Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

2016

This Form is Open to Public Inspection

OMB Nos. 1210-0110

1210-0089

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

Part I		Identification Information								
For calendar plan year 2016 or fiscal plan year beginning 01/01/2016 and ending 12/31/2016										
a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating amployer information in accordance with the form instructions)										
A This ret	A This return/report is for: a one-participant plan list of participating employer information in accordance with the form instructions.) a foreign plan									
B This retu	ırn/report is	the first return/report	the final return/report	eport						
an amended return/report a short plan year return/report (less than 12 months)										
C Check b	pox if filing under:	Form 5558	automatic extension		DFVC program	i.				
Part II	Basic Plan Info	special extension (enter descr prmation—enter all requested inf	. ,							
1a Name		ormation—enter an requested in	omation		1b Three-digit					
RES LOCI IN	NC 401 K PROFIT SH	ARING PLAN TRUST			plan numbe	r 001				
					1c Effective date of plan 01/01/2007					
	` '	oyer, if for a single-employer plan) m, apt., suite no. and street, or P.O) Box)		2b Employer Id	entification Number				
	town, state or provinc	ce, country, and ZIP or foreign post		ructions)	(EIN) 91-1932081 2c Sponsor's telephone number 206-783-7914					
						ode (see instructions)				
8318 22ND A SEATTLE, W	VE NW /A 98117-3530				5	541310				
3a Plan a	dministrator's name a	nd address 🛛 Same as Plan Spor	nsor.		3b Administrator's EIN					
					3c Administrator's telephone number					
		e plan sponsor has changed since	the last return/report filed f	or this plan, enter the	4b EIN					
name, a Sponso	•	mber from the last return/report.			4c PN					
5a Total number of participants at the beginning of the plan year				5a	2					
b Total number of participants at the end of the plan year			5b	2						
		account balances as of the end of			5c	2				
		articipants at the beginning of the plant			5d(1)	2				
d(2) Tota	al number of active pa	articipants at the end of the plan yea	ar		5d(2)	2				
than '	100% vested	terminated employment during the			5e					
		or incomplete filing of this return								
SB or Sche		ther penalties set forth in the instruc and signed by an enrolled actuary, a plete.								
0.0	Filed with authorized	/valid electronic signature.	07/24/2017	BARBARA CHRISTEN	NSEN					
HERE	Signature of plan a	administrator	Date	Enter name of individ	lual signing as plan administrator					
SIGN										
HERE	Signature of emplo		Date			oloyer or plan sponsor				
Preparer's	name (including firm i	name, if applicable) and address (in	iclude room or suite numbe	er)	Preparer's teleph	one number				

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Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)								res No				
	C If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No Not determined Part III Financial Information											
	Plan Assets and Liabilities		(a) Beginning (of Vear				(h) End	of Year			
	Total plan assets	7a		328641		(b) End of Year 328674						
	Total plan liabilities	7b		0)		0					
	Net plan assets (subtract line 7b from line 7a)	7с	;	328641			674					
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	nt ((b) Total			
	Contributions received or receivable from:			0								
	(1) Employers	8a(1)		0								
	(2) Participants	8a(2)		0								
	(3) Others (including rollovers)	8a(3)		33								
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8b 8c								33		
	Benefits paid (including direct rollovers and insurance premiums	80							30			
	to provide benefits)	8d		0								
е	Certain deemed and/or corrective distributions (see instructions).	8e		0								
f	Administrative service providers (salaries, fees, commissions)	8f		0								
	Other expenses	8g		0								
	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							33			
	Net income (loss) (subtract line 8h from line 8c)	8i		0						33		
	Transfers to (from) the plan (see instructions)		0									
	Part IV Plan Characteristics											
9a	If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 2T 3D	feature co	odes from the List of Pl	an Cha	racteri	stic Co	des in	the ins	tructions:			
b	If the plan provides welfare benefits, enter the applicable welfare f	eature cod	les from the List of Pla	n Chara	acteris	tic Coc	les in t	he instr	uctions:			
Dor	W Compliance Overstians											
Par 10					Yes	No	N/A		A			
	During the plan year: Was there a failure to transmit to the plan any participant contribu	ıtions with	n the time period		162	NO	IVA		Amou	nτ		
_	described in 29 CFR 2510.3-102? (See instructions and DOL's \ Program)	oluntary F	Fiduciary Correction	10a		X						
b	Were there any nonexempt transactions with any party-in-interest	t? (Do not	include transactions			Х						
	reported on line 10a.)			10b		X						
d	C Was the plan covered by a fidelity bond?Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused			10c								
	by fraud or dishonesty?			10d		X						
e	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X						
f	f Has the plan failed to provide any benefit when due under the plan?			10f		X						
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g		X						
h	If this is an individual account plan, was there a blackout period? 2520.101-3.)			10h		X						
i	If 10h was answered "Yes," check the box if you either provided t exceptions to providing the notice applied under 29 CFR 2520.10	he require	d notice or one of the	10i								

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Part	VI	Pension Funding Compliance							
11		s a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and con 5500) and line 11a below)						Yes X No	
		the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40			11a				
12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or sect ERISA?							│	Yes X No	
	(lf "\	es," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)							
	grant	aiver of the minimum funding standard for a prior year is being amortized in this plan year, see ins ing the waiver	/lonth _	s, and	d enter t Day		of the lette Year _	er ruling	
If	you co	empleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line	13.	1		T			
<u>b</u>	Enter	the minimum required contribution for this plan year			12b				
С	Enter	he amount contributed by the employer to the plan for this plan year			12c				
d		act the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the l ive amount)			12d				
		ne minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A	
Part	VII	Plan Terminations and Transfers of Assets							
13a	Has a	resolution to terminate the plan been adopted in any plan year?				Yes	s X N	lo	
	If "Ye	s," enter the amount of any plan assets that reverted to the employer this year			13a				
b		all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brougol of the PBGC?		er the		Yes X No			
С		ring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identi n assets or liabilities were transferred. (See instructions.)	ify the p	olan(s)) to				
	13c(1)	Name of plan(s):	1	3c(2)	EIN(s)		13c(3	3) PN(s)	
Part	VIII	Trust Information							
14a	Name	of trust			14b ⁻	Trust's E	EIN		
14c Name of trustee or custodian				14d Trustee's or custodian's telephone number					
Par	t IX	IRS Compliance Questions							
15a	Is the	plan a 401(k) plan? If "No," skip b		Yes			No		
		id the plan satisfy the nondiscrimination requirements for employee deferrals under section (3) for the plan year? Check all that apply:	IШ		ign-based "Prior year" ADP test				
				"Curre	ent year test	"	N/A		
16a What testing method was used to satisfy the coverage requirements under section 410(b) for the plan year? Check all that apply:			entage	age Average N/A benefit test N/A					
16b Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?				☐ No					
17a If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS opinion letter or advisory letter, enter the date of the letter/ and the serial number									
17b If the plan is an individually-designed plan that received a favorable determination letter from the IRS, enter the date of the most recent determination letter/									
18 Defined Benefit Plan or Money Purchase Pension Plan Only: Were any distributions made during the plan year to an employee who attained age 62 and had not separated from service?					Ye	Yes No			
19	Was a	any plan participant a 5% owner who had attained at least age 70 $^{1\!\!/}$ during the prior plan year?			Ye	s [No		