## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

**Annual Report Identification Information** 

For calendar plan year 2016 or fiscal plan year beginning

**Short Form Annual Return/Report of Small Employee Benefit Plan** 

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

2016

This Form is Open to **Public Inspection** 

OMB Nos. 1210-0110

1210-0089

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

and ending

12/31/2016

↑ This rot	um /report is for	a single-employer plan			er) (Filers checking this box must attach a a accordance with the form instructions.)				
A mister	urn/report is for:	a one-participant plan	a foreign plan	mpioyer illiorniation ill ac	ccordance with the n	om instructions.)			
<b>B</b> This retu	ırn/report is	the first return/report	the final return/report						
		an amended return/report	a short plan year return/report (less than 12 months)						
C Check	oox if filing under:	Form 5558	automatic extension		DFVC program				
		special extension (enter descri	ption)						
Part II	Basic Plan Info	rmation—enter all requested info	ormation			_			
1a Name STEVEN ME	of plan HL DPM PC PROFIT	SHARING PLAN			1b Three-digit plan number (PN) ▶	005			
					1c Effective date	e of plan /01/1985			
Mailing	address (include rooi	yer, if for a single-employer plan) m, apt., suite no. and street, or P.O.		twistiana)	<b>2b</b> Employer Identification Number (EIN) 11-2718694				
STEVEN ME		e, country, and ZIP or foreign posta	a code (ir foreign, see ins	tructions)	<b>2c</b> Sponsor's te 718-3	lephone number 326-7771			
CEOO ODANIE	> A)/F	CEOO ODAI	ND AVE		2d Business coo	le (see instructions)			
6508 GRANE MASPETH, N	NY 11378-2423	6508 GRAI MASPETH	ND AVE , NY 11378-2423		62	21391			
3a Plan a	dministrator's name ar	nd address X Same as Plan Spon	sor.		<b>3b</b> Administrator	's EIN			
					3c Administrator's telephone number				
Administrator's totephone number									
4 If the r	name and/or EIN of the	e plan sponsor has changed since t	he last return/report filed	for this plan, enter the	4b EIN				
name	EIN, and the plan nur	e plan sponsor has changed since t mber from the last return/report.	he last return/report filed	for this plan, enter the	4b EIN				
name, <b>a</b> Spons	EIN, and the plan nui or's name	mber from the last return/report.			4c PN				
a Sponse  5a Total r	EIN, and the plan numor's name number of participants	at the beginning of the plan year			4c PN 5a				
a Sponso 5a Total r b Total r c Numb	EIN, and the plan number's name number of participants number of participants er of participants with	at the end of the plan yearat the beginning of the plan yearat the end of the plan year	he plan year (only defined	d contribution plans	4c PN	2 2 2			
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	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)  Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA)							X Yes		
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)								, 🗌 140	
С	If the plan is a defined benefit plan, is it covered under the PBGC in						_		Not det	ermined
Pa	rt III Financial Information						1			
7	Plan Assets and Liabilities		(a) Beginning	of Year				(b) End	of Year	
а	Total plan assets	7a		313359			,	.,	33921	)
b	Total plan liabilities	7b		0	)	0				)
	Net plan assets (subtract line 7b from line 7a)	7c		313359	)				33921	)
8	Income, Expenses, and Transfers for this Plan Year		(a) Amour	nt		(b) Total				
а	Contributions received or receivable from:			31890						
-	(1) Employers	8a(1)		01000						
	(2) Participants	8a(2)			$\dashv$					
	(3) Others (including rollovers)	8a(3)		6602						
	Other income (loss)	8b							38492	2
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						30492		
	to provide benefits)	8d		12641						
e	Certain deemed and/or corrective distributions (see instructions).	8e								
f	Administrative service providers (salaries, fees, commissions)	8f								
g	Other expenses	8g								
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h				12641				
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i							2585	1
<u>j</u>	Transfers to (from) the plan (see instructions)	8j								
Pai	t IV Plan Characteristics									
9a	If the plan provides pension benefits, enter the applicable pension 2E 2G 3D									
b	If the plan provides welfare benefits, enter the applicable welfare for the plan provides welfare benefits, enter the applicable welfare for the plan provides welfare benefits, enter the applicable welfare for the plan provides welfare benefits, enter the applicable welfare for the plan provides welfare benefits, enter the applicable welfare for the plan provides welfare benefits, enter the applicable welfare for the plan provides welfare benefits.	eature cod	les from the List of Pla	n Chara	acterist	tic Cod	des in t	he instru	ictions:	
Par	t V   Compliance Questions									
10	During the plan year:			1	Yes	No	N/A		Amount	
а	Was there a failure to transmit to the plan any participant contributed described in 29 CFR 2510.3-102? (See instructions and DOL's Verogram)	oluntary F	Fiduciary Correction	10a		X				
b	<b>b</b> Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X				
С	Was the plan covered by a fidelity bond?			10c		X				
d	<b>d</b> Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X				
е	<b>e</b> Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X				
f	Has the plan failed to provide any benefit when due under the pla	ın?		10f		X				
g	Did the plan have any participant loans? (If "Yes," enter amount a	s of year-	end.)	10g	X					10000
h	If this is an individual account plan, was there a blackout period? 2520.101-3.)			10h		X				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i						

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Part	VI	Pension Funding Compliance						
11		s a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and con 5500) and line 11a below)						Yes X No
		the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40			11a			
12		s a defined contribution plan subject to the minimum funding requirements of section 412 of the Co A?				<b>│</b>	Yes X No	
	(lf "\	es," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)						
	grant	aiver of the minimum funding standard for a prior year is being amortized in this plan year, see ins ing the waiver	/lonth _	s, and	d enter t Day		of the lette Year _	er ruling
If	you co	empleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line	13.	1		T		
<u>b</u>	Enter	the minimum required contribution for this plan year			12b			
С	Enter	he amount contributed by the employer to the plan for this plan year			12c			
d		act the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the l ive amount)			12d			
		ne minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A
Part	VII	Plan Terminations and Transfers of Assets						
13a	Has a	resolution to terminate the plan been adopted in any plan year?				Yes	s X N	lo
	If "Ye	s," enter the amount of any plan assets that reverted to the employer this year			13a			
b		all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brougol of the PBGC?		er the	Yes X No			No
С		ring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identi n assets or liabilities were transferred. (See instructions.)	ify the p	olan(s)	) to			
	13c(1)	Name of plan(s):	1	3c(2)	EIN(s)		<b>13c(3)</b> PN(s)	
Part	VIII	Trust Information						
14a	Name	of trust			14b <sup>-</sup>	Trust's E	EIN	
14c	Name	of trustee or custodian					s or custod ne number	lian's
Par	t IX	IRS Compliance Questions						
15a	Is the	plan a 401(k) plan? If "No," skip b		Yes			No	
		id the plan satisfy the nondiscrimination requirements for employee deferrals under section (3) for the plan year? Check all that apply:	IШ		n-based narbor	<sup>d</sup> [	Prior ye test	ear" ADP
				"Curre	ent year test	"	N/A	
16a What testing method was used to satisfy the coverage requirements under section 410(b) for the plan year? Check all that apply:				o Average N/A benefit test N/A			□ N/A	
<b>16b</b> Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?					S No			
	the le							
	letter	plan is an individually-designed plan that received a favorable determination letter from the IRS, en	nter the	date	of the m	nost rece	ent determi	nation
18	Were	ed Benefit Plan or Money Purchase Pension Plan Only: any distributions made during the plan year to an employee who attained age 62 and had not sepa e?		rom	Ye	s [	No	
19	Was a	any plan participant a 5% owner who had attained at least age 70 $^{1\!\!/}$ during the prior plan year?			Ye	s [	No	