Form 5500-SF

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Benefit Plan Department of the Treasury Internal Revenue Service

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

Short Form Annual Return/Report of Small Employee

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2016

This Form is Open to **Public Inspection**

		identification information			- 10 - 10 - 10					
For calendar plan year 2016 or fiscal plan year beginning 01/01/2016 and ending 12/31/2016										
A This return/report is for:		X a single-employer plan	a multiple-employer pl							
		a one-participant plan	list of participating en a foreign plan	ith the form	1 instructions.)					
B This return/repo	ort ic	the first return/report	the final return/report							
■ This return/repo	ort is	an amended return/report	a short plan year retur							
		arramended retum/report	a short plan year retui							
C Check box if fil	ing under: automatic extension					DFVC program				
		special extension (enter desc	cription)							
Part II Bas	ic Plan Info	rmation—enter all requested in	nformation		_					
1a Name of plan TRANSPORTATION SOLUTIONS, LLC RETIREMENT PLAN					1b Three	•				
					plan ı (PN)	number	003			
					` ,					
			1c Effective date of plan 01/01/2005							
Mailing addres	ss (include roo	yer, if for a single-employer plan) m, apt., suite no. and street, or P.	O. Box)		2b Employer Identification Number (EIN) 45-2463828					
City or town, s		e, country, and ZIP or foreign pos	stal code (if foreign, see inst	ructions)	2c Sponsor's telephone number					
TRANSFORTATION	OCLOTIONS,	LLO			212-631-0233					
405 LEXINGTON A\	/FNUF				2d Busin	`	(see instructions)			
SUITE 4901						4841	10			
NEW YORK, NY 10 ²	174									
3a Plan administrator's name and address Same as Plan Sponsor.				3b Administrator's EIN 45-2463828						
TRANSPORTATION SOLUTIONS, LLC 405 LEXINGTON AVENUE				3c Administrator's telephone number						
SUITE 4901 NEW YORK, NY 10174					3C Admii	212-631	•			
					212 001	0200				
4 If the name ar	nd/or FIN of the	e plan sponsor has changed since	e the last return/report filed f	or this plan, enter the	4b EIN					
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN, and the plan number from the last return/report.			TO LIN							
a Sponsor's name			4c PN							
5a Total number	of participants	at the beginning of the plan year			5a	5a				
b Total number	of participants	at the end of the plan year			5b		5			
		account balances as of the end o	. , , ,	•	5c					
	,				5d(1)		27			
		rticipants at the beginning of the p	•		` '		0			
d(2) Total number of active participants at the end of the plan year			5d(2)							
Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested				5e		0				
Caution: A penalt	y for the late	or incomplete filing of this retu	rn/report will be assessed	unless reasonable ca						
	B completed a	her penalties set forth in the instrund signed by an enrolled actuary,								
		valid electronic signature.	07/31/2017	PETER GLAZMAN	R GLAZMAN					
HERE Signa	FRF			Enter name of individual signing as plan administrator						
SIGN	•									
HERE	ature of emplo	ver/nlan snonsor	Date	Enter name of individ	Enter name of individual signing as employer or plan sponse					
Signature of employer/plan sponsor Date Enter name of indivi-					Preparer's					
Ì										

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6a	Were all of the plan's assets during the plan year invested in eligib	le assets?	(See instructions.)						X Yes No	
	b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)								X Yes No	
	If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead									
С	If the plan is a defined benefit plan, is it covered under the PBGC ir	nsurance p	rogram (see ERISA se	ection 4	021)?	X	Yes	□ No □	Not determined	
Par	t III Financial Information							<u> </u>		
7	Plan Assets and Liabilities		(a) Beginning	of Year				b) End of Y	'ear	
а	Total plan assets	7a		741267					293225	
b	Total plan liabilities	7b								
С	Net plan assets (subtract line 7b from line 7a)	7c	5	741267					293225	
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount			(b) Total				
	Contributions received or receivable from:	0.40								
	(1) Employers	8a(1)			-					
	(2) Participants	8a(2)								
	(3) Others (including rollovers) Other income (loss)	8a(3) 8b	-	432794						
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							-432794	
	Benefits paid (including direct rollovers and insurance premiums	00								
	to provide benefits)	8d	4	4998449						
е	Certain deemed and/or corrective distributions (see instructions).	8e								
f	Administrative service providers (salaries, fees, commissions)	8f		16799						
g	Other expenses	8g								
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							5015248	
	Net income (loss) (subtract line 8h from line 8c)	8i						-:	5448042	
	Transfers to (from) the plan (see instructions)	8j								
	Part IV Plan Characteristics									
9a 	If the plan provides pension benefits, enter the applicable pension 1A 3D	feature co	des from the List of Pl	an Cha	racteri	stic Co	des in	the instructi	ions:	
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	les from the List of Pla	n Chara	acteris	tic Coc	les in t	ne instructio	ons:	
Part	t V Compliance Questions									
10	During the plan year:				Yes	No	N/A	Α	mount	
а	Was there a failure to transmit to the plan any participant contributed described in 29 CFR 2510.3-102? (See instructions and DOL's V					V				
	Program)			10a		X				
b	b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X				
С	C Was the plan covered by a fidelity bond?			10c		X				
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		Χ				
е				10e		X				
f	f Has the plan failed to provide any benefit when due under the plan?			10f		X				
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)					X				
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X				
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3			10i						

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Part	VI P	ension Funding Compliance							
11		a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and of 5500) and line 11a below)						Yes	X No
11a	Enter t	he unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40			11a				
12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA? (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)									
а	If a wa	iver of the minimum funding standard for a prior year is being amortized in this plan year, see ins		ns, and	_				ng
	_	g the waiver			Day	/	Yea	ar	
					12b				
	Enter tr	e minimum required contribution for this plan year							
		e amount contributed by the employer to the plan for this plan year			12c				
a		ct the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the /e amount)			12d				
		e minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	L N	/A
Part	VII P	Plan Terminations and Transfers of Assets							
13a	Has a ı	resolution to terminate the plan been adopted in any plan year?				X Ye	s	No	
	If "Yes	," enter the amount of any plan assets that reverted to the employer this year			13a				0
b		all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought the PBGC?		er the			Yes	X No	ı
C If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)									
	13c(1) N	ame of plan(s):		13c(2)	EIN(s)		13	c(3) PN	(s)
Part		Trust Information			4.41.				
14a	Name o	f trust			146	Trust's I	EIN		
14c Name of trustee or custodian			14d Trustee's or custodian's telephone number						
Par	t IX	IRS Compliance Questions							
15a	Is the p	lan a 401(k) plan? If "No," skip b		Yes			No		
				ign-based "Prior year" ADP test				NDP	
	()(.	,		"Curre	ent year test	,,	N/A		
16a What testing method was used to satisfy the coverage requirements under section 410(b) for the plan year? Check all that apply:			entage	ntage Average N/A benefit test N/A					
16b Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?				☐ No					
17a If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS opinion letter or advisory letter, enter the date of the letter /								e of	
17b	If the pletter _	lan is an individually-designed plan that received a favorable determination letter from the IRS, e	nter the	date	of the n	nost rec	ent dete	rminatio	n
18	Were a	Benefit Plan or Money Purchase Pension Plan Only: ny distributions made during the plan year to an employee who attained age 62 and had not sep ?		from	Ye	s	No		
19	Was ar	ly plan participant a 5% owner who had attained at least age 70 $^{\prime\prime}$ during the prior plan year?			Ye	s	No		