Form 5500-SF

Department of the Treasury

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Benefit Plan Internal Revenue Service Department of Labor

Annual Report Identification Information

For calendar plan year 2016 or fiscal plan year beginning

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

Short Form Annual Return/Report of Small Employee

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

and ending

12/31/2016

OMB Nos. 1210-0110 1210-0089

2016

This Form is Open to Public Inspection

A This return/report is	X a single-employer plan for: for:			yer) (Filers checking this box must attach a in accordance with the form instructions.)				
A This return/report is	a one-participant plan	a foreign plan	, , , , , , , , , , , , , , , , , , , ,					
B This return/report is	the first return/report	the final return/report						
	an amended return/report	a short plan year return/report (less than 12 months)						
C Check box if filing un		automatic extension	n	DFVC program				
Dort II Doois D	special extension (enter desc	1 ,						
Part II Basic Plan	lan Information—enter all requested in	nrormation		1b Three-digit				
	JCTIONS, LLC RETIREMENT PLAN			plan number (PN)	003			
				1c Effective date	of plan /01/2008			
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box)					ntification Number -1075304			
BROADSTREET PRODU	or province, country, and ZIP or foreign pos ICTIONS	stal code (if foreign, see in	structions)	2c Sponsor's telephone number 646-652-7889				
242 WEST 30TH ST FLOOR 2 NEW YORK, NY 10001		2d Business code (see instructions) 541800						
3a Plan administrator's	s name and address X Same as Plan Spo	onsor.		3b Administrator	's EIN			
	EIN of the plan sponsor has changed since	e the last return/report file	d for this plan, enter the	4b EIN				
name, EIN, and the a Sponsor's name	e plan number from the last return/report.			4c PN				
	articipants at the beginning of the plan year			5a	24			
b Total number of pa	articipants at the end of the plan year			5b	22			
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)				5c	19			
d(1) Total number of	active participants at the beginning of the p	olan year		5d(1)	10			
	active participants at the end of the plan ye			5d(2)	10			
	pants that terminated employment during th		penefits that were less	5e				
Under penalties of perju	the late or incomplete filing of this return and other penalties set forth in the instrumpleted and signed by an enrolled actuary, and complete.	uctions, I declare that I ha	ve examined this return/re	port, including, if app				
Oloit	uthorized/valid electronic signature.	07/26/2017	MOSES SANZO					
HERE Signature	of plan administrator	Date	Enter name of individ	lual signing as plan a	administrator			
SIGN Filed with at	uthorized/valid electronic signature.	07/26/2017	MOSES SANZO					
Signature	of employer/plan sponsor ding firm name, if applicable) and address (Date	Enter name of individ	lual signing as emplo Preparer's telepho				
. Toparor o name (mout	and address (initiade room of Suite Hull		. ropard a tolopilo	TO HUMBON			

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6a	Were all of the plan's assets during the plan year invested in eligib	le assets?	(See instructions.)						XY	es No
b	b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)						XY	es No		
	If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.									
С	If the plan is a defined benefit plan, is it covered under the PBGC ir	nsurance p	program (see ERISA se	ection 4	021)?		Yes	No	Not d	etermined
Pa	rt III Financial Information									
7	Plan Assets and Liabilities		(a) Beginning	of Year			((b) End	of Year	
a	Total plan assets	7a		405380)	491761				
b	Total plan liabilities	7b		0			0			
С	Net plan assets (subtract line 7b from line 7a)	7c		405380)	491761				
8	Income, Expenses, and Transfers for this Plan Year		(a) Amour	ount			(b) Total			
а	Contributions received or receivable from:	0 (4)		23581						
	(1) Employers	8a(1)		62173						
	(2) Participants	8a(2)		02173						
	(3) Others (including rollovers)	8a(3)		39643						
	Other income (loss)	8b		33040	-				1253	107
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							1200	97
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		38494						
e	Certain deemed and/or corrective distributions (see instructions).	8e		0)					
f	Administrative service providers (salaries, fees, commissions)	8f		522						
g	Other expenses	8g								
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h				39016				
i	Net income (loss) (subtract line 8h from line 8c)	8i					86381			
j	Transferred to (form) the plan (no instructions)			С)					
Pa	Part IV Plan Characteristics									
9a										
b										
Par	t V Compliance Questions									
10	During the plan year:				Yes	No	N/A		Amour	nt
а	Was there a failure to transmit to the plan any participant contributed described in 29 CFR 2510.3-102? (See instructions and DOL's Vergram)	oluntary F	Fiduciary Correction	10a		X				
b	Program) Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X				
	C Was the plan covered by a fidelity bond?			10c	X					60000
d			10d		X					
е			10e		X					
f	Has the plan failed to provide any benefit when due under the plan?			10f		X				
9			10g	X					19097	
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)		10h		X					
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i						

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Part	VI	Pension Funding Compliance							
11		s a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and con 5500) and line 11a below)						Yes X No	
		the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40			11a				
12	12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or sect ERISA?						│	Yes X No	
	(lf "\	es," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)							
	grant	aiver of the minimum funding standard for a prior year is being amortized in this plan year, see ins ing the waiver	/lonth _	s, and	d enter t Day		of the lette Year _	er ruling	
If	you co	empleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line	13.	1		T			
<u>b</u>	Enter	the minimum required contribution for this plan year			12b				
С	Enter	he amount contributed by the employer to the plan for this plan year			12c				
d		act the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the l ive amount)			12d				
		ne minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A	
Part	VII	Plan Terminations and Transfers of Assets							
13a	Has a	resolution to terminate the plan been adopted in any plan year?				Yes	s X N	lo	
	If "Ye	s," enter the amount of any plan assets that reverted to the employer this year			13a				
b		all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brougol of the PBGC?		er the		Yes 🛚 No			
С		ring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identi n assets or liabilities were transferred. (See instructions.)	ify the p	olan(s)) to				
	13c(1)	Name of plan(s):	1	3c(2)	EIN(s)		13c(3	3) PN(s)	
Part	VIII	Trust Information							
14a	Name	of trust			14b ⁻	Trust's E	EIN		
14c Name of trustee or custodian			14d Trustee's or custodian's telephone number						
Par	t IX	IRS Compliance Questions							
15a	Is the	plan a 401(k) plan? If "No," skip b		Yes			No		
			ign-based "Prior year" ADI harbor test			ear" ADP			
				"Curre	ent year test	"	N/A		
16a What testing method was used to satisfy the coverage requirements under section 410(b) for the plan year? Check all that apply:			entage	age Average N/A benefit test N/A					
16b Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?				☐ No					
17a If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS opinion letter or advisory letter, enter the date of the letter/ and the serial number									
17b If the plan is an individually-designed plan that received a favorable determination letter from the IRS, enter the date of the most recent determination letter/									
18	Were	ed Benefit Plan or Money Purchase Pension Plan Only: any distributions made during the plan year to an employee who attained age 62 and had not sepa e?		rom	Ye	s [No		
19	19 Was any plan participant a 5% owner who had attained at least age 70 ½ during the prior plan year?								