Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

2016

This Form is Open to Public Inspection

OMB Nos. 1210-0110

1210-0089

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

Part I	Annual Report	Identification Information						
For calenda	ar plan year 2016 or fi	scal plan year beginning 01/01/2	016	and ending 12	2/31/2016			
A This retu	A This return/report is for: a single-employer plan							
B This retu	B This return/report is							
		an amended return/report	a short plan year return	n/report (less than 12 m	ionths)			
C Check b	oox if filing under:		DFVC program					
Dant II	Dania Dian Info	special extension (enter descr	. ,					
Part II		prmation—enter all requested in	formation		41	<u> </u>		
1a Name of STOCKHOLM	•	YNECOLOGICAL SERVICES, PC	401(K) SAVINGS PLAN		1b Three-digit plan number (PN) ▶	001		
					1c Effective date	e of plan 5/01/1996		
Mailing	address (include roo	yer, if for a single-employer plan) m, apt., suite no. and street, or P.C e, country, and ZIP or foreign post		uctions)		entification Number -3318298		
STOCKHOLN	OBSTETRICS & G	NECOLOGICAL SERVICES, PC	ar code (il loreign, see insir	uctions)	2c Sponsor's te 718-	lephone number 963-7331		
374 STOCKH BROOKLYN,	OLM STREET NY 11237					de (see instructions) 21111		
3a Plan ac	dministrator's name a	nd address 🏻 Same as Plan Spor	nsor.		3b Administrator	r's EIN		
4 If the n	ame and/or FINI of th	e plan sponsor has changed since	the last return/report filed for	or this plan, enter the	3c Administrator	's telephone number		
	EIN, and the plan nu	mber from the last return/report.	the last return/report filed to	or this plant, effect the	4c PN			
5a Total n	umber of participants	at the beginning of the plan year			5a	12		
		at the end of the plan year			5b			
C Number		account balances as of the end of			5c	5		
d(1) Tota	al number of active pa	rticipants at the beginning of the pl	an year		5d(1)			
d(2) Tota	al number of active pa	articipants at the end of the plan year	ar		5d(2)			
e Numb	er of participants that	terminated employment during the	plan year with accrued ber	nefits that were less	5e	0		
Under pena SB or Sche	lities of perjury and of	or incomplete filing of this return her penalties set forth in the instruct and signed by an enrolled actuary, a plete.	ctions, I declare that I have	examined this return/re	port, including, if ap	plicable, a Schedule		
	·	/valid electronic signature.	07/25/2017	FRANK VUTRANO	ANO			
/ILIVE	Signature of plan a	ndministrator	Date	Enter name of individ	lual signing as plan	administrator		
SIGN								
HERE	Signature of emplo		Date	Enter name of individ	ual signing as empl	oyer or plan sponsor		
Preparer's r	name (including firm r	name, if applicable) and address (ir	nclude room or suite numbe	r)	Preparer's telepho	one number		

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b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 GPR 2520.10448? (See instructions on waiver eligibility and conditions.)	termined
C If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No Not described in the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No Not described in the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes Not described in the plan seeds and Liabilities (a) Beginning of Year and 74165 5957 by Total plan liabilities. 7b Total plan assets (subtract line 7b from line 7a). 7c 874165 5957 by Total plan assets (subtract line 7b from line 7a). 7c 874165 5957 by Income, Expenses, and Transfers for this Plan Year (a) Amount (b) Total and Contributions received or receivable from: (1) Employers. 8a(1) (2) Participants. 8a(2) (3) Others (including rellovers). 8a(3) (2) Participants. 8a(2) (3) Others (including rellovers). 8a(3) (3) Others (including rellovers). 8b -87015 (4) Benefits paid (including direct rollovers and insurance premiums to provide benefits). 8d 187776 (5) C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b). 8c -87015 (6) C Certain deemed and/or corrective distributions (see instructions). 8e -87015 (7) C Administrative service providers (salaries, fees, commissions). 8f 3624 (8) Other expenses (add lines 8d, 8e, 8f, and 8g). 8h 1914 (8) Net income (loss) (subtract line 8h from line 8c). 8i -2784 (9) Other expenses (add lines 8d, 8e, 8f, and 8g). 8h 1914 (1) Net income (loss) (subtract line 8h from line 8c). 8i -2784 (5) If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2F 2G 2R 3D (6) If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2F 2G 2R 3D	50
Part III Financial Information 7 Plan Assets and Liabilities (a) Beginning of Year (b) End of Year a Total plan assets	50
7 Plan Assets and Liabilities 7 7a 874165 5957 b Total plan assets (subtract line 7b from line 7a)	
a Total plan assets	
b Total plan liabilities	
C Net plan assets (subtract line 7b from line 7a)	0
8 Income, Expenses, and Transfers for this Plan Year a Contributions received or receivable from: (1) Employers	50
a Contributions received or receivable from: (1) Employers	
(1) Employers	
(3) Others (including rollovers)	
b Other income (loss)	
C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	
d Benefits paid (including direct rollovers and insurance premiums to provide benefits)	
to provide benefits)	5
f Administrative service providers (salaries, fees, commissions) 8f 3624 g Other expenses	
g Other expenses	
h Total expenses (add lines 8d, 8e, 8f, and 8g)	
i Net income (loss) (subtract line 8h from line 8c)	
j Transfers to (from) the plan (see instructions)	0
Part IV Plan Characteristics 9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions: Part V Compliance Questions	5
9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions: Part V Compliance Questions	
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions: Part V Compliance Questions	
Part V Compliance Questions	
10 During the plan year: Yes No N/A Amoun	
	:
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	
b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	
C Was the plan covered by a fidelity bond?	5000
d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	
Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)	
f Has the plan failed to provide any benefit when due under the plan?	_
g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)	
h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	
i If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	

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Part	VI	Pension Funding Compliance							
11		s a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and c n 5500) and line 11a below)						∕es X No	
11a Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40									
12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or sec							│	res X No	
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)								
	grant	raiver of the minimum funding standard for a prior year is being amortized in this plan year, see ins ing the waiver	onth _	s, and	d enter t Day		of the lette Year _	er ruling	
If	you c	ompleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 1	13.	1		T			
<u>b</u>	Enter	the minimum required contribution for this plan year			12b				
С	Enter	the amount contributed by the employer to the plan for this plan year			12c				
d		ract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the l tive amount)			12d				
		he minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A	
Part	VII	Plan Terminations and Transfers of Assets							
13a	Has a	a resolution to terminate the plan been adopted in any plan year?				Yes	s X N	lo	
	If "Ye	es," enter the amount of any plan assets that reverted to the employer this year			13a				
b		e all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brougout of the PBGC?		er the		Yes X No			
С		ring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identinassets or liabilities were transferred. (See instructions.)	ify the p	lan(s)) to				
	13c(1)	Name of plan(s):	1	3c(2)	EIN(s)		13c(3) PN(s)	
Part	VIII	Trust Information							
14a	Name	of trust			14b ⁻	Trust's E	EIN		
14c	Name	of trustee or custodian			14d Trustee's or custodian's telephone number				
Par	t IX	IRS Compliance Questions							
15a	Is the	plan a 401(k) plan? If "No," skip b		Yes			No		
15b How did the plan satisfy the nondiscrimination requirements for employee deferrals under section 401(k)(3) for the plan year? Check all that apply:				n-based "Prior year" ADP harbor test					
	"Curre ADP:					ent year" N/A test			
16a What testing method was used to satisfy the coverage requirements under section 410(b) for the plan year? Check all that apply:					entage	age Average N/A benefit test N/A			
	16b Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?					No No			
	the le								
	letter	plan is an individually-designed plan that received a favorable determination letter from the IRS, er	nter the	date	of the m	nost rece	ent determi	nation	
18	Were	ed Benefit Plan or Money Purchase Pension Plan Only: any distributions made during the plan year to an employee who attained age 62 and had not sepa e?		rom	Ye	s [No		
19	9 Was any plan participant a 5% owner who had attained at least age 70 ½ during the prior plan year?								

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Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pancian Repetit Guaranty Cornoration

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6057(b) and 6058(a) of

Short Form Annual Return/Report of Small Employee Benefit Plan

the Internal Revenue Code (the Code).

OMB Nos. 1210-0110 1210-0089

2016

This Form is Open to Public Inspection

Perision Berieft Guaranty Corporation	► Complete all entries in a		tructions to the Form 55	00-SF.	
	Identification Information				
For calendar plan year 2016 or fis		01/01/2016		12/31/2016	
A This return/report is for: B This return/report is:	a single-employer plan a one-participant plan the first return/report an amended return/report	a list of participatin a foreign plan the final return/rep	r plan (not multiemployer) g employer information in ort eturn/report (less than 12 r	accordance with the f	box must attach form instructions.)
C Check box if filing under:	Form 5558	automatic extensio	n	DFVC pro	gram
•	special extension (enter desc	ription)			
Part II Basic Plan Info	ormation enter all requested	information			
1a Name of plan	ormation — enter an requested	morniacon		1b Three-digit	
•	cs & Gynecological Serv	rices, PC 401(k)	Savings Plan	plan number (PN) ▶	001
				1c Effective date 06/01/199	· · · · · · · · · · · · · · · · · · ·
Mailing Address (include roo	oyer, if for a single-employer plan) om, apt., suite no. and street, or P. ce, country, and ZIP or foreign pos	O. Box) tal code (if foreign, see in	nstructions)	2b Employer Ide (EIN) 11-3	entification Number 3318298
•	cs & Gynecological Serv			2c Sponsor's tel (718) 963	
374 Stockholm Stre	et			2d Business coo 621111	de (see instructions)
US Brooklyn NY 11237	nd address X Same as Plan Sp			3b Administrato	re FIN
				3c Administrato	r's telephone number
4 If the name and/or EIN of the	e plan sponsor has changed since mber from the last return/report.	the last return/report file	d for this plan, enter the	4b EIN	
a Sponsor's name	mber from the last returnineport.			4c PN	
	at the beginning of the plan year				12
	at the end of the plan year				10
c Number of participants with	account balances as of the end of	the plan year (only defin	ed contribution plans	5c	5
d(1) Total number of active pa	rticipants at the beginning of the pl	an year		. 5d(1)	9
d(2) Total number of active pa	rticipants at the end of the plan yea	ır		. 5d(2)	8
	terminated employment during the		penefits that were	. 5e	0
	or incomplete filing of this retu	rn/report will be assess	sed unless reasonable c	ause is established.	
Under penalties of periury and o	other penalties set forth in the instru and signed by an enrolled actuary,	ictions. I declare that I h	ave examined this return/r	eport, including, if ap	olicable, a Schedule my knowledge and
(e)(n) / ////	/ **	7/25/17	Frank Vutrano		
SIGN HERE Signature of planadi	Mhistrator	Date	Enter name of individ	ual signing as plan ac	Iministrator
SIGN			Futor compared to divide	uel cianina ec conte	ver or plan enoneer
HERE Signature of employe		Date	Enter name of individ	Preparer's telepho	
Skip this question	name, if applicable) and address (include room of suite nu	mberj	Skip this que	

6a	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)							XYes	□No	
	Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA)									
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)					5			X Yes	∐_No
	If you answered "No" to either line 6a or line 6b, the plan cannot							□No	☐ Not d	etermined
	If the plan is a defined benefit plan, is it covered under the PBGC ins	urance pr	ogram (see ENISA section	402): '	<u>L</u>				
Pi	art III Financial Information		() 5	V		1		(b) End	of Voor	
<u>7</u>	Plan Assets and Liabilities		(a) Beginning of					(b) End o		750
<u>a</u>	Total plan assets	7a	87	4,10	55	╁			595,	750
<u>b</u>	Total plan liabilities	7b				 				
<u>c</u>	Net plan assets (subtract line 7b from line 7a)	7c		4,10	55	595,750				
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount			(b) Total				
а	Contributions received or receivable from: (1) Employers	8a(1)					- 11			
	(2) Participants	8a(2)		•						
	(3) Others (including rollovers)	8a(3)								
<u>b</u>	Other income (loss)	8b	(87	,01	5)					
<u>c</u>	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							(87,0)15)
d	Benefits paid (including direct rollovers and insurance premiums	- 00							(0.,,	
u	to provide benefits)	8d	18	7,7	76					
е	Certain deemed and/or corrective distributions (see instructions)	8e								
f	Administrative service providers (salaries, fees, commissions)	8f		3,6	24	<u> </u>				
g	Other expenses	8g								
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h				191	400			
i	Net income (loss) (subtract line 8h from line 8c)								(278,4	115)
Ť	Transfers to (from) the plan (see instructions)									
P	art IV Plan Characteristics									
	If the plan provides pension benefits, enter the applicable pension fe	ature code	es from the List of Plan Ch	aract	eristic	Code	es in the	instructi	ons:	
	2E 2F 2G 2R 3D									
b	If the plan provides welfare benefits, enter the applicable welfare fea	ture code	s from the List of Plan Cha	racte	ristic	Codes	in the	instructio	ns:	
~	The plan provides would be seen, one are approved to									
P	art V Compliance Questions									
10	During the plan year:				Yes	No	N/A		Amount	
- 6	Was there a failure to transmit to the plan any participant contribut	ions withir	n the time period							
	described in 29 CFR 2510.3-102? (See instructions and DOL's Vo	luntary Fig	duciary Correction							
	Program)			10a		Х				
1	Were there any nonexempt transactions with any party-in-interest	? (Do not i	nclude transactions	10b		x				
_	reported on line 10a.)			10c						50,000
	Was the plan covered by a fidelity bond?					 		-	··········	
•	by fraud or dishonesty?			10d		х				
	Were any fees or commissions paid to any brokers, agents, or oth	er person	s by an insurance							
	carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)					х				
	Has the plan failed to provide any benefit when due under the plan?			10f		x				
	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)					х				
l	h If this is an individual account plan, was there a blackout period? (2520.101-3.)	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)				х				
i	i If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3									

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