Form 5500-SF Short Form Annual Return/Report of Small Em					OMB Nos. 1210-01 1210-00					
	rtment of the Treasury nal Revenue Service	This form is required to be file			tirement	2016				
Employee B	epartment of Labor enefits Security Administration	Income Security Act of 1974		6057(b) and 6058(a) of the li						
	enefit Guaranty Corporation	Complete all entries in a		structions to the Form 550	00-SF.					
For calenda	ar plan year 2016 or fisc	lentification Information al plan year beginning 01/01/2		and ending 12/	31/2016					
	turn/report is for:	a single-employer plan		plan (not multiemployer) (F employer information in acc		•				
B This retu	urn/report is	the first return/report an amended return/report	the final return/repo	rt turn/report (less than 12 mo	nths)					
C Check	box if filing under:	Form 5558 special extension (enter descr	automatic extension	n	DFVC p	rogram				
Part II	Basic Plan Inform	nation —enter all requested inf	ormation							
1a Name		•			(PN)	number				
Mailing	g address (include room,	r, if for a single-employer plan) apt., suite no. and street, or P.O			2b Empl (EIN)	oyer Identification Number				
	RIGERATION, INC.	country, and ZIP or foreign posta	ai code (li loreign, see ir	istructions)	2c Sponsor's telephone number 502-366-3644					
206 EAST W LOUISVILLE	HITNEY AVENUE , KY 40214			-	2d Busin	ess code (see instructions) 811310				
3a Plan a	dministrator's name and	address 🗙 Same as Plan Spor	nsor.		3b Admi	nistrator's EIN				
						nistrator's telephone numbe				
	, EIN, and the plan numb	plan sponsor has changed since the per from the last return/report.	the last return/report file		4b EIN 4c PN					
		the beginning of the plan year			5a					
		the end of the plan year			5b					
C Numb	er of participants with ac	count balances as of the end of t	the plan year (only defin	ed contribution plans	5c					
d(1) Tota	al number of active partie	cipants at the beginning of the pla	an year		5d(1)					
d(2) Tot	al number of active parti	cipants at the end of the plan yea	ar		5d(2)					
than	100% vested	rminated employment during the	• •		5e					
		incomplete filing of this return								
SB or Sche		r penalties set forth in the instruc signed by an enrolled actuary, a ete.								
SIGN	Filed with authorized/va	lid electronic signature.	07/28/2017	MICHAEL CAMPISANC)					
HERE	Signature of plan ad	ninistrator	Date	Enter name of individua	al signing a	as plan administrator				
SIGN HERE										
	Signature of employe	e r/plan sponsor ne, if applicable) and address (in	Date			as employer or plan sponso telephone number				
Preparers	name (including inm nar	ne, il applicable) and address (in	iciaae room of salle hun	iber)	Preparers	telephone number				
		see the Instructions for Form 5500				Form 5500-SE (2011				

91628

6a b								
		isurance p	brogram (see ERISA section 4021)?					
Pa	rt III Financial Information		. <u></u> ,					
7	Plan Assets and Liabilities		(a) Beginning of Year	(b) End of Year				
a	Total plan assets	7a	0	91628				
b	Total plan liabilities	7b						
С	Net plan assets (subtract line 7b from line 7a)	7c	0	91628				
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount	(b) Total				
а	Contributions received or receivable from: (1) Employers	8a(1)	24972					
	(2) Participants	8a(2)	66302					
	(3) Others (including rollovers)	8a(3)						
b	Other income (loss)	8b	3378					
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c		94652				
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	2939					
e	Certain deemed and/or corrective distributions (see instructions).	8e						
f	Administrative service providers (salaries, fees, commissions)	8f	85					
g	Other expenses	8g						
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h		3024				

Part IV Plan Characteristics

Net income (loss) (subtract line 8h from line 8c).....

Transfers to (from) the plan (see instructions)

i

j

9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2F 2G 2J 2K 2T 3C

8i

8j

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

Part V Compliance Questions

10	0 During the plan year:				N/A	Amount
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a		Х		
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	10b		Х		
C	Was the plan covered by a fidelity bond?	10c	Х			20000
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	10d		Х		
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)	10e		x		
f	Has the plan failed to provide any benefit when due under the plan?	10f		Х		
g	Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)	10g		Х		
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	10h		Х		
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	10i				

Part	VI	Pension Funding Compliance							
11		is a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and co m 5500) and line 11a below)						Yes 🗙 No	
11a	Ente	er the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40			11a				
12		his a defined contribution plan subject to the minimum funding requirements of section 412 of the Co						Yes 🗙 No	
		SA? Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)							
а	,	valver of the minimum funding standard for a prior year is being amortized in this plan year, see instr	uctior	ns, and	d enter t	he date	of the lett	er ruling	
	gran	ting the waiver	onth _		_ Day		_ Year		
lf	you c	ompleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 1	3.						
b	Enter	the minimum required contribution for this plan year			12b				
С	Enter	the amount contributed by the employer to the plan for this plan year			12c				
d		tract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the le ative amount)			12d				
е	Will	the minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A	
Part	VII	Plan Terminations and Transfers of Assets							
13a	Has	a resolution to terminate the plan been adopted in any plan year?				Yes	s XI	No	
		es," enter the amount of any plan assets that reverted to the employer this year			13a				
b	Wer	e all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brough rol of the PBGC?	nt und	er the			Yes	X No	
c	lf, d	uring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the assets or liabilities were transferred. (See instructions.)			to				
1		Name of plan(s):		13c(2)	EIN(s)		13c(3) PN(s)		
Part	VIII	Trust Information							
14a	Name	e of trust			14b ⊺	Frust's E	IN		
14c	Name	e of trustee or custodian			14d Trustee's or custodian's telephone number				
Par	t IX	IRS Compliance Questions							
15a	Is the	plan a 401(k) plan? If "No," skip b		Yes		[No		
		did the plan satisfy the nondiscrimination requirements for employee deferrals under section)(3) for the plan year? Check all that apply:		Desig safe h	n-basec arbor	ł	"Prior y test	ear" ADP	
				"Curre ADP t	ent year est	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	N/A		
16a		t testing method was used to satisfy the coverage requirements under section 410(b) for the plan ? Check all that apply:		Ratio perce test	entage		verage enefit test	□ N/A	
16b		he plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) e plan year by combining this plan with any other plan under the permissive aggregation rules?		Yes			No		
	the le		-			-			
	letter		er the	e date	of the m	nost rece	ent determ	ination	
18	Were	ed Benefit Plan or Money Purchase Pension Plan Only: any distributions made during the plan year to an employee who attained age 62 and had not separce?		from	Ye	s	No		
19	Was	any plan participant a 5% owner who had attained at least age 70 ½ during the prior plan year?			Ye	s	No		

Form 5500-SF	Short Form Annu	al Return/Repor Benefit Plan	t of Small Empl	OMB Nos. 12				
Department of the Treasury Internal Revenue Service	This form is required to be file	d under sections 104 and	4065 of the Employee F	Retirement	2016			
Department of Labor Employee Benefits Security Administration	Income Security Act of 1974	(ERISA), and sections 60 Revenue Code (the Cod	057(b) and 6058(a) of the	e Internal	This Form is Open to			
Pension Benefit Guaranty Corporation	Complete all entries in	accordance with the ins	tructions to the Form 5	500-SF.	Public Inspection			
For calendar plan year 2016 or fisca	lentification Information							
- 1 of calcindar plan year 2010 of lisca		01/01/2016	and ending		31/2016			
, A This return/report is for:	a one-participant plan	list of participating e	mployer information in a	(Filers check ccordance w	ing this box must attach a the form instructions.)			
B This return/report is								
C Check box if filing under:		automatic extension		DFVC pr	ogram			
	special extension (enter descr							
	nation—enter all requested inf	ormation		1				
1a Name of plan Mr. C Refrigeration, I	nc. 401(k) Plan			(PN) 1c Effect	tive date of plan			
2a Plan sponsor's name (employe Mailing address (include room, City or town, state or province,	tructions)	01/01/2016 2b Employer Identification Number (EIN) 61–1089037						
Mr. C Refrigeration, I				2c Sponsor's telephone number (502)366-3644 2d Business code (see instructions)				
206 East Whitney Avenu	le			811310				
Louisville		KY	40214					
3a Plan administrator's name and					nistrator's EIN			
name, EIN, and the plan numb	lan sponsor has changed since er from the last return/report.	the last return/report filed	for this plan, enter the	4b EIN				
a Sponsor's name			181 million - 1919 - 1919 - 1919 - 1919 - 1919 - 1919 - 1919 - 1919 - 1919 - 1919 - 1919 - 1919 - 1919 - 1919 -	4C PN				
5a Total number of participants at				5 a	22			
	the end of the plan year			5b	22			
C Number of participants with accomplete this item)	count balances as of the end of t	the plan year (only defined	d contribution plans	5c	17			
d(1) Total number of active partic	ipants at the beginning of the pla	an year		5d(1)	22			
d(2) Total number of active partic	ipants at the end of the plan yea	ar		5d(2)	20			
 Number of participants that ter than 100% vested 	minated employment during the	plan year with accrued be	enefits that were less	5e	0			
Caution: A penalty for the late or	incomplete filing of this return	r/report will be assessed	l unless reasonable ca	use is estab	lished.			
Under penalties of perjury and other SB or Schedule MB completed and belief, it is true, correct and complete SIGN	signed by an enrolled actuary, a	s well as the electronic ve	ersion of this return/repor	t, and to the	ng, if applicable, a Schedule best of my knowledge and			
HERE Signature of play adm	Michael Campi							
Signature of play ady	ual signing a	s plan administrator						
HERE Signature of employe Preparer's name (including firm name	r/plan sponsor he, if applicable) and address (in	Date clude room or suite numb	Enter name of individ er)		s employer or plan sponsor telephone number			
For Paperwork Reduction Act Notice	see the Instructions for Form 5500	SF			Form 5500-SF (2016)			

 6a Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) independent 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.) if you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500. c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No 							
	rt III Financial Information						
7	Plan Assets and Liabilities		(a) Beginning of Year	(b) End o	f Year		
_							

<u>a</u>	Total plan assets	7a	0	91,628
b	Total plan liabilities	7b		
<u> </u>	Net plan assets (subtract line 7b from line 7a)	7c	0	91,628
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount	(b) Total
a	Contributions received or receivable from: (1) Employers	8a(1)	24,972	
	(2) Participants	8a(2)	66,302	
	(3) Others (including rollovers)	8a(3)		
b	Other income (loss)	8b	3,378	
C	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c		94,652
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	2,939	
e	Certain deemed and/or corrective distributions (see instructions)	8e		
f	Administrative service providers (salaries, fees, commissions)	8f	85	
g	Other expenses	8g		
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)			3,024
i	Net income (loss) (subtract line 8h from line 8c)	8i		91,628
j	Transfers to (from) the plan (see instructions)	8j		

Part IV Plan Characteristics

9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2F 2G 2J 2K 2T 3C

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

Part V Compliance Questions

10	During the plan year:		Yes	No	N/A	Amount
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a		x		
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	10b		х		
С	Was the plan covered by a fidelity bond?	10c	Х			20,000
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	10d		X		
e	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)	10e		x		
f	Has the plan failed to provide any benefit when due under the plan?	10f		х		
g	Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)	10g		Х		
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	10h		х		
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	10i				

Form 5500-SF 2016

Page 3-

Part N	/ Pension Funding Compliance							
And the Angel Indee	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and	comple	to Sob	odulo S	D		-1	
	(Form 5500) and line 11a below)				в		Yes	No No
<u>11a</u> 12	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40			11a				
	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the C ERISA?	Code or	sectio	n 302 o	f 		Yes	🗙 No
a	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)							
	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see in granting the waiver.	Month	ns, and	d enter l		e of the l Ye		ing
	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line							
b [nter the minimum required contribution for this plan year			12b				
<u> </u>	nter the amount contributed by the employer to the plan for this plan year			12c				
d	d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)							
e	Will the minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	۱ (N/A
Part \								
_13a	Has a resolution to terminate the plan been adopted in any plan year?				∏ Y€	s X	No	
	If "Yes," enter the amount of any plan assets that reverted to the employer this year			13a				
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brou control of the PBGC?	ight und	der the			Yes	s 🛛 N	 D
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), iden which assets or liabilities were transferred. (See instructions.)	tify the	plan(s)) to				
	Bc(1) Name of plan(s):		13c(2)	EIN(s)		13	3c(3) PN	(s)
Contractor a state a set	VIII Trust Information					<u> </u>		
14a N	ame of trust			14b 1	Frust's	EIN		
14c N	lame of trustee or custodian					's or cus		
Part	IX IRS Compliance Questions		1					
15a i	s the plan a 401(k) plan? If "No," skip b	П	Yes			No No		
			Docia	n-based		 "Pric		400
15b i 4	low did the plan satisfy the nondiscrimination requirements for employee deferrals under section 01(k)(3) for the plan year? Check all that apply:		safe h			test	уеал	ADF
			"Curre	ent year est	3	🗌 N/A		
16a \	What testing method was used to satisfy the coverage requirements under section 410(b) for the plan /ear? Check all that apply:		Ratio perce test	entage		verage enefit te	st	N/A
16b i	Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) or the plan year by combining this plan with any other plan under the permissive aggregation rules?		Yes			No		*****
17a I	f the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS he letter and the serial number		n letter	or advi	sory le	tter, ente	er the da	te of
17b	f the plan is an individually-designed plan that received a favorable determination letter from the IRS, ε etter	enter the	e date	of the m	iost rec	ent dete	erminatio	n
1	Defined Benefit Plan or Money Purchase Pension Plan Only: Vere any distributions made during the plan year to an employee who attained age 62 and had not sep ervice?	arated	from	Ye:	3	No		
	Vas any plan participant a 5% owner who had attained at least age 70 ½ during the prior plan year?			Yes	3	No		