Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Annual Report Identification Information

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

Revenue Code (the Code).

OMB Nos. 1210-0110 1210-0089

2016

This Form is Open to **Public Inspection**

For calenda	ar plan year 2016 or	fiscal plan year beginning 01/01	1/2016	and ending 12	2/31/2016					
a single-employer plan a multiple-employer plan (not multiemployer) (File This return/report is for: a multiple-employer plan (not multiemployer) (File plant a multiple-employer plan (not multiemployer) (File										
71 11110101		a one-participant plan	a foreign plan							
B This retu	ırn/report is	the first return/report	the final return/repo	the final return/report						
		an amended return/report	a short plan year ref	a short plan year return/report (less than 12 months)						
C Check b	oox if filing under:	X Form 5558	automatic extension	n	DFVC program					
		special extension (enter des	scription)							
Part II	Basic Plan Inf	ormation—enter all requested	information							
1a Name of plan COM-TECH ELECTRONICS OF RENSSELAER NY, INC RETIREMENT TRUST					1b Three-digit plan number (PN) ▶	001				
					1c Effective date of plan 01/01/1996					
Mailing	address (include ro	loyer, if for a single-employer plan om, apt., suite no. and street, or F	P.O. Box)		2b Employer Identification Number (EIN) 14-1736526					
		nce, country, and ZIP or foreign po RENSSELAER NY, INC.	ostal code (if foreign, see in	structions)	2c Sponsor's telephone number 518-477-2900					
					2d Business code (see instructions)					
5 KREY BLVI	D ER, NY 12144				423600					
KLNSSLLAL	.K, NT 12144									
2		🔽			O la	=				
3a Plan ad	dministrator's name a	and address X Same as Plan Sp	oonsor.		3b Administrator's	EIN				
					3c Administrator's	telephone number				
4 If the r	name and/or FIN of the	he plan sponsor has changed sin	ce the last return/report file	d for this plan, enter the	4h FIN					
		he plan sponsor has changed sind umber from the last return/report.	ce the last return/report file	d for this plan, enter the	4b EIN					
	EIN, and the plan n		ce the last return/report file	d for this plan, enter the	4b EIN 4c PN					
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6a	Were all of the plan's assets during the plan year invested in eligib	ole assets?	? (See instructions.)						X Y	es No
b	b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.) Yes							es 🗌 No		
c	If you answered "No" to either line 6a or line 6b, the plan cann If the plan is a defined benefit plan, is it covered under the PBGC in					_	-	_	□ Not de	etermined
	rt III Financial Information	isurarice p	orogram (See ENIOA Se	JOHOTT 4	021):		103	Пио		ziciminea
7	Plan Assets and Liabilities		(a) Beginning	of Voor	. [(b) End	of Voor	
_ ' _a	Total plan assets	7a	(a) beginning	190193				(b) End	of Year 1724	84
_	Total plan liabilities	7b								
	Net plan assets (subtract line 7b from line 7a)	7c		190193	3				1724	84
8	Income, Expenses, and Transfers for this Plan Year		(a) Amour	nt		(b) Total				
	Contributions received or receivable from:		(a) runoui					(2) .	<u> </u>	
	(1) Employers	8a(1)		2558						
	(2) Participants	8a(2)		2914						
	(3) Others (including rollovers)	8a(3)		0						
b	Other income (loss)	8b		5802						
<u>C</u>	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c				11274				74
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		28228						
	Certain deemed and/or corrective distributions (see instructions).			0						
	Administrative service providers (salaries, fees, commissions)	8e 8f		755						
_ <u>'</u>	Other expenses									
	Total expenses (add lines 8d, 8e, 8f, and 8g)	8g 8h							289	83
- "	Net income (loss) (subtract line 8h from line 8c)	8i			-17709					
÷	Transfers to (from) the plan (see instructions)									
, D-	, , , , , ,	8j								
9a	t IV Plan Characteristics If the plan provides pension benefits, enter the applicable pension	footure co	ados from the List of D	an Cha	ractorio	etic Co	odoc in	the inet	ructions:	
	2E 2F 2G 2J 2K 2T 3D									
b	If the plan provides welfare benefits, enter the applicable welfare f	eature cod	des from the List of Pla	n Chara	acterist	ic Cod	des in t	he instru	uctions:	
Par	t V Compliance Questions									
10	During the plan year:				Yes	No	N/A		Amour	nt
а	Was there a failure to transmit to the plan any participant contribu described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)	oluntary F	Fiduciary Correction	10a		X				
b	b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.).			10b		X				
С	Was the plan covered by a fidelity bond?			10c		X				(
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X				
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X				
f	Has the plan failed to provide any benefit when due under the pla	ın?		10f		X				
g		-		10g	X					12109
h	If this is an individual account plan, was there a blackout period? 2520.101-3.)	•		10h	X					
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i	X					

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Part	VI	Pension Funding Compliance							
11		s a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and con 5500) and line 11a below)						Yes X No	
		the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40			11a				
12	12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or sect ERISA?						│	Yes X No	
	(lf "\	es," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)							
	grant	aiver of the minimum funding standard for a prior year is being amortized in this plan year, see ins ing the waiver	/lonth _	s, and	d enter t Day		of the lette Year _	er ruling	
If	you co	empleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line	13.	1		T			
<u>b</u>	Enter	the minimum required contribution for this plan year			12b				
С	Enter	he amount contributed by the employer to the plan for this plan year			12c				
d		act the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the l ive amount)			12d				
		ne minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A	
Part	VII	Plan Terminations and Transfers of Assets							
13a	Has a	resolution to terminate the plan been adopted in any plan year?				Yes	s X N	lo	
	If "Ye	s," enter the amount of any plan assets that reverted to the employer this year			13a				
b		all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brougol of the PBGC?		er the		Yes X No			
С		ring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identi n assets or liabilities were transferred. (See instructions.)	ify the p	olan(s)) to				
	13c(1)	Name of plan(s):	1	3c(2)	EIN(s)		13c(3) PN(s)		
Part	VIII	Trust Information							
14a	Name	of trust			14b ⁻	Trust's E	EIN		
14c	Name	of trustee or custodian					s or custod ne number	lian's	
Par	t IX	IRS Compliance Questions							
15a	Is the	plan a 401(k) plan? If "No," skip b		Yes			No		
		id the plan satisfy the nondiscrimination requirements for employee deferrals under section (3) for the plan year? Check all that apply:	IШ		n-based narbor	^d [Prior ye test	ear" ADP	
				"Curre	ent year test	"	N/A		
16a What testing method was used to satisfy the coverage requirements under section 410(b) for the plan year? Check all that apply:				Average N/A benefit test N/A					
16b Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?					No No				
17a If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS opinion letter or advisory letter, enter the date of the letter / and the serial number									
	letter	plan is an individually-designed plan that received a favorable determination letter from the IRS, en	nter the	date	of the m	nost rece	ent determi	nation	
18	Were	ed Benefit Plan or Money Purchase Pension Plan Only: any distributions made during the plan year to an employee who attained age 62 and had not sepa e?		rom	Ye	s [No		
19	Was a	any plan participant a 5% owner who had attained at least age 70 $^{1\!\!/}$ during the prior plan year?			Ye	s [No		