## Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

## **Short Form Annual Return/Report of Small Employee Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

2016

OMB Nos. 1210-0110

1210-0089

This Form is Open to **Public Inspection** 

Part I		t identification information									
For calend	ar plan year 2016 or	fiscal plan year beginning 01/01/2	2016		and ending 12	2/31/2	016				
A This re	turn/report is for:	a single-employer plan			in (not multiemployer) ( ployer information in ac		-				
		a one-participant plan	a	foreign plan	,			,			
<b>B</b> This ret	<b>B</b> This return/report is ☐ the first return/report ☐ the final return/report										
		an amended return/report	rt a short plan year return/report (less than 12 months)								
C Check	box if filing under:	Form 5558	ш	tomatic extension		DI	FVC program				
		special extension (enter descr									
Part II	Basic Plan Int	ormation—enter all requested int	formation	on				T			
1a Name						1b	Three-digit				
REHAB ASS	SOCIATES OF BOWL	LING GREEN, PLC 401K SAVINGS	PLAN				plan number	001			
						4.0	(PN) •				
						1c Effective date of plan 09/01/1999					
		oyer, if for a single-employer plan) om, apt., suite no. and street, or P.C	) Boyl			2b Employer Identification Number					
		ice, country, and ZIP or foreign post		(if foreign, see instru	uctions)	(EIN) 61-1320653					
REHAB ASSOCIATES OF BOWLING GREEN, PLC					,	<b>2c</b> Sponsor's telephone number 270-793-9229					
						2d	Business code (	see instructions)			
1725 MCINTOSH STREET				621111							
BOWLING GREEN, KY 42104											
<b>3a</b> Plan administrator's name and address X Same as Plan Sponsor.				<b>3b</b> Administrator's EIN							
						3с	Administrator's t	elephone number			
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the				4b EIN							
name, EIN, and the plan number from the last return/report. <b>a</b> Sponsor's name			4c PN								
<b>5a</b> Total	number of participant	s at the beginning of the plan year				5	а	-			
<b>b</b> Total number of participants at the end of the plan year			5	b							
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)			5c								
d(1) Total number of active participants at the beginning of the plan year				5d(1)							
d(2) Total number of active participants at the end of the plan year				5d	(2)						
Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested				5	е						
		or incomplete filing of this return				use is	established.				
Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.											
SIGN Filed with authorized/valid electronic signature. 07/31/2017 JAMES FARRAG					JAMES FARRAGE						
HERE	Signature of plan	nature of plan administrator  Date  Enter name of individu					fual signing as plan administrator				

07/31/2017

Date

JAMES FARRAGE

Enter name of individual signing as employer or plan sponsor

Preparer's telephone number

Filed with authorized/valid electronic signature.

Preparer's name (including firm name, if applicable) and address (include room or suite number )

Signature of employer/plan sponsor

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							(IQPA)			
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)								× Yes	No
С	If the plan is a defined benefit plan, is it covered under the PBGC in					_	_	_	Not dete	rmined
	rt III Financial Information	<u>'</u>	<u> </u>				1			
7	Plan Assets and Liabilities		(a) Beginning	of Year				(b) End	of Year	
a	Total plan assets	7a		729831		1936568				
	Total plan liabilities	7b								
	Net plan assets (subtract line 7b from line 7a)	7c	1		1936568					
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount			(b) Total				
а	Contributions received or receivable from:			18844						
	(1) Employers	8a(1)								
	(2) Participants	8a(2)		27536						
	(3) Others (including rollovers)	8a(3)		170649						
	Other income (loss)	8b		170040		217029				
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)  Benefits paid (including direct rollovers and insurance premiums	8c			217025					
	to provide benefits)	8d		10292						
е	Certain deemed and/or corrective distributions (see instructions).	8e								
f	Administrative service providers (salaries, fees, commissions)	8f								
g	Other expenses	8g								
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						10292		
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i							206737	
j	Transfers to (from) the plan (see instructions)	8j								
Pai	Part IV Plan Characteristics									
9a 	9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2G 2J 3D									
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	les from the List of Pla	n Chara	acterist	tic Cod	des in t	he instru	uctions:	
Par	t V Compliance Questions									
10	During the plan year:				Yes	No	N/A		Amount	
а	Was there a failure to transmit to the plan any participant contribu described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)	oluntary F	iduciary Correction	10a		X				
b	<b>b</b> Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)					X				
С	C Was the plan covered by a fidelity bond?				X					200000
d	<b>d</b> Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?					X				
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)					X				
f	f Has the plan failed to provide any benefit when due under the plan?					X				
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)					X				
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)					X				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10	he require	d notice or one of the	10i						

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Part	VI P	ension Funding Compliance							
11		a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and of 5500) and line 11a below)						Yes	X No
11a	Enter t	he unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40			11a				
12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?  (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)									
а	If a wa	iver of the minimum funding standard for a prior year is being amortized in this plan year, see ins		ns, and	_				ng
	_	g the waiver			Day	/	Yea	ar	
					12b				
	Enter tr	e minimum required contribution for this plan year							
		e amount contributed by the employer to the plan for this plan year			12c				
a		ct the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the /e amount)			12d				
		e minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	L N	/A
Part	VII P	Plan Terminations and Transfers of Assets							
13a	Has a ı	resolution to terminate the plan been adopted in any plan year?				X Ye	s	No	
	If "Yes	," enter the amount of any plan assets that reverted to the employer this year			13a				0
b		all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought the PBGC?		er the			Yes	X No	ı
C If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)									
	<b>13c(1)</b> N	ame of plan(s):		13c(2)	EIN(s)		13	<b>c(3)</b> PN	(s)
Part		Trust Information			4.41.				
14a	Name o	f trust			146	Trust's I	EIN		
14c Name of trustee or custodian				14d Trustee's or custodian's telephone number					
Par	t IX	IRS Compliance Questions							
15a	Is the p	lan a 401(k) plan? If "No," skip b		Yes			No		
				gn-based "Prior year" ADF harbor test				NDP	
	()(.	,		"Curre	ent year test	,,	N/A		
16a What testing method was used to satisfy the coverage requirements under section 410(b) for the plan year? Check all that apply:			entage Average N/A benefit test N/A						
<b>16b</b> Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?					☐ No				
17a		an is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS		lette	r or advi	sory let	ter, ente	r the dat	e of
17b	If the pletter _	lan is an individually-designed plan that received a favorable determination letter from the IRS, e	nter the	date	of the n	nost rec	ent dete	rminatio	n
18	Were a	Benefit Plan or Money Purchase Pension Plan Only: ny distributions made during the plan year to an employee who attained age 62 and had not sep ?		from	Ye	s	No		
19	Was ar	ly plan participant a 5% owner who had attained at least age 70 $^{\prime\prime}$ during the prior plan year?			Ye	s	No		