructions) 13110 NE 177TH PLACE 541990 STF 396 WOODINVILLE, WA 98072 **3a** Plan administrator's name and address X Same as Plan Sponsor. 3b Administrator's EIN 3c Administrator's telephone number If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the 4b EIN name, EIN, and the plan number from the last return/report. 4c PN a Sponsor's name 5a 5a Total number of participants at the beginning of the plan year 5b 4 **b** Total number of participants at the end of the plan year..... Number of participants with account balances as of the end of the plan year (only defined contribution plans 3

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

complete this item).....

d(1) Total number of active participants at the beginning of the plan year.....

d(2) Total number of active participants at the end of the plan year..... Number of participants that terminated employment during the plan year with accrued benefits that were less

than 100% vested.....

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and

| belief, it is t | rue, correct, and complete. | | | | | | |
|-----------------|--|-----------------------------|--|--|--|--|--|
| SIGN HERE | Filed with authorized/valid electronic signature. | 07/20/2017 | KRISTOPHER JONES | | | | |
| | Signature of plan administrator | Date | Enter name of individual signing as plan administrator | | | | |
| SIGN HERE | | | | | | | |
| | Signature of employer/plan sponsor | Date | Enter name of individual signing as employer or plan spo | | | | |
| Preparer's i | name (including firm name, if applicable) and address (include i | Preparer's telephone number | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

5c

5d(1)

5d(2)

4

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| | Were all of the plan's assets during the plan year invested in eligib | | , | | | | | | X Ye | s No | |
|-----|--|---|--------------------------|---------|----------|-----------|----------|----------|------------|----------|--|
| | Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.) | | | | | | | X Ye | s No | | |
| | If the plan is a defined benefit plan, is it covered under the PBGC in | | | | | | - | No | Not de | termined | |
| Par | t III Financial Information | | | | | | | | | | |
| 7 | Plan Assets and Liabilities | | (a) Beginning | of Year | | | | (b) End | of Year | | |
| а | Total plan assets | 7a | | 0 | | 3638 | | | | | |
| b | Total plan liabilities | 7b | | 0 | | | 0 | | | | |
| С | Net plan assets (subtract line 7b from line 7a) | 7c | | 0 |) | 3638 | | | | 88 | |
| 8 | Income, Expenses, and Transfers for this Plan Year | | (a) Amour | ıt | | (b) Total | | | | | |
| | Contributions received or receivable from: | - 40 | | 1799 | | | | | | | |
| | (1) Employers | 8a(1) | | 2441 | | | | | | | |
| | (2) Participants | 8a(2) | | | _ | | | | | | |
| | (3) Others (including rollovers) | 8a(3) | | 0 31 | | | | | | | |
| | Other income (loss) | 8b | | | | 4271 | | | | | |
| | Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) Benefits paid (including direct rollovers and insurance premiums | 8c | | | | 4271 | | | | | |
| | to provide benefits) | 8d | | 198 | | | | | | | |
| е | Certain deemed and/or corrective distributions (see instructions). | 8e | | 0 | | | | | | | |
| f | Administrative service providers (salaries, fees, commissions) | 8f | | 435 | | | | | | | |
| g | Other expenses | | | 0 | | | | | | | |
| h | h Total expenses (add lines 8d, 8e, 8f, and 8g) | | | | | | 633 | | | | |
| i | Net income (loss) (subtract line 8h from line 8c) | | | | | | 3638 | | | | |
| j | Transfers to (from) the plan (see instructions) | | | C | | | | | | | |
| Par | Part IV Plan Characteristics | | | | | | | | | | |
| 9a | If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 2S 2T 3D | feature co | odes from the List of Pl | an Cha | racteri | stic Co | odes in | the inst | tructions: | | |
| b | If the plan provides welfare benefits, enter the applicable welfare for | eature cod | des from the List of Pla | n Chara | acterist | tic Cod | des in t | he instr | uctions: | | |
| Par | t V Compliance Questions | | | | | | | | | | |
| 10 | During the plan year: | | | | Yes | No | N/A | | Amoun | t | |
| а | Was there a failure to transmit to the plan any participant contribut described in 29 CFR 2510.3-102? (See instructions and DOL's Verogram) | oluntary F | iduciary Correction | 10a | | X | | | | | |
| b | Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.) | | | 10b | | Χ | | | | | |
| С | C Was the plan covered by a fidelity bond? | | 10c | X | | | | | 20000 | | |
| d | d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? | | 10d | | X | | | | | | |
| е | Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.) | | 10e | | X | | | | | | |
| f | f Has the plan failed to provide any benefit when due under the plan? | | | 10f | | Χ | | | | | |
| g | Did the plan have any participant loans? (If "Yes," enter amount as of year-end.) | | | 10g | | X | | | | | |
| h | 2520.101-3.) | If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) | | | | X | | | | | |
| i | If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10 | | | 10i | | | | | | | |

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| Part | VI P | ension Funding Compliance | | | | | | | |
|----------------------------------|------------------|--|---|------------------------|------------------|-----------|----------------------|----------------|------|
| 11 | | a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and of 5500) and line 11a below) | | | | | | Yes | X No |
| 11a | Enter t | he unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 | | | 11a | | | | |
| 12 | ERISA | a defined contribution plan subject to the minimum funding requirements of section 412 of the C? s," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) | | | | | [| Yes | X No |
| а | If a wa | iver of the minimum funding standard for a prior year is being amortized in this plan year, see ins | | ns, and | _ | | | | ng |
| | _ | g the waiver | | | Day | / | Yea | ar | |
| | | | | | 12b | | | | |
| | Enter tr | e minimum required contribution for this plan year | | | | | | | |
| | | e amount contributed by the employer to the plan for this plan year | | | 12c | | | | |
| a | | ct the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the /e amount) | | | 12d | | | | |
| | | e minimum funding amount reported on line 12d be met by the funding deadline? | | | | Yes | No | L N | /A |
| Part | VII P | Plan Terminations and Transfers of Assets | | | | | | | |
| 13a | Has a ı | resolution to terminate the plan been adopted in any plan year? | | | | X Ye | s | No | |
| | If "Yes | ," enter the amount of any plan assets that reverted to the employer this year | | | 13a | | | | 0 |
| b | | all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought the PBGC? | | er the | | | Yes | X No | ı |
| С | | ng this plan year, any assets or liabilities were transferred from this plan to another plan(s), ident assets or liabilities were transferred. (See instructions.) | ify the p | olan(s) |) to | | | | |
| | 13c(1) N | ame of plan(s): | | 13c(2) | EIN(s) | | 13 | c(3) PN | (s) |
| | | | | | | | | | |
| Part | | Trust Information | | | 4.41. | | | | |
| 14a | Name o | f trust | | | 146 | Trust's I | EIN | | |
| 14c Name of trustee or custodian | | | 14d Trustee's or custodian's telephone number | | | | | | |
| Par | t IX | IRS Compliance Questions | | | | | | | |
| 15a | Is the p | lan a 401(k) plan? If "No," skip b | | Yes | | | No | | |
| | | | gn-based "Prior year" ADP harbor test | | | | NDP | | |
| | ()(. | , | | "Curre | ent year test | ,, | N/A | | |
| 16a | | esting method was used to satisfy the coverage requirements under section 410(b) for the plan Check all that apply: | | Ratio perce test | entage | | verage enefit tes | st 🗌 | N/A |
| 16b | | plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) plan year by combining this plan with any other plan under the permissive aggregation rules? | | Yes | | | No | | |
| 17a | | an is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS | | lette | r or advi | sory let | ter, ente | r the dat | e of |
| 17b | If the pletter _ | lan is an individually-designed plan that received a favorable determination letter from the IRS, e | nter the | date | of the n | nost rec | ent dete | rminatio | n |
| 18 | Were a | Benefit Plan or Money Purchase Pension Plan Only: ny distributions made during the plan year to an employee who attained age 62 and had not sep ? | | from | Ye | s | No | | |
| 19 | Was ar | ly plan participant a 5% owner who had attained at least age 70 $^{\prime\prime}$ during the prior plan year? | | | Ye | s | No | | |