Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

2016

This Form is Open to Public Inspection

OMB Nos. 1210-0110

1210-0089

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

Part I		Identification Information							
For calenda	ar plan year 2016 or f	iscal plan year beginning 01/01/2	2016 ————————————————————————————————————	and ending 1	2/31/2016				
A	a single-employer plan a multiple-employer plan (not multiemployer				· ·				
A This return/report is for:		a one-participant plan	list of participating em	ccordance with the f	orm instructions.)				
			a loreigh plan						
B This retu	urn/report is	the first return/report	the final return/report t a short plan year return/report (less than 12 months)						
	•	an amended return/report							
O CHECK	box ii iiiiiig under.	Form 5558	☐ automatic extension ☐ DFVC program						
Dowt II	Dania Dian Info	special extension (enter desc				_			
Part II		ormation—enter all requested in	tormation		4h Than Bar				
1a Name of plan THIS ALSO RETIREMENT TRUST					1b Three-digit plan number				
					(PN) •	001			
					1c Effective date of plan 01/01/2014				
	' '	oyer, if for a single-employer plan) om, apt., suite no. and street, or P.C) Box)		2b Employer Identification Number (FIN) 46-3377426				
City or	town, state or provinc	ce, country, and ZIP or foreign post		ructions)	(EIN) 46 2c Sponsor's te				
THIS ALSO,	INC.				347-	735-2959			
32 COURT S	ST.					de (see instructions)			
SUITE 1700 BROOKLYN,					54	11512			
3a Plan a	dministrator's name a	nd address X Same as Plan Spor	nsor.		3b Administrator's EIN				
					3c Administrator's telephone number				
		e plan sponsor has changed since	the last return/report filed for	or this plan, enter the	4b EIN				
name, EIN, and the plan number from the last return/report. a Sponsor's name					4c PN				
5a Total r	number of participants	s at the beginning of the plan year			5a	6			
b Total number of participants at the end of the plan year					5b	10			
		account balances as of the end of	. , , ,	•	5c	9			
d(1) Total number of active participants at the beginning of the plan year					5d(1)	6			
d(2) Total number of active participants at the end of the plan year					5d(2)	9			
than '	100% vested	t terminated employment during the			5e	0			
		or incomplete filing of this return							
		thar nanaltica act farth in tha inatru							
SB or Sche	edule MB completed a	ther penalties set forth in the instruction as the signed by an enrolled actuary, a solete.				plicable, a Schedule			
SB or Sche belief, it is t	edule MB completed a true, correct, and com	ind signed by an enrolled actuary, a				plicable, a Schedule			
SB or Sche belief, it is t	edule MB completed a true, correct, and com	and signed by an enrolled actuary, a plete. /valid electronic signature.	as well as the electronic ver	sion of this return/repor	rt, and to the best of	plicable, a Schedule my knowledge and			
SB or Sche belief, it is t	edule MB completed a true, correct, and com Filed with authorized	and signed by an enrolled actuary, a plete. /valid electronic signature.	as well as the electronic ver	REBECCA SOTO	rt, and to the best of	plicable, a Schedule my knowledge and			
SB or Sche belief, it is t SIGN HERE	edule MB completed a true, correct, and com Filed with authorized Signature of plan a	and signed by an enrolled actuary, a uplete. /valid electronic signature. administrator	as well as the electronic ver	REBECCA SOTO Enter name of individ	rt, and to the best of	plicable, a Schedule my knowledge and administrator			
SB or Schebelief, it is to slight HERE SIGN HERE	edule MB completed a true, correct, and com Filed with authorized Signature of plan a Signature of emple	and signed by an enrolled actuary, a uplete. /valid electronic signature. administrator	07/26/2017 Date Date	REBECCA SOTO Enter name of individent of individent in the second secon	rt, and to the best of	plicable, a Schedule my knowledge and administrator			
SB or Schebelief, it is to slight HERE SIGN HERE	edule MB completed a true, correct, and com Filed with authorized Signature of plan a Signature of emple	and signed by an enrolled actuary, a plete. /valid electronic signature. administrator byer/plan sponsor	07/26/2017 Date Date	REBECCA SOTO Enter name of individent of individent in the second secon	t, and to the best of	plicable, a Schedule my knowledge and administrator			
SB or Schebelief, it is to slight HERE SIGN HERE	edule MB completed a true, correct, and com Filed with authorized Signature of plan a Signature of emple	and signed by an enrolled actuary, a plete. /valid electronic signature. administrator byer/plan sponsor	07/26/2017 Date Date	REBECCA SOTO Enter name of individent of individent in the second secon	t, and to the best of	plicable, a Schedule my knowledge and administrator			
SB or Schebelief, it is to slight HERE SIGN HERE	edule MB completed a true, correct, and com Filed with authorized Signature of plan a Signature of emple	and signed by an enrolled actuary, a plete. /valid electronic signature. administrator byer/plan sponsor	07/26/2017 Date Date	REBECCA SOTO Enter name of individent of individent in the second secon	t, and to the best of	plicable, a Schedule my knowledge and administrator			

Form 5500-SF 2016 Page **2**

	Were all of the plan's assets during the plan year invested in eligib		•						X Ye	es No	
ι	Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (I under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)					Yes No			s No		
	f the plan is a defined benefit plan, is it covered under the PBGC ir						-	No	Not de	termined	
Part	III Financial Information						_				
7 F	Plan Assets and Liabilities		(a) Beginning	of Year				(b) End	of Year		
a 1	Total plan assets	7a		337177					39843	37	
b 1	Total plan liabilities	7b									
C N	Net plan assets (subtract line 7b from line 7a)	7c		337177	•				39843	37	
8 II	ncome, Expenses, and Transfers for this Plan Year		(a) Amoun	ıt				(b) ¹	Γotal		
	Contributions received or receivable from:	- 413		21996							
	1) Employers	8a(1)		35761							
	2) Participants	8a(2)		33761	_						
	3) Others (including rollovers)	8a(3)		32631							
	Other income (loss)	8b		32031	-				0039	20	
	Fotal income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c				90388				00	
	Benefits paid (including direct rollovers and insurance premiums o provide benefits)	8d		28689							
	Certain deemed and/or corrective distributions (see instructions).	8e		0)						
f A	Administrative service providers (salaries, fees, commissions)	8f		439							
g	Other expenses	8g									
h 1	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						29128			
i	i Net income (loss) (subtract line 8h from line 8c)					61260				60	
jτ	Fransfers to (from) the plan (see instructions)	8j									
Part	IV Plan Characteristics										
9a	If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 2T 3D	feature co	des from the List of Pl	an Cha	racteri	stic Co	odes in	the ins	tructions:		
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	les from the List of Pla	n Chara	acterist	tic Cod	des in t	he instr	uctions:		
Part	V Compliance Questions										
10	During the plan year:				Yes	No	N/A		Amoun	t	
а	Was there a failure to transmit to the plan any participant contribut described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)	oluntary F	iduciary Correction	10a		X					
b	b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		Χ					
С	C Was the plan covered by a fidelity bond?			10c	X					15000	
d	Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty?			10d		X					
е				10e		X					
f	f Has the plan failed to provide any benefit when due under the plan?			10f		X					
g	Did the plan have any participant loans? (If "Yes," enter amount a	-		10g		X				0	
	If this is an individual account plan, was there a blackout period? 2520.101-3.)	· ••••••		10h	X						
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i	X						

Form	5500	-SF	201	6

Page 3 -	1	
-----------------	---	--

Part	VI	Pension Funding Compliance								
11		s a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and c n 5500) and line 11a below)					\	∕es X No		
		r the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40			11a					
12	12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?									
	(lf "\	es," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)								
	grant	raiver of the minimum funding standard for a prior year is being amortized in this plan year, see ins ing the waiver	onth _	s, and	d enter t Day		of the lette Year _	er ruling		
If	you co	ompleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 1	13.	1		1				
b	Enter	the minimum required contribution for this plan year			12b					
С	Enter	the amount contributed by the employer to the plan for this plan year			12c					
d		ract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the l tive amount)			12d					
		he minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A		
Part	VII	Plan Terminations and Transfers of Assets		1						
13a	Has a	a resolution to terminate the plan been adopted in any plan year?				Yes	s X N	lo		
	If "Y€	es," enter the amount of any plan assets that reverted to the employer this year			13a					
b		e all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brougout of the PBGC?		r the		Yes X No				
С		ring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identinassets or liabilities were transferred. (See instructions.)	fy the p	lan(s)	to					
	13c(1)	Name of plan(s):	1	3c(2)	EIN(s)		13c(3) PN(s)			
Part	VIII	Trust Information								
14a	Name	of trust			14b ⁻	Trust's E	EIN			
14c Name of trustee or custodian					14d Trustee's or custodian's telephone number					
Par	t IX	IRS Compliance Questions								
15a	Is the	plan a 401(k) plan? If "No," skip b		Yes			No			
				gn-based "Prior year" ADP test			ear" ADP			
				Curre	ent year est	<u>"</u>	N/A			
				ntage Average N/A benefit test N/A			□ N/A			
16b Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?					☐ No					
	the le									
	letter	plan is an individually-designed plan that received a favorable determination letter from the IRS, er	nter the	date	of the m	nost rece	ent determi	nation		
18 Defined Benefit Plan or Money Purchase Pension Plan Only: Were any distributions made during the plan year to an employee who attained age 62 and had not separated from service?					Ye	Yes No				
19	Wasa	any plan participant a 5% owner who had attained at least age 70 $^{1\!\!/}_{2}$ during the prior plan year?			Ye	s	No			