## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

2016

This Form is Open to Public Inspection

OMB Nos. 1210-0110

1210-0089

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

Part I		Identification Information						
For calenda	ar plan year 2016 or f	scal plan year beginning 01/01/2			2/31/2016			
A This ret	urn/report is for:	a single-employer plan a one-participant plan		an (not multiemployer) ( ployer information in ac				
<b>B</b> This retu	ırn/report is	the first return/report	the final return/report	alroport (loss than 12 m	ontho)			
		an amended return/report	a short plan year return	meport (less than 12 m	onins)			
C Check b	oox if filing under:	Form 5558 special extension (enter desc	automatic extension		DFVC program			
Part II	Basic Plan Info	prmation—enter all requested in	• •					
1a Name		ormation—enter all requested if	lioimation		<b>1b</b> Three-digit			
		RPORATION 401(K) PLAN			plan number (PN)	001		
					1c Effective date 01/0	of plan 01/2004		
Mailing	address (include roc	oyer, if for a single-employer plan) om, apt., suite no. and street, or P.0			<b>2b</b> Employer Iden (EIN) 46-	tification Number 4982248		
	OR SERVICES COR	ce, country, and ZIP or foreign pos	tai code (ir foreign, see instr	uctions)	2c Sponsor's telephone number 206-957-7300			
	AKE AVE E STE 200 A 98102-3792				<b>2d</b> Business code 541	(see instructions) 700		
3a Plan ad	dministrator's name a	nd address 🏻 Same as Plan Spo	nsor.		<b>3b</b> Administrator's	EIN		
4 If the r	name and/or EIN of th	e plan sponsor has changed since	the last return/report filed for	or this plan, enter the	3C Administrator's 4b EIN	receptione number		
	EIN, and the plan nu	mber from the last return/report.		, , , , , , , , , , , , , , , , , , , ,	4c PN			
<b>5a</b> Total r	number of participants	at the beginning of the plan year.			5a	14		
<b>b</b> Total r	number of participants	s at the end of the plan year			5b	21		
C Number		account balances as of the end of			5c	19		
<b>d(1)</b> Tota	al number of active pa	articipants at the beginning of the p	lan year		5d(1)	9		
<b>d(2)</b> Tota	al number of active pa	articipants at the end of the plan ye	ar		5d(2)	17		
Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested					5e	1		
Under pena SB or Sche	alties of perjury and o	or incomplete filing of this retur ther penalties set forth in the instru- nd signed by an enrolled actuary, plete.	ctions, I declare that I have	examined this return/re	port, including, if app			
SIGN HERE		/valid electronic signature.	08/01/2017	LINDSAY RAYLE				
TILKE	Signature of plan	administrator	Date	Enter name of individ	ual signing as plan a	dministrator		
SIGN HERE								
	Signature of emplor name (including firm	oyer/plan sponsor name, if applicable) and address (i	Date nclude room or suite numbe	Enter name of individer )	ual signing as employ Preparer's telephor			
<u> </u>								

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	<ul> <li>6a Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)</li> <li>b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA)</li> </ul>						X Yes [	No		
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)									
С	If the plan is a defined benefit plan, is it covered under the PBGC in					_	-		Not detern	nined
	rt III Financial Information	<u> </u>	<u> </u>				ı			
7	Plan Assets and Liabilities		(a) Beginning	of Year				(b) End	of Year	
a	Total plan assets	7a		091089		1410819				
	Total plan liabilities	7b								
	Net plan assets (subtract line 7b from line 7a)	7c	1	091089	)				1410819	
8	Income, Expenses, and Transfers for this Plan Year		(a) Amour	ıt		(b) Total				
а	Contributions received or receivable from:		, ,	46726						
	(1) Employers	8a(1)		240907						
	(2) Participants	8a(2)		240907						
	(3) Others (including rollovers)	8a(3)		112976						
	Other income (loss)	8b		112070					400609	
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)  Benefits paid (including direct rollovers and insurance premiums	8c				400009				
	to provide benefits)	8d		75369						
е	Certain deemed and/or corrective distributions (see instructions).	8e		5360	)					
f	Administrative service providers (salaries, fees, commissions)	8f								
g	Other expenses	8g	150							
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h				80879				
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i					319730			
j	j Transfers to (from) the plan (see instructions)									
Pai	Part IV Plan Characteristics									
9a	If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 2T 3D									
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	les from the List of Pla	n Chara	acterist	tic Cod	des in t	he instr	uctions:	
Par	t V Compliance Questions									
10	During the plan year:				Yes	No	N/A		Amount	
а	Was there a failure to transmit to the plan any participant contributed described in 29 CFR 2510.3-102? (See instructions and DOL's Verogram)	oluntary F	Fiduciary Correction	10a		X				
b	Were there any nonexempt transactions with any party-in-interest reported on line 10a.)			10b		X				
С	Was the plan covered by a fidelity bond?			10c	X					100000
d	Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty?			10d		X				
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X				
f	<b>f</b> Has the plan failed to provide any benefit when due under the plan?			10f		X				
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g		X				
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10	he require	d notice or one of the	10i						

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Part	VI	Pension Funding Compliance						
11	1 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)						es No	
11a Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40								
12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?						f 		es X No
		Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) vaiver of the minimum funding standard for a prior year is being amortized in this plan year, see inst	ruotior	20.000	d ontor t	ho data	of the letter	ruling
	gran	ting the waiver	onth _	15, and	_ Day		Year _	
		ompleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 1			406			
<u> </u>	Enter	the minimum required contribution for this plan year			12b			
С	Enter	the amount contributed by the employer to the plan for this plan year			12c			
d		ract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the leastive amount)			12d			_
<u>e</u>	Will	the minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A
Part '	VII	Plan Terminations and Transfers of Assets						
13a	Has	a resolution to terminate the plan been adopted in any plan year?				Yes	s X No	)
	If "Y	es," enter the amount of any plan assets that reverted to the employer this year			13a			
b		e all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brouging of the PBGC?					Yes X	No
С		uring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identifich assets or liabilities were transferred. (See instructions.)	y the p	plan(s)	) to			
1	3c(1)	Name of plan(s):		13c(2)	EIN(s)		13c(3)	PN(s)
Part	VIII	Trust Information						
14a	Name	of trust			14b <sup>-</sup>	Trust's E	EIN	
14c	Name	e of trustee or custodian			<b>14d</b> Trustee's or custodian's telephone number			
Part	: IX	IRS Compliance Questions						
15a	Is the	plan a 401(k) plan? If "No," skip b		Yes		[	No	
		did the plan satisfy the nondiscrimination requirements for employee deferrals under section )(3) for the plan year? Check all that apply:		Desig safe h	n-based narbor	<sup>t</sup> [	l "Prior ye test	ar" ADP
		,,,,, p ,		"Curre	ent year test	,,	N/A	
				entage	ntage Average N/A benefit test N/A			
<b>16b</b> Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?				Yes	☐ No			
17a	If the	plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS deter/ and the serial number	opinio	n letter	or advi	sory lett	ter, enter the	e date of
	letter		ter the	e date	of the m	nost rece	ent determir	nation
	Were	ed Benefit Plan or Money Purchase Pension Plan Only: any distributions made during the plan year to an employee who attained age 62 and had not sepace?		from	Ye	s [	No	
19	Was	any plan participant a 5% owner who had attained at least age 70 $^{1\!\!/}_{2}$ during the prior plan year?			Ye	s [	No	

## Multiple-Employer Plan Participating Employer Information Accelerator Services Corporation 401(k) Plan

EIN: 46-4982248 Plan Number: 001

Name of Participating Employer	Participating Employer EIN	Percentage of Total Plan Year			
,	and the state of t	Contributions			
Accelerator Services Corporation	46-4982248	58.00%			
Petra Pharma Corporation	47-5259944	22.68%			
Lodo Therapeutics Corporation	47-5538730	19.32%			